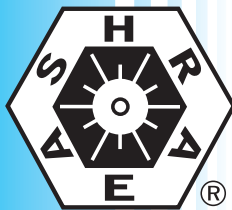


**ANSI/ASHRAE/IESNA Standard 90.1-2004**  
(Includes ANSI/ASHRAE Addenda listed in Appendix F)



# **ASHRAE STANDARD**

## **Energy Standard for Buildings Except Low-Rise Residential Buildings**

**I-P Edition**

See Appendix F for approval dates by the ASHRAE Standards Committee, the ASHRAE Board of Directors, the IESNA Board of Directors, and the American National Standards Institute.

This standard is under continuous maintenance by a Standing Standard Project Committee (SSPC) for which the Standards Committee has established a documented program for regular publication of addenda or revisions, including procedures for timely, documented, consensus action on requests for change to any part of the standard. The change submittal form, instructions, and deadlines may be obtained in electronic form from the ASHRAE Web site, <http://www.ashrae.org>, or in paper form from the Manager of Standards. The latest edition of an ASHRAE Standard may be purchased from ASHRAE Customer Service, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. E-mail: [orders@ashrae.org](mailto:orders@ashrae.org). Fax: 404-321-5478. Telephone: 404-636-8400 (worldwide), or toll free 1-800-527-4723 (for orders in U.S. and Canada).

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ISSN 1041-2336

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## CONTENTS

### ANSI/ASHRAE/IESNA Standard 90.1-2004 Energy Standard for Buildings Except Low-Rise Residential Buildings

SECTION	PAGE
Foreword.....	4
1 Purpose .....	4
2 Scope .....	4
3 Definitions, Abbreviations, and Acronyms .....	4
4 Administration and Enforcement.....	16
5 Building Envelope .....	18
6 Heating, Ventilating, and Air Conditioning .....	33
7 Service Water Heating.....	57
8 Power.....	60
9 Lighting .....	61
10 Other Equipment .....	68
11 Energy Cost Budget Method .....	69
12 Normative References .....	78
<i>Normative Appendices (these appendices are normative and part of this standard)</i>	
Appendix A: Rated R-Value of Insulation and Assembly U-Factor, C-Factor, and F-Factor Determinations.....	81
Appendix B: Building Envelope Climate Criteria .....	109
Appendix C: Methodology for Building Envelope Trade-Off Option in Subsection 5.6 .....	123
Appendix D: Climatic Data.....	134
<i>Informative Appendices (these appendices are informative and not part of this standard)</i>	
Appendix E: Informative References.....	169
Appendix F: Addenda Description Information.....	171
Appendix G: Performance Rating Method .....	174

#### NOTE

**When addenda, interpretations, or errata to this standard have been approved, they can be downloaded free of charge from the ASHRAE Web site at <http://www.ashrae.org>.**

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## FOREWORD

The original Standard 90 was published in 1975 and revised editions were published in 1980, 1989, and 1999 using the ANSI and ASHRAE periodic maintenance procedures. Based upon these procedures, the entire standard was publicly reviewed and published in its entirety each time. As technology and energy prices began changing more rapidly, however, the ASHRAE Board of Directors voted in 1999 to place the standard on continuous maintenance, permitting the standard to be updated several times each year through the publication of approved addenda to the standard. Starting with the 2001 edition, the standard is now published in its entirety in the fall of every third year. This schedule allows the standard to be submitted and proposed by the deadline for inclusion or reference in model building and energy codes. All approved addenda and errata will be included in the new edition every three years. This procedure allows users to have some certainty about when new editions will be published.

This 2004 edition of the standard has several new features and includes changes resulting from the continuous maintenance proposals from the public. The standard has been completely reformatted for ease of use and clarity. The climate zones have been reduced from 26 to 8 and the Lighting LPDs have been reduced as well. The committee welcomes suggestions for improving the standard. Users of the standard are encouraged and invited to use the continuous maintenance procedure to suggest changes. A form, *Submittal of Proposed Change*, is included in the back of this standard. The committee will take formal action on every proposal received.

The project committee is continually considering changes and proposing addenda for public review. When addenda are approved, notices will be published on the ASHRAE and IESNA Web sites. Users are encouraged to sign up for the free ASHRAE and IESNA internet list server for this standard to receive notice of all public reviews and approved and published addenda and errata.

Changes from the previous 2001 edition of the standard are not marked in the margin, as was the practice with the 1999 edition, because of the extensive reformatting that has taken place in this 2004 edition.

This edition corrects all known typographical errors in the 2001 standard. It includes the content of 31 addenda that were processed by the committee and approved by the ASHRAE and IESNA Boards of Directors. For the publication dates and brief descriptions of the addenda to 90.1-2001, see Appendix F.

## 1. PURPOSE

The purpose of this standard is to provide minimum requirements for the energy-efficient design of buildings except low-rise residential buildings.

## 2. SCOPE

2.1 This standard provides:

- (a) minimum energy-efficient requirements for the design and construction of:
  1. new buildings and their systems,
  2. new portions of buildings and their systems, and
  3. new systems and equipment in existing buildings and
- (b) criteria for determining compliance with these requirements.

2.2 The provisions of this standard apply to:

- (a) the envelope of buildings, provided that the enclosed spaces are:
  1. heated by a heating system whose output capacity is greater than or equal to 3.4 Btu/h-ft<sup>2</sup> or
  2. cooled by a cooling system whose sensible output capacity is greater than or equal to 5 Btu/h-ft<sup>2</sup>, and
- (b) the following systems and equipment used in conjunction with buildings:
  1. heating, ventilating, and air conditioning,
  2. service water heating,
  3. electric power distribution and metering provisions,
  4. electric motors and belt drives, and
  5. lighting.

2.3 The provisions of this standard do not apply to:

- (a) single-family houses, multi-family structures of three stories or fewer above grade, manufactured houses (mobile homes) and manufactured houses (modular),
- (b) buildings that do not use either electricity or fossil fuel, or
- (c) equipment and portions of building systems that use energy primarily to provide for industrial, manufacturing, or commercial processes.

2.4 Where specifically noted in this standard, certain other buildings or elements of buildings shall be exempt.

2.5 This standard shall not be used to circumvent any safety, health, or environmental requirements.

## 3. DEFINITIONS, ABBREVIATIONS, AND ACRONYMS

### 3.1 General

Certain terms, abbreviations, and acronyms are defined in this section for the purposes of this standard. These definitions are applicable to all sections of this standard. Terms that are not defined shall have their ordinarily accepted meanings within the context in which they are used. Ordinarily accepted meanings shall be based upon American standard English language usage as documented in an unabridged dictionary accepted by the adopting authority.

### 3.2 Definitions

**above-grade wall:** see *wall*.

**access hatch:** see *door*.

**addition:** an extension or increase in floor area or height of a building outside of the existing building envelope.

**adopting authority:** the agency or agent that adopts this standard.

**alteration:** a replacement or addition to a building or its systems and equipment; routine maintenance, repair, and service or a change in the building's use classification or category shall not constitute an alteration.

**annual fuel utilization efficiency (AFUE):** an efficiency descriptor of the ratio of annual output energy to annual input energy as developed in accordance with the requirements of U.S. Department of Energy (DOE) 10CFR Part 430.

**attic and other roofs:** see *roof*.

**authority having jurisdiction:** the agency or agent responsible for enforcing this standard.

**automatic:** self-acting, operating by its own mechanism when actuated by some nonmanual influence, such as a change in current strength, pressure, temperature, or mechanical configuration. (See *manual*.)

**automatic control device:** a device capable of automatically turning loads off and on without manual intervention.

**balancing, air system:** adjusting air flow rates through air distribution system devices, such as fans and diffusers, by manually adjusting the position of dampers, splitter vanes, extractors, etc., or by using automatic control devices, such as constant air volume or variable air volume boxes.

**balancing, hydronic system:** adjusting water flow rates through hydronic distribution system devices, such as pumps and coils, by manually adjusting the position valves, or by using automatic control devices, such as automatic flow control valves.

**ballast:** a device used in conjunction with an electric-discharge lamp to cause the lamp to start and operate under the proper circuit conditions of voltage, current, wave form, electrode heat, etc.

- (a) **electronic ballast:** a ballast constructed using electronic circuitry.
- (b) **hybrid ballast:** a ballast constructed using a combination of magnetic core and insulated wire winding and electronic circuitry.
- (c) **magnetic ballast:** a ballast constructed with magnetic core and a winding of insulated wire.

**baseline building design:** a computer representation of a hypothetical design based on the proposed building project. This representation is used as the basis for calculating the *baseline building performance* for rating above-standard design.

**baseline building performance:** the annual energy cost for a building design intended for use as a baseline for rating above-standard design.

**below-grade wall:** see *wall*.

**boiler:** a self-contained low-pressure appliance for supplying steam or hot water.

**boiler, packaged:** a boiler that is shipped complete with heating equipment, mechanical draft equipment, and automatic controls; usually shipped in one or more sections. A packaged boiler includes factory-built boilers manufactured as a unit or system, disassembled for shipment, and reassembled at the site.

**branch circuit:** the circuit conductors between the final over-current device protecting the circuit and the outlet(s); the final wiring run to the load.

**budget building design:** a computer representation of a hypothetical design based on the actual proposed building design. This representation is used as the basis for calculating the *energy cost budget*.

**building:** a structure wholly or partially enclosed within exterior walls, or within exterior and party walls, and a roof, affording shelter to persons, animals, or property.

**building entrance:** any doorway, set of doors, turnstiles, or other form of portal that is ordinarily used to gain access to the building by its users and occupants.

**building envelope:** the exterior plus the semi-exterior portions of a building. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- (a) **building envelope, exterior:** the elements of a building that separate conditioned spaces from the exterior.
- (b) **building envelope, semi-exterior:** the elements of a building that separate conditioned space from unconditioned space or that enclose semiheated spaces through which thermal energy may be transferred to or from the exterior, or to or from unconditioned spaces, or to or from conditioned spaces.

**building exit:** any doorway, set of doors, or other form of portal that is ordinarily used only for emergency egress or convenience exit.

**building grounds lighting:** lighting provided through a building's electrical service for parking lot, site, roadway, pedestrian pathway, loading dock, and security applications.

**building material:** any element of the building envelope through which heat flows and that is included in the component U-factor calculations other than air films and insulation.

**building official:** the officer or other designated representative authorized to act on behalf of the authority having jurisdiction.

**C-factor (thermal conductance):** time rate of steady-state heat flow through unit area of a material or construction, induced by a unit temperature difference between the body surfaces. Units of C are Btu/h·ft<sup>2</sup>·°F. Note that the C-factor does not include soil or air films.

**circuit breaker:** a device designed to open and close a circuit by nonautomatic means and to open the circuit automatically at a predetermined overcurrent without damage to itself when properly applied within its rating.

**class of construction:** for the building envelope, a subcategory of roof, above-grade wall, below-grade wall, floor, slab-on-grade floor, opaque door, vertical fenestration, or skylight. (See *roof*, *wall*, *floor*, *slab-on-grade floor*, *door*, and *fenestration*.)

**clerestory:** that part of a building that rises clear of the roofs or other parts and whose walls contain windows for lighting the interior.

**code official:** see *building official*.

**coefficient of performance (COP)—cooling:** the ratio of the rate of heat removal to the rate of energy input, in consistent units, for a complete refrigerating system or some specific portion of that system under designated operating conditions.

**coefficient of performance (COP), heat pump—heating:** the ratio of the rate of heat delivered to the rate of energy input, in consistent units, for a complete heat pump system, including the compressor and, if applicable, auxiliary heat, under designated operating conditions.

**conditioned floor area:** see *floor area*.

**conditioned space:** see *space*.

**conductance:** see *thermal conductance*.

**continuous insulation:** insulation that is continuous across all structural members without thermal bridges other than fasteners and service openings. It is installed on the interior, exterior, or is integral to any opaque surface of the building envelope.

**control:** to regulate the operation of equipment.

**control device:** a specialized device used to regulate the operation of equipment.

**construction:** the fabrication and erection of a new building or any addition to or alteration of an existing building.

**construction documents:** drawings and specifications used to construct a building, building systems, or portions thereof.

**cool down:** reduction of space temperature down to occupied setpoint after a period of shutdown or setup.

**cooled space:** see *space*.

**cooling degree-day:** see *degree-day*.

**cooling design temperature:** the outdoor dry-bulb temperature equal to the temperature that is exceeded 1% of the number of hours during a typical weather year.

**cooling design wet-bulb temperature:** the outdoor wet-bulb temperature for sizing cooling systems and evaporative heat rejection systems such as cooling towers.

**dead band:** the range of values within which a sensed variable can vary without initiating a change in the controlled process.

**decorative lighting:** see *lighting*, *decorative*.

**degree-day:** the difference in temperature between the outdoor mean temperature over a 24-hour period and a given base temperature. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- (a) **cooling degree-day base 50°F, CDD50:** for any one day, when the mean temperature is more than 50°F, there are as many degree-days as degrees Fahrenheit temperature difference between the mean temperature for the day and 50°F. Annual cooling degree-days (CDDs) are the sum of the degree-days over a calendar year.
- (b) **heating degree-day base 65°F, HDD65:** for any one day, when the mean temperature is less than 65°F, there are as many degree-days as degrees Fahrenheit temperature difference between the mean temperature for the day and 65°F. Annual heating degree-days (HDDs) are the sum of the degree-days over a calendar year.

**demand:** the highest amount of power (average Btu/h over an interval) recorded for a building or facility in a selected time frame.

**design capacity:** output capacity of a system or piece of equipment at design conditions.

**design conditions:** specified environmental conditions, such as temperature and light intensity, required to be produced and maintained by a system and under which the system must operate.

**design energy cost:** the annual energy cost calculated for a proposed design.

**design professional:** an architect or engineer licensed to practice in accordance with applicable state licensing laws.

**direct digital control (DDC):** a type of control where controlled and monitored analog or binary data (e.g., temperature, contact closures) are converted to digital format for manipulation and calculations by a digital computer or microprocessor, then converted back to analog or binary form to control physical devices.

**disconnect:** a device or group of devices or other means by which the conductors of a circuit can be disconnected from their source of supply.

**distribution system:** conveying means, such as ducts, pipes, and wires, to bring substances or energy from a source to the point of use. The distribution system includes such auxiliary equipment as fans, pumps, and *transformers*.

**door:** all operable opening areas (which are not fenestration) in the building envelope, including swinging and roll-up doors, fire doors, and access hatches. Doors that are more than one-half glass are considered fenestration. (See *fenestration*.) For the purposes of determining building envelope requirements, the classifications are defined as follows:

- (a) **non-swinging:** roll-up, sliding, and all other doors that are not swinging doors.
- (b) **swinging:** all operable opaque panels with hinges on one side and opaque revolving doors.

**door area:** total area of the door measured using the rough opening and including the door slab and the frame. (See *fenestration area*.)

**dwelling unit:** a single unit providing complete independent living facilities for one or more persons, including permanent provisions for living, sleeping, eating, cooking, and sanitation.

**economizer, air:** a duct and damper arrangement and automatic control system that together allow a cooling system to supply *outdoor air* to reduce or eliminate the need for mechanical cooling during mild or cold weather.

**economizer, water:** a system by which the supply air of a cooling system is cooled indirectly with water that is itself cooled by heat or mass transfer to the environment without the use of mechanical cooling.

**efficiency:** performance at specified rating conditions.

**emittance:** the ratio of the radiant heat flux emitted by a specimen to that emitted by a blackbody at the same temperature and under the same conditions.

**enclosed space:** a volume substantially surrounded by solid surfaces such as walls, floors, roofs, and openable devices such as doors and operable windows.

**energy:** the capacity for doing work. It takes a number of forms that may be transformed from one into another such as thermal (heat), mechanical (work), electrical, and chemical. Customary measurement units are British thermal units (Btu).

**energy cost budget:** the annual energy cost for the budget building design intended for use in determining minimum compliance with this standard.

**energy efficiency ratio (EER):** the ratio of net cooling capacity in Btu/h to total rate of electric input in watts under designated operating conditions. (See *coefficient of performance (COP)—cooling*.)

**energy factor (EF):** a measure of water heater overall efficiency.

**envelope performance factor:** the trade-off value for the building envelope performance compliance option calculated using the procedures specified in Section 5. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- (a) **base envelope performance factor:** the building envelope performance factor for the base design.
- (b) **proposed envelope performance factor:** the building envelope performance factor for the proposed design.

**equipment:** devices for comfort conditioning, electric power, lighting, transportation, or service water heating including, but not limited to, furnaces, boilers, air conditioners, heat pumps, chillers, water heaters, lamps, luminaires, ballasts, elevators, escalators, or other devices or installations.

**existing building:** a building or portion thereof that was previously occupied or approved for occupancy by the authority having jurisdiction.

**existing equipment:** equipment previously installed in an existing building.

**existing system:** a system or systems previously installed in an existing building.

**exterior building envelope:** see *building envelope*.

**exterior lighting power allowance:** see *lighting power allowance*.

**F-factor:** the perimeter heat loss factor for slab-on-grade floors, expressed in Btu/h·ft·°F.

**facade area:** area of the facade, including overhanging soffits, cornices, and protruding columns, measured in elevation in a vertical plane parallel to the plane of the face of the building. Nonhorizontal roof surfaces shall be included in the calculation of vertical facade area by measuring the area in a plane parallel to the surface.

**fan system power:** the sum of the nominal power demand (nameplate horsepower) of motors of all fans that are required to operate at design conditions to supply air from the heating or cooling source to the conditioned space(s) and return it to the source or exhaust it to the outdoors.

**feeder conductors:** the wires that connect the service equipment to the branch circuit breaker panels.

**fenestration:** all areas (including the frames) in the building envelope that let in light, including windows, plastic panels, clerestories, skylights, glass doors that are more than one-half glass, and glass block walls. (See *building envelope* and *door*.)

- (a) **skylight:** a fenestration surface having a slope of less than 60 degrees from the horizontal plane. Other fenestration, even if mounted on the roof of a building, is considered vertical fenestration.

- (b) **vertical fenestration:** all fenestration other than skylights. Trombe wall assemblies, where glazing is installed within 12 in. of a mass wall, are considered walls, not fenestration.

**fenestration area:** total area of the fenestration measured using the rough opening and including the glazing, sash, and frame. For doors where the glazed vision area is less than 50% of the door area, the fenestration area is the glazed vision area. For all other doors, the fenestration area is the door area. (See *door area*.)

**fenestration, vertical:** (See *fenestration* and *skylight*.)

**fixture:** the component of a luminaire that houses the lamp or lamps, positions the lamp, shields it from view, and distributes the light. The fixture also provides for connection to the power supply, which may require the use of a ballast.

**floor, envelope:** that lower portion of the building envelope, including opaque area and fenestration, that has conditioned or semiheated space above and is horizontal or tilted at an angle of less than 60 degrees from horizontal but excluding slab-on-grade floors. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- mass floor:** a floor with a heat capacity that exceeds (1) 7 Btu/ft<sup>2</sup>·°F or (2) 5 Btu/ft<sup>2</sup>·°F provided that the floor has a material unit mass not greater than 120 lb/ft<sup>3</sup>.
- steel-joist floor:** a floor that (1) is not a mass floor and (2) that has steel joist members supported by structural members.
- wood-framed and other floors:** all other floor types, including wood joist floors.

(See *building envelope*, *fenestration*, *opaque area*, and *slab-on-grade floor*).

**floor area, gross:** the sum of the floor areas of the spaces within the building including basements, mezzanine and intermediate-floored tiers, and penthouses with headroom height of 7.5 ft or greater. It is measured from the exterior faces of exterior walls or from the centerline of walls separating buildings, but excluding covered walkways, open roofed-over areas, porches and similar spaces, pipe trenches, exterior terraces or steps, chimneys, roof overhangs, and similar features.

- gross building envelope floor area:** the gross floor area of the building envelope, but excluding slab-on-grade floors.
- gross conditioned floor area:** the gross floor area of conditioned spaces.
- gross lighted floor area:** the gross floor area of lighted spaces.
- gross semiheated floor area:** the gross floor area of semiheated spaces.

(See *building envelope*, *floor*, *slab-on-grade floor*, and *space*.)

**flue damper:** a device in the flue outlet or in the inlet of or upstream of the draft control device of an individual, automatically operated, fossil fuel-fired appliance that is designed to

automatically open the flue outlet during appliance operation and to automatically close the flue outlet when the appliance is in a standby condition.

**fossil fuel:** fuel derived from a hydrocarbon deposit such as petroleum, coal, or natural gas derived from living matter of a previous geologic time.

**fuel:** a material that may be used to produce heat or generate power by combustion.

**general lighting:** see *lighting, general*.

**generally accepted engineering standard:** a specification, rule, guide, or procedure in the field of engineering, or related thereto, recognized and accepted as authoritative.

**grade:** the finished ground level adjoining a building at all exterior walls.

**gross lighted area (GLA):** see *floor area, gross*; *gross lighted floor area*.

**gross roof area:** see *roof area, gross*.

**gross wall area:** see *wall area, gross*.

**heat capacity (HC):** the amount of heat necessary to raise the temperature of a given mass 1°F. Numerically, the heat capacity per unit area of surface (Btu/ft<sup>2</sup>·°F) is the sum of the products of the mass per unit area of each individual material in the roof, wall, or floor surface multiplied by its individual specific heat.

**heated space:** see *space*.

**heat trace:** a heating system where the externally applied heat source follows (traces) the object to be heated, e.g., water piping.

**heating design temperature:** the outdoor dry-bulb temperature equal to the temperature that is exceeded at least 99.6% of the number of hours during a typical weather year.

**heating degree-day:** see *degree-day*.

**heating seasonal performance factor (HSPF):** the total heating output of a heat pump during its normal annual usage period for heating (in Btu) divided by the total electric energy input during the same period.

**historic:** a building or space that has been specifically designated as historically significant by the adopting authority or is listed in "The National Register of Historic Places" or has been determined to be eligible for listing by the U.S. Secretary of the Interior.

**hot water supply boiler:** a boiler used to heat water for purposes other than space heating.

**humidistat:** an automatic control device used to maintain humidity at a fixed or adjustable setpoint.

**HVAC system:** the equipment, distribution systems, and terminals that provide, either collectively or individually, the processes of heating, ventilating, or air conditioning to a building or portion of a building.

**indirectly conditioned space:** see *space*.

**infiltration:** the uncontrolled inward air leakage through cracks and crevices in any building element and around windows and doors of a building caused by pressure differences across these elements due to factors such as wind, inside and outside temperature differences (stack effect), and imbalance between supply and exhaust air systems.

**installed interior lighting power:** the power in watts of all permanently installed general, task, and furniture lighting systems and luminaires.

**integrated part-load value (IPLV):** a single-number figure of merit based on part-load EER, COP, or kW/ton expressing part-load efficiency for air-conditioning and heat pump equipment on the basis of weighted operation at various load capacities for the equipment.

**interior lighting power allowance:** see *lighting power allowance*.

**isolation devices:** devices that isolate HVAC zones so that they can be operated independently of one another. Isolation devices include, but are not limited to, separate systems, isolation dampers, and controls providing shutoff at terminal boxes.

**joist, steel:** any structural steel member of a building or structure made of hot-rolled or cold-rolled solid or open-web sections.

**kilovolt-ampere (kVA):** where the term “kilovolt-ampere” (kVA) is used in this standard, it is the product of the line current (amperes) times the nominal system voltage (kilovolts) times 1.732 for three-phase currents. For single-phase applications, kVA is the product of the line current (amperes) times the nominal system voltage (kilovolts).

**kilowatt (kW):** the basic unit of electric power, equal to 1000 W.

**labeled:** equipment or materials to which a symbol or other identifying mark has been attached by the manufacturer indicating compliance with specified standards or performance in a specified manner.

**lamp:** a generic term for a man-made light source often called a bulb or tube.

- (a) **compact fluorescent lamp:** a fluorescent lamp of a small compact shape, with a single base that provides the entire mechanical support function.

- (b) **fluorescent lamp:** a low-pressure electric discharge lamp in which a phosphor coating transforms some of the ultra-violet energy generated by the discharge into light.
- (c) **general service lamp:** a class of incandescent lamps that provide light in virtually all directions. General service lamps are typically characterized by bulb shapes such as A, standard; S, straight side; F, flame; G, globe; and PS, pear straight.
- (d) **high-intensity discharge (HID) lamp:** an electric discharge lamp in that light is produced when an electric arc is discharged through a vaporized metal such as mercury or sodium. Some HID lamps may also have a phosphor coating that contributes to the light produced or enhances the light color.
- (e) **incandescent lamp:** a lamp in which light is produced by a filament heated to incandescence by an electric current.
- (f) **reflector lamp:** a class of incandescent lamps that have an internal reflector to direct the light. Reflector lamps are typically characterized by reflective characteristics such as R, reflector; ER, ellipsoidal reflector; PAR, parabolic aluminized reflector; MR, mirrorized reflector; and others.

**lighting, decorative:** lighting that is purely ornamental and installed for aesthetic effect. Decorative lighting shall not include general lighting.

**lighting, general:** lighting that provides a substantially uniform level of illumination throughout an area. General lighting shall not include decorative lighting or lighting that provides a dissimilar level of illumination to serve a specialized application or feature within such area.

**lighting system:** a group of luminaires circuited or controlled to perform a specific function.

**lighting power allowance:**

- (a) **interior lighting power allowance:** the maximum lighting power in watts allowed for the interior of a building.
- (b) **exterior lighting power allowance:** the maximum lighting power in watts allowed for the exterior of a building.

**lighting power density (LPD):** the maximum lighting power per unit area of a building classification of space function.

**low-rise residential:** single-family houses, multi-family structures of three stories or fewer above grade, manufactured houses (mobile homes), and manufactured houses (modular).

**luminaire:** a complete lighting unit consisting of a lamp or lamps together with the housing designed to distribute the light, position and protect the lamps, and connect the lamps to the power supply.

**manual (nonautomatic):** requiring personal intervention for control. Nonautomatic does not necessarily imply a manual controller, only that personal intervention is necessary. (See *automatic*.)

**manufacturer:** the company engaged in the original produc-

tion and assembly of products or equipment or a company that purchases such products and equipment manufactured in accordance with company specifications.

**mass floor:** see *floor*.

**mass wall:** see *wall*.

**mean temperature:** one-half the sum of the minimum daily temperature and maximum daily temperature.

**mechanical heating:** raising the temperature of a gas or liquid by use of fossil fuel burners, electric resistance heaters, heat pumps, or other systems that require energy to operate.

**mechanical cooling:** reducing the temperature of a gas or liquid by using vapor compression, absorption, desiccant dehumidification combined with evaporative cooling, or another energy-driven thermodynamic cycle. Indirect or direct evaporative cooling alone is not considered mechanical cooling.

**metal building:** a complete integrated set of mutually dependent components and assemblies that form a building, which consists of a steel-framed superstructure and metal skin.

**metal building roof:** see *roof*.

**metal building wall:** see *wall*.

**metering:** instruments that measure electric voltage, current, power, etc.

**motor power, rated:** the rated output power from the motor.

**nameplate rating:** the design load operating conditions of a device as shown by the manufacturer on the nameplate or otherwise marked on the device.

**nonautomatic:** see *manual*.

**nonrecirculating system:** a domestic or service hot water distribution system that is not a recirculating system.

**nonrenewable energy:** energy derived from a fossil fuel source.

**nonresidential:** all occupancies other than residential. (See *residential*.)

**nonstandard part-load value (NPLV):** a single-number part-load efficiency figure of merit calculated and referenced to conditions other than IPLV conditions, for units that are not designed to operate at ARI Standard Rating Conditions.

**non-swinging door:** see *door*.

**north-oriented:** facing within 45 degrees of true north (northern hemisphere).

**occupant sensor:** a device that detects the presence or absence of people within an area and causes lighting, equipment, or appliances to be regulated accordingly.

**opaque:** all areas in the building envelope, except fenestration and building service openings such as vents and grilles. (See *building envelope* and *fenestration*.)

**optimum start controls:** controls that are designed to automatically adjust the start time of an HVAC system each day with the intention of bringing the space to desired occupied temperature levels immediately before scheduled occupancy.

**orientation:** the direction an envelope element faces, i.e., the direction of a vector perpendicular to and pointing away from the surface outside of the element. For vertical fenestration, the two categories are north-oriented and all other. (See *north-oriented*.)

**outdoor (outside) air:** air that is outside the building envelope or is taken from outside the building that has not been previously circulated through the building.

**overcurrent:** any current in excess of the rated current of equipment or the ampacity of a conductor. It may result from overload, short circuit, or ground fault.

**packaged terminal air conditioner (PTAC):** a factory-selected wall sleeve and separate unencased combination of heating and cooling components, assemblies, or sections. It may include heating capability by hot water, steam, or electricity and is intended for mounting through the wall to serve a single room or zone.

**packaged terminal heat pump (PTHP):** a PTAC capable of using the refrigerating system in a reverse cycle or heat pump mode to provide heat.

**party wall:** a fire wall on an interior lot line used or adapted for joint service between two buildings.

**performance rating method:** a calculation procedure that generates an index of merit for the performance of building designs that substantially exceeds the energy efficiency levels required by this standard.

**permanently installed:** equipment that is fixed in place and is not portable or movable.

**plenum:** a compartment or chamber to which one or more ducts are connected, that forms a part of the air distribution system, and that is not used for occupancy or storage. A plenum often is formed in part or in total by portions of the building.

**pool:** any structure, basin, or tank containing an artificial body of water for swimming, diving, or recreational bathing. The term includes, but is not limited to, swimming pool, whirlpool, spa, hot tub.

**process energy:** energy consumed in support of a manufacturing, industrial, or commercial process other than conditioning



spaces and maintaining comfort and amenities for the occupants of a building.

**process load:** the load on a building resulting from the consumption or release of process energy.

**projection factor (PF):** the ratio of the horizontal depth of the external shading projection divided by the sum of the height of the fenestration and the distance from the top of the fenestration to the bottom of the farthest point of the external shading projection, in consistent units.

**proposed building performance:** the annual energy cost calculated for a proposed design.

**proposed design:** a computer representation of the actual proposed building design or portion thereof used as the basis for calculating the design energy cost.

**public facility restroom:** a restroom used by the transient public.

**pump system power:** the sum of the nominal power demand (nameplate horsepower) of motors of all pumps that are required to operate at design conditions to supply fluid from the heating or cooling source to all heat transfer devices (e.g., coils, heat exchanger) and return it to the source.

**purchased energy rates:** costs for units of energy or power purchased at the building site. These costs may include energy costs as well as costs for power demand as determined by the adopting authority.

**radiant heating system:** a heating system that transfers heat to objects and surfaces within the heated space primarily (greater than 50%) by infrared radiation.

**rated lamp wattage:** see *lamp wattage, rated*.

**rated motor power:** see *motor power, rated*.

**rated R-value of insulation:** the thermal resistance of the insulation alone as specified by the manufacturer in units of  $\text{h} \cdot \text{ft}^2 \cdot ^\circ\text{F} / \text{Btu}$  at a mean temperature of  $75^\circ\text{F}$ . Rated R-value refers to the thermal resistance of the added insulation in framing cavities or insulated sheathing only and does not include the thermal resistance of other building materials or air films. (See *thermal resistance*.)

**rating authority:** the organization or agency that adopts or sanctions use of this rating methodology.

**readily accessible:** capable of being reached quickly for operation, renewal, or inspections without requiring those to whom ready access is requisite to climb over or remove obstacles or to resort to portable ladders, chairs, etc. In public facilities, accessibility may be limited to certified personnel through locking covers or by placing equipment in locked rooms.

**recirculating system:** a domestic or service hot water distribution system that includes a closed circulation circuit designed to maintain usage temperatures in hot water pipes near terminal devices (e.g., lavatory faucets, shower heads) in order to reduce the time required to obtain hot water when the terminal device valve is opened. The motive force for circulation is either natural (due to water density variations with temperature) or mechanical (recirculation pump).

**recooling:** lowering the temperature of air that has been previously heated by a mechanical heating system.

**record drawings:** drawings that record the conditions of the project as constructed. These include any refinements of the construction or bid documents.

**reflectance:** the ratio of the light reflected by a surface to the light incident upon it.

**reheating:** raising the temperature of air that has been previously cooled either by mechanical refrigeration or an economizer system.

**repair:** the reconstruction or renewal of any part of an existing building for the purpose of its maintenance.

**resistance, electric:** the property of an electric circuit or of any object used as part of an electric circuit that determines for a given circuit the rate at which electric energy is converted into heat or radiant energy and that has a value such that the product of the resistance and the square of the current gives the rate of conversion of energy.

**reset:** automatic adjustment of the controller set point to a higher or lower value.

**residential:** spaces in buildings used primarily for living and sleeping. Residential spaces include, but are not limited to, dwelling units, hotel/motel guest rooms, dormitories, nursing homes, patient rooms in hospitals, lodging houses, fraternity/sorority houses, hostels, prisons, and fire stations.

**roof:** the upper portion of the building envelope, including opaque areas and fenestration, that is horizontal or tilted at an angle of less than  $60^\circ$  from horizontal. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- (a) **attic and other roofs:** all other roofs, including roofs with insulation entirely below (inside of) the roof structure (i.e., attics, cathedral ceilings, and single-rafter ceilings), roofs with insulation both above and below the roof structure, and roofs without insulation but excluding metal building roofs.
- (b) **metal building roof:** a roof that is constructed with:
  1. a metal, structural, weathering surface,
  2. has no ventilated cavity, and
  3. has the insulation entirely below deck (i.e., does not include composite concrete and metal deck construction nor a roof framing system that is separated from

the superstructure by a wood substrate) and whose structure consists of one or more of the following configurations:

- (a) metal roofing in direct contact with the steel framing members or
- (b) insulation between the metal roofing and the steel framing members or
- (c) insulated metal roofing panels installed as described in 1 or 2.
- (c) **roof with insulation entirely above deck:** a roof with all insulation:
  - 1. installed above (outside of) the roof structure and
  - 2. continuous (i.e., uninterrupted by framing members).
- (d) **single-rafter roof:** a subcategory of attic roofs where the roof above and the ceiling below are both attached to the same wood rafter and where insulation is located in the space between these wood rafters.

**roof area, gross:** the area of the roof measured from the exterior faces of walls or from the centerline of party walls. (See *roof* and *wall*.)

**room air conditioner:** an encased assembly designed as a unit to be mounted in a window or through a wall, or as a console. It is designed primarily to provide direct delivery of conditioned air to an enclosed space, room, or zone. It includes a prime source of refrigeration for cooling and dehumidification and a means for circulating and cleaning air. It may also include a means for ventilating and heating.

**room cavity ratio (RCR):** a factor that characterizes room configuration as a ratio between the walls and ceiling and is based upon room dimensions.

**seasonal coefficient of performance—cooling ( $SCOP_C$ ):** the total cooling output of an air conditioner during its normal annual usage period for cooling divided by the total electric energy input during the same period in consistent units (analogous to the SEER but for I-P or other consistent units).

**seasonal coefficient of performance—heating ( $SCOP_H$ ):** the total heating output of a heat pump during its normal annual usage period for heating divided by the total electric energy input during the same period in consistent units (analogous to the HSPF but for I-P or other consistent units).

**seasonal energy efficiency ratio (SEER):** the total cooling output of an air conditioner during its normal annual usage period for cooling (in Btu) divided by the total electric energy input during the same period (in Wh).

**semi-exterior building envelope:** see *building envelope*.

**semiheated floor area:** see *floor area*.

**semiheated space:** see *space*.

**service:** the equipment for delivering energy from the supply or distribution system to the premises served.

**service agency:** an agency capable of providing calibration, testing, or manufacture of equipment, instrumentation, metering, or control apparatus, such as a contractor, laboratory, or manufacturer.

**service equipment:** the necessary equipment, usually consisting of a circuit breaker or switch and fuses and accessories, located near the point of entrance of supply conductors to a building or other structure (or an otherwise defined area) and intended to constitute the main control and means of cutoff of the supply. Service equipment may consist of circuit breakers or fused switches provided to disconnect all under-grounded conductors in a building or other structure from the service-entrance conductors.

**service water heating:** heating water for domestic or commercial purposes other than space heating and process requirements.

**setback:** reduction of heating (by reducing the set point) or cooling (by increasing the set point) during hours when a building is unoccupied or during periods when lesser demand is acceptable.

**setpoint:** point at which the desired temperature ( $^{\circ}\text{F}$ ) of the heated or cooled space is set.

**shading coefficient (SC):** the ratio of solar heat gain at normal incidence through glazing to that occurring through 1/8 in. thick clear, double-strength glass. Shading coefficient, as used herein, does not include interior, exterior, or integral shading devices.

**simulation program:** a computer program that is capable of simulating the energy performance of building systems.

**single-line diagram:** a simplified schematic drawing that shows the connection between two or more items. Common multiple connections are shown as one line.

**single-rafter roof:** see *roof*.

**single-zone system:** an HVAC system serving a single HVAC zone.

**site-recovered energy:** waste energy recovered at the building site that is used to offset consumption of purchased fuel or electrical energy supplies.

**site-solar energy:** thermal, chemical, or electrical energy derived from direct conversion of incident solar radiation at the building site and used to offset consumption of purchased fuel or electrical energy supplies. For the purposes of applying this standard, site-solar energy shall not include passive heat gain through fenestration systems.

**skylight:** see *fenestration*.

**skylight well:** the shaft from the skylight to the ceiling.

**slab-on-grade floor:** that portion of a slab floor of the building envelope that is in contact with the ground and that is either

above grade or is less than or equal to 24 in. below the final elevation of the nearest exterior grade.

- (a) **heated slab-on-grade floor:** a slab-on-grade floor with a heating source either within or below it.
- (b) **unheated slab-on-grade floor:** a slab-on-grade floor that is not a heated slab-on-grade floor.

**solar energy source:** source of thermal, chemical, or electrical energy derived from direct conversion of incident solar radiation at the building site.

**solar heat gain coefficient (SHGC):** the ratio of the solar heat gain entering the space through the fenestration area to the incident solar radiation. Solar heat gain includes directly transmitted solar heat and absorbed solar radiation, which is then reradiated, conducted, or convected into the space. (See *fenestration area*.)

**space:** an enclosed space within a building. The classifications of spaces are as follows for the purpose of determining building envelope requirements.

- (a) **conditioned space:** a cooled space, heated space, or indirectly conditioned space defined as follows.
  - 1. **cooled space:** an enclosed space within a building that is cooled by a cooling system whose sensible output capacity exceeds 5 Btu/h·ft<sup>2</sup> of floor area.
  - 2. **heated space:** an enclosed space within a building that is heated by a heating system whose output capacity relative to the floor area is greater than or equal to the criteria in Table 3.1.
  - 3. **indirectly conditioned space:** an enclosed space within a building that is not a heated space or a cooled space, which is heated or cooled indirectly by being connected to adjacent space(s) provided:
    - (a) the product of the U-factor(s) and surface area(s) of the space adjacent to connected space(s) exceeds the combined sum of the product of the U-factor(s) and surface area(s) of the space adjoining the outdoors, unconditioned spaces, and to or from semiheated spaces (e.g., corridors) or
    - (b) that air from heated or cooled spaces is intentionally transferred (naturally or mechanically) into the space at a rate exceeding 3 air changes per hour (ACH) (e.g., atria).
- (b) **semiheated space:** an enclosed space within a building that is heated by a heating system whose output capacity is greater than or equal to 3.4 Btu/h·ft<sup>2</sup> of floor area but is not a conditioned space.
- (c) **unconditioned space:** an enclosed space within a building that is not a conditioned space or a semiheated space. Crawlspace, attics, and parking garages with natural or mechanical ventilation are not considered enclosed spaces.

**space-conditioning category:**

- (a) nonresidential conditioned space,
- (b) residential conditioned space, and
- (c) nonresidential and residential semiheated space. (See *nonresidential*, *residential*, and *space*.)

**steel-framed wall:** see *wall*.

**steel-joist floor:** see *floor*.

**story:** portion of a building that is between one finished floor level and the next higher finished floor level or the roof, provided, however, that a basement or cellar shall not be considered a story.

**substantial contact:** a condition where adjacent building materials are placed so that proximal surfaces are contiguous, being installed and supported so they eliminate voids between materials without compressing or degrading the thermal performance of either product.

**swinging door:** see *door*.

**system:** a combination of equipment and auxiliary devices (e.g., controls, accessories, interconnecting means, and terminal elements) by which energy is transformed so it performs a specific function such as HVAC, service water heating, or lighting.

**system, existing:** a system or systems previously installed in an existing building.

**tandem wiring:** pairs of luminaires operating with lamps in each luminaire powered from a single ballast contained in one of the luminaires.

**terminal:** a device by which energy from a system is finally delivered, e.g., registers, diffusers, lighting fixtures, faucets, etc.

**thermal block:** a collection of one or more HVAC zones grouped together for simulation purposes. Spaces need not be contiguous to be combined within a single thermal block.

**thermal conductance:** see *C-factor*.

**thermal resistance (R-value):** the reciprocal of the time rate of heat flow through a unit area induced by a unit temperature difference between two defined surfaces of material or construction under steady-state conditions. Units of *R* are h·ft<sup>2</sup>·°F/Btu.

**thermostat:** an automatic control device used to maintain temperature at a fixed or adjustable setpoint.

**TABLE 3.1 Heated Space Criteria**

Heating Output (Btu/h·ft <sup>2</sup> )	Climate Zone
5	1 and 2
10	3
15	4 and 5
20	6 and 7
25	8

**thermostatic control:** an automatic control device or system used to maintain temperature at a fixed or adjustable setpoint.

**tinted:** (as applied to fenestration) bronze, green, blue, or gray coloring that is integral with the glazing material. Tinting does not include surface applied films such as reflective coatings, applied either in the field or during the manufacturing process.

**transformer:** a piece of electrical equipment used to convert electric power from one voltage to another voltage.

- (a) **dry-type transformer:** a transformer in which the core and coils are in a gaseous or dry compound.
- (b) **liquid-immersed transformer:** a transformer in which the core and coils are immersed in an insulating liquid.

**U-factor (thermal transmittance):** heat transmission in unit time through unit area of a material or construction and the boundary air films, induced by unit temperature difference between the environments on each side. Units of U are Btu/h·ft<sup>2</sup>·°F.

**unconditioned space:** see *space*.

**unenclosed space:** a space that is not an enclosed space.

**unitary cooling equipment:** one or more factory-made assemblies that normally include an evaporator or cooling coil and a compressor and condenser combination. Units that perform a heating function are also included.

**unitary heat pump:** one or more factory-made assemblies that normally include an indoor conditioning coil, compressor(s), and an outdoor refrigerant-to-air coil or refrigerant-to-water heat exchanger. These units provide both heating and cooling functions.

**variable air volume (VAV) system:** HVAC system that controls the dry-bulb temperature within a space by varying the volumetric flow of heated or cooled supply air to the space.

**vent damper:** a device intended for installation in the venting system of an individual, automatically operated, fossil fuel-fired appliance in the outlet or downstream of the appliance draft control device, which is designed to automatically open the venting system when the appliance is in operation and to automatically close off the venting system when the appliance is in a standby or shutdown condition.

**ventilation:** the process of supplying or removing air by natural or mechanical means to or from any space. Such air is not required to have been conditioned.

**vertical fenestration:** see *fenestration*.

**voltage drop:** a decrease in voltage caused by losses in the lines connecting the power source to the load.

**wall:** that portion of the building envelope, including opaque area and fenestration, that is vertical or tilted at an angle of 60° from horizontal or greater. This includes above- and below-

grade walls, between floor spandrels, peripheral edges of floors, and foundation walls. For the purposes of determining building envelope requirements, the classifications are defined as follows:

- (a) **above-grade wall:** a wall that is not a below-grade wall.
- (b) **below-grade wall:** that portion of a wall in the building envelope that is entirely below the finish grade and in contact with the ground.
- (c) **mass wall:** a wall with a heat capacity exceeding (1) 7 Btu/ft<sup>2</sup>·°F or (2) 5 Btu/ft<sup>2</sup>·°F provided that the wall has a material unit weight not greater than 120 lb/ft<sup>3</sup>.
- (d) **metal building wall:** a wall whose structure consists of metal spanning members supported by steel structural members (i.e., does not include spandrel glass or metal panels in curtain wall systems).
- (e) **steel-framed wall:** a wall with a cavity (insulated or otherwise) whose exterior surfaces are separated by steel framing members (i.e., typical steel stud walls and curtain wall systems).
- (f) **wood-framed and other walls:** all other wall types, including wood stud walls.

**wall area, gross:** the area of the wall measured on the exterior face from the top of the floor to the bottom of the roof.

**warm-up:** increase in space temperature to occupied setpoint after a period of shutdown or setback.

**water heater:** vessel in which water is heated and is withdrawn for use external to the system.

**wood-framed and other walls:** see *wall*.

**wood-framed and other floors:** see *floor*.

**zone, HVAC:** a space or group of spaces within a building with heating and cooling requirements that are sufficiently similar so that desired conditions (e.g., temperature) can be maintained throughout using a single sensor (e.g., thermostat or temperature sensor).

### 3.3 Abbreviations and Acronyms

ac	alternating current
ACH	air changes per hour
AFUE	annual fuel utilization <i>efficiency</i>
AHAM	Association of Home Appliance Manufacturers
ANSI	American National Standards Institute
ARI	Air-Conditioning and Refrigeration Institute
ASHRAE	American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc.
ASTM	American Society for Testing and Materials
BSR	Board of Standards Review
Btu	British thermal unit
Btu/h	British thermal unit per hour
Btu/ft <sup>2</sup> ·°F	British thermal unit per square foot per degree Fahrenheit
Btu/h·ft <sup>2</sup>	British thermal unit per hour per square foot
Btu/h·ft·°F	British thermal unit per hour per lineal foot per degree Fahrenheit

Btu/h·ft <sup>2</sup> ·°F	British thermal unit per hour per square foot per degree Fahrenheit
CDD	cooling degree-day
CDD50	cooling degree-days base 50°F
cfm	cubic feet per minute
COP	coefficient of performance
CTI	Cooling Tower Institute
DDC	direct digital control
DOE	U.S. Department of Energy
Ec	combustion <i>efficiency</i>
EER	energy <i>efficiency</i> ratio
EF	energy factor
ENVSTD	Envelope System Performance Compliance Program
Et	thermal <i>efficiency</i>
F	Fahrenheit
ft	foot
h	hour
HC	heat capacity
HDD	heating degree-day
HDD65	heating degree-days base 65°F
h·ft <sup>2</sup> ·°F/Btu	hour per square foot per degree Fahrenheit per British thermal unit
HID	high-intensity discharge
hp	horsepower
HSPF	heating seasonal performance factor
HVAC	heating, ventilating, and air conditioning
IESNA	Illuminating Engineering Society of North America
in.	inch
I-P	inch-pound
IPLV	integrated part-load value
K	kelvin
kVA	kilovolt-ampere
kW	kilowatt
kWh	kilowatt-hour
lb	pound
lin	linear
lin ft	linear foot
LPD	lighting power density
MICA	Midwest Insulation Contractors Association
NAECA	U.S. National Appliance Energy Conservation Act of 1987
NFPA	National Fire Protection Association
NFRC	National Fenestration Rating Council
NPLV	non-standard part load value
PF	projection factor
PTAC	packaged terminal air conditioner
PTHP	packaged terminal heat pump
R	R-value (thermal resistance)
R <sub>c</sub>	thermal resistance of a material or construction from surface to surface

R <sub>u</sub>	total thermal resistance of a material or construction including air film resistances
rpm	revolutions per minute
SC	shading coefficient
SEER	seasonal energy <i>efficiency</i> ratio
SHGC	solar heat gain coefficient
SL	standby loss
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association
T <sub>db</sub>	dry-bulb temperature
T <sub>wb</sub>	wet-bulb temperature
UL	Underwriters Laboratories Inc.
VAV	variable air volume
VLT	visible light transmittance
W	watt
W/ft <sup>2</sup>	watts per square foot
Wh	watthour

## 4. ADMINISTRATION AND ENFORCEMENT

### 4.1 General

#### 4.1.1 Scope

**4.1.1.1 New Buildings.** New buildings shall comply with the standard as described in Section 4.2.

**4.1.1.2 Additions to Existing Buildings.** An extension or increase in the floor area or height of a building outside of the *existing building* envelope shall be considered *additions to existing buildings* and shall comply with the standard as described in Section 4.2.

**4.1.1.3 Alterations of Existing Buildings:** *Alterations of existing buildings* shall comply with the standard as described in Section 4.2.

**4.1.1.4 Replacement of Portions of Existing Buildings:** Portions of a building envelope, heating, ventilating, air-conditioning, service water heating, power, lighting, and other systems and equipment that are being replaced shall be considered as Alterations of Existing Buildings and shall comply with the Standard as described in Section 4.2.

**4.1.1.5 Changes in Space Conditioning.** Whenever *unconditioned* or *semiheated* spaces in a building are converted to *conditioned spaces*, such *conditioned spaces* shall be brought into compliance with all the applicable requirements of this standard that would apply to the building envelope, heating, ventilating, air-conditioning, service water heating, power, lighting, and other systems and equipment of the space as if the building were new

**4.1.2 Administrative Requirements.** Administrative requirements relating to permit requirements, enforcement by the *authority having jurisdiction*, locally adopted energy standards, interpretations, claims of exemption, and rights of appeal are specified by the *authority having jurisdiction*.

**4.1.3 Alternative Materials, Methods of Construction, or Design.** The provisions of this standard are not intended to prevent the use of any material, method of construction,

design, equipment, or building system not specifically prescribed herein.

**4.1.4 Validity.** If any term, part, provision, section, paragraph, subdivision, table, chart, or referenced standard of this standard shall be held unconstitutional, invalid, or ineffective, in whole or in part, such determination shall not be deemed to invalidate any remaining term, part, provision, section, paragraph, subdivision, table, chart, or referenced standard of this standard.

**4.1.5 Other Laws.** The provisions of this standard shall not be deemed to nullify any provisions of local, state, or federal law. Where there is a conflict between a requirement of this standard and such other law affecting construction of the building, precedence shall be determined by the *authority having jurisdiction*.

**4.1.6 Referenced Standards.** The standards referenced in this standard and listed in Section 12 shall be considered part of the requirements of this standard to the prescribed extent of such reference. Where differences occur between the provision of this standard and referenced standards, the provisions of this standard shall apply. Informative references are cited to acknowledge sources and are not part of this standard. They are identified in Informative Appendix E.

**4.1.7 Normative Appendices.** The normative appendices to this standard are considered to be integral parts of the mandatory requirements of this standard, which for reasons of convenience, are placed apart from all other normative elements

**4.1.8 Informative Appendices.** The informative appendices to this standard and informative notes located within this standard contain additional information and are not mandatory or part of this standard.

## 4.2 Compliance

### 4.2.1 Compliance Paths

**4.2.1.1 New Buildings:** New Buildings shall comply with either the provisions of Sections 5, 6, 7, 8, 9, and 10 or Section 11.

**4.2.1.2 Additions to Existing Buildings:** *Additions to existing buildings* shall comply with either the provisions of Sections 5, 6, 7, 8, 9, and 10 or Section 11.

**Exception to 4.2.1.2:** When an addition to an *existing building* cannot comply by itself, trade-offs will be allowed by modification to one or more of the existing components of the *existing building*. Modeling of the modified components of the *existing building* and addition shall employ the procedures of Section 11; and the addition shall not increase the energy consumption of the *existing building* plus the addition beyond the energy that would be consumed by the *existing building* plus the addition if the addition alone did comply.

**4.2.1.3 Alterations of Existing Buildings:** *Alterations of existing buildings* shall comply with the provisions of Sections 5, 6, 7, 8, 9, and 10, provided, however that nothing in this standard shall require compliance with any provision of this standard if such compliance will result in the increase of energy consumption of the building.

### Exceptions to 4.2.1.3:

- (a) A building that has been specifically designated as historically significant by the *adopting authority* or is listed in “The National Register of Historic Places” or has been determined to be eligible for listing by the U.S Secretary of the Interior need not comply with these requirements.
- (b) Where one or more components of an *existing building* or portions thereof are being replaced, the annual energy consumption of the comprehensive design shall not be greater than the annual energy consumption of a substantially identical design, using the same energy types, in which the applicable requirements of Sections 5, 6, 7, 8, 9, and 10, as provided in 4.2.1.3, and such compliance is verified by a *design professional*, by the use of any calculation methods acceptable to the *authority having jurisdiction*.

## 4.2.2 Compliance Documentation

**4.2.2.1 Construction Details.** Compliance documents shall show all the pertinent data and features of the building, equipment, and systems in sufficient detail to permit a determination of compliance by the *building official* and to indicate compliance with the requirements of this standard

**4.2.2.2 Supplemental Information.** Supplemental information necessary to verify compliance with this standard, such as calculations, worksheets, compliance forms, vendor literature, or other data, shall be made available when required by the *building official*.

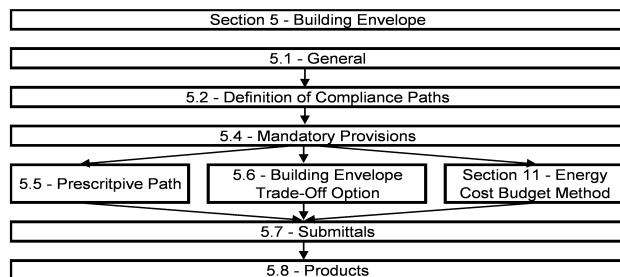
**4.2.2.3 Manuals.** Operating and maintenance information shall be provided to the building owner. This information shall include, but not be limited to, the information specified in 6.2.5.2 and 8.2.2.2.

**4.2.3 Labeling of Material and Equipment.** Materials and equipment shall be labeled in a manner that will allow for a determination of their compliance with the applicable provisions of this standard.

**4.2.4 Inspections.** All building construction, *additions*, or *alterations* subject to the provisions of this standard shall be subject to inspection by the *building official*, and all such work shall remain accessible and exposed for inspection purposes until approved in accordance with the procedures specified by the *building official*. Items for inspection include at least the following:

- (a) wall insulation after the insulation and vapor retarder are in place but before concealment,
- (b) roof/ceiling insulation after roof/insulation is in place but before concealment,
- (c) slab/foundation wall after slab/foundation insulation is in place but before concealment,
- (d) fenestration after all glazing materials are in place,
- (e) mechanical systems and equipment and insulation after installation but before concealment,
- (f) electrical equipment and systems after installation but before concealment.

## 5. BUILDING ENVELOPE



### 5.1 General

**5.1.1 Scope.** Section 5 specifies requirements for the *building envelope*.

#### 5.1.2 Space-Conditioning Categories.

**5.1.2.1** Separate *exterior building envelope* requirements are specified for each of three categories of conditioned space: (a) *nonresidential conditioned space*, (b) *residential conditioned space*, or (c) *semiheated space*.

**5.1.2.2** *Spaces* shall be assumed to be *conditioned space* and shall comply with the requirements for *conditioned space* at the time of construction, regardless of whether mechanical or electrical equipment is included in the building permit application or installed at that time.

**5.1.2.3** In climate zones 3 through 8, a space may be designated as either *semiheated* or *unconditioned* only if approved by the *building official*.

**5.1.3 Envelope Alterations.** *Alterations* to the *building envelope* shall comply with the requirements of Section 5 for insulation, air leakage, and *fenestration* applicable to those specific portions of the building that are being altered.

**Exceptions to 5.1.3:** The following *alterations* need not comply with these requirements, provided such *alterations* will not increase the energy usage of the building:

- installation of storm windows over existing glazing;
- replacement of glazing in existing sash and frame provided the *U-factor* and *SHGC* will be equal to or lower than before the glass replacement;
- alterations* to roof/ceiling, wall, or floor cavities, which are insulated to full depth with insulation having a minimum nominal value of R-3.0/in.;
- alterations* to walls and floors, where the existing structure is without framing cavities and no new framing cavities are created, or
- replacement of a roof membrane where either the roof sheathing or roof insulation is not exposed or, if there is existing roof insulation, below the roof deck;
- replacement of existing doors that separate conditioned space from the exterior shall not require the installation of a vestibule or revolving door, provided, however, that an existing vestibule that separates a conditioned space from the exterior shall not be removed; and

- replacement of existing fenestration, provided, however, that the area of the replacement fenestration does not exceed 25% of the total fenestration area of an *existing building* and that the *U-factor* and *SHGC* will be equal to or lower than before the fenestration replacement.

**5.1.4 Climate.** Determine the climate zone for the location. For locations in the United States, follow the procedure in 5.1.4.1. For international locations in Canada and other countries, follow the procedure in 5.1.4.2.

**5.1.4.1 United States Locations.** Use Figure B-1 or Table B-1 in Appendix B to determine the required climate zone.

**Exception to 5.1.4.1:** If there are recorded historical climatic data available for a construction site, they may be used to determine compliance if approved by the *building official*.

**5.1.4.2 International Locations.** For locations in Canada that are listed in Table B-2 in Appendix B, use this table to determine the required climate zone number and, when a climate zone letter is also required, use Table B-4 and the Major Climate Type Definitions in Appendix B to determine the letter (A, B, or C). For locations in other international countries that are listed in Table B-3, use this table to determine the required climate zone number and, when a climate zone letter is also required, use Table B-4 and the Major Climate Type Definitions in Appendix B to determine the letter (A, B, or C). For all international locations that are not listed either in Table B-2 or B-3, use Table B-4 and the Major Climate Type Definitions in Appendix B to determine both the climate zone letter and number.

### 5.2 Compliance Paths

**5.2.1 Compliance.** For the appropriate climate, *space-conditioning category*, and *class of construction*, the *building envelope* shall comply with 5.1, General; 5.4, Mandatory Provisions; 5.7, Submittals; and 5.8, Product Information and Installation Requirements; and either

- 5.5, Prescriptive Building Envelope Option, provided that
  - the *vertical fenestration area* does not exceed 50% of the *gross wall area* for each *space-conditioning category* and
  - the *skylight fenestration area* does not exceed 5% of the *gross roof area* for each *space-conditioning category*, or
- 5.6, Building Envelope Trade-Off Option.

**5.2.2** Projects using the Energy Cost Budget Method (Section 11 of this standard), must comply with 5.4, the mandatory provisions of this section, as a portion of that compliance path.

### 5.3 Simplified Building: (Not Used)

### 5.4 Mandatory Provisions

**5.4.1 Insulation.** Where insulation is required in 5.5 or 5.6, it shall comply the requirements found in 5.8.1.1 through 5.8.1.9.



**5.4.2 Fenestration and Doors.** Procedures for determining *fenestration* and door performance are described in 5.8.2. Product samples used for determining *fenestration* performance shall be production line units or representative of units purchased by the consumer or contractor.

#### 5.4.3 Air Leakage.

**5.4.3.1 Building Envelope Sealing.** The following areas of the *building envelope* shall be sealed, caulked, gasketed, or weather-stripped to minimize air leakage:

- joints around *fenestration* and *door* frames,
- junctions between *walls* and foundations, between *walls* at building corners, between *walls* and structural *floors* or *roofs*, and between *walls* and *roof* or *wall* panels,
- openings at penetrations of utility services through *roofs*, *walls*, and *floors*,
- site-built *fenestration* and *doors*,
- building assemblies used as ducts or plenums,
- joints, seams, and penetrations of vapor retarders,
- all other openings in the *building envelope*.

**5.4.3.2 Fenestration and Doors.** Air leakage for *fenestration* and *doors* shall be determined in accordance with NFRC 400. Air leakage shall be determined by a laboratory accredited by a nationally recognized accreditation organization, such as the National Fenestration Rating Council, and shall be *labeled* and certified by the *manufacturer*. Air leakage shall not exceed 1.0 cfm/ft<sup>2</sup> for glazed swinging entrance doors and for revolving doors and 0.4 cfm/ft<sup>2</sup> for all other products.

##### Exceptions to 5.4.3.2:

- Field-fabricated fenestration and doors.
- For garage *doors*, air leakage determined by test at standard test conditions in accordance with ANSI/DASMA 105 shall be an acceptable alternate for compliance with air leakage requirements.

**5.4.3.3 Loading Dock Weatherseals.** In climate zones 4 through 8, cargo *doors* and loading dock *doors* shall be equipped with weatherseals to restrict *infiltration* when vehicles are parked in the doorway.

**5.4.3.4 Vestibules.** A *door* that separates *conditioned space* from the exterior shall be protected with an enclosed vestibule, with all *doors* opening into and out of the vestibule equipped with self-closing devices. Vestibules shall be designed so that in passing through the vestibule it is not necessary for the interior and exterior *doors* to open at the same time. Interior and exterior *doors* shall have a minimum distance between them of not less than 7 ft when in the closed position.

##### Exceptions to 5.4.3.4:

- Doors* in buildings in climate zones 1 and 2.
- Doors* in buildings less than four stories above grade.
- Doors* not intended to be used as a *building entrance door*, such as mechanical or electrical equipment rooms.
- Doors* opening directly from a *dwelling unit*.
- Doors* that open directly from a space less than 3000 ft<sup>2</sup> in area.
- Doors* in building entrances with revolving *doors*.

- Doors* used primarily to facilitate vehicular movement or material handling and adjacent personnel doors.

### 5.5 Prescriptive Building Envelope Option:

**5.5.1** For *conditioned space*, the *exterior building envelope* shall comply with either the “nonresidential” or “residential” requirements in **Tables 5.5-1 through 5.5-8** (located at the end of this chapter) for the appropriate climate.

**5.5.2** If a building contains any *semiheated space* or *unconditioned space*, then the *semi-exterior building envelope* shall comply with the requirements for *semiheated space* in **Tables 5.5-1 through 5.5-8** for the appropriate climate. (See **Figure 5.5**.)

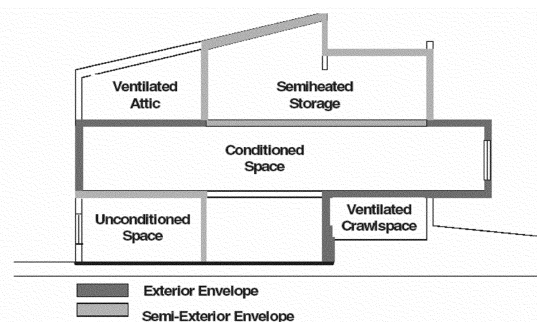
**5.5.3 Opaque Areas.** For all opaque surfaces except doors, compliance shall be demonstrated by one of the following two methods:

- Minimum *rated R-values of insulation* for the thermal resistance of the added insulation in framing cavities and *continuous insulation* only. Specifications listed in Normative Appendix A for each *class of construction* shall be used to determine compliance.
- Maximum *U-factor*, *C-factor*, or *F-factor* for the entire assembly. The values for typical construction assemblies listed in Normative Appendix A shall be used to determine compliance.

##### Exceptions to 5.5.3(2).

- For assemblies significantly different from those in Appendix A, calculations shall be performed in accordance with the procedures required in Appendix A.
- For multiple assemblies within a single *class of construction* for a single *space-conditioning category*, compliance shall be shown for either (i) the most restrictive requirement or (ii) an area-weighted average *U-factor*, *C-factor*, or *F-factor*.

**5.5.3.1 Roof Insulation.** All *roofs* shall comply with the insulation values specified in **Tables 5.5-1 through 5.5-8**. Sky-light curbs shall be insulated to the level of roofs with insulation entirely above deck or R-5, whichever is less.



**Figure 5-5 Exterior and semi-exterior building envelope.**



**TABLE 5.5.3.1 Roof U-Factor Multipliers  
for Exception to 5.3.1.1.**

Climate Zone	Roof U-Factor Multiplier
1	0.77
2	0.83
3	0.85
4 through 8	1.00

**Exception to 5.5.3.1:** For *roofs* where the exterior surface has a minimum total solar reflectance of 0.70 when tested in accordance with one of the solar reflectance test methods listed below and has a minimum thermal emittance of 0.75 when tested in accordance with one of the thermal emittance test methods listed below, other than roofs with ventilated attics or roofs with semi-heated spaces, the U-factor of the proposed roof shall be permitted to be adjusted using Equation 5-1 for demonstrating compliance:

$$U_{\text{roofadj}} = U_{\text{roofproposed}} \times \text{Factor}_{\text{roofmultiplier}} \quad (5-1)$$

where

$U_{\text{roofadj}}$  = the adjusted roof U-factor for use in demonstrating compliance,

$U_{\text{roofproposed}}$  = the U-factor of the proposed roof, as designed,

$\text{Factor}_{\text{roofmultiplier}}$  = the roof U-factor multiplier from Table 5.5.3.1

Solar Reflectance Test Methods: ASTM E903, ASTM E1175, or ASTM E1918.

Thermal Emittance Test Methods: ASTM C835, ASTM C1371, or ASTM E408.

**5.5.3.2 Above-Grade Wall Insulation.** All *above-grade walls* shall comply with the insulation values specified in Tables 5.5-1 through 5.5-8. When a *wall* consists of both *above-grade* and *below-grade* portions, the entire *wall* for that story shall be insulated on either the exterior or the interior or be integral.

- If insulated on the interior, the *wall* shall be insulated to the *above-grade wall* requirements.
- If insulated on the exterior or integral, the *below-grade wall* portion shall be insulated to the *below-grade wall* requirements, and the *above-grade wall* portion shall be insulated to the *above-grade wall* requirements.

**5.5.3.3 Below-Grade Wall Insulation.** *Below-grade walls* shall have a *rated R-value of insulation* not less than the insulation values specified in Tables 5.5-1 through 5.5-8.

**Exception to 5.5.3.3:** Where framing, including metal and wood studs, is used, compliance shall be based on the maximum assembly *C-factor*.

**5.5.3.4 Floor Insulation.** All *floors* shall comply with the insulation values specified in Tables 5.5-1 through 5.5-8.

**5.5.3.5 Slab-on-Grade Floor Insulation.** All *slab-on-grade floors*, including *heated slab-on-grade floors* and *unheated slab-on-grade floors*, shall comply with the insulation values specified in Tables 5.5-1 through 5.5-8.

**5.5.3.6 Opaque Doors.** All *opaque doors* shall have a *U-factor* not greater than that specified in Tables 5.5-1 through 5.5-8.

#### 5.5.4 Fenestration.

**5.5.4.1 General.** Compliance with *U-factors* and *solar heat gain coefficient (SHGC)* shall be demonstrated for the overall fenestration product. Gross wall areas and gross roof areas shall be calculated separately for each *space-conditioning category* for the purposes of determining compliance.

**Exception to 5.5.4.1:** If there are multiple assemblies within a single *class of construction* for a single *space-conditioning category*, compliance shall be based on an area-weighted average *U-factor* or *SHGC*. It is not acceptable to do an area-weighted average across multiple *classes of construction* or multiple *space-conditioning categories*.

#### 5.5.4.2 Fenestration Area

**5.5.4.2.1 Vertical Fenestration Area.** The total *vertical fenestration area* shall be less than 50% of the *gross wall area*.

**Exception to 5.5.4.2.1:** *Vertical fenestration* complying with Exception (c) to 5.5.4.4.1.

**5.5.4.2.2 Skylight Fenestration Area.** The total *skylight area* shall be less than 5% of the *gross roof area*.

**5.5.4.3 Fenestration U-Factor.** *Fenestration* shall have a *U-factor* not greater than that specified in Tables 5.5-1 through 5.5-8 for the appropriate *fenestration area*.

**Exception to 5.5.4.3:** *Vertical fenestration* complying with Exception (c) to 5.5.4.4.1 shall have a *U-factor* not greater than that specified for 40% of the *gross wall area*.

#### 5.5.4.4 Fenestration Solar Heat Gain Coefficient (SHGC).

**5.5.4.4.1 SHGC of Vertical Fenestration.** *Vertical fenestration* shall have an *SHGC* not greater than that specified for “all” orientations in Tables 5.5-1 through 5.5-8 for the appropriate total *vertical fenestration area*.

##### Exceptions to 5.5.4.4.1:

- In latitudes greater than 10 degrees, the *SHGC* for *north-oriented vertical fenestration* shall be calculated separately and shall not be greater than that specified in Tables 5.5-1 through 5.5-8 for *north-oriented fenestration*. When this exception is used, the *fenestration area* used in selecting the criteria shall be calculated separately for *north-oriented* and all other-oriented *fenestration*.

**Note to adopting authority:** If the project is in the southern hemisphere, change north to south.

- (b) For demonstrating compliance for *vertical fenestration* only, the *SHGC* in the proposed building shall be reduced by using the multipliers in **Table 5.5.4.4.1** for each *fenestration* product shaded by permanent projections that will last as long as the building itself.
- (c) *Vertical fenestration* that is located on the street side of the street-level story only, provided that:
1. the street side of the street-level story does not exceed 20 ft in height,
  2. the *fenestration* has a continuous overhang with a weighted average *projection factor* greater than 0.5, and
  3. the *fenestration area* for the street side of the street-level story is less than 75% of the *gross wall area* for the street side of the street-level story.

When this exception is utilized, separate calculations shall be performed for these sections of the *building envelope*, and these values shall not be averaged with any others for compliance purposes. No credit shall be given here or elsewhere in the building for not fully utilizing the *fenestration area* allowed.

**5.5.4.4.2 SHGC of Skylights.** *Skylights* shall have an *SHGC* not greater than that specified for “all” orientations in Tables 5.5-1 through 5.5-8 for the appropriate total *skylight area*.

## 5.6 Building Envelope Trade-Off Option.

- 5.6.1** The *building envelope* complies with the standard if
- (a) the proposed building satisfies the provisions of 5.1, 5.4, 5.7, and 5.8, and
  - (b) the *envelope performance factor* of the proposed building is less than or equal to the *envelope performance factor* of the budget building.

**5.6.1.1** The *envelope performance factor* considers only the *building envelope* components.

**5.6.1.2** Schedules of operation, lighting power, equipment power, occupant density, and mechanical systems shall be the same for both the proposed building and the budget building.

**5.6.1.3** *Envelope performance factor* shall be calculated using the procedures of Normative Appendix C.

## 5.7 Submittals

**5.7.1 General.** *Authority having jurisdiction* may require submittal of compliance documentation and supplemental information, in accordance with Section 4.2.2 of this standard.

**5.7.2 Submittal Document Labeling of Space Conditioning Categories.** For buildings that contain spaces that will be only semiheated or unconditioned, and compliance is sought using the “semiheated” envelope criteria, such spaces shall be clearly indicated on the floor plans that are submitted for review.

## 5.8 Product Information and Installation Requirements

### 5.8.1 Insulation.

**5.8.1.1 Labeling of Building Envelope Insulation.** The *rated R-value* shall be clearly identified by an identification mark applied by the *manufacturer* to each piece of *building envelope* insulation.

**TABLE 5.5.4.4.1 SHGC Multipliers for Permanent Projections**

Projection Factor	SHGC Multiplier (All Other Orientations)	SHGC Multiplier (North-Oriented)
0-0.10	1.00	1.00
>0.10-0.20	0.91	0.95
>0.20-0.30	0.82	0.91
>0.30-0.40	0.74	0.87
>0.40-0.50	0.67	0.84
>0.50-0.60	0.61	0.81
>0.60-0.70	0.56	0.78
>0.70-0.80	0.51	0.76
>0.80-0.90	0.47	0.75
>0.90-1.00	0.44	0.73

**Exception to 5.8.1.1:** When insulation does not have such an identification mark, the installer of such insulation shall provide a signed and dated certification for the installed insulation listing the type of insulation, the *manufacturer*, the *rated R-value*, and, where appropriate, the initial installed thickness, the settled thickness, and the coverage area.

**5.8.1.2 Compliance with Manufacturer’s Requirements.** Insulation materials shall be installed in accordance with *manufacturer’s* recommendations and in such a manner as to achieve *rated R-value of insulation*.

**Exception to 5.8.1.2:** Where *metal building roof* and *metal building wall* insulation is compressed between the *roof* or *wall* skin and the structure.

**5.8.1.3 Loose-fill Insulation Limitation.** Open-blown or poured loose-fill insulation shall not be used in *attic roof* spaces when the slope of the ceiling is more than three in twelve.

**5.8.1.4 Baffles.** When eave vents are installed, baffling of the vent openings shall be provided to deflect the incoming air above the surface of the insulation.

**5.8.1.5 Substantial Contact.** Insulation shall be installed in a permanent manner in *substantial contact* with the inside surface in accordance with *manufacturer’s* recommendations for the framing system used. Flexible batt insulation installed in floor cavities shall be supported in a permanent manner by supports no greater than 24 in. on center.

**Exception to 5.8.1.5:** Insulation materials that rely on air-spaces adjacent to reflective surfaces for their rated performance.

**5.8.1.6 Recessed Equipment.** Lighting fixtures; heating, ventilating, and air-conditioning equipment, including wall heaters, ducts, and plenums; and other equipment shall not be recessed in such a manner as to affect the insulation thickness unless:

- (a) the total combined area affected (including necessary clearances) is less than one percent of the opaque area of the assembly, or
  - (b) the entire *roof, wall, or floor* is covered with insulation to the full depth required, or
  - (c) the effects of reduced insulation are included in calculations using an area-weighted average method and compressed insulation values obtained from Table A9.4.C.
- In all cases, air leakage through or around the recessed equipment to the *conditioned space* shall be limited in accordance with 5.4.3.

**5.8.1.7 Insulation Protection.** Exterior insulation shall be covered with a protective material to prevent damage from sunlight, moisture, landscaping operations, equipment maintenance, and wind.

**5.8.1.7.1** In *attics* and mechanical rooms, a way to access equipment that prevents damaging or compressing the insulation shall be provided.

**5.8.1.7.2** Foundation vents shall not interfere with the insulation.

**5.8.1.7.3** Insulation materials in ground contact shall have a water absorption rate no greater than 0.3% when tested in accordance with ASTM C272.

**5.8.1.8 Location of Roof Insulation.** The *roof* insulation shall not be installed on a suspended ceiling with removable ceiling panels.

**5.8.1.9 Extent of Insulation.** Insulation shall extend over the full component area to the required rated R-value of insulation, U-factor, C-factor, or F-factor, unless otherwise allowed in 5.8.1.

## 5.8.2 Fenestration and Doors.

**5.8.2.1 Rating of Fenestration Products.** The U-factor, solar heat gain coefficient (SHGC), and air leakage rate for all manufactured *fenestration* products shall be determined by a laboratory accredited by a nationally recognized accreditation organization, such as the National Fenestration Rating Council.

**5.8.2.2 Labeling of Fenestration Products.** All manufactured *fenestration* products shall have a permanent nameplate, installed by the *manufacturer*, listing the U-factor, solar heat gain coefficient (SHGC), and air leakage rate.

**Exception to 5.8.2.2:** When the *fenestration* product does not have such nameplate, the installer or supplier of such *fenestration* shall provide a signed and dated certification for the installed fenestration listing the U-factor, SHGC, and the air leakage rate.

**5.8.2.3 Labeling of Doors.** The *U-factor* and the air leakage rate for all manufactured *doors* installed between *conditioned space, semi-heated space, unconditioned space,* and exterior *space* shall be identified on a permanent nameplate installed on the product by the *manufacturer*.

**Exception to 5.8.2.3:** When doors do not have such a nameplate, the installer or supplier of any such doors shall provide a signed and dated certification for the installed doors listing the *U-factor* and the air leakage rate.

**5.8.2.4 U-factor.** U-factors shall be determined in accordance with NFRC 100. U-factors for skylights shall be determined for a slope of 20 degrees above the horizontal.

### Exceptions to 5.8.2.4:

- (a) U-factors from A8.1 shall be an acceptable alternative for determining compliance with the U-factor criteria for *skylights*. Where credit is being taken for a low-emissivity coating, the emissivity of the coating shall be determined in accordance with NFRC 300. Emissivity shall be verified and certified by the *manufacturer*.
- (b) U-factors from A8.2 shall be an acceptable alternative for determining compliance with the U-factor criteria for *vertical fenestration*.
- (c) U-factors from A7 shall be an acceptable alternative for determining compliance with the U-factor criteria for *opaque doors*.
- (d) For garage doors, ANSI/DASMA105 shall be an acceptable alternative for determining *U-factors*.

**5.8.2.5 Solar Heat Gain Coefficient.** *SHGC* for the overall *fenestration area* shall be determined in accordance with NFRC 200.

### Exceptions to 5.8.2.5:

- (a) *Shading coefficient* of the center of glass multiplied by 0.86 shall be an acceptable alternative for determining compliance with the *SHGC* requirements for the overall *fenestration area*. *Shading coefficient* shall be determined using a spectral data file determined in accordance with NFRC 300. *Shading coefficient* shall be verified and certified by the *manufacturer*.
- (b) *SHGC* of the center of glass shall be an acceptable alternative for determining compliance with the *SHGC* requirements for the overall *fenestration area*. *SHGC* shall be determined using a spectral data file determined in accordance with NFRC 300. *SHGC* shall be verified and certified by the *manufacturer*.
- (c) *SHGC* from A8.1 shall be an acceptable alternative for determining compliance with the *SHGC* criteria for *skylights*. Where credit is being taken for a low-emissivity coating, the emissivity of the coating shall be determined in accordance with NFRC 300. Emissivity shall be verified and certified by the *manufacturer*.
- (d) *SHGC* from A8.2 shall be an acceptable alternative for determining compliance with the *SHGC* criteria for *vertical fenestration*.

**5.8.2.6 Visible Light Transmittance.** Visible light transmittance shall be determined in accordance with NFRC 200. Visible light transmittance shall be verified and certified by the *manufacturer*.

TABLE 5.5-1 Building Envelope Requirements For Climate Zone 1 (A,B)

Opaque Elements	Nonresidential		Residential		Semiheated	
	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value
<i>Roofs</i>						
Insulation Entirely above Deck	U-0.063	R-15.0 ci	U-0.063	R-15.0 ci	U-1.282	NR
Metal Building	U-0.065	R-19.0	U-0.065	R-19.0	U-1.280	NR
Attic and Other	U-0.034	R-30.0	U-0.027	R-38.0	U-0.614	NR
<i>Walls, Above-Grade</i>						
Mass	U-0.580	NR	U-0.151 <sup>a</sup>	R-5.7 ci <sup>a</sup>	U-0.580	NR
Metal Building	U-0.113	R-13.0	U-0.113	R-13.0	U-1.180	NR
Steel-Framed	U-0.124	R-13.0	U-0.124	R-13.0	U-0.352	NR
Wood-Framed and Other	U-0.089	R-13.0	U-0.089	R-13.0	U-0.292	NR
<i>Wall, Below-Grade</i>						
Below-Grade Wall	C-1.140	NR	C-1.140	NR	C-1.140	NR
<i>Floors</i>						
Mass	U-0.322	NR	U-0.322	NR	U-0.322	NR
Steel-Joist	U-0.350	NR	U-0.350	NR	U-0.350	NR
Wood-Framed and Other	U-0.282	NR	U-0.282	NR	U-0.282	NR
<i>Slab-On-Grade Floors</i>						
Unheated	F-0.730	NR	F-0.730	NR	F-0.730	NR
Heated	F-1.020	R-7.5 for 12 in.	F-1.020	R-7.5 for 12 in.	F-1.020	R-7.5 for 12 in.
<i>Opaque Doors</i>						
Swinging	U-0.700		U-0.700		U-0.700	
Non-Swinging	U-1.450		U-1.450		U-1.450	
Fenestration	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)
<i>Vertical Glazing,% of Wall</i>						
0-10.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
10.1-20.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
20.1-30.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
30.1-40.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.44</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.44</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
40.1-50.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.19</sup> SHGC <sub>north</sub> <sup>-0.33</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.19</sup> SHGC <sub>north</sub> <sup>-0.33</sup>	U <sub>fixed</sub> <sup>-0.98</sup> U <sub>oper</sub> <sup>-1.02</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
<i>Skylight with Curb, Glass,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-0.36</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-0.16</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight with Curb, Plastic,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-0.34</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-0.27</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-0.27</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-0.27</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight without Curb, All,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-0.36</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>

<sup>a</sup>Exception to A3.1.3.1 applies.

TABLE 5.5-2 Building Envelope Requirements For Climate Zone 2 (A,B)

Opaque Elements	Nonresidential		Residential		Semiheated	
	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value
<i>Roofs</i>						
Insulation Entirely above Deck	U-0.063	R-15.0 ci	U-0.063	R-15.0 ci	U-0.218	R-3.8 ci
Metal Building	U-0.065	R-19.0	U-0.065	R-19.0	U-0.167	R-6.0
Attic and Other	U-0.034	R-30.0	U-0.027	R-38.0	U-0.081	R-13.0
<i>Walls, Above-Grade</i>						
Mass	U-0.580	NR	U-0.151 <sup>a</sup>	R-5.7 ci <sup>a</sup>	U-0.580	NR
Metal Building	U-0.113	R-13.0	U-0.113	R-13.0	U-0.184	R-6.0
Steel-Framed	U-0.124	R-13.0	U-0.124	R-13.0	U-0.352	NR
Wood-Framed and Other	U-0.089	R-13.0	U-0.089	R-13.0	U-0.292	NR
<i>Wall, Below-Grade</i>						
Below-Grade Wall	C-1.140	NR	C-1.140	NR	C-1.140	NR
<i>Floors</i>						
Mass	U-0.137	R-4.2 ci	U-0.107	R-6.3 ci	U-0.322	NR
Steel-Joist	U-0.052	R-19.0	U-0.052	R-19.0	U-0.350	NR
Wood-Framed and Other	U-0.051	R-19.0	U-0.051	R-19.0	U-0.282	NR
<i>Slab-On-Grade Floors</i>						
Unheated	F-0.730	NR	F-0.730	NR	F-0.730	NR
Heated	F-1.020	R-7.5 for 12 in.	F-1.020	R-7.5 for 12 in.	F-1.020	R-7.5 for 12 in.
<i>Opaque Doors</i>						
Swinging	U-0.700		U-0.700		U-0.700	
Non-Swinging	U-1.450		U-1.450		U-1.450	
Fenestration	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)
<i>Vertical Glazing,% of Wall</i>						
0-10.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
10.1-20.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
20.1-30.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
30.1-40.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.25</sup> SHGC <sub>north</sub> <sup>-0.61</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
40.1-50.0%	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.17</sup> SHGC <sub>north</sub> <sup>-0.44</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-0.17</sup> SHGC <sub>north</sub> <sup>-0.43</sup>	U <sub>fixed</sub> <sup>-0.98</sup> U <sub>oper</sub> <sup>-1.02</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
<i>Skylight with Curb, Glass,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-0.36</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight with Curb, Plastic,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-0.27</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-0.34</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-0.27</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight without Curb, All,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-0.36</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>

<sup>a</sup>Exception to A3.1.3.1 applies.

TABLE 5.5-3 Building Envelope Requirements For Climate Zone 3 (A,B,C)

Opaque Elements	Nonresidential		Residential		Semiheated	
	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value
<i>Roofs</i>						
Insulation Entirely above Deck	U-0.063	R-15.0 ci	U-0.063	R-15.0 ci	U-0.218	R-3.8 ci
Metal Building	U-0.065	R-19.0	U-0.065	R-19.0	U-0.097	R-10.0
Attic and Other	U-0.034	R-30.0	U-0.027	R-38.0	U-0.081	R-13.0
<i>Walls, Above-Grade</i>						
Mass	U-0.151 <sup>a,b</sup>	R-5.7 ci <sup>a,b</sup>	U-0.123	R-7.6 ci	U-0.580	NR
Metal Building	U-0.113	R-13.0	U-0.113	R-13.0	U-0.184	R-6.0
Steel-Framed	U-0.124	R-13.0	U-0.084	R-13.0 + R-3.8 ci	U-0.352	NR
Wood-Framed and Other	U-0.089	R-13.0	U-0.089	R-13.0	U-0.089	R-13.0
<i>Wall, Below-Grade</i>						
Below-Grade Wall	C-1.140	NR	C-1.140	NR	C-1.140	NR
<i>Floors</i>						
Mass	U-0.107	R-6.3 ci	U-0.087	R-8.3 ci	U-0.322	NR
Steel-Joist	U-0.052	R-19.0	U-0.052	R-19.0	U-0.069	R-13.0
Wood-Framed and Other	U-0.051	R-19.0	U-0.033	R-30.0	U-0.282	NR
<i>Slab-On-Grade Floors</i>						
Unheated	F-0.730	NR	F-0.730	NR	F-0.730	NR
Heated	F-1.020	R-7.5 for 12 in.	F-1.020	R-7.5 for 12 in.	F-1.020	R-7.5 for 12 in.
<i>Opaque Doors</i>						
Swinging	U-0.700		U-0.700		U-0.700	
Non-Swinging	U-1.450		U-0.500		U-1.450	
<b>Fenestration (for Zones 3A and 3B; see next page for Zone 3C)<sup>c</sup></b>	<b>Assembly Max. U (Fixed/Operable)</b>	<b>Assembly Max. SHGC (All Orientations/ North-Oriented)</b>	<b>Assembly Max. U (Fixed/Operable)</b>	<b>Assembly Max. SHGC (All Orientations/ North-Oriented)</b>	<b>Assembly Max. U (Fixed/Operable)</b>	<b>Assembly Max. SHGC (All Orientations/ North-Oriented)</b>
<i>Vertical Glazing,% of Wall</i>						
0-10.0%	U <sub>fixed</sub> <sup>-0.57</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>fixed</sub> <sup>-0.57</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>fixed</sub> <sup>-1.22</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
	U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>north</sub> <sup>-NR</sup>
10.1-20.0%	U <sub>fixed</sub> <sup>-0.57</sup>	SHGC <sub>all</sub> <sup>-0.25</sup>	U <sub>fixed</sub> <sup>-0.57</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>fixed</sub> <sup>-1.22</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
	U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>north</sub> <sup>-NR</sup>
20.1-30.0%	U <sub>fixed</sub> <sup>-0.57</sup>	SHGC <sub>all</sub> <sup>-0.25</sup>	U <sub>fixed</sub> <sup>-0.57</sup>	SHGC <sub>all</sub> <sup>-0.25</sup>	U <sub>fixed</sub> <sup>-1.22</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
	U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>north</sub> <sup>-0.39</sup>	U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>north</sub> <sup>-0.39</sup>	U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>north</sub> <sup>-NR</sup>
30.1-40.0%	U <sub>fixed</sub> <sup>-0.57</sup>	SHGC <sub>all</sub> <sup>-0.25</sup>	U <sub>fixed</sub> <sup>-0.57</sup>	SHGC <sub>all</sub> <sup>-0.25</sup>	U <sub>fixed</sub> <sup>-1.22</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
	U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>north</sub> <sup>-0.39</sup>	U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>north</sub> <sup>-0.39</sup>	U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>north</sub> <sup>-NR</sup>
40.1-50.0%	U <sub>fixed</sub> <sup>-0.46</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>fixed</sub> <sup>-0.46</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>fixed</sub> <sup>-0.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
	U <sub>oper</sub> <sup>-0.47</sup>	SHGC <sub>north</sub> <sup>-0.26</sup>	U <sub>oper</sub> <sup>-0.47</sup>	SHGC <sub>north</sub> <sup>-0.26</sup>	U <sub>oper</sub> <sup>-1.02</sup>	SHGC <sub>north</sub> <sup>-NR</sup>
<i>Skylight with Curb, Glass,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.36</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight with Curb, Plastic,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.30</sup>	SHGC <sub>all</sub> <sup>-0.65</sup>	U <sub>all</sub> <sup>-1.30</sup>	SHGC <sub>all</sub> <sup>-0.27</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.30</sup>	SHGC <sub>all</sub> <sup>-0.34</sup>	U <sub>all</sub> <sup>-1.30</sup>	SHGC <sub>all</sub> <sup>-0.27</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight without Curb, All,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.36</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.19</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>

<sup>a</sup>Exception to A3.1.3.1 applies.

<sup>b</sup>Insulation is not required for non-residential mass walls in Climate Zone 3A located below the “Warm-Humid” line, and in Zone 3B.

<sup>c</sup>Fenestration requirements for Climate Zone 3C are listed in Table 5.5-3a.

TABLE 5.5-3 (continued) Building Envelope Requirements For Climate Zone 3 (A,B,C)

Fenestration (for Zone 3C)	Nonresidential		Residential		Semiheated	
	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)
<i>Vertical Glazing,% of Wall</i> 0-10.0%	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.61	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.61	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.82	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.82	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -NR
10.1-20.0%	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.61	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.61	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.61	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -NR
20.1-30.0%	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.61	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.61	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -NR
30.1-40.0%	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.34	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.34	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.61	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.61	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -NR
40.1-50.0%	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -0.20	U <sub>fixed</sub> -0.73	SHGC <sub>all</sub> -0.25	U <sub>fixed</sub> -0.98	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -0.30	U <sub>oper</sub> -0.81	SHGC <sub>north</sub> -0.61	U <sub>oper</sub> -1.02	SHGC <sub>north</sub> -NR
<i>Skylight with Curb, Glass,% of Roof</i> 0-2.0%	U <sub>all</sub> -1.98	SHGC <sub>all</sub> -0.61	U <sub>all</sub> -1.98	SHGC <sub>all</sub> -0.39	U <sub>all</sub> -1.98	SHGC <sub>all</sub> -NR
	U <sub>all</sub> -1.98	SHGC <sub>all</sub> -0.39	U <sub>all</sub> -1.98	SHGC <sub>all</sub> -0.19	U <sub>all</sub> -1.98	SHGC <sub>all</sub> -NR
<i>Skylight with Curb, Plastic,% of Roof</i> 0-2.0%	U <sub>all</sub> -1.90	SHGC <sub>all</sub> -0.65	U <sub>all</sub> -1.90	SHGC <sub>all</sub> -0.65	U <sub>all</sub> -1.90	SHGC <sub>all</sub> -NR
	U <sub>all</sub> -1.90	SHGC <sub>all</sub> -0.39	U <sub>all</sub> -1.90	SHGC <sub>all</sub> -0.34	U <sub>all</sub> -1.90	SHGC <sub>all</sub> -NR
<i>Skylight without Curb, All,% of Roof</i> 0-2.0%	U <sub>all</sub> -1.36	SHGC <sub>all</sub> -0.61	U <sub>all</sub> -1.36	SHGC <sub>all</sub> -0.39	U <sub>all</sub> -1.36	SHGC <sub>all</sub> -NR
	U <sub>all</sub> -1.36	SHGC <sub>all</sub> -0.39	U <sub>all</sub> -1.36	SHGC <sub>all</sub> -0.19	U <sub>all</sub> -1.36	SHGC <sub>all</sub> -NR

TABLE 5.5-4 Building Envelope Requirements For Climate Zone 4 (A,B,C)

Opaque Elements	Nonresidential		Residential		Semiheated	
	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value
<i>Roofs</i>						
Insulation Entirely above Deck	U-0.063	R-15.0 ci	U-0.063	R-15.0 ci	U-0.218	R-3.8 ci
Metal Building	U-0.065	R-19.0	U-0.065	R-19.0	U-0.097	R-10.0
Attic and Other	U-0.034	R-30.0	U-0.027	R-38.0	U-0.081	R-13.0
<i>Walls, Above-Grade</i>						
Mass	U-0.151 <sup>a</sup>	R-5.7 ci <sup>a</sup>	U-0.104	R-9.5 ci	U-0.580	NR
Metal Building	U-0.113	R-13.0	U-0.113	R-13.0	U-0.134	R-10.0
Steel-Framed	U-0.124	R-13.0	U-0.064	R-13.0 + R-7.5 ci	U-0.124	R-13.0
Wood-Framed and Other	U-0.089	R-13.0	U-0.089	R-13.0	U-0.089	R-13.0
<i>Wall, Below-Grade</i>						
Below-Grade Wall	C-1.140	NR	C-1.140	NR	C-1.140	NR
<i>Floors</i>						
Mass	U-0.107	R-6.3 ci	U-0.087	R-8.3 ci	U-0.322	NR
Steel-Joist	U-0.052	R-19.0	U-0.038	R-30.0	U-0.069	R-13.0
Wood-Framed and Other	U-0.051	R-19.0	U-0.033	R-30.0	U-0.066	R-13.0
<i>Slab-On-Grade Floors</i>						
Unheated	F-0.730	NR	F-0.730	NR	F-0.730	NR
Heated	F-0.950	R-7.5 for 24 in.	F-0.840	R-10 for 36 in.	F-1.020	R-7.5 for 12 in.
<i>Opaque Doors</i>						
Swinging	U-0.700		U-0.700		U-0.700	
Non-Swinging	U-1.450		U-0.500		U-1.450	
Fenestration	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)
<i>Vertical Glazing,% of Wall</i>						
0-10.0%	U <sub>fixed</sub> -0.57	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -0.57	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -0.67	SHGC <sub>north</sub> -0.49	U <sub>oper</sub> -0.67	SHGC <sub>north</sub> -0.49	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -NR
10.1-20.0%	U <sub>fixed</sub> -0.57	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -0.57	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -0.67	SHGC <sub>north</sub> -0.49	U <sub>oper</sub> -0.67	SHGC <sub>north</sub> -0.49	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -NR
20.1-30.0%	U <sub>fixed</sub> -0.57	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -0.57	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -0.67	SHGC <sub>north</sub> -0.49	U <sub>oper</sub> -0.67	SHGC <sub>north</sub> -0.49	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -NR
30.1-40.0%	U <sub>fixed</sub> -0.57	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -0.57	SHGC <sub>all</sub> -0.39	U <sub>fixed</sub> -1.22	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -0.67	SHGC <sub>north</sub> -0.49	U <sub>oper</sub> -0.67	SHGC <sub>north</sub> -0.49	U <sub>oper</sub> -1.27	SHGC <sub>north</sub> -NR
40.1-50.0%	U <sub>fixed</sub> -0.46	SHGC <sub>all</sub> -0.25	U <sub>fixed</sub> -0.46	SHGC <sub>all</sub> -0.25	U <sub>fixed</sub> -0.98	SHGC <sub>all</sub> -NR
	U <sub>oper</sub> -0.47	SHGC <sub>north</sub> -0.36	U <sub>oper</sub> -0.47	SHGC <sub>north</sub> -0.36	U <sub>oper</sub> -1.02	SHGC <sub>north</sub> -NR
<i>Skylight with Curb, Glass,% of Roof</i>						
0-2.0%	U <sub>all</sub> -1.17	SHGC <sub>all</sub> -0.49	U <sub>all</sub> -0.98	SHGC <sub>all</sub> -0.36	U <sub>all</sub> -1.98	SHGC <sub>all</sub> -NR
2.1-5.0%	U <sub>all</sub> -1.17	SHGC <sub>all</sub> -0.39	U <sub>all</sub> -0.98	SHGC <sub>all</sub> -0.19	U <sub>all</sub> -1.98	SHGC <sub>all</sub> -NR
<i>Skylight with Curb, Plastic,% of Roof</i>						
0-2.0%	U <sub>all</sub> -1.30	SHGC <sub>all</sub> -0.65	U <sub>all</sub> -1.30	SHGC <sub>all</sub> -0.62	U <sub>all</sub> -1.90	SHGC <sub>all</sub> -NR
2.1-5.0%	U <sub>all</sub> -1.30	SHGC <sub>all</sub> -0.34	U <sub>all</sub> -1.30	SHGC <sub>all</sub> -0.27	U <sub>all</sub> -1.90	SHGC <sub>all</sub> -NR
<i>Skylight without Curb, All,% of Roof</i>						
0-2.0%	U <sub>all</sub> -0.69	SHGC <sub>all</sub> -0.49	U <sub>all</sub> -0.58	SHGC <sub>all</sub> -0.36	U <sub>all</sub> -1.36	SHGC <sub>all</sub> -NR
2.1-5.0%	U <sub>all</sub> -0.69	SHGC <sub>all</sub> -0.39	U <sub>all</sub> -0.58	SHGC <sub>all</sub> -0.19	U <sub>all</sub> -1.36	SHGC <sub>all</sub> -NR

<sup>a</sup>Exception to A3.1.3.1 applies.



TABLE 5.5-5 Building Envelope Requirements For Climate Zone 5 (A,B,C)

Opaque Elements	Nonresidential		Residential		Semiheated	
	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value
<i>Roofs</i>						
Insulation Entirely above Deck	U-0.063	R-15.0 ci	U-0.063	R-15.0 ci	U-0.173	R-5.0 ci
Metal Building	U-0.065	R-19.0	U-0.065	R-19.0	U-0.097	R-10.0
Attic and Other	U-0.034	R-30.0	U-0.027	R-38.0	U-0.053	R-19.0
<i>Walls, Above-Grade</i>						
Mass	U-0.123	R-7.6 ci	U-0.090	R-11.4 ci	U-0.580	NR
Metal Building	U-0.113	R-13.0	U-0.057	R-13.0 + R-13.0	U-0.123	R-11.0
Steel-Framed	U-0.084	R-13.0 + R-3.8 ci	U-0.064	R-13.0 + R-7.5 ci	U-0.124	R-13.0
Wood-Framed and Other	U-0.089	R-13.0	U-0.089	R-13.0	U-0.089	R-13.0
<i>Wall, Below-Grade</i>						
Below-Grade Wall	C-1.140	NR	C-1.140	NR	C-1.140	NR
<i>Floors</i>						
Mass	U-0.087	R-8.3 ci	U-0.074	R-10.4 ci	U-0.322	NR
Steel-Joist	U-0.052	R-19.0	U-0.038	R-30.0	U-0.069	R-13.0
Wood-Framed and Other	U-0.033	R-30.0	U-0.033	R-30.0	U-0.066	R-13.0
<i>Slab-On-Grade Floors</i>						
Unheated	F-0.730	NR	F-0.730	NR	F-0.730	NR
Heated	F-0.840	R-10 for 36 in.	F-0.840	R-10 for 36 in.	F-1.020	R-7.5 for 12 in.
<i>Opaque Doors</i>						
Swinging	U-0.700		U-0.700		U-0.700	
Non-Swinging	U-1.450		U-0.500		U-1.450	
Fenestration	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)
<i>Vertical Glazing,% of Wall</i>						
0-10.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
10.1-20.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
20.1-30.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
30.1-40.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
40.1-50.0%	U <sub>fixed</sub> <sup>-0.46</sup> U <sub>oper</sub> <sup>-0.47</sup>	SHGC <sub>all</sub> <sup>-0.26</sup> SHGC <sub>north</sub> <sup>-0.36</sup>	U <sub>fixed</sub> <sup>-0.46</sup> U <sub>oper</sub> <sup>-0.47</sup>	SHGC <sub>all</sub> <sup>-0.26</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.98</sup> U <sub>oper</sub> <sup>-1.02</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
<i>Skylight with Curb, Glass,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight with Curb, Plastic,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.10</sup>	SHGC <sub>all</sub> <sup>-0.77</sup>	U <sub>all</sub> <sup>-1.10</sup>	SHGC <sub>all</sub> <sup>-0.77</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.10</sup>	SHGC <sub>all</sub> <sup>-0.62</sup>	U <sub>all</sub> <sup>-1.10</sup>	SHGC <sub>all</sub> <sup>-0.62</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight without Curb, All,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>

TABLE 5.5-6 Building Envelope Requirements For Climate Zone 6 (A,B)

Opaque Elements	Nonresidential		Residential		Semiheated	
	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value
<i>Roofs</i>						
Insulation Entirely above Deck	U-0.063	R-15.0 ci	U-0.063	R-15.0 ci	U-0.173	R-5.0 ci
Metal Building	U-0.065	R-19.0	U-0.065	R-19.0	U-0.097	R-10.0
Attic and Other	U-0.027	R-38.0	U-0.027	R-38.0	U-0.053	R-19.0
<i>Walls, Above-Grade</i>						
Mass	U-0.104	R-9.5 ci	U-0.090	R-11.4 ci	U-0.580	NR
Metal Building	U-0.113	R-13.0	U-0.057	R-13.0 + R-13.0	U-0.113	R-13.0
Steel-Framed	U-0.084	R-13.0 + R-3.8 ci	U-0.064	R-13.0 + R-7.5 ci	U-0.124	R-13.0
Wood-Framed and Other	U-0.089	R-13.0	U-0.064	R-13.0 + R-3.8 ci	U-0.089	R-13.0
<i>Wall, Below-Grade</i>						
Below-Grade Wall	C-1.140	NR	C-0.119	R-7.5 ci	C-1.140	NR
<i>Floors</i>						
Mass	U-0.087	R-8.3 ci	U-0.064	R-12.5 ci	U-0.322	NR
Steel-Joist	U-0.038	R-30.0	U-0.038	R-30.0	U-0.069	R-13.0
Wood-Framed and Other	U-0.033	R-30.0	U-0.033	R-30.0	U-0.066	R-13.0
<i>Slab-On-Grade Floors</i>						
Unheated	F-0.730	NR	F-0.730	NR	F-0.730	NR
Heated	F-0.840	R-10 for 36 in.	F-0.780	R-10 for 48 in.	F-1.020	R-7.5 for 12 in.
<i>Opaque Doors</i>						
Swinging	U-0.700		U-0.500		U-0.700	
Non-Swinging	U-0.500		U-0.500		U-1.450	
Fenestration	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)
<i>Vertical Glazing,% of Wall</i>						
0-10.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
10.1-20.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
20.1-30.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
30.1-40.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.39</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
40.1-50.0%	U <sub>fixed</sub> <sup>-0.46</sup> U <sub>oper</sub> <sup>-0.47</sup>	SHGC <sub>all</sub> <sup>-0.26</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.46</sup> U <sub>oper</sub> <sup>-0.47</sup>	SHGC <sub>all</sub> <sup>-0.26</sup> SHGC <sub>north</sub> <sup>-0.49</sup>	U <sub>fixed</sub> <sup>-0.98</sup> U <sub>oper</sub> <sup>-1.02</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
<i>Skylight with Curb, Glass,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-0.98</sup>	SHGC <sub>all</sub> <sup>-0.46</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-0.98</sup>	SHGC <sub>all</sub> <sup>-0.36</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight with Curb, Plastic,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-0.87</sup>	SHGC <sub>all</sub> <sup>-0.71</sup>	U <sub>all</sub> <sup>-0.74</sup>	SHGC <sub>all</sub> <sup>-0.65</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-0.87</sup>	SHGC <sub>all</sub> <sup>-0.58</sup>	U <sub>all</sub> <sup>-0.74</sup>	SHGC <sub>all</sub> <sup>-0.55</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight without Curb, All,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-0.58</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.49</sup>	U <sub>all</sub> <sup>-0.58</sup>	SHGC <sub>all</sub> <sup>-0.39</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>

TABLE 5.5-7 Building Envelope Requirements For Climate Zone 7

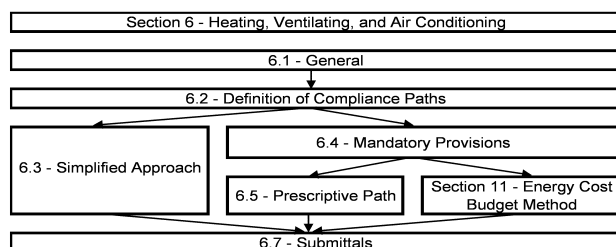
Opaque Elements	Nonresidential		Residential		Semiheated	
	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value
<i>Roofs</i>						
Insulation Entirely above Deck	U-0.063	R-15.0 ci	U-0.063	R-15.0 ci	U-0.173	R-5.0 ci
Metal Building	U-0.065	R-19.0	U-0.065	R-19.0	U-0.097	R-10.0
Attic and Other	U-0.027	R-38.0	U-0.027	R-38.0	U-0.053	R-19.0
<i>Walls, Above-Grade</i>						
Mass	U-0.090	R-11.4 ci	U-0.080	R-13.3 ci	U-0.580	NR
Metal Building	U-0.057	R-13.0 + R-13.0	U-0.057	R-13.0 + R-13.0	U-0.113	R-13.0
Steel-Framed	U-0.064	R-13.0 + R-7.5 ci	U-0.064	R-13.0 + R-7.5 ci	U-0.124	R-13.0
Wood-Framed and Other	U-0.089	R-13.0	U-0.051	R-13.0 + R-7.5 ci	U-0.089	R-13.0
<i>Wall, Below-Grade</i>						
Below-Grade Wall	C-0.119	R-7.5 ci	C-0.119	R-7.5 ci	C-1.140	NR
<i>Floors</i>						
Mass	U-0.087	R-8.3 ci	U-0.064	R-12.5 ci	U-0.137	R-4.2 ci
Steel-Joist	U-0.038	R-30.0	U-0.038	R-30.0	U-0.052	R-19.0
Wood-Framed and Other	U-0.033	R-30.0	U-0.033	R-30.0	U-0.066	R-13.0
<i>Slab-On-Grade Floors</i>						
Unheated	F-0.730	NR	F-0.540	R-10 for 24 in.	F-0.730	NR
Heated	F-0.840	R-10 for 36 in.	F-0.780	R-10 for 48 in.	F-1.020	R-7.5 for 12 in.
<i>Opaque Doors</i>						
Swinging	U-0.700		U-0.500		U-0.700	
Non-Swinging	U-0.500		U-0.500		U-1.450	
Fenestration	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)	Assembly Max. U (Fixed/ Operable)	Assembly Max. SHGC (All Orientations/ North-Oriented)
<i>Vertical Glazing,% of Wall</i>						
0-10.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
10.1-20.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
20.1-30.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
30.1-40.0%	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-0.57</sup> U <sub>oper</sub> <sup>-0.67</sup>	SHGC <sub>all</sub> <sup>-0.49</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-1.22</sup> U <sub>oper</sub> <sup>-1.27</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
40.1-50.0%	U <sub>fixed</sub> <sup>-0.46</sup> U <sub>oper</sub> <sup>-0.47</sup>	SHGC <sub>all</sub> <sup>-0.36</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-0.46</sup> U <sub>oper</sub> <sup>-0.47</sup>	SHGC <sub>all</sub> <sup>-0.36</sup> SHGC <sub>north</sub> <sup>-0.64</sup>	U <sub>fixed</sub> <sup>-0.98</sup> U <sub>oper</sub> <sup>-1.02</sup>	SHGC <sub>all</sub> <sup>-NR</sup> SHGC <sub>north</sub> <sup>-NR</sup>
<i>Skylight with Curb, Glass,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.68</sup>	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.64</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.64</sup>	U <sub>all</sub> <sup>-1.17</sup>	SHGC <sub>all</sub> <sup>-0.64</sup>	U <sub>all</sub> <sup>-1.98</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight with Curb, Plastic,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-0.87</sup>	SHGC <sub>all</sub> <sup>-0.77</sup>	U <sub>all</sub> <sup>-0.61</sup>	SHGC <sub>all</sub> <sup>-0.77</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-0.87</sup>	SHGC <sub>all</sub> <sup>-0.71</sup>	U <sub>all</sub> <sup>-0.61</sup>	SHGC <sub>all</sub> <sup>-0.77</sup>	U <sub>all</sub> <sup>-1.90</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
<i>Skylight without Curb, All,% of Roof</i>						
0-2.0%	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.68</sup>	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.64</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>
2.1-5.0%	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.64</sup>	U <sub>all</sub> <sup>-0.69</sup>	SHGC <sub>all</sub> <sup>-0.64</sup>	U <sub>all</sub> <sup>-1.36</sup>	SHGC <sub>all</sub> <sup>-NR</sup>

TABLE 5.5-8 Building Envelope Requirements For Climate Zone 8

Opaque Elements	Nonresidential		Residential		Semiheated	
	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value	Assembly Maximum	Insulation Min. R-Value
<i>Roofs</i>						
Insulation Entirely above Deck	U-0.048	R-20.0 ci	U-0.048	R-20.0 ci	U-0.093	R-10.0 ci
Metal Building	U-0.049	R-13.0 + R-19.0	U-0.049	R-13.0 + R-19.0	U-0.072	R-16.0
Attic and Other	U-0.027	R-38.0	U-0.027	R-38.0	U-0.034	R-30.0
<i>Walls, Above-Grade</i>						
Mass	U-0.080	R-13.3 ci	U-0.071	R-15.2 ci	U-0.151 <sup>a</sup>	R-5.7 ci <sup>a</sup>
Metal Building	U-0.057	R-13.0 + R-13.0	U-0.057	R-13.0 + R-13.0	U-0.113	R-13.0
Steel-Framed	U-0.064	R-13.0 + R-7.5 ci	U-0.055	R-13.0 + R-10.0 ci	U-0.124	R-13.0
Wood-Framed and Other	U-0.051	R-13.0 + R-7.5 ci	U-0.051	R-13.0 + R-7.5 ci	U-0.089	R-13.0
<i>Wall, Below-Grade</i>						
Below-Grade Wall	C-0.119	R-7.5 ci	C-0.119	R-7.5 ci	C-1.140	NR
<i>Floors</i>						
Mass	U-0.064	R-12.5 ci	U-0.057	R-14.6 ci	U-0.137	R-4.2 ci
Steel-Joist	U-0.038	R-30.0	U-0.032	R-38.0	U-0.052	R-19.0
Wood-Framed and Other	U-0.033	R-30.0	U-0.033	R-30.0	U-0.051	R-19.0
<i>Slab-On-Grade Floors</i>						
Unheated	F-0.540	R-10 for 24 in.	F-0.520	R-15 for 24 in.	F-0.730	NR
Heated	F-0.780	R-10 for 48 in.	F-0.780	R-10 for 48 in.	F-0.950	R-7.5 for 24 in.
<i>Opaque Doors</i>						
Swinging	U-0.500		U-0.500		U-0.700	
Non-Swinging	U-0.500		U-0.500		U-1.450	
Fenestration	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/North-Oriented)	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/North-Oriented)	Assembly Max. U (Fixed/Operable)	Assembly Max. SHGC (All Orientations/North-Oriented)
<i>Vertical Glazing,% of Wall</i>						
0-10.0%	U <sub>fixed</sub> -0.46 U <sub>oper</sub> -0.47	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -0.46 U <sub>oper</sub> -0.47	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -1.22 U <sub>oper</sub> -1.27	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR
10.1-20.0%	U <sub>fixed</sub> -0.46 U <sub>oper</sub> -0.47	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -0.46 U <sub>oper</sub> -0.47	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -1.22 U <sub>oper</sub> -1.27	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR
20.1-30.0%	U <sub>fixed</sub> -0.46 U <sub>oper</sub> -0.47	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -0.46 U <sub>oper</sub> -0.47	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -1.22 U <sub>oper</sub> -1.27	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR
30.1-40.0%	U <sub>fixed</sub> -0.46 U <sub>oper</sub> -0.47	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -0.46 U <sub>oper</sub> -0.47	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -1.22 U <sub>oper</sub> -1.27	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR
40.1-50.0%	U <sub>fixed</sub> -0.35 U <sub>oper</sub> -0.39	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -0.35 U <sub>oper</sub> -0.39	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR	U <sub>fixed</sub> -0.98 U <sub>oper</sub> -1.02	SHGC <sub>all</sub> -NR SHGC <sub>north</sub> -NR
<i>Skylight with Curb, Glass,% of Roof</i>						
0-2.0%	U <sub>all</sub> -0.98	SHGC <sub>all</sub> -NR	U <sub>all</sub> -0.98	SHGC <sub>all</sub> -NR	U <sub>all</sub> -1.30	SHGC <sub>all</sub> -NR
2.1-5.0%	U <sub>all</sub> -0.98	SHGC <sub>all</sub> -NR	U <sub>all</sub> -0.98	SHGC <sub>all</sub> -NR	U <sub>all</sub> -1.30	SHGC <sub>all</sub> -NR
<i>Skylight with Curb, Plastic,% of Roof</i>						
0-2.0%	U <sub>all</sub> -0.61	SHGC <sub>all</sub> -NR	U <sub>all</sub> -0.61	SHGC <sub>all</sub> -NR	U <sub>all</sub> -1.10	SHGC <sub>all</sub> -NR
2.1-5.0%	U <sub>all</sub> -0.61	SHGC <sub>all</sub> -NR	U <sub>all</sub> -0.61	SHGC <sub>all</sub> -NR	U <sub>all</sub> -1.10	SHGC <sub>all</sub> -NR
<i>Skylight without Curb, All,% of Roof</i>						
0-2.0%	U <sub>all</sub> -0.58	SHGC <sub>all</sub> -NR	U <sub>all</sub> -0.58	SHGC <sub>all</sub> -NR	U <sub>all</sub> -0.81	SHGC <sub>all</sub> -NR
2.1-5.0%	U <sub>all</sub> -0.58	SHGC <sub>all</sub> -NR	U <sub>all</sub> -0.58	SHGC <sub>all</sub> -NR	U <sub>all</sub> -0.81	SHGC <sub>all</sub> -NR

<sup>a</sup>Exception 5.3.1.2a applies.

## 6. HEATING, VENTILATING, AND AIR CONDITIONING



### 6.1 General

#### 6.1.1 Scope

**6.1.1.1 New Buildings:** Mechanical equipment and systems serving the heating, cooling, or ventilating needs of new buildings shall comply with the requirements of this section as described in 6.2.

**6.1.1.2 Additions to Existing Buildings:** Mechanical equipment and systems serving the heating, cooling, or ventilating needs of *additions to existing buildings* shall comply with the requirements of this section as described in 6.2.

**Exception to 6.1.1.2:** When HVAC to an *addition* is provided by existing *HVAC systems* and equipment, such existing *systems* and *equipment* shall not be required to comply with this standard. However, any new *systems* or *equipment* installed must comply with specific requirements applicable to those *systems* and *equipment*.

#### 6.1.1.3 Alterations to Heating, Ventilating, and Air Conditioning in Existing Building.

**6.1.1.3.1** New HVAC equipment as a direct replacement of existing HVAC equipment shall comply with the specific minimum *efficiency* requirements applicable to that equipment.

**6.1.1.3.2** New cooling systems installed to serve previously uncooled spaces shall comply with this section as described in 6.2.

**6.1.1.3.3** *Alterations* to existing cooling systems shall not decrease economizer capability unless the system complies with 6.5.1.

**6.1.1.3.4** New and replacement ductwork shall comply with 6.4.4.1 and 6.4.4.2.

**6.1.1.3.5** New and replacement piping shall comply with 6.4.4.1.

#### Exceptions to 6.1.1.3: Compliance shall not be required:

- (a) for *equipment* that is being modified or repaired but not replaced, provided that such modifications and/or repairs will not result in an increase in the annual energy consumption of the equipment using the same energy type, or

- (b) where a replacement or *alteration* of *equipment* requires extensive revisions to other *systems*, *equipment*, or elements of a *building*, and such replaced or altered equipment is a like-for-like replacement, or
- (c) for a refrigerant change of existing *equipment*, or
- (d) for the relocation of existing *equipment*, or
- (e) for ducts and pipes where there is insufficient space or access to meet these requirements.

### 6.2 Compliance Path(s)

**6.2.1** Compliance with Section 6 shall be achieved by meeting all requirements for 6.1, General; 6.7, Submittals, 6.8, Minimum Equipment Efficiency; and either

- (a) 6.3, Simplified Approach Option for HVAC Systems; or
- (b) 6.4, Mandatory Provisions; and 6.5, Prescriptive Path.

**6.2.2** Projects using the Energy Cost Budget Method (Section 11 of this standard), must comply with 6.4, the mandatory provisions of this section, as a portion of that compliance path.

### 6.3 Simplified Approach Option for HVAC Systems

**6.3.1 Scope:** The simplified approach is an optional path for compliance when the following conditions are met:

- (a) building is two stories or less in height,
- (b) *gross floor area* is less than 25,000 square feet, and
- (c) each HVAC *system* in the building complies with the requirements listed in 6.3.2

**6.3.2 Criteria:** HVAC *system* must meet ALL of the following criteria:

- (a) The *system* serves a single *HVAC zone*.
- (b) Cooling (if any) shall be provided by a unitary packaged or split-system air conditioner that is either air-cooled or evaporatively cooled with *efficiency* meeting the requirements shown in Table 6.8.1A (air conditioners), Table 6.8.1B (heat pumps), or Table 6.8.1D (packaged terminal and room air conditioners and heat pumps) for the applicable equipment category.
- (c) The *system* shall have an air economizer where indicated in Table 6.5.1, with controls as indicated in Tables 6.5.1.1.3A and 6.5.1.1.3B and with either barometric or powered relief sized to prevent overpressurization of the building. Where the cooling *efficiency* meets or exceeds the *efficiency* requirement in Table 6.3.2, no economizer is required. *Outdoor air* dampers for economizer use shall be provided with blade and jamb seals.
- (d) Heating (if any) shall be provided by a unitary packaged or split-system heat pump that meets the applicable *efficiency* requirements shown in Table 6.8.1B (heat pumps) or Table 6.8.1D (packaged terminal and room air conditioners and heat pumps), a fuel-fired furnace that meets the applicable *efficiency* requirements shown in Table 6.8.1E (furnaces, duct furnaces, and unit heaters), an electric resistance heater, or a baseboard system connected to a boiler that meets the applicable *efficiency* requirements shown in Table 6.8.1F (boilers).
- (e) The *outdoor air* quantity supplied by the system shall be less than or equal to 3000 cfm and less than 70% of the supply air quantity at minimum *outdoor air* design conditions unless an energy recovery ventilation system is provided in accordance with the requirements in 6.5.6.

**TABLE 6.3.2 Eliminate Required Economizer by Increasing Cooling Efficiency**

Unitary Systems with Heat Pump Heating						
System Size	Mandatory	Climate Zones				Test Procedure <sup>c</sup>
(kBtu/h)	Minimum EER <sup>a</sup>	5 to 8	4	3	2	
Minimum Cooling Efficiency Required (EER) <sup>a</sup>						
≥65 and <135	10.1	N/A <sup>b</sup>	12.1	11.6	11.1	
≥135 and ≤240	9.3	N/A <sup>b</sup>	11.3	10.8	10.4	ARI 210/240
>240 and < 760	9.0	N/A <sup>b</sup>	10.9	10.5	10.0	ARI 340/360

Other Unitary Systems						
System Size	Mandatory	Climate Zones				Test Procedure <sup>c</sup>
(kBtu/h)	Minimum EER	5 to 8	4	3	2	
Minimum Cooling Efficiency Required (EER) <sup>a</sup>						
≥65 and <135	10.3	N/A <sup>b</sup>	12.5	12.0	11.5	
≥135 and ≤240	9.7	N/A <sup>b</sup>	11.5	11.1	10.6	ARI 210/240
>240 and < 760	9.5	N/A <sup>b</sup>	11.2	10.7	10.3	ARI 340/360

<sup>a</sup> Each EER shown below should be reduced by 0.2 for units with a heating section other than electric resistance heat.

<sup>b</sup> Elimination of required economizer is not allowed.

<sup>c</sup> Section 12 contains complete specification of the referenced test procedure, including the referenced year version of the test procedure

- (f) The *system* shall be controlled by a manual changeover or dual setpoint thermostat.
- (g) If a heat pump equipped with auxiliary internal electric resistance heaters is installed, controls shall be provided that prevent supplemental heater operation when the heating load can be met by the heat pump alone during both steady-state operation and setback recovery. Supplemental heater operation is permitted during outdoor coil defrost cycles. Two means of meeting this requirement are (1) a digital or electronic thermostat designed for heat pump use that energizes auxiliary heat only when the heat pump has insufficient capacity to maintain setpoint or to warm up the space at a sufficient rate or (2) a multi-stage space thermostat and an outdoor air thermostat wired to energize auxiliary heat only on the last stage of the space thermostat and when outside air temperature is less than 40°F. Heat pumps whose minimum efficiency is regulated by NAECA and whose HSPF rating both meets the requirements shown in Table 6.8.1B and includes all usage of internal electric resistance heating are exempted from the control requirements of this part (6.3.2g).
- (h) The *system* controls shall not permit reheat or any other form of simultaneous heating and cooling for humidity control.
- (i) *Systems* serving spaces other than hotel/motel guest rooms, and other than those requiring continuous operation, which have both a cooling or heating capacity greater than 15,000 Btu/h and a supply fan motor power greater than 3/4 hp, shall be provided with a time clock that (1) can start and stop the system under different schedules for seven different day-types per week, (2) is capable of retaining programming and time setting during a loss of power for a period of at least 10 hours, (3) includes an accessible manual override that allows temporary operation of the system for up to two hours, (4) is capable of temperature setback down to 55°F during off hours, and (5) is capable of temperature setup to 90°F during off hours.
- (j) Except for piping within *manufacturer's* units, HVAC piping shall be insulated in accordance with Table 6.8.3. Insulation exposed to weather shall be suitable for outdoor service, e.g., protected by aluminum, sheet metal, painted canvas, or plastic cover. Cellular foam insulation shall be protected as above or painted with a coating that is water retardant and provides shielding from solar radiation.
- (k) Ductwork and plenums shall be insulated in accordance with Tables 6.8.2A and 6.8.2B and shall be sealed in accordance with Table 6.4.4.2A.
- (l) Construction documents shall require a ducted *system* to be air balanced in accordance with industry accepted procedures.
- (m) Where separate heating and cooling equipment serves the same temperature zone, thermostats shall be interlocked to prevent simultaneous heating and cooling.
- (n) Exhausts with a design capacity of over 300 cfm on *systems* that do not operate continuously shall be equipped with gravity or motorized dampers that will automatically shut when the *systems* are not in use.
- (o) *Systems* with a design supply air capacity greater than 10,000 cfm shall have *optimum start controls*.

## 6.4 Mandatory Provisions

### 6.4.1 Equipment Efficiencies, Verification, and Labeling Requirements

#### 6.4.1.1 Minimum Equipment Efficiencies – Listed Equipment – Standard Rating and Operating Conditions.

Equipment shown in Tables 6.8.1A through 6.8.1G shall have a minimum performance at the specified rating conditions when tested in accordance with the specified test procedure. Where multiple rating conditions or performance requirements are provided, the equipment shall satisfy all stated requirements, unless otherwise exempted by footnotes in the table. Equipment covered under the Federal Energy Policy Act of 1992 (EPACT) shall have no minimum *efficiency* requirements for operation at minimum capacity or other than standard rating conditions. Equipment used to provide water heating functions as part of a combination system shall satisfy all stated requirements for the appropriate space heating or cooling category.

Tables are as follows:

- (a) Table 6.8.1A - Air Conditioners and Condensing Units
- (b) Table 6.8.1B - Heat Pumps
- (c) Table 6.8.1C - Water Chilling Packages (see 6.4.1.2 for water-cooled centrifugal water-chilling packages that are designed to operate at nonstandard conditions)
- (d) Table 6.8.1D - Packaged Terminal and Room Air Conditioners and Heat Pumps
- (e) Table 6.8.1E - Furnaces, Duct Furnaces, and Unit Heaters
- (f) Table 6.8.1F - Boilers
- (g) Table 6.8.1G - Heat Rejection Equipment

All furnaces with input ratings of 225,000 Btu/h, including electric furnaces, that are not located within the conditioned space shall have jacket losses not exceeding 0.75% of the input rating.

**6.4.1.2 Minimum Equipment Efficiencies – Listed Equipment – Nonstandard Conditions:** Water-cooled centrifugal water-chilling packages that are not designed for operation at ARI Standard 550/590 test conditions (and thus cannot be tested to meet the requirements of Table 6.8.1C) of 44°F leaving chilled water temperature and 85°F entering condenser water temperature with 3 gpm/ton condenser water flow shall have a minimum full-load COP and a minimum *NPLV* rating as shown in tables referenced below. The table values are only applicable over the following full-load design ranges:

- (a) Centrifugal chillers <150 tons shall meet the minimum full-load COP and IPLV/NPLV in Table 6.8.1H.
- (b) Centrifugal chillers ≥150 tons and <300 tons shall meet the minimum full-load COP and IPLV/NPLV in Table 6.8.1I.
- (c) Centrifugal chillers ≥300 tons shall meet the minimum full-load COP and IPLV/NPLV in Table 6.8.1J.

Leaving Chiller Water Temperature:  
40°F to 48°F

Entering Condenser Water Temperature:  
75°F to 85°F

Condensing Water Temperature Rise:  
5°F to 15°F

Chillers designed to operate outside of these ranges or applications utilizing fluids or solutions with secondary coolants (e.g., glycol solutions or brines) with a freeze point of 27°F or less for freeze protection are not covered by this standard.

**6.4.1.3 Equipment Not Listed.** Equipment not listed in the tables referenced in 6.4.1.1 and 6.4.1.2 may be used.

**6.4.1.4 Verification of Equipment Efficiencies.** Equipment *efficiency* information supplied by *manufacturers* shall be verified as follows:

- (a) Equipment covered under the Federal Energy Policy Act of 1992 (EPACT) shall comply with U.S. Department of Energy certification requirements.
- (b) If a certification program exists for a covered product, and it includes provisions for verification and challenge of equipment *efficiency* ratings, then the product shall be listed in the certification program, or,
- (c) if a certification program exists for a covered product, and it includes provisions for verification and challenge of equipment *efficiency* ratings, but the product is not listed in the existing certification program, the ratings shall be verified by an independent laboratory test report, or
- (d) if no certification program exists for a covered product, the equipment *efficiency* ratings shall be supported by data furnished by the *manufacturer*, or
- (e) where components such as indoor or outdoor coils from different *manufacturers* are used, the system designer shall specify component efficiencies whose combined *efficiency* meets the minimum equipment *efficiency* requirements in 6.4.1.
- (f) Products covered in Table 6.2.1G shall have efficiency ratings supported by data furnished by the manufacturer.

#### 6.4.1.5 Labeling

**6.4.1.5.1 Mechanical Equipment.** Mechanical equipment that is not covered by the U.S. National Appliance Energy Conservation Act (NAECA) of 1987 shall carry a permanent label installed by the *manufacturer* stating that the equipment complies with the requirements of ASHRAE/IESNA Standard 90.1.

**6.4.1.5.2 Packaged Terminal Air Conditioners.** Packaged terminal air conditioners and heat pumps with sleeve sizes less than 16 in. high and 42 in. wide shall be factory labeled as follows: *Manufactured for replacement applications only: not to be installed in new construction projects.*

**6.4.2 Load Calculations.** Heating and cooling system design loads for the purpose of sizing systems and equipment shall be determined in accordance with generally accepted engineering standards and handbooks acceptable to the *adopting authority* (for example, *ASHRAE Handbook—Fundamentals*).

#### 6.4.3 Controls

##### 6.4.3.1 Zone Thermostatic Controls

**6.4.3.1.1 General.** The supply of heating and cooling energy to each *zone* shall be individually controlled by thermostatic controls responding to temperature within the *zone*. For the purposes of 6.4.3.1, a dwelling unit shall be permitted to be considered a single *zone*.

**Exceptions to 6.4.3.1.1:** Independent perimeter systems that are designed to offset only *building envelope* loads shall be permitted to serve one or more *zones* also served by an interior system provided:

- (a) the perimeter system includes at least one thermostatic control zone for each building exposure having exterior walls facing only one *orientation* for 50 contiguous feet or more, and
- (b) the perimeter system heating and cooling supply is controlled by a thermostatic control(s) located within the zones(s) served by the system.

Exterior walls are considered to have different *orientations* if the directions they face differ by more than 45 degrees.

**6.4.3.1.2 Dead Band.** Where used to control both heating and cooling, zone thermostatic controls shall be capable of providing a temperature range or dead band of at least 5°F within which the supply of heating and cooling energy to the zone is shut off or reduced to a minimum.

**Exceptions to 6.4.3.1.2:**

- (a) Thermostats that require manual changeover between heating and cooling modes.
- (b) Special occupancy or special applications where wide temperature ranges are not acceptable (such as retirement homes, process applications, data processing, museums, some areas of hospitals) and are approved by the *authority having jurisdiction*.

**6.4.3.2 Setpoint Overlap Restriction.** Where heating and cooling to a zone are controlled by separate zone thermostatic controls located within the zone, means (such as limit switches, mechanical stops, or, for DDC systems, software programming) shall be provided to prevent the heating setpoint from exceeding the cooling setpoint minus any applicable proportional band.

- (a) **Off-Hour Controls.** HVAC systems shall have the off-hour controls required by Sections 6.4.3.2.1 to 6.4.3.2.4.

**Exceptions to 6.4.3.2:**

- (a) *HVAC systems* serving hotel/motel guest rooms.
- (b) *HVAC systems* intended to operate continuously.
- (c) *HVAC systems* having a design heating capacity and cooling capacity less than 15,000 Btu/h that are equipped with readily accessible manual on/off controls

**6.4.3.2.1 Automatic Shutdown.** *HVAC systems* shall be equipped with at least one of the following:

- (a) Controls that can start and stop the system under different time schedules for seven different day-types per week, are capable of retaining programming and time setting during loss of power for a period of at least 10 hours, and include an accessible manual override, or equivalent function, that allows temporary operation of the system for up to two hours.
- (b) An *occupant sensor* that is capable of shutting the system off when no occupant is sensed for a period of up to 30 minutes.
- (c) A manually operated timer capable of being adjusted to operate the system for up to two hours.
- (d) An interlock to a security system that shuts the system off when the security system is activated.

**Exception to 6.4.3.2.1:** Residential occupancies may use controls that can start and stop the system under two different time schedules per week.

**6.4.3.2.2 Setback Controls.** Heating systems located in climate zones 2-8 shall be equipped with controls that have the capability to automatically restart and temporarily operate the system as required to maintain *zone* temperatures above a heating setpoint adjustable down to 55°F or lower. Cooling systems located in climate zones 1b, 2b, and 3b shall be equipped with controls that have the capability to automatically restart and temporarily operate the system as required to maintain *zone* temperatures below a cooling setpoint adjustable up to 90°F or higher or to prevent high space humidity levels.

**Exception to 6.4.3.2.2:** Radiant floor and ceiling heating *systems*.

**6.4.3.2.3 Optimum Start Controls.** Individual heating and cooling air distribution systems with a total design supply air capacity exceeding 10,000 cfm, served by one or more supply fans, shall have *optimum start controls*. The control algorithm shall, as a minimum, be a function of the difference between space temperature and occupied setpoint and the amount of time prior to scheduled occupancy.

**6.4.3.2.4 Zone Isolation.** *HVAC systems* serving *zones* that are intended to operate or be occupied nonsimultaneously shall be divided into isolation areas. Zones may be grouped into a single isolation area provided it does not exceed 25,000 ft<sup>2</sup> of conditioned floor area nor include more than one floor. Each isolation area shall be equipped with *isolation devices* capable of automatically shutting off the supply of conditioned air and *outdoor air* to and exhaust air from the area. Each isolation area shall be controlled independently by a device meeting the requirements of 6.4.3.2.1 (Automatic Shutdown). For central systems and plants, controls and devices shall be provided to allow stable system and equipment operation for any length of time while serving only the smallest isolation area served by the system or plant.

**Exceptions to 6.4.3.2.4:** Isolation devices and controls are not required for the following:

- (a) Exhaust air and *outdoor air* connections to isolation *zones* when the fan system to which they connect is 5000 cfm and smaller.
- (b) Exhaust airflow from a single isolation *zone* of less than 10% of the design airflow of the exhaust system to which it connects.
- (c) *Zones* intended to operate continuously or intended to be inoperative only when all other *zones* are inoperative.

**6.4.3.3 Ventilation System Controls.**

**6.4.3.3.1 Stair and Shaft Vents.** Stair and elevator shaft vents shall be equipped with motorized dampers that are capable of being automatically closed during normal building operation and are interlocked to open as required by fire and smoke detection systems

**6.4.3.3.2 Gravity Hoods, Vents, and Ventilators.** All *outdoor air* supply and exhaust hoods, vents, and ventilators shall be equipped with motorized dampers that will automatically shut when the spaces served are not in use.



**Exceptions to 6.4.3.3.1 and 6.4.3.3.2:**

- (a) Gravity (nonmotorized) dampers are acceptable in buildings less than three stories in height above grade and for buildings of any height located in climate zones 1, 2, and 3.
- (b) Ventilation systems serving *unconditioned spaces*.

**6.4.3.3.3 Shutoff Damper Controls.** Both *outdoor air* supply and exhaust systems shall be equipped with motorized dampers that will automatically shut when the systems or spaces served are not in use. Ventilation *outdoor air* dampers shall be capable of automatically shutting off during preoccupancy building warm-up, cool down, and *setback*, except when *ventilation* reduces energy costs (e.g., night purge) or when ventilation must be supplied to meet code requirements.

**Exceptions to 6.4.3.3.3:**

- (a) Gravity (nonmotorized) dampers are acceptable in buildings less than three stories in height and for buildings of any height located in climate zones 1, 2, and 3.
- (b) Gravity (nonmotorized) dampers are acceptable in systems with a design *outdoor air* intake or exhaust capacity of 300 cfm or less.

**6.4.3.3.4 Dampers.** Where *outdoor air* supply and exhaust air dampers are required by Section 6.4.3.3, they shall have a maximum leakage rate when tested in accordance with AMCA Standard 500 as indicated in [Table 6.4.3.3.4](#).

**6.4.3.3.5 Ventilation Fan Controls.** Fans with motors greater than  $\frac{3}{4}$  hp (0.5 kW) shall have automatic controls complying with Section 6.4.3.2.1 that are capable of shutting off fans when not required.

**Exception to 6.4.3.3.5:** HVAC systems intended to operate continuously.

**6.4.3.4 Heat Pump Auxiliary Heat Control.** Heat pumps equipped with internal electric resistance heaters shall have controls that prevent supplemental heater operation when the heating load can be met by the heat pump alone during both steady-state operation and setback recovery. Supplemental heater operation is permitted during outdoor coil defrost cycles.

**Exception to 6.4.3.4:** Heat pumps whose minimum *efficiency* is regulated by NAECA and whose HSPF rating both meets the requirements shown in Table 6.8.1B and includes all usage of internal electric resistance heating.

**6.4.3.5 Humidifier Preheat.** Humidifiers with preheating jackets mounted in the airstream shall be provided with an automatic valve to shut off preheat when humidification is not required.

**6.4.3.6 Humidification and Dehumidification.** Where a *zone* is served by a system or systems with both humidification and dehumidification capability, means (such as limit switches, mechanical stops, or, for DDC systems, software programming) shall be provided capable of preventing simultaneous operation of humidification and dehumidification equipment.

**Exceptions to 6.4.3.6:**

- (a) Zones served by desiccant systems, used with direct evaporative cooling in series.
- (b) Systems serving zones where specific humidity levels are required, such as computer rooms, museums, and hospitals, and approved by the *authority having jurisdiction*.

**TABLE 6.4.3.3.4 Maximum Damper Leakage**

Climate Zones	Maximum Damper Leakage at 1.0 in. w.g. cfm per ft <sup>2</sup> of damper area	
	Motorized	Nonmotorized
1, 2, 6, 7, 8	4	Not Allowed
All Others	10	20 <sup>a</sup>

a Dampers smaller than 24 in. in either dimension may have leakage of 40 cfm/ft<sup>2</sup>.

**6.4.3.7 Freeze Protection and Snow/Ice Melting Systems.** Freeze protection systems, such as heat tracing of outdoor piping and heat exchangers, including self-regulating heat tracing, shall include automatic controls capable of shutting off the systems when *outdoor air* temperatures are above 40°F or when the conditions of the protected fluid will prevent freezing. Snow- and ice-melting systems shall include automatic controls capable of shutting off the systems when the pavement temperature is above 50°F and no precipitation is falling and an automatic or manual control that will allow shutoff when the outdoor temperature is above 40°F so that the potential for snow or ice accumulation is negligible.

**6.4.3.8 Ventilation Controls for High-Occupancy Areas.** Systems with design *outdoor air* capacities greater than 3000 cfm serving areas having an average design occupancy density exceeding 100 people per 1000 ft<sup>2</sup> shall include means to automatically reduce *outdoor air* intake below design rates when spaces are partially occupied. Ventilation controls shall be in compliance with ASHRAE Standard 62 and local standards.

**Exception to 6.4.3.8:** Systems with energy recovery complying with 6.5.6.1.

**6.4.4 HVAC System Construction and Insulation****6.4.4.1 Insulation**

**6.4.4.1.1 General.** Insulation required by this section shall be installed in accordance with industry-accepted standards (see Appendix E). These requirements do not apply to HVAC equipment. Insulation shall be protected from damage, including that due to sunlight, moisture, equipment maintenance and wind, but not limited to the following:

- (a) Insulation exposed to weather shall be suitable for outdoor service, e.g., protected by aluminum, sheet metal, painted canvas, or plastic cover. Cellular foam insulation shall be protected as above or painted with a coating that is water retardant and provides shielding from solar radiation that can cause degradation of the material.
- (b) Insulation covering chilled water piping, refrigerant suction piping, or cooling ducts located outside the conditioned space shall include a vapor retardant located outside the insulation (unless the insulation is inherently vapor retardant), all penetrations and joints of which shall be sealed.

**6.4.4.1.2 Duct and Plenum Insulation.** All supply and return ducts and plenums installed as part of an HVAC air distribution system shall be thermally insulated in accordance with [Tables 6.8.2A and 6.8.2B](#).

TABLE 6.4.4.2A Minimum Duct Seal Level<sup>a</sup>

Duct Location	Duct Type			
	Supply		Exhaust	Return
	≤2 in. w.c. <sup>b</sup>	>2 in. w.c. <sup>b</sup>		
Outdoor	A	A	C	A
Unconditioned Space	B	A	C	B
Conditioned Spaces <sup>c</sup>	C	B	B	C

a See Table 6.4.4.2B description of seal level

b Duct design static pressure classification

c Includes indirectly conditioned spaces such as return air plenums

TABLE 6.4.4.2B Duct Seal Levels

Seal Level	Sealing Requirements <sup>a</sup>
A	All transverse joints, longitudinal seams, and duct wall penetrations. Pressure-sensitive tape shall not be used as the primary sealant, unless it has been certified to comply with UL-181A or UL-181B by an independent testing laboratory and the tape is used in accordance with that certification
B	All transverse joints, longitudinal seams. Pressure-sensitive tape shall not be used as the primary sealant, unless it has been certified to comply with UL-181A or UL-181B by an independent testing laboratory and the tape is used in accordance with that certification
C	Transverse joints only.

a Longitudinal seams are joints oriented in the direction of airflow. Transverse joints are connections of two duct sections oriented perpendicular to airflow. Duct wall penetrations are openings made by any screw fastener, pipe, rod, or wire. Spiral lock seams in a round and flat oval duct need not be sealed. All other connections are considered transverse joints, including but not limited to spin-ins, taps, and other branch connections, access door frames and jambs, duct connections to equipment, etc.

#### Exceptions to 6.4.4.1.2:

- Factory-installed plenums, casings, or ductwork furnished as a part of HVAC equipment tested and rated in accordance with 6.4.1.
- Ducts or plenums located in heated spaces, *semi-heated spaces*, or cooled spaces.
- For runouts less than 10 ft in length to air terminals or air outlets, the rated R-value of insulation need not exceed R-3.5.
- Backs of air outlets and outlet plenums exposed to unconditioned or indirectly conditioned spaces with face areas exceeding 5 ft<sup>2</sup> need not exceed R-2; those 5 ft<sup>2</sup> or smaller need not be insulated.

**6.4.4.1.3 Piping Insulation.** Piping shall be thermally insulated in accordance with Table 6.8.3.

#### Exceptions to 6.4.4.1.3:

- Factory-installed piping within HVAC equipment tested and rated in accordance with 6.4.1.
- Piping that conveys fluids having a design operating temperature range between 60°F and 105°F, inclusive.
- Piping that conveys fluids that have not been heated or cooled through the use of nonrenewable energy (such as roof and condensate drains, domestic cold water supply, natural gas piping, or refrigerant liquid

piping) or where heat gain or heat loss will not increase energy usage.

- Hot water piping between the shutoff valve and the coil, not exceeding 4 ft in length, when located in *conditioned spaces*.
- Pipe unions in heating systems (steam, steam condensate, and hot water).

#### 6.4.4.2 Ducts and Plenum Leakage

**6.4.4.2.1 Duct Sealing.** Ductwork and plenums shall be sealed in accordance with Table 6.4.4.2A (Table 6.4.4.2B provides definitions of seal levels), as required to meet the requirements of 6.4.4.2.2 and with standard industry practice (see Appendix E).

**6.4.4.2.2 Duct Leakage Tests.** Ductwork that is designed to operate at static pressures in excess of 3 in. w.c. shall be leak tested according to industry-accepted test procedures (see Appendix E). Representative sections totaling no less than 25% of the total installed duct area for the designated pressure class shall be tested. Duct systems with pressure ratings in excess of 3 in. w.c. shall be identified on the drawings. The maximum permitted duct leakage shall be

$$L_{max} = C_L P^{0.65}$$

where

$L_{max}$  = maximum permitted leakage in cfm/100 ft<sup>2</sup> duct surface area;

$C_L$  = duct leakage class, cfm/100 ft<sup>2</sup> at 1 in. w.c.,  
6 for rectangular sheetmetal, rectangular fibrous, and round flexible ducts,  
3 for round/flat oval sheetmetal or fibrous glass ducts;

$P$  = test pressure, which shall be equal to the design duct pressure class rating in in. w.c.

**6.4.5 Completion Requirements.** Completion Requirements are as described in Section 6.7.2.

#### 6.5 Prescriptive Path

**6.5.1 Economizers.** Each cooling system having a fan shall include either an air or water economizer meeting the requirements of 6.5.1.1 through 6.5.1.4.

**Exceptions to 6.5.1:** Economizers are not required for the systems listed below.

- Individual fan-cooling units with a supply capacity less than the minimum listed in **Table 6.5.1**

**TABLE 6.5.1 Minimum Systems Size for Which an Economizer is Required**

Climate Zones	Cooling Capacity for Which an Economizer is Required
1a, 1b, 2a, 3a, 4a	No Economizer Requirement
2b, 5a, 6a, 7, 8	$\geq 135,000$ Btu/h
3b, 3c, 4b, 4c, 5b, 5c, 6b	$\geq 65,000$ Btu/h

- (b) Systems that include gas phase air cleaning in order to meet 6.1.2 of ASHRAE Standard 62.
- (c) Where more than 25% of the air designed to be supplied by the system is to spaces that are designed to be humidified above 35°F dew-point temperature to satisfy process needs.
- (d) Systems that include a condenser heat recovery system required by 6.5.6.2.
- (e) Systems that serve *residential* spaces where the system capacity is less than five times the requirement listed in Table 6.5.1.
- (f) Systems that serve spaces whose sensible cooling load at design conditions, excluding transmission and infiltration loads, is less than or equal to transmission and infiltration losses at an outdoor temperature of 60°F.

- (g) Systems expected to operate less than 20 hours per week.
- (h) Where the use of *outdoor air* for cooling will affect supermarket open refrigerated casework systems.
- (i) Where the cooling *efficiency* meets or exceeds the *efficiency* requirements in Table 6.3.2.

#### 6.5.1.1 Air Economizers

**6.5.1.1.1 Design Capacity.** Air economizer systems shall be capable of modulating *outdoor air* and return air dampers to provide up to 100% of the design supply air quantity as *outdoor air* for cooling.

**6.5.1.1.2 Control Signal.** Economizer dampers shall be capable of being sequenced with the mechanical cooling equipment and shall not be controlled by only mixed air temperature.

**Exception to 6.5.1.1.2:** The use of mixed air temperature limit control shall be permitted for systems controlled from space temperature (such as single-zone systems).

**6.5.1.1.3 High-Limit Shutoff.** All air economizers shall be capable of automatically reducing *outdoor air* intake to the design minimum *outdoor air* quantity when *outdoor air* intake will no longer reduce cooling energy usage. High-limit shutoff control types for specific climates shall be chosen from Table 6.5.1.1.3A. High-limit shutoff control settings for these control types shall be those listed in Table 6.5.1.1.3B.

**TABLE 6.5.1.1.3A High-Limit Shutoff Control Options for Air Economizers**

Climate Zones	Allowed Control Types	Prohibited Control Types
1b, 2b, 3b, 3c, 4b, 4c, 5b, 5c, 6b, 7, 8	Fixed Dry Bulb Differential Dry Bulb Electronic Enthalpy <sup>a</sup> Differential Enthalpy Dew-Point and Dry-Bulb Temperature	Fixed Enthalpy
1a, 2a, 3a, 4a	Fixed Dry Bulb Fixed Enthalpy Electronic Enthalpy <sup>a</sup> Differential Enthalpy Dew-Point and Dry-Bulb Temperature	Differential Dry Bulb
All Other Climates	Fixed Dry Bulb Differential Dry Bulb Fixed Enthalpy Electronic Enthalpy <sup>a</sup> Differential Enthalpy Dew-Point and Dry-Bulb Temperature	

<sup>a</sup> Electronic enthalpy controllers are devices that use a combination of humidity and dry-bulb temperature in their switching algorithm.

**TABLE 6.5.1.1.3B High-Limit Shutoff Control Settings for Air Economizers**

Device Type	Climate	Required High Limit (Economizer Off When):	
		Equation	Description
Fixed Dry Bulb	1b, 2b, 3b, 3c, 4b, 4c, 5b, 5c, 6b, 7, 8 5a, 6a, 7a All Other Zones	$T_{OA} > 75^{\circ}\text{F}$ $T_{OA} > 70^{\circ}\text{F}$ $T_{OA} > 65^{\circ}\text{F}$	<i>Outdoor air</i> temperature exceeds 75°F <i>Outdoor air</i> temperature exceeds 70°F <i>Outdoor air</i> temperature exceeds 65°F
Differential Dry Bulb	1b, 2b, 3b, 3c, 4b, 4c, 5a, 5b, 5c, 6a, 6b, 7, 8	$T_{OA} > T_{RA}$	<i>Outdoor air</i> temperature exceeds return air temperature.
Fixed Enthalpy	All	$h_{OA} > 28 \text{ Btu/lb}^a$	<i>Outdoor air</i> enthalpy exceeds 28 Btu/lb of dry air <sup>a</sup>
Electronic Enthalpy	All	$(T_{OA}, RH_{OA}) > A$	<i>Outdoor air</i> temperature/RH exceeds the "A" set point curve <sup>b</sup>
Differential Enthalpy	All	$h_{OA} > h_{RA}$	<i>Outdoor air</i> enthalpy exceeds return air enthalpy
Dew Point and Dry-Bulb Temperature	All	$DP_{oa} > 55^{\circ}\text{F}$ or $T_{oa} > 75^{\circ}\text{F}$	<i>Outdoor air</i> dry bulb exceeds 75°F or outside dew point exceeds 55°F (65 gr/lb)

<sup>a</sup> At altitudes substantially different than sea level, the Fixed Enthalpy limit shall be set to the enthalpy value at 75°F and 50% relative humidity. As an example, at approximately 6000 ft elevation the fixed enthalpy limit is approximately 30.7 Btu/lb.

<sup>b</sup> Set point "A" corresponds to a curve on the psychrometric chart that goes through a point at approximately 75°F and 40% relative humidity and is nearly parallel to dry-bulb lines at low humidity levels and nearly parallel to enthalpy lines at high humidity levels.

**6.5.1.1.4 Dampers.** Both return air and *outdoor air* dampers shall meet the requirements of 6.4.3.3.4.

**6.5.1.1.5 Relief of Excess Outdoor Air.** Systems shall provide a means to relieve excess *outdoor air* during air economizer operation to prevent overpressurizing the building. The relief air outlet shall be located to avoid recirculation into the building.

#### 6.5.1.2 Water Economizers

**6.5.1.2.1 Design Capacity.** Water economizer systems shall be capable of cooling supply air by indirect evaporation and providing up to 100% of the expected system cooling load at *outdoor air* temperatures of 50°F dry bulb/45°F wet bulb and below.

**Exception to 6.5.1.2.1:** Systems in which a water economizer is used and where dehumidification requirements cannot be met using *outdoor air* temperatures of 50°F dry bulb/45°F wet bulb must satisfy 100% of the expected system cooling load at 45°F dry bulb/40°F wet bulb.

**6.5.1.2.2 Maximum Pressure Drop.** Precooling coils and water-to-water heat exchangers used as part of a water economizer system shall either have a water-side pressure drop of less than 15 ft of water or a secondary loop shall be created so that the coil or heat exchanger pressure drop is not seen by the circulating pumps when the system is in the normal cooling (noneconomizer) mode.

**6.5.1.3 Integrated Economizer Control.** Economizer systems shall be integrated with the mechanical cooling system and be capable of providing partial cooling even when additional mechanical cooling is required to meet the remainder of the cooling load.

#### Exceptions to 6.5.1.3:

- (a) Direct expansion systems that include controls that reduce the quantity of *outdoor air* required to prevent coil frosting at the lowest step of compressor unloading, provided this lowest step is no greater than 25% of the total system capacity.
- (b) Individual direct expansion units that have a rated cooling capacity less than 65,000 Btu/h and use non-integrated economizer controls that preclude simultaneous operation of the economizer and mechanical cooling.
- (c) Systems in climate zones 1, 2, 3a, 4a, 5a, 5b, 6, 7, 8.

**6.5.1.4 Economizer Heating System Impact.** HVAC system design and economizer controls shall be such that economizer operation does not increase the building heating energy use during normal operation.

**Exception to 6.5.1.4:** Economizers on VAV systems that cause zone level heating to increase due to a reduction in supply air temperature.

#### 6.5.2 Simultaneous Heating and Cooling Limitation

**6.5.2.1 Zone Controls.** Zone thermostatic controls shall be capable of operating in sequence the supply of heating and cooling energy to the *zone*. Such controls shall prevent:

1. *reheating*,
2. *recooling*,
3. mixing or simultaneously supplying air that has been previously mechanically heated and air that has been previously cooled, either by mechanical cooling or by economizer systems, and
4. other simultaneous operation of heating and cooling systems to the same *zone*.

#### Exceptions to 6.5.2.1:

- (a) *Zones* for which the volume of air that is reheated, recooled, or mixed is no greater than the larger of the following:
  1. the volume of *outdoor air* required to meet the ventilation requirements of Section 6.1.3 of ASHRAE Standard 62 for the *zone*,
  2. 0.4 cfm/ft<sup>2</sup> of the *zone* conditioned floor area,
  3. 30% of the zone design peak supply rate,
  4. 300 cfm—this exception is for zones whose peak flow rate totals no more than 10% of the total fan system flow rate,
  5. any higher rate that can be demonstrated, to the satisfaction of the *authority having jurisdiction*, to reduce overall system annual energy usage by offsetting reheat/recool energy losses through a reduction in *outdoor air* intake in accordance with the multiple space requirements defined in ASHRAE Standard 62.
- (b) *Zones* where special pressurization relationships, cross-contamination requirements, or code-required minimum circulation rates are such that variable air volume systems are impractical.
- (c) *Zones* where at least 75% of the energy for reheating or for providing warm air in mixing systems is provided from a *site-recovered* (including condenser heat) or *site- solar energy source*.

**6.5.2.2 Hydronic System Controls.** The heating of fluids in hydronic systems that have been previously mechanically cooled and the cooling of fluids that have been previously mechanically heated shall be limited in accordance with 6.5.2.2.1 through 6.5.2.2.3.

**6.5.2.2.1 Three-Pipe System.** Hydronic systems that use a common return system for both hot water and chilled water shall not be used.

**6.5.2.2.2 Two-Pipe Changeover System.** Systems that use a common distribution system to supply both heated and chilled water are acceptable provided all of the following are met:

- (a) The system is designed to allow a deadband between changeover from one mode to the other of at least 15°F *outdoor air* temperature.
- (b) The system is designed to operate and is provided with controls that will allow operation in one mode for at least four hours before changing over to the other mode.
- (c) Reset controls are provided that allow heating and cooling supply temperatures at the changeover point to be no more than 30°F apart.

**6.5.2.2.3 Hydronic (Water Loop) Heat Pump Systems.** Hydronic heat pumps connected to a common heat pump water loop with central devices for heat rejection (e.g., cooling tower) and heat addition (e.g., boiler) shall have the following:

- Controls that are capable of providing a heat pump water supply temperature deadband of at least 20°F between initiation of heat rejection and heat addition by the central devices (e.g., tower and boiler).
- For climate zones 3 through 8, if a closed-circuit tower (fluid cooler) is used, either an automatic valve shall be installed to bypass all but a minimal flow of water around the tower (for freeze protection) or low-leakage positive closure dampers shall be provided. If an open-circuit tower is used directly in the heat pump loop, an automatic valve shall be installed to bypass all heat pump water flow around the tower. If an open-circuit tower is used in conjunction with a separate heat exchanger to isolate the tower from the heat pump loop, then heat loss shall be controlled by shutting down the circulation pump on the cooling tower loop.

**Exception to 6.5.2.2.3:** Where a system loop temperature optimization controller is used to determine the most efficient operating temperature based on real-time conditions of demand and capacity, dead bands of less than 20°F shall be allowed.

**6.5.2.3 Dehumidification.** Where humidistatic controls are provided, such controls shall prevent reheating, mixing of hot and cold airstreams, or other means of simultaneous heating and cooling of the same airstream.

**Exceptions to 6.5.2.3:**

- The system is capable of reducing supply air volume to 50% or less of the design airflow rate or the minimum rate specified in 6.1.3 of ASHRAE Standard 62, whichever is larger, before simultaneous heating and cooling takes place.
- The individual fan cooling unit has a design cooling capacity of 80,000 Btu/h or less and is capable of

unloading to 50% capacity before simultaneous heating and cooling takes place.

- The individual mechanical cooling unit has a design cooling capacity of 40,000 Btu/h or less. An individual mechanical cooling unit is a single system composed of a fan or fans and a cooling coil capable of providing mechanical cooling.
- Systems serving spaces where specific humidity levels are required to satisfy process needs, such as computer rooms, museums, surgical suites, and buildings with refrigerating systems, such as supermarkets, refrigerated warehouses, and ice arenas. This exception also applies to other applications for which fan volume controls in accordance with Exception (a) are proven to be impractical to the enforcement agency.
- At least 75% of the energy for reheating or for providing warm air in mixing systems is provided from a *site-recovered* (including condenser heat) or *site solar energy* source.
- Systems where the heat added to the airstream is the result of the use of a desiccant system and 75% of the heat added by the desiccant system is removed by a heat exchanger, either before or after the desiccant system with energy recovery.

**6.5.2.4 Humidification.** Systems with hydronic cooling and humidification systems designed to maintain inside humidity at greater than 35°F dew-point temperature shall use a water economizer if an economizer is required by 6.5.1.

**6.5.3 Air System Design and Control.** HVAC systems having a total *fan system power* exceeding 5 hp shall meet the provisions of 6.5.3.1 through 6.5.3.2 unless otherwise noted.

**6.5.3.1 Fan Power Limitation.**

- The ratio of the fan system power to the supply fan airflow rate (main fan) of each HVAC system at design conditions shall not exceed the allowable fan system power shown in [Table 6.5.3.1](#).

**TABLE 6.5.3.1 Fan Power Limitation**

Supply Air Volume	Allowable Nameplate Motor Power	
	Constant Volume	Variable Volume
<20,000 cfm	1.2 hp/1000 cfm	1.7 hp/1000 cfm
≥20,000 cfm	1.1 hp/1000 cfm	1.5 hp/1000 cfm

Allowable Fan System Power = [Table 6.5.3.1 Fan Power Limitation × (Temperature Ratio) + Pressure Credit + Relief Fan Credit] where

Table 6.5.3.1 Fan Power Limitation = Table Value ×  $CFM_n/1000$

Temperature Ratio =  $(T_{t-stat} - T_S) / 20$

Pressure Credit (hp) = Sum of  $[CFM_n \times (SP_n - 1.0) / 3718]$  + Sum of  $[CFM_{HR} \times SP_{HR} / 3718]$

Relief Fan Credit HP (kW) =  $F_R \text{ HP (kW)} \times [1 - (CFM_{RF} / CFM_n)]$

$CFM_n$  = supply air volume of the unit with the filtering system (cfm)

$CFM_{HR}$  = supply air volume of heat recovery coils or direct evaporative humidified/cooler (cfm)

$CFM_{RF}$  = relief fan air volume at normal cooling design operation

$SP_n$  = air pressure drop of the filtering system when filters are clean (in. w.g.)

$SP_{HR}$  = air pressure drop of heat recovery coils or direct evaporative humidifier/cooler (in. w.g.).

$T_{t-stat}$  = room thermostat setpoint

$T_S$  = design supply air temperature for the zone in which the thermostat is located

$F_R$  = nameplate rating of the relief fan in hp



- (b) Where air systems require air treatment or filtering systems with pressure drops over 1 in. w.c. when filters are clean, or heat recovery coils or devices, or direct evaporative humidifiers/coolers, or other devices to serve process loads in the airstream, the allowable fan system power may be adjusted using the pressure credit in the allowable fan system equation in Table 6.5.3.1.
- (c) If the temperature difference between design room temperature and supply air temperature at cooling design conditions that is used to calculate design zone supply airflow is larger than 20°F, the allowable fan system power may be adjusted using the temperature ratio in the allowable fan system power equation in Table 6.5.3.1.

### 6.5.3.2 Variable Air Volume (VAV) Fan Control (Including Systems Using Series Fan Power Boxes).

**6.5.3.2.1 Part-Load Fan Power Limitation.** Individual VAV fans with motors 15 hp and larger shall meet one of the following:

- (a) The fan shall be driven by a mechanical or electrical variable-speed drive.
- (b) The fan shall be a vane-axial fan with variable-pitch blades.
- (c) The fan shall have other controls and devices that will result in fan motor demand of no more than 30% of design wattage at 50% of design air volume when static pressure setpoint equals one-third of the total design static pressure, based on *manufacturer's* certified fan data.

**6.5.3.2.2 Static Pressure Sensor Location.** Static pressure sensors used to control variable air volume fans shall be placed in a position such that the controller setpoint is no greater than one-third the total design fan static pressure, except for systems with zone reset control complying with 6.5.3.2.3. If this results in the sensor being located downstream of major duct splits, multiple sensors shall be installed in each major branch to ensure that static pressure can be maintained in each.

**6.5.3.2.3 Setpoint Reset.** For systems with direct digital control of individual zone boxes reporting to the central control panel, static pressure setpoint shall be reset based on the *zone* requiring the most pressure; i.e., the setpoint is reset lower until one *zone* damper is nearly wide open.

**6.5.4 Hydronic System Design and Control.** HVAC hydronic systems having a total *pump system power* exceeding 10 hp shall meet provisions of 6.5.4.1 through 6.5.4.4.

**6.5.4.1 Hydronic Variable Flow Systems.** HVAC pumping systems that include control valves designed to modulate or step open and close as a function of load shall be designed for variable fluid flow and shall be capable of reducing pump flow rates to 50% or less of the design flow rate. Individual pumps serving variable flow systems having a pump head exceeding 100 ft and motor exceeding 50 hp shall have controls and/or devices (such as variable speed control) that will result in pump motor demand of no more than 30% of design wattage at 50% of design water flow. The controls or devices shall be controlled as a function of desired flow or to maintain a minimum required differential pressure. Differential pressure shall be measured at or near the most remote heat exchanger or the heat exchanger requiring the greatest differential pressure.

### Exceptions to 6.5.4.1:

- (a) Systems where the minimum flow is less than the minimum flow required by the equipment *manufacturer* for the proper operation of equipment served by the system, such as chillers, and where total pump system power is 75 hp or less.
- (b) Systems that include no more than three control valves.

**6.5.4.2 Pump Isolation.** When a chilled water plant includes more than one chiller, provisions shall be made so that the flow in the chiller plant can be automatically reduced, correspondingly, when a chiller is shut down. Chillers referred to in this section, piped in series for the purpose of increased temperature differential, shall be considered as one chiller.

When a boiler plant includes more than one boiler, provisions shall be made so that the flow in the boiler plant can be automatically reduced, correspondingly, when a boiler is shut down.

**6.5.4.3 Chilled and Hot Water Temperature Reset Controls.** Chilled and hot water systems with a design capacity exceeding 300,000 Btu/h supplying chilled or heated water (or both) to comfort conditioning systems shall include controls that automatically reset supply water temperatures by representative building loads (including return water temperature) or by *outdoor air* temperature.

### Exceptions to 6.5.4.3:

- (a) Where the supply temperature reset controls cannot be implemented without causing improper operation of heating, cooling, humidifying, or dehumidifying systems.
- (b) Hydronic systems, such as those required by 6.5.4.1 that use variable flow to reduce pumping energy.

**6.5.4.4 Hydronic (Water Loop) Heat Pump Systems.** Each hydronic heat pump shall have a two-position automatic valve interlocked to shut off water flow when the compressor is off.

### 6.5.5 Heat Rejection Equipment.

**6.5.5.1 General.** Subsection 6.5.5 applies to heat rejection equipment used in comfort cooling systems such as air-cooled condensers, open cooling towers, closed-circuit cooling towers, and evaporative condensers.

**Exception to 6.5.5.1:** Heat rejection devices whose energy usage is included in the equipment *efficiency* ratings listed in Tables 6.8.1A through 6.8.1D.

**6.5.5.2 Fan Speed Control.** Each fan powered by a motor of 7.5 hp or larger shall have the capability to operate that fan at two-thirds of full speed or less and shall have controls that automatically change the fan speed to control the leaving fluid temperature or condensing temperature/pressure of the heat rejection device.

### Exceptions to 6.5.5.2:

- (a) Condenser fans serving multiple refrigerant circuits.
- (b) Condenser fans serving flooded condensers.
- (c) Installations located in climate zones 1 and 2.
- (d) Up to one-third of the fans on a condenser or tower with multiple fans, where the lead fans comply with the speed control requirement.

## 6.5.6 Energy Recovery

**6.5.6.1 Exhaust Air Energy Recovery.** Individual fan systems that have both a design supply air capacity of 5000 cfm or greater and have a minimum *outdoor air* supply of 70% or greater of the design supply air quantity shall have an energy recovery system with at least 50% recovery effectiveness. Fifty percent energy recovery effectiveness shall mean a change in the enthalpy of the *outdoor air* supply equal to 50% of the difference between the *outdoor air* and return air at design conditions. Provision shall be made to bypass or control the heat recovery system to permit air economizer operation as required by 6.5.1.1.

### Exceptions to 6.5.6.1:

- (a) Laboratory systems meeting 6.5.7.2.
- (b) Systems serving spaces that are not cooled and that are heated to less than 60°F.
- (c) Systems exhausting toxic, flammable, paint, or corrosive fumes or dust.
- (d) Commercial kitchen hoods used for collecting and removing grease vapors and smoke.
- (e) Where more than 60% of the *outdoor air* heating energy is provided from site-recovered or site solar energy.
- (f) Heating systems in climate zones 1 through 3.
- (g) Cooling systems in climate zones 3c, 4c, 5b, 5c, 6b, 7, and 8.
- (h) Where the largest exhaust source is less than 75% of the design *outdoor air* flow.
- (i) Systems requiring dehumidification that employ energy recovery in series with the cooling coil.

## 6.5.6.2 Heat Recovery for Service Water Heating.

**6.5.6.2.1** Condenser heat recovery systems shall be installed for heating or preheating of service hot water provided all of the following are true:

- (a) The facility operates 24 hours a day.
- (b) The total installed heat rejection capacity of the water-cooled systems exceeds 6,000,000 Btu/h of heat rejection.
- (c) The design service water heating load exceeds 1,000,000 Btu/h.

**6.5.6.2.2** The required heat recovery system shall have the capacity to provide the smaller of

- (a) 60% of the peak heat rejection load at design conditions or
- (b) preheat of the peak service hot water draw to 85°F.

### Exceptions to 6.5.6.2:

- (a) Facilities that employ condenser heat recovery for space heating with a heat recovery design exceeding 30% of the peak water-cooled condenser load at design conditions.
- (b) Facilities that provide 60% of their service water heating from *site solar* or *site recovered energy* or from other sources.

## 6.5.7 Exhaust Hoods

**6.5.7.1 Kitchen Hoods.** Individual kitchen exhaust hoods larger than 5000 cfm shall be provided with makeup air sized for at least 50% of exhaust air volume that is

- (a) unheated or heated to no more than 60°F and
- (b) uncooled or cooled without the use of mechanical cooling.

### Exceptions to 6.5.7.1:

- (a) Where hoods are used to exhaust ventilation air that would otherwise exfiltrate or be exhausted by other fan systems.
- (b) Certified grease extractor hoods that require a face velocity no greater than 60 fpm.

**6.5.7.2 Fume Hoods.** Buildings with fume hood systems having a total exhaust rate greater than 15,000 cfm shall include at least one of the following features:

- (a) Variable air volume hood exhaust and room supply systems capable of reducing exhaust and makeup air volume to 50% or less of design values.
- (b) Direct makeup (auxiliary) air supply equal to at least 75% of the exhaust rate, heated no warmer than 2°F below room setpoint, cooled to no cooler than 3°F above room setpoint, no humidification added, and no simultaneous heating and cooling used for dehumidification control.
- (c) Heat recovery systems to precondition makeup air from fume hood exhaust in accordance with 6.5.6.1 (Exhaust Air Energy Recovery) without using any exception.

## 6.5.8 Radiant Heating Systems

**6.5.8.1 Heating Unenclosed Spaces.** Radiant heating shall be used when heating is required for unenclosed spaces.

**Exception to 6.5.8.1:** Loading docks equipped with air curtains.

**6.5.8.2 Heating Enclosed Spaces.** Radiant heating systems that are used as primary or supplemental enclosed space heating must be in conformance with the governing provisions of the standard, including, but not limited to, the following:

- (a) Radiant hydronic ceiling or floor panels (used for heating or cooling).
- (b) Combination or hybrid systems incorporating radiant heating (or cooling) panels.
- (c) Radiant heating (or cooling) panels used in conjunction with other systems such as variable air volume or thermal storage systems.

**6.5.9 Hot Gas Bypass Limitation.** Cooling systems shall not use hot gas bypass or other evaporator pressure control systems unless the system is designed with multiple steps of unloading or continuous capacity modulation. The capacity of the hot gas bypass shall be limited as indicated in [Table 6.5.9](#).

**Exception to 6.5.9:** Unitary packaged systems with cooling capacities not greater than 90,000 Btu/h.

**TABLE 6.5.9 Hot Gas Bypass Limitation**

Rated Capacity	Maximum Hot Gas Bypass Capacity
	(% of Total Capacity)
≤240,000 Btu/h	50%
>240,000 Btu/h	25%

## 6.6 Alternative Compliance Path: (Not Used)

## 6.7 Submittals

**6.7.1 General.** *Authority having jurisdiction* may require submittal of compliance documentation and supplemental information, in accord with Section 4.2.2 of this standard.

**6.7.2 Completion Requirements:** The following requirements are mandatory provisions and are necessary for compliance with the standard.

**6.7.2.1 Drawings.** Construction documents shall require that within 90 days after the date of system acceptance record drawings of the actual installation be provided to the building owner or the designated representative of the building owner. Record drawings shall include as a minimum the location and performance data on each piece of equipment, general configuration of duct and pipe distribution system including sizes, and the terminal air or water design flow rates.

**6.7.2.2 Manuals.** Construction documents shall require that an operating manual and a maintenance manual be provided to the building owner or the designated representative of the building owner within 90 days after the date of system acceptance. These manuals shall be in accordance with industry-accepted standards (see Appendix E) and shall include, at a minimum, the following:

- (a) Submittal data stating equipment size and selected options for each piece of equipment requiring maintenance.
- (b) Operation manuals and maintenance manuals for each piece of equipment requiring maintenance, except equipment not furnished as part of the project. Required routine maintenance actions shall be clearly identified.
- (c) Names and addresses of at least one *service agency*.
- (d) HVAC controls system maintenance and calibration information, including wiring diagrams, schematics, and control sequence descriptions. Desired or field-determined setpoints shall be permanently recorded on control drawings at control devices or, for digital control systems, in programming comments.

- (e) A complete narrative of how each system is intended to operate, including suggested setpoints.

### 6.7.2.3 System Balancing

**6.7.2.3.1 General.** Construction documents shall require that all HVAC systems be balanced in accordance with generally accepted engineering standards (see Appendix E). Construction documents shall require that a written balance report be provided to the owner or the designated representative of the building owner for HVAC systems serving *zones* with a total conditioned area exceeding 5000 ft<sup>2</sup>.

**6.7.2.3.2 Air System Balancing.** Air systems shall be balanced in a manner to first minimize throttling losses. Then, for fans with *fan system power* greater than 1 hp, fan speed shall be adjusted to meet design flow conditions.

**6.7.2.3.3 Hydronic System Balancing.** Hydronic systems shall be proportionately balanced in a manner to first minimize throttling losses; then the pump impeller shall be trimmed or pump speed shall be adjusted to meet design flow conditions.

**Exceptions to 6.7.2.3.3:** Impellers need not be trimmed nor pump speed adjusted:

- (a) For pumps with pump motors of 10 hp or less.
- (b) When throttling results in no greater than 5% of the nameplate horsepower draw, or 3 hp, whichever is greater, above that required if the impeller was trimmed.

**6.7.2.4 System Commissioning.** HVAC control systems shall be tested to ensure that control elements are calibrated, adjusted, and in proper working condition. For projects larger than 50,000 ft<sup>2</sup> conditioned area, except warehouses and semiheated spaces, detailed instructions for commissioning HVAC systems (see Appendix E) shall be provided by the designer in plans and specifications.

## 6.8 Minimum Equipment Efficiency Tables

### 6.8.1 Minimum Efficiency Requirement Listed Equipment—Standard Rating and Operating Conditions



**TABLE 6.8.1A Air Conditioners and Condensing Units**

Equipment Type	Size Category	Heating Section Type	Sub-Category or Rating Condition	Minimum Efficiency <sup>a</sup>	Test Procedure <sup>b</sup>
Air Conditioners, Air Cooled	<65,000 Btu/h <sup>c</sup>	All	Split System	10.0 SEER (before 1/23/2006) 12.0 SEER (as of 1/23/2006)	ARI 210/240
			Single Package	9.7 SEER (before 1/23/2006) 12.0 SEER (as of 1/23/2006)	
Through-the-Wall, Air Cooled	≤ 30,000 Btu/h <sup>c</sup>	All	Split System	10.0 SEER (before 1/23/2006) 10.9 SEER (as of 1/23/2006) 12 SEER (as of 1/23/2010)	
			Single Package	9.7 SEER (before 1/23/2006) 10.6 SEER (as of 1/23/2006) 12.0 SEER (as of 1/23/2010)	
Small-Duct High-Velocity, Air Cooled	< 65,000 Btu/h <sup>c</sup>	All	Split System	10 SEER	
Air Conditioners, Air Cooled	≥65,000 Btu/h and <135,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	10.3 EER	ARI 340/360
		All other	Split System and Single Package	10.1 EER	
	≥135,000 Btu/h and <240,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	9.7 EER	
		All other	Split System and Single Package	9.5 EER	
	≥240,000 Btu/h and <760,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	9.5 EER 9.7 IPLV	
		All other	Split System and Single Package	9.3 EER 9.5 IPLV	
	≥760,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	9.2 EER 9.4 IPLV	
		All other	Split System and Single Package	9.0 EER 9.2 IPLV	

**TABLE 6.8.1A (continued) Air Conditioners and Condensing Units**

Air Conditioners, Water and Evaporatively Cooled	<65,000 Btu/h	All	Split System and Single Package	12.1 EER	ARI 210/240
	≥65,000 Btu/h and <135,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	11.5 EER	ARI 340/360
		All other	Split System and Single Package	11.3 EER	
	≥135,000 Btu/h and <240,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	11.0 EER	
		All other	Split System and Single Package	10.8 EER	
	≥240,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	11.0 EER 10.3 IPLV	
		All other	Split System and Single Package	10.8 EER 10.1 IPLV	
Condensing Units, Air Cooled	≥135,000 Btu/h	—		10.1 EER 11.2 IPLV	ARI 365
Condensing Units, Water or Evaporatively Cooled	≥135,000 Btu/h	—		13.1 EER 13.1 IPLV	

- a IPLVs and part load rating conditions are only applicable to equipment with capacity modulation.  
b Section 12 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.  
c Single-phase, air-cooled air-conditioners < 65,000 Btu/h are regulated by NAECA. SEER values are those set by NAECA.

**TABLE 6.8.1B Electrically Operated Unitary and Applied Heat Pumps—  
Minimum Efficiency Requirements**

Equipment Type	Size Category	Heating Section Type	Sub-Category or Rating Condition	Minimum Efficiency <sup>a</sup>	Test Procedure <sup>b</sup>
Air Cooled (Cooling Mode)	<65,000 Btu/h <sup>c</sup>	All	Split System	10.0 SEER (before 1/23/ 2006) 12.0 SEER (as of 1/23/2006)	ARI 210/240
			Single Package	9.7 SEER (before 1/23/ 2006) 12.0 SEER (as of 1/23/ 2006)	
Through-the-Wall (Air Cooled, Cooling Mode)	≤30,000 Btu/h <sup>c</sup>	All	Split System	10.0 SEER (before 1/23/ 2006) 10.9 SEER (as of 1/23/ 2006) 12 SEER (as of 1/23/ 2010)	
			Single Package	9.7 SEER (before 1/23/ 2006) 10.6 SEER (as of 1/23/ 2006) 12.0 SEER (as of 1/23/ 2010)	
Small-Duct High- Velocity (Air Cooled, Cooling Mode)	< 65,000 Btu/h <sup>c</sup>	All	Split System	10 SEER	
Air Cooled (Cooling Mode)	≥65,000 Btu/h and <135,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	10.1 EER	ARI 340/360
		All other	Split System and Single Package	9.9 EER	
	≥135,000 Btu/h and <240,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	9.3 EER	
		All other	Split System and Single Package	9.1 EER	
	≥240,000 Btu/h	Electric Resistance (or None)	Split System and Single Package	9.0 EER 9.2 IPLV	
		All other	Split System and Single Package	8.8 EER 9.0 IPLV	
Water-Source (Cooling Mode)	<17,000 Btu/h	All	86°F Entering Water	11.2 EER	ISO-13256-1
	≥17,000 Btu/h and <135,000 Btu/h	All	86°F Entering Water	12.0 EER	ISO-13256-1
Groundwater-Source (Cooling Mode)	<135,000 Btu/h	All	59°F Entering Water	16.2 EER	ISO-13256-1

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**TABLE 6.8.1B (continued) Electrically Operated Unitary and Applied Heat Pumps—  
Minimum Efficiency Requirements**

Equipment Type	Size Category	Heating Section Type	Sub-Category or Rating Condition	Minimum Efficiency <sup>a</sup>	Test Procedure <sup>b</sup>
Ground Source (Cooling Mode)	<135,000 Btu/h	All	77°F Entering Water	13.4 EER	ISO-13256-1
Air Cooled (Heating Mode)	<65,000 Btu/h <sup>c</sup> (Cooling Capacity)	–	Split System	6.8 HSPF (before 1/23/ 2006) 7.4 HSPF as of 1/23/ 2006)	ARI 210/240
			Single Package	6.6 HSPF (before 1/23/ 2006) 7.4 HSPF as of 1/23/ 2006)	
Through-the-Wall, (Air Cooled, Heating Mode)	≤30,000 Btu/h <sup>c</sup> (cooling capacity)	-	Split System	6.8 HSPF (before 1/23/ 2006) 7.1 HSPF (as of 1/23/ 2006) 7.4 HSPF as of 1/23/ 2010)	
			Single Package	6.6 HSPF (before 1/23/ 2006) 7.0 HSPF (as of 1/23/ 2006) 7.4 HSPF (as of 1/23/ 2010)	
Small-Duct High-Velocity (Air Cooled, Heating Mode)	< 65,000 Btu/h <sup>c</sup> (cooling capacity)	-	Split System	6.8 HSPF	
Air Cooled (Heating Mode)	≥65,000 Btu/h and <135,000 Btu/h (Cooling Capacity)	–	47°F db/43°F wb Outdoor air	3.2 COP	ARI 340/360
			17°F db/15°F wb Outdoor air	2.2 COP	
	≥135,000 Btu/h (Cooling Capacity)	–	47°F db/43°F wb Outdoor air	3.1 COP	
			17°F db/15°F wb Outdoor air	2.0 COP	
Water-Source (Heating Mode)	<135,000 Btu/h (Cooling Capacity)	–	68°F Entering Water	4.2 COP	ISO-13256-1
Groundwater-Source (Heating Mode)	<135,000 Btu/h (Cooling Capacity)	–	50°F Entering Water	3.6 COP	ISO-13256-1
Ground Source (Heating Mode)	<135,000 Btu/h (Cooling Capacity)	–	32°F Entering Water	3.1 COP	ISO-13256-1

<sup>a</sup> IPLVs and Part load rating conditions are only applicable to equipment with capacity modulation.

<sup>b</sup> Section 12 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

<sup>c</sup> Single-phase, air-cooled heat pumps < 65,000 Btu/h are regulated by NAECA. SEER and HSPF values are those set by NAECA

**TABLE 6.8.1C Water Chilling Packages—Minimum Efficiency Requirements**

Equipment Type	Size Category	Subcategory or Rating Condition	Minimum Efficiency <sup>a</sup>	Test Procedure <sup>b</sup>
Air Cooled, with Condenser, Electrically Operated	All Capacities		2.80 COP 3.05 IPLV	ARI 550/590
Air Cooled, without Condenser, Electrically Operated	All Capacities		3.10 COP 3.45 IPLV	
Water Cooled, Electrically Operated, Positive Displacement (Reciprocating)	All Capacities		4.20 COP 5.05 IPLV	ARI 550/590
Water Cooled, Electrically Operated, Positive Displacement (Rotary Screw and Scroll)	<150 tons		4.45 COP 5.20 IPLV	ARI 550/590
	≥150 tons and <300 tons		4.90 COP 5.60 IPLV	
	≥300 tons		5.50 COP 6.15 IPLV	
Water Cooled, Electrically Operated, Centrifugal	<150 tons		5.00 COP 5.25 IPLV	ARI 550/590
	≥150 tons and <300 tons		5.55 COP 5.90 IPLV	
	≥300 tons		6.10 COP 6.40 IPLV	
Air-Cooled Absorption Single Effect	All Capacities		0.60 COP	ARI 560
Water-Cooled Absorption Single Effect	All Capacities		0.70 COP	
Absorption Double Effect, Indirect-Fired	All Capacities		1.00 COP 1.05 IPLV	
Absorption Double Effect, Direct-Fired	All Capacities		1.00 COP 1.00 IPLV	

<sup>a</sup> The chiller equipment requirements do not apply for chillers used in low-temperature applications where the design leaving fluid temperature is <40°F.

<sup>b</sup> Section 12 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

**TABLE 6.8.1D Electrically Operated Packaged Terminal Air Conditioners, Packaged Terminal Heat Pumps, Single-Package Vertical Air Conditioners, Single -Package Vertical Heat Pumps, Room Air Conditioners, and Room Air Conditioner Heat Pumps—Minimum Efficiency Requirements**

Equipment Type	Size Category (Input)	Subcategory or Rating Condition	Minimum Efficiency	Test Procedure <sup>a</sup>
PTAC (Cooling Mode) New Construction	All Capacities	95°F db Outdoor air	12.5 – (0.213 × Cap/1000) <sup>c</sup> EER	ARI 310/380
PTAC (Cooling Mode) Replacements <sup>b</sup>	All Capacities	95°F db Outdoor air	10.9 – (0.213 × Cap/1000) <sup>c</sup> EER	
PTHP (Cooling Mode) New Construction	All Capacities	95°F db Outdoor air	12.3 – (0.213 × Cap/1000) <sup>c</sup> EER	
PTHP (Cooling Mode) Replacements <sup>b</sup>	All Capacities	95°F db Outdoor air	10.8 – (0.213 × Cap/1000) <sup>c</sup> EER	
PTHP (Heating Mode) New Construction	All Capacities		3.2 – (0.026 × Cap/1000) <sup>c</sup> COP	
PTHP (Heating Mode) Replacements <sup>b</sup>	All Capacities		2.9 – (0.026 × Cap/1000) <sup>c</sup> COP	
SPVAC (Cooling Mode)	All Capacities	95°F db/ 75°F wb Outdoor air	8.6 EER	ARI 390
SPVHP (Cooling Mode)	All Capacities	95°F db/ 75°F wb Outdoor air	8.6. EER	
SPVHP (Heating Mode)	All Capacities	47°F db/ 43°F wb Outdoor air	2.7 COP	
Room Air Conditioners, with Louvered Sides	<6000 Btu/h		9.7 SEER	ANSI/ AHAM RAC-1
	≥6000 Btu/h and <8000 Btu/h		9.7 EER	
	≥8000 Btu/h and <14,000 Btu/h		9.8 EER	
	≥14,000 Btu/h and <20,000 Btu/h		9.7 SEER	
	≥20,000 Btu/h		8.5 EER	
Room Air Conditioners, Without Louvered Sides	<8000 Btu/h		9.0 EER	
	≥8000 Btu/h and <20,000 Btu/h		8.5 EER	
	≥20,000 Btu/h		8.5 EER	
Room Air Conditioner Heat Pumps with Louvered Sides	<20,000 Btu/h		9.0 EER	
	≥20,000 Btu/h		8.5 EER	
Room Air Conditioner Heat Pumps without Louvered Sides	<14,000 Btu/h		8.5 EER	
	≥14,000 Btu/h		8.0 EER	
Room Air Conditioner, Casement Only	All Capacities		8.7 EER	
Room Air Conditioner, Casement–Slider	All Capacities		9.5 EER	

<sup>a</sup> Section 12 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

<sup>b</sup> Replacement units must be factory labeled as follows: “MANUFACTURED FOR REPLACEMENT APPLICATIONS ONLY; NOT TO BE INSTALLED IN NEW CONSTRUCTION PROJECTS.” Replacement efficiencies apply only to units with existing sleeves less than 16 in. high and less than 42 in. wide.

<sup>c</sup> Cap means the rated cooling capacity of the product in Btu/h. If the unit’s capacity is less than 7000 Btu/h, use 7000 Btu/h in the calculation. If the unit’s capacity is greater than 15,000 Btu/h, use 15,000 Btu/h in the calculation.

**TABLE 6.8.1E Warm Air Furnaces and Combination Warm Air Furnaces/Air-Conditioning Units, Warm Air Duct Furnaces and Unit Heaters**

Equipment Type	Size Category (Input)	Subcategory or Rating Condition	Minimum Efficiency <sup>a</sup>	Test Procedure <sup>b</sup>
Warm Air Furnace, Gas-Fired	<225,000 Btu/h		78% AFUE or 80% $E_t^d$	DOE 10 CFR Part 430 or ANSI Z21.47
	≥225,000 Btu/h	Maximum Capacity <sup>d</sup>	80% $E_c^e$	ANSI Z21.47
Warm Air Furnace, Oil-Fired	<225,000 Btu/h		78% AFUE or 80% $E_t^d$	DOE 10 CFR Part 430 or UL 727
	≥225,000 Btu/h	Maximum Capacity <sup>e</sup>	81% $E_t^f$	UL 727
Warm Air Duct Furnaces, Gas-Fired	All Capacities	Maximum Capacity <sup>e</sup>	80% $E_c^g$	ANSI Z83.9
Warm Air Unit Heaters, Gas-Fired	All Capacities	Maximum Capacity <sup>e</sup>	80% $E_c^g$	ANSI Z83.8
Warm Air Unit Heaters, Oil-Fired	All Capacities	Maximum Capacity <sup>e</sup>	80% $E_c^g$	UL 731

a  $E_t$  = thermal efficiency. See test procedure for detailed discussion.

b Section 12 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

c  $E_c$  = combustion efficiency. Units must also include an IID, have jacket losses not exceeding 0.75% of the input rating, and have either power venting or a flue damper. A vent damper is an acceptable alternative to a flue damper for those furnaces where combustion air is drawn from the conditioned space.

d Combination units not covered by NAECA (3-phase power or cooling capacity greater than or equal to 65,000 Btu/h) may comply with either rating.

e Minimum and maximum ratings as provided for and allowed by the unit's controls.

f  $E_t$  = thermal efficiency. Units must also include an IID, have jacket losses not exceeding 0.75% of the input rating, and have either power venting or a flue damper. A vent damper is an acceptable alternative to a flue damper for those furnaces where combustion air is drawn from the conditioned space.

g  $E_c$  = combustion efficiency (100% less flue losses). See test procedure for detailed discussion.

**TABLE 6.8.1F Gas- and Oil-Fired Boilers—Minimum Efficiency Requirements**

Equipment Type <sup>a</sup>	Size Category (Input)	Subcategory or Rating Condition	Minimum Efficiency <sup>b</sup>	Test Procedure <sup>c</sup>
Boilers, Gas-Fired	<300,000 Btu/h	Hot Water	80% AFUE	DOE 10 CFR Part 430
		Steam	75% AFUE	
	≥300,000 Btu/h and ≤2,500,000 Btu/h	Maximum Capacity <sup>d</sup>	75% $E_t^b$	H.I. Htg Boiler Std.
	>2,500,000 Btu/h <sup>a</sup>	Hot Water	80% $E_c$	
Boilers, Oil-Fired	<300,000 Btu/h		80% AFUE	DOE 10 CFR Part 430
	≥300,000 Btu/h and ≤2,500,000 Btu/h	Maximum Capacity <sup>d</sup>	78% $E_t^b$	H.I. Htg Boiler Std.
	>2,500,000 Btu/h <sup>a</sup>	Hot Water	83% $E_c$	
	>2,500,000 Btu/h <sup>a</sup>	Steam	83% $E_c$	
Oil-Fired (Residual)	≥300,000 Btu/h and ≤2,500,000 Btu/h	Maximum Capacity <sup>d</sup>	78% $E_t^b$	H.I. Htg Boiler Std.
	>2,500,000 Btu/h <sup>a</sup>	Hot Water	83% $E_c$	
	>2,500,000 Btu/h <sup>a</sup>	Steam	83% $E_c$	

a These requirements apply to boilers with rated input of 8,000,000 Btu/h or less that are not packaged boilers, and to all packaged boilers. Minimum efficiency requirements for boilers cover all capacities of packaged boilers.

b  $E_t$  = thermal efficiency. See reference document for detailed information.

c Section 12 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.

d Minimum and maximum ratings as provided for and allowed by the unit's controls.

**TABLE 6.8.1G Performance Requirements for Heat Rejection Equipment**

All furnaces with input ratings  $\geq 225,000$  Btu/h, including electric furnaces, that are not located within the conditioned space shall have jacket losses not exceeding 0.75% of the input rating.

Equipment Type	Total System Heat Rejection Capacity at Rated Conditions	Subcategory or Rating Condition	Performance Required <sup>a,b</sup>	Test Procedure <sup>c</sup>
Propeller or Axial Fan Cooling Towers	All	95°F Entering Water 85°F Leaving Water 75°F wb <i>Outdoor air</i>	$\geq 38.2$ gpm/hp	CTI ATC-105
Centrifugal Fan Cooling Towers	All	95°F Entering Water 85°F Leaving Water 75°F wb <i>Outdoor air</i>	$\geq 20.0$ gpm/hp	CTI ATC-105
Air-Cooled Condensers	All	125°F Condensing Temperature R-22 Test Fluid 190°F Entering Gas Temperature 15°F Subcooling 95°F Entering db	$\geq 176,000$ Btu/h·hp	ARI 460

a For purposes of this table, cooling tower performance is defined as the maximum flow rating of the tower divided by the fan nameplate rated motor power.

b For purposes of this table, air-cooled condenser performance is defined as the heat rejected from the refrigerant divided by the fan nameplate rated motor power.

c Section 12 contains a complete specification of the referenced test procedure, including the referenced year version of the test procedure.



**TABLE 6.8.1H Minimum Efficiencies for Centrifugal Chillers <150 tons**

Centrifugal Chillers < 150 tons														
COP <sub>std</sub> = 5.00; IPLV <sub>std</sub> = 5.25														
			Condenser Flow Rate											
			2 gpm/ton		2.5 gpm/ton		3 gpm/ton		4 gpm/ton		5 gpm/ton		6 gpm/ton	
Leaving Chilled Water Temperature (°F)	Entering Con- denser Water Temperature (°F)	LIFT <sup>a</sup> (°F)												
			COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>
40	75	35	5.11	5.35	5.33	5.58	5.48	5.73	5.67	5.93	5.79	6.06	5.88	6.15
40	80	40	4.62	4.83	4.92	5.14	5.09	5.32	5.27	5.52	5.38	5.63	5.45	5.70
40	85	45	3.84	4.01	4.32	4.52	4.58	4.79	4.84	5.06	4.98	5.20	5.06	5.29
41	75	34	5.19	5.43	5.41	5.66	5.56	5.81	5.75	6.02	5.89	6.16	5.99	6.26
41	80	39	4.73	4.95	5.01	5.24	5.17	5.41	5.35	5.60	5.46	5.71	5.53	5.78
41	85	44	4.02	4.21	4.46	4.67	4.70	4.91	4.94	5.17	5.06	5.30	5.14	5.38
42	75	33	5.27	5.51	5.49	5.74	5.64	5.90	5.85	6.12	6.00	6.27	6.11	6.39
42	80	38	4.84	5.06	5.10	5.33	5.25	5.49	5.43	5.67	5.53	5.79	5.61	5.87
42	85	43	4.19	4.38	4.59	4.80	4.81	5.03	5.03	5.26	5.15	5.38	5.22	5.46
43	75	32	5.35	5.59	5.57	5.82	5.72	5.99	5.95	6.23	6.11	6.39	6.23	6.52
43	80	37	4.94	5.16	5.18	5.42	5.32	5.57	5.50	5.76	5.62	5.87	5.70	5.96
43	85	42	4.35	4.55	4.71	4.93	4.91	5.13	5.12	5.35	5.23	5.47	5.30	5.54
44	75	31	5.42	5.67	5.65	5.91	5.82	6.08	6.07	6.34	6.24	6.53	6.37	6.67
44	80	36	5.03	5.26	5.26	5.50	5.40	5.65	5.58	5.84	5.70	5.96	5.79	6.05
44	85	41	4.49	4.69	4.82	5.04	5.00	5.25	5.20	5.43	5.30	5.55	5.38	5.62
45	75	30	5.50	5.75	5.74	6.00	5.92	6.19	6.19	6.47	6.38	6.68	6.53	6.83
45	80	35	5.11	5.35	5.33	5.58	5.48	5.73	5.67	5.93	5.79	6.06	5.88	6.15
45	85	40	4.62	4.83	4.92	5.14	5.09	5.32	5.27	5.52	5.38	5.63	5.45	5.70
46	75	29	5.58	5.84	5.83	6.10	6.03	6.30	6.32	6.61	6.54	6.84	6.70	7.00
46	80	34	5.19	5.43	5.41	5.66	5.56	5.81	5.75	6.02	5.89	6.16	5.99	6.26
46	85	39	4.73	4.95	5.01	5.24	5.17	5.41	5.35	5.60	5.46	5.71	5.53	5.78
47	75	28	6.26	6.66	6.56	6.97	6.79	7.22	7.16	7.61	7.42	7.88	7.61	8.09
47	80	33	5.83	6.20	6.07	6.45	6.23	6.63	6.47	6.88	6.63	7.05	6.75	7.18
47	85	38	5.35	5.69	5.64	5.99	5.80	6.17	6.00	6.38	6.12	6.50	6.20	6.59
48	75	27	6.36	6.76	6.68	7.10	6.94	7.38	7.34	7.80	7.62	8.10	7.83	8.33
48	80	32	5.91	6.29	6.15	6.54	6.33	6.73	6.58	7.00	6.76	7.19	6.89	7.33
48	85	37	5.46	5.80	5.73	6.09	5.89	6.26	6.08	6.47	6.21	6.60	6.30	6.69
Condenser DT <sup>b</sup>			14.04		11.23		9.36		7.02		5.62		4.68	

<sup>a</sup> LIFT = Entering Condenser Water Temperature – Leaving Chilled Water Temperature

<sup>b</sup> Condenser DT = Leaving Condenser Water Temperature (F) – Entering Condenser Water Temperature (F)

<sup>c</sup> All NPLV values shown are NPLV except at conditions of 3 gpm/ton Condenser Flow Rate with 44 deg F Leaving Chilled Water Temperature and 85 deg F Entering Condenser Water Temperature which is IPLV

$$K_{adj} = 6.1507 - 0.30244(X) + 0.0062692(X)^2 - 0.000045595(X)^3$$

where X = Condenser DT + LIFT

$$COP_{adj} = K_{adj} * COP_{std}$$

The table values are only applicable over the following full load design ranges:

Leaving Chilled Water Temp.: 40 to 48°F

Entering Condenser water Temp.: 75 to 85°F

Condensing Water Temp. Rise: 5 to 15°F

Chillers designed to operate outside these ranges are not covered by this standard

**TABLE 6.8.11 Minimum Efficiencies for Centrifugal Chillers ≥150 tons, ≤300 tons**

Centrifugal Chillers ≥150 tons, ≤300 tons														
COP <sub>std</sub> = 5.55; IPLV <sub>std</sub> = 5.90														
			Condenser Flow Rate											
			2 gpm/ton		2.5 gpm/ton		3 gpm/ton		4 gpm/ton		5 gpm/ton		6 gpm/ton	
Leaving Chilled Water Temperature (°F)	Entering Condenser Water Temperature (°F)	LIFT <sup>a</sup> (°F)	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>
40	75	35	5.65	6.03	5.90	6.29	6.05	6.46	6.26	6.68	6.40	6.83	6.51	6.94
40	80	40	5.10	5.44	5.44	5.80	5.62	6.00	5.83	6.22	5.95	6.35	6.03	6.43
40	85	45	4.24	4.52	4.77	5.09	5.06	5.40	5.35	5.71	5.50	5.87	5.59	5.97
41	75	34	5.74	6.13	5.80	6.38	6.14	6.55	6.36	6.79	6.51	6.95	6.62	7.06
41	80	39	5.23	5.58	5.54	5.91	5.71	6.10	5.91	6.31	6.03	6.44	6.11	6.52
41	85	44	4.45	4.74	4.93	5.26	5.19	5.54	5.46	5.82	5.60	5.97	5.69	6.07
42	75	33	5.83	6.22	6.07	6.47	6.23	6.65	6.47	6.90	6.63	7.07	6.75	7.20
42	80	38	5.35	5.71	5.64	6.01	5.80	6.19	6.00	6.40	6.12	6.53	6.20	6.62
42	85	43	4.63	4.94	5.08	5.41	5.31	5.67	5.56	5.93	5.69	6.07	5.77	6.16
43	75	32	5.91	6.31	6.15	6.56	6.33	6.75	6.58	7.02	6.76	7.21	6.89	7.35
43	80	37	5.46	5.82	5.73	6.11	5.89	6.28	6.08	6.49	6.21	6.62	6.30	6.72
43	85	42	4.81	5.13	5.21	5.55	5.42	5.79	5.66	6.03	5.78	6.16	5.86	6.25
44	75	31	6.00	6.40	6.24	6.66	6.43	6.86	6.71	7.15	6.90	7.36	7.05	7.52
44	80	36	5.56	5.93	5.81	6.20	5.97	6.37	6.17	6.58	6.30	6.72	6.40	6.82
44	85	41	4.96	5.29	5.33	5.68	5.55	5.90	5.74	6.13	5.86	6.26	5.94	6.34
45	75	30	6.08	6.49	6.34	6.76	6.54	6.98	6.84	7.30	7.06	7.53	7.22	7.70
45	80	35	5.65	6.03	5.90	6.29	6.05	6.46	6.26	6.68	6.40	6.83	6.51	6.94
45	85	40	5.10	5.44	5.44	5.80	5.62	6.00	5.83	6.22	5.95	6.35	6.03	6.43
46	75	29	6.17	6.58	6.44	6.87	6.66	7.11	6.99	7.46	7.23	7.71	7.40	7.90
46	80	34	5.74	6.13	5.80	6.38	6.14	6.55	6.36	6.79	6.51	6.95	6.62	7.06
46	85	39	5.23	5.58	5.54	5.91	5.71	6.10	5.91	6.31	6.03	6.44	6.11	6.52
47	75	28	6.26	6.66	6.56	6.97	6.79	7.22	7.16	7.61	7.42	7.88	7.61	8.09
47	80	33	5.83	6.20	6.07	6.45	6.23	6.63	6.47	6.88	6.63	7.05	6.75	7.18
47	85	38	5.35	5.69	5.64	5.99	5.80	6.17	6.00	6.38	6.12	6.50	6.20	6.59
48	75	27	6.36	6.76	6.68	7.10	6.94	7.38	7.34	7.80	7.62	8.10	7.83	8.33
48	80	32	5.91	6.29	6.15	6.54	6.33	6.73	6.58	7.00	6.76	7.19	6.89	7.33
48	85	37	5.46	5.80	5.73	6.09	5.89	6.26	6.08	6.47	6.21	6.60	6.30	6.69
Condenser DT <sup>b</sup>			14.04		11.23		9.36		7.02		5.62		4.68	

<sup>a</sup> LIFT = Entering Condenser Water Temperature – Leaving Chilled Water Temperature

<sup>b</sup> Condenser DT = Leaving Condenser Water Temperature (F) – Entering Condenser Water Temperature (F)

<sup>c</sup> All NPLV values shown are NPLV except at conditions of 3 gpm/ton Condenser Flow Rate with 44 deg F Leaving Chilled Water Temperature and 85 deg F Entering Condenser Water Temperature which is IPLV

$$K_{adj} = 6.1507 - 0.30244(X) + 0.0062692(X)^2 - 0.000045595(X)^3$$

where X = Condenser DT + LIFT

$$COP_{adj} = K_{adj} * COP_{std}$$

The table values are only applicable over the following full load design ranges:

Leaving Chilled Water Temp.: 40 to 48°F

Entering Condenser water Temp.: 75 to 85°F

Condensing Water Temp. Rise: 5 to 15°F

Chillers designed to operate outside these ranges are not covered by this standard

**TABLE 6.8.1J Minimum Efficiencies for Centrifugal Chillers >300 tons**

Centrifugal Chillers > 300 Tons														
COP <sub>std</sub> = 6.10; IPLV <sub>std</sub> = 6.40														
			Condenser Flow Rate											
			2 gpm/ton		2.5 gpm/ton		3 gpm/ton		4 gpm/ton		5 gpm/ton		6 gpm/ton	
Leaving Chilled Water Temperature (°F)	Entering Condenser Water Temperature (°F)	LIFT <sup>a</sup> (°F)	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>	COP	NPLV <sup>c</sup>
40	75	35	6.23	6.55	6.50	6.83	6.68	7.01	6.91	7.26	7.06	7.42	7.17	7.54
40	80	40	5.63	5.91	6.00	6.30	6.20	6.52	6.43	6.76	6.56	6.89	6.65	6.98
40	85	45	4.68	4.91	5.26	5.53	5.58	5.86	5.90	6.20	6.07	6.37	6.17	6.48
41	75	34	6.33	6.65	6.60	6.93	6.77	7.12	7.02	7.37	7.18	7.55	7.30	7.67
41	80	39	5.77	6.06	6.11	6.42	6.30	6.62	6.52	6.85	6.65	6.99	6.74	7.08
41	85	44	4.90	5.15	5.44	5.71	5.72	6.01	6.02	6.33	6.17	6.49	6.27	6.59
42	75	33	6.43	6.75	6.69	7.03	6.87	7.22	7.13	7.49	7.31	7.68	7.44	7.82
42	80	38	5.90	6.20	6.21	6.53	6.40	6.72	6.61	6.95	6.75	7.09	6.84	7.19
42	85	43	5.11	5.37	5.60	5.88	5.86	6.16	6.13	6.44	6.28	6.59	6.37	6.69
43	75	32	6.52	6.85	6.79	7.13	6.98	7.33	7.26	7.63	7.45	7.83	7.60	7.98
43	80	37	6.02	6.32	6.31	6.63	6.49	6.82	6.71	7.05	6.85	7.19	6.94	7.30
43	85	42	5.30	5.57	5.74	6.03	5.98	6.28	6.24	6.55	6.37	6.70	6.46	6.79
44	75	31	6.61	6.95	6.89	7.23	7.09	7.45	7.40	7.77	7.61	8.00	7.77	8.16
44	80	36	6.13	6.44	6.41	6.73	6.58	6.92	6.81	7.15	6.95	7.30	7.05	7.41
44	85	41	5.47	5.75	5.87	6.17	6.10	6.40	6.33	6.66	6.47	6.79	6.55	6.89
45	75	30	6.71	7.05	6.99	7.35	7.21	7.58	7.55	7.93	7.78	8.18	7.96	8.36
45	80	35	6.23	6.55	6.50	6.83	6.68	7.01	6.91	7.26	7.06	7.42	7.17	7.54
45	85	40	5.63	5.91	6.00	6.30	6.20	6.52	6.43	6.76	6.56	6.89	6.65	6.98
46	75	29	6.80	7.15	7.11	7.47	7.35	7.72	7.71	8.10	7.97	8.37	8.16	8.58
46	80	34	6.33	6.65	6.60	6.93	6.77	7.12	7.02	7.37	7.18	7.55	7.30	7.67
46	85	39	5.77	6.06	6.11	6.42	6.30	6.62	6.52	6.85	6.65	6.99	6.74	7.08
47	75	28	6.88	7.22	7.21	7.56	7.47	7.84	7.87	8.25	8.15	8.55	8.36	8.77
47	80	33	6.41	6.72	6.67	7.00	6.85	7.19	7.11	7.46	7.29	7.65	7.42	7.79
47	85	38	5.88	6.17	6.19	6.50	6.38	6.69	6.59	6.92	6.73	7.06	6.82	7.15
48	75	27	6.99	7.33	7.34	7.70	7.63	8.00	8.07	8.46	8.38	8.79	8.61	9.03
48	80	32	6.50	6.82	6.76	7.10	6.96	7.30	7.23	7.59	7.43	7.79	7.58	7.95
48	85	37	6.00	6.29	6.29	6.60	6.47	6.79	6.69	7.02	6.82	7.16	6.92	7.26
Condenser DT <sup>b</sup>			14.04		11.23		9.36		7.02		5.62		4.68	

<sup>a</sup> LIFT = Entering Condenser Water Temperature – Leaving Chilled Water Temperature

<sup>b</sup> Condenser DT = Leaving Condenser Water Temperature (F) – Entering Condenser Water Temperature (F)

<sup>c</sup> All NPLV values shown are NPLV except at conditions of 3 gpm/ton Condenser Flow Rate with 44 deg F Leaving Chilled Water Temperature and 85 deg F Entering Condenser Water Temperature which is IPLV

$$K_{adj} = 6.1507 - 0.30244(X) + 0.0062692(X)^2 - 0.000045595(X)^3$$

where X = Condenser DT + LIFT

$$COP_{adj} = K_{adj} * COP_{std}$$

The table values are only applicable over the following full load design ranges:

Leaving Chilled Water Temp.: 40 to 48°F

Entering Condenser water Temp.: 75 to 85°F

Condensing Water Temp. Rise: 5 to 15°F

Chillers designed to operate outside these ranges are not covered by this standard

## 6.8.2 Duct Insulation Tables

**TABLE 6.8.2A Minimum Duct Insulation R-Value<sup>a</sup>, Cooling and Heating Only Supply Ducts and Return Ducts**

Climate Zone	Duct Location						
	Exterior	Ventilated Attic	Unvented Attic Above Insulated Ceiling	Unvented Attic with Roof Insulation	Unconditioned Space <sup>b</sup>	Indirectly Conditioned Space <sup>c</sup>	Buried
<b>Heating Ducts Only</b>							
1, 2	none	none	none	none	none	none	none
3	R-3.5	none	none	none	none	none	none
4	R-3.5	none	none	none	none	none	none
5	R-6	R-3.5	none	none	none	none	R-3.5
6	R-6	R-6	R-3.5	none	none	none	R-3.5
7	R-8	R-6	R-6	none	R-3.5	none	R-3.5
8	R-8	R-8	R-6	none	R-6	none	R-6
<b>Cooling Only Ducts</b>							
1	R-1.9	R-1.9	R-1.9	R-1.9	R-1.9	none	none
2	R-3.5	R-1.9	R-3.5	R-1.9	R-1.9	none	none
3	R-3.5	R-3.5	R-6	R-1.9	R-1.9	none	none
4	R-6	R-6	R-6	R-3.5	R-1.9	none	none
5, 6	R-6	R-6	R-6	R-3.5	R-3.5	none	R-3.5
7, 8	R-6	R-6	R-8	R-3.5	R-3.5	none	R-3.5
<b>Return Ducts</b>							
1 to 8	R-3.5	R-3.5	R-3.5	none	none	none	none

- a Insulation R-values, measured in (h·ft<sup>2</sup>·°F)/Btu, are for the insulation as installed and do not include film resistance. The required minimum thicknesses do not consider water vapor transmission and possible surface condensation. Where exterior walls are used as plenum walls, wall insulation shall be as required by the most restrictive condition of 6.4.4.2 or Section 5. Insulation resistance measured on a horizontal plane in accordance with ASTM C518 at a mean temperature of 75°F at the installed thickness.
- b Includes crawl spaces, both ventilated and nonventilated.
- c Includes return air plenums with or without exposed roofs above.

**TABLE 6.8.2B Minimum Duct Insulation R-Value<sup>a</sup>, Combined Heating and Cooling Supply Ducts and Return Ducts**

Climate Zone	Duct Location						
	Exterior	Ventilated Attic	Unvented Attic Above Insulated Ceiling	Unvented Attic w/ Roof Insulation <sup>a</sup>	Unconditioned Space <sup>b</sup>	Indirectly Conditioned Space <sup>c</sup>	Buried
<b>Supply Ducts</b>							
1	R-6	R-6	R-8	R-3.5	R-3.5	none	R-3.5
2	R-6	R-6	R-6	R-3.5	R-3.5	none	R-3.5
3	R-6	R-6	R-6	R-3.5	R-3.5	none	R-3.5
4	R-6	R-6	R-6	R-3.5	R-3.5	none	R-3.5
5	R-6	R-6	R-6	R-1.9	R-3.5	none	R-3.5
6	R-8	R-6	R-6	R-1.9	R-3.5	none	R-3.5
7	R-8	R-6	R-6	R-1.9	R-3.5	none	R-3.5
8	R-8	R-8	R-8	R-1.9	R-6	none	R-6
<b>Return Ducts</b>							
1 to 8	R-3.5	R-3.5	R-3.5	none	none	none	none

- a Insulation R-values, measured in (h·ft<sup>2</sup>·°F)/Btu, are for the insulation as installed and do not include film resistance. The required minimum thicknesses do not consider water vapor transmission and possible surface condensation. Where exterior walls are used as plenum walls, wall insulation shall be as required by the most restrictive condition of 6.4.4.2 or Section 5. Insulation resistance measured on a horizontal plane in accordance with ASTM C518 at a mean temperature of 75°F at the installed thickness.
- b Includes crawl spaces, both ventilated and non-ventilated.
- c Includes return air plenums with or without exposed roofs above.

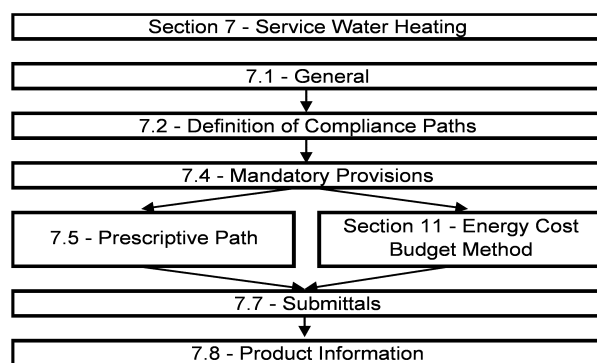
**TABLE 6.8.3 Minimum Pipe Insulation Thickness<sup>a</sup>**

Fluid Design Operating Temp. Range (°F)	Insulation Conductivity		Nominal Pipe or Tube Size (in.)				
	Conductivity Btu·in./(h·ft <sup>2</sup> ·°F)	Mean Rating Temp. °F	<1	1 to <1-1/2	1-1/2 to <4	4 to <8	≥8
<b>Heating Systems (Steam, Steam Condensate, and Hot Water)<sup>b,c</sup></b>							
>350	0.32-0.34	250	2.5	3.0	3.0	4.0	4.0
251-350	0.29-0.32	200	1.5	2.5	3.0	3.0	3.0
201-250	0.27-0.30	150	1.5	1.5	2.0	2.0	2.0
141-200	0.25-0.29	125	1.0	1.0	1.0	1.5	1.5
105-140	0.22-0.28	100	0.5	0.5	1.0	1.0	1.0
<b>Domestic and Service Hot Water Systems</b>							
105+	0.22-0.28	100	0.5	0.5	1.0	1.0	1.0
<b>Cooling Systems (Chilled Water, Brine, and Refrigerant)<sup>d</sup></b>							
40-60	0.22-0.28	100	0.5	0.5	1.0	1.0	1.0
<40	0.22-0.28	100	0.5	1.0	1.0	1.0	1.5

- a For insulation outside the stated conductivity range, the minimum thickness ( $T$ ) shall be determined as follows:  

$$T = r \{ (1 + t/r)^{K/k} - 1 \}$$
where  $T$  = minimum insulation thickness (in.),  $r$  = actual outside radius of pipe (in.),  $t$  = insulation thickness listed in this table for applicable fluid temperature and pipe size,  $K$  = conductivity of alternate material at mean rating temperature indicated for the applicable fluid temperature (Btu·in./[h·ft<sup>2</sup>·°F]); and  $k$  = the upper value of the conductivity range listed in this table for the applicable fluid temperature.
- b These thicknesses are based on energy *efficiency* considerations only. Additional insulation is sometimes required relative to safety issues/surface temperature.
- c Piping insulation is not required between the control valve and coil on run-outs when the control valve is located within 4 ft of the coil and the pipe size is 1 in. or less.
- d These thicknesses are based on energy *efficiency* considerations only. Issues such as water vapor permeability or surface condensation sometimes require vapor retarders or additional insulation.

## 7. SERVICE WATER HEATING



### 7.1 General

#### 7.1.1 Service Water Heating Scope.

**7.1.1.1 New Buildings.** Service water heating *systems* and *equipment* shall comply with the requirements of this section as described in Section 7.2.

**7.1.1.2 Additions to Existing Buildings.** Service water heating *systems* and *equipment* shall comply with the requirements of this section

**Exception to 7.1.1.2:** When the service water heating to an *addition* is provided by existing service water heating systems and equipment, such systems and equipment shall not be required to comply with this standard. However, any new systems or equipment installed must comply with specific requirements applicable to those systems and equipment.

**7.1.1.3 Alterations to Existing Buildings.** Building service water heating equipment installed as a direct replacement for *existing building* service water heating equipment shall comply with the requirements of Section 7 applicable to the equipment being replaced. New and replacement piping shall comply with 7.4.3.

**Exception to 7.1.1.3:** Compliance shall not be required where there is insufficient space or access to meet these requirements.

### 7.2 Compliance Path(s)

**7.2.1** Compliance shall be achieved by meeting the requirements of 7.1, General; 7.4, Mandatory Provisions; 7.5, Prescriptive Path; 7.7, Submittals; and 7.8, Product Information.

**7.2.2** Projects using the Energy Cost Budget Method (Section 11) for demonstrating compliance with the standard shall meet the requirements of 7.4 (Mandatory Provisions) in conjunction with Section 11 (Energy Cost Budget Method).

### 7.3 Simplified/Small Building Option: (Not Used)

### 7.4 Mandatory Provisions

**7.4.1 Load Calculations.** Service water heating *system* design loads for the purpose of sizing *systems* and *equipment* shall be determined in accordance with *manufacturers'* published sizing guidelines or generally accepted engineering standards and handbooks acceptable to the *adopting authority* (e.g., *ASHRAE Handbook—HVAC Applications*).

**7.4.2 Equipment Efficiency.** All water heating *equipment*, hot water supply boilers used solely for heating potable water, pool heaters, and hot water storage tanks shall meet the criteria listed in Table 7.8. Where multiple criteria are listed, all criteria shall be met. Omission of minimum performance requirements for certain classes of *equipment* does not preclude use of such *equipment* where appropriate. Equipment not listed in Table 7.8 has no minimum performance requirements.

**Exception to 7.4.2:** All water heaters and hot water supply boilers having more than 140 gal of storage capacity are not required to meet the *standby loss* (SL) requirements of Table 7.8 when

- (a) the tank surface is thermally insulated to R-12.5, and
- (b) a standing pilot light is not installed, and
- (c) gas- or oil-fired storage water heaters have a flue damper or fan-assisted combustion.

**7.4.3 Service Hot Water Piping Insulation.** The following piping shall be insulated to levels shown in Section 6, Table 6.8.3:

- (a) Recirculating system piping, including the supply and return piping of a circulating tank type water heater.
- (b) The first 8 ft of outlet piping for a constant temperature nonrecirculating storage *system*.
- (c) The inlet pipe between the storage tank and a heat trap in a nonrecirculating storage *system*.
- (d) Pipes that are externally heated (such as heat trace or impedance heating).

### 7.4.4 Service Water Heating System Controls

**7.4.4.1 Temperature Controls.** Temperature controls shall be provided that allow for storage temperature adjustment from 120°F or lower to a maximum temperature compatible with the intended use.

**Exception to 7.4.4.1:** When the *manufacturer's* installation instructions specify a higher minimum thermostat setting to minimize condensation and resulting corrosion.

**7.4.4.2 Temperature Maintenance Controls.** Systems designed to maintain usage temperatures in hot water pipes, such as recirculating hot water systems or heat trace, shall be equipped with automatic time switches or other controls that can be set to switch off the usage temperature maintenance system during extended periods when hot water is not required.

**7.4.4.3 Outlet Temperature Controls.** Temperature controlling means shall be provided to limit the maximum temperature of water delivered from lavatory faucets in public facility restrooms to 110°F.

**7.4.4.4 Circulating Pump Controls.** When used to maintain storage tank water temperature, recirculating pumps shall be equipped with controls limiting operation to a period from the start of the heating cycle to a maximum of five minutes after the end of the heating cycle.

### 7.4.5 Pools

**7.4.5.1 Pool Heaters.** Pool heaters shall be equipped with a readily accessible on-off switch to allow shutting off the heater without adjusting the thermostat setting. Pool heaters fired by natural gas shall not have continuously burning pilot lights.

**7.4.5.2 Pool Covers.** Heated pools shall be equipped with a vapor retardant pool cover on or at the water surface. Pools heated to more than 90°F shall have a pool cover with a minimum insulation value of R-12.

**Exception to 7.4.5.2:** Pools deriving over 60% of the energy for heating from *site-recovered energy or solar energy source*.

**7.4.5.3 Time Switches.** Time switches shall be installed on swimming pool heaters and pumps.

**Exceptions to 7.4.5.3:**

- (a) Where public health standards require 24-hour pump operation.
- (b) Where pumps are required to operate solar and waste heat recovery pool heating *systems*.

**7.4.6 Heat Traps.** Vertical pipe risers serving storage water heaters and storage tanks not having integral heat traps and serving a nonrecirculating system shall have heat traps on both the inlet and outlet piping as close as practical to the storage tank. A heat trap is a means to counteract the natural convection of heated water in a vertical pipe run. The means is either a device specifically designed for the purpose or an arrangement of tubing that forms a loop of 360 degrees or piping that from the point of connection to the water heater (inlet or outlet) includes a length of piping directed downward before connection to the vertical piping of the supply water or hot water distribution system, as applicable.

## 7.5 Prescriptive Path

**7.5.1 Space Heating and Water Heating.** The use of a gas-fired or oil-fired space heating boiler system otherwise complying with Section 6 to provide the total space heating and water heating for a building is allowed when one of the following conditions is met.

- (a) The single space heating boiler, or the component of a modular or multiple boiler system that is heating the service water, has a standby loss in Btu/h not exceeding

$$(13.3 \times pmd + 400) / n$$

where *pmd* is the probable maximum demand in gal/h, determined in accordance with the procedures described in generally accepted engineering standards and handbooks, and *n* is the fraction of the year when the outdoor daily mean temperature is greater than 64.9°F.

The standby loss is to be determined for a test period of 24 hours duration while maintaining a boiler water temperature of at least 90°F above ambient, with an ambient temperature between 60°F and 90°F. For a boiler with a modulating burner, this test shall be conducted at the lowest input.

- (b) It is demonstrated to the satisfaction of the *authority having jurisdiction* that the use of a single heat source will consume less energy than separate units.
- (c) The energy input of the combined boiler and water heater system is less than 150,000 Btu/h.

**7.5.2 Service Water Heating Equipment.** Service water heating *equipment* used to provide the additional function of space heating as part of a combination (integrated) *system* shall satisfy all stated requirements for the service water heating *equipment*

## 7.6 Alternative Compliance Path (Not Used)

## 7.7 Submittals

**7.7.1 General.** *Authority having jurisdiction* may require submittal of compliance documentation and supplemental information, in accord with Section 4.2.2 of this standard.

## 7.8 Product Information

**TABLE 7.8 Performance Requirements for Water Heating Equipment**

Equipment Type	Size Category (Input)	Subcategory or Rating Condition	Performance Required <sup>a</sup>	Test Procedure <sup>b</sup>
Electric Water Heaters	≤12 kW	Resistance ≥20 gal	0.93-0.00132V EF	DOE 10 CFR Part 430
	>12 kW	Resistance≥20 gal	20 + 35 V SL, Btu/h	ANSI Z21.10.3
	≤24 Amps and ≤250 Volts	Heat Pump	0.93-0.00132V EF	DOE 10 CFR Part 430
Gas Storage Water Heaters	≤75,000 Btu/h	≥20 gal	0.62-0.0019V EF	DOE 10 CFR Part 430
	>75,000 Btu/h	<4000 (Btu/h)/gal	80% $E_t$ (Q/800 + 110 V) SL, Btu/h	ANSI Z21.10.3
Gas Instantaneous Water Heaters	>50,000 Btu/h and <200,000 Btu/h	≥4000 (Btu/h)/gal and <2 gal	0.62-0.0019V EF	DOE 10 CFR Part 430
	≥200,000 Btu/h <sup>c</sup>	≥4000 (Btu/h)/gal and <10 gal	80% $E_t$	ANSI Z21.10.3
	≥200,000 Btu/h	≥4000 (Btu/h)/gal and ≥10 gal	80% $E_t$ (Q/800 + 110 V) SL, Btu/h	
Oil Storage Water Heaters	≤105,000 Btu/h	≥20 gal	0.59-0.0019V EF	DOE 10 CFR Part 430
	>105,000 Btu/h	<4000 (Btu/h)/gal	78% $E_t$ (Q/800 + 110 V) SL, Btu/h	ANSI Z21.10.3
Oil Instantaneous Water Heaters	≤210,000 Btu/h	≥4000 (Btu/h)/gal and <2 gal	0.59-0.0019V EF	DOE 10 CFR Part 430
	>210,000 Btu/h	≥4000 (Btu/h)/gal and <10 gal	80% $E_t$	ANSI Z21.10.3
	>210,000 Btu/h	≥4000 (Btu/h)/gal and ≥10 gal	78% $E_t$ (Q/800 + 110 V) SL, Btu/h	
Hot Water Supply Boilers, Gas and Oil	≥300,000 Btu/h and <12,500,000 Btu/h	≥4000 (Btu/h)/gal and <10 gal	80% $E_t$	ANSI Z21.10.3
Hot Water Supply Boilers, Gas		≥4000 (Btu/h)/gal and ≥10 gal	80% $E_t$ (Q/800 + 110 V) SL, Btu/h	
Hot Water Supply Boilers, Oil		≥4000 (Btu/h)/gal and ≥10 gal	78% $E_t$ (Q/800 + 110 V) SL, Btu/h	
Pool Heaters Oil and Gas	All		78% $E_t$	ASHRAE 146
Heat Pump Pool Heaters	All		4.0 COP	ASHRAE 146
Unfired Storage Tanks	All		R-12.5	(none)

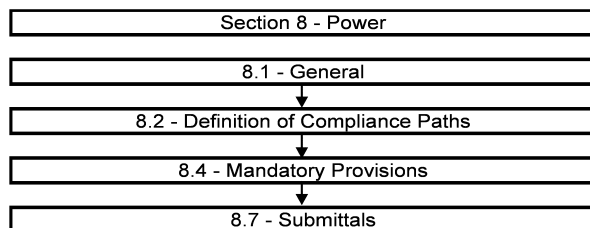
<sup>a</sup> Energy factor (EF) and thermal efficiency ( $E_t$ ) are minimum requirements, while standby loss (SL) is maximum Btu/h based on a 70°F temperature difference between stored water and ambient requirements. In the EF equation,  $V$  is the rated volume in gallons. In the SL equation,  $V$  is the rated volume in gallons and  $Q$  is the nameplate input rate in Btu/h.

<sup>b</sup> Section 12 contains a complete specification, including the year version, of the referenced test procedure.

<sup>c</sup> Instantaneous water heaters with input rates below 200,000 Btu/h must comply with these requirements if the water heater is designed to heat water to temperatures 180°F or higher.



## 8. POWER



**8.1 General.** This section applies to all building power distribution *systems*.

### 8.2 Compliance Path(s)

**8.2.1** Power distribution systems in all projects shall comply with the requirements of 8.1, General; 8.4, Mandatory Provisions; and 8.7, Submittals.

### 8.3 Simplified/Small Building Option: (Not Used)

### 8.4 Mandatory Provisions

#### 8.4.1 Voltage Drop

**8.4.1.1 Feeders.** *Feeder conductors* shall be sized for a maximum *voltage drop* of 2% at design load.

**8.4.1.2 Branch Circuits.** *Branch circuit* conductors shall be sized for a maximum *voltage drop* of 3% at design load.

### 8.5 Prescriptive Path (Not Used)

### 8.6 Alternative Compliance Path (Not Used)

### 8.7 Submittals:

**8.7.1 Drawings.** Construction documents shall require that within 30 days after the date of system acceptance, record drawings of the actual installation shall be provided to the building owner, including

- (a) a single-line diagram of the building electrical distribution system and
- (b) floor plans indicating location and area served for all distribution.

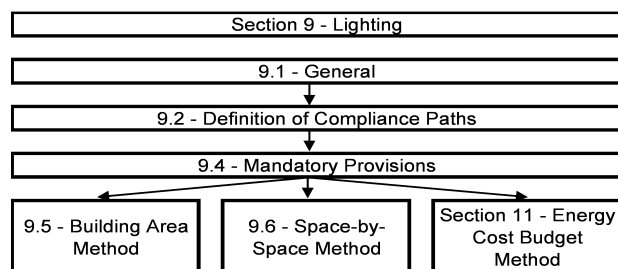
**8.7.2 Manuals.** Construction documents shall require that an operating manual and maintenance manual be provided to the building owner. The manuals shall include, at a minimum, the following:

- (a) Submittal data stating *equipment* rating and selected options for each piece of *equipment* requiring maintenance.
- (b) Operation manuals and maintenance manuals for each piece of *equipment* requiring maintenance. Required routine maintenance actions shall be clearly identified.
- (c) Names and addresses of at least one qualified *service agency*.
- (d) A complete narrative of how each system is intended to operate.

(Enforcement agencies should only check to be sure that the construction documents require this information to be transmitted to the owner and should not expect copies of any of the materials.)

### 8.8 Product Information (Not Used)

## 9. LIGHTING



### 9.1 General

**9.1.1 Scope:** This section shall apply to the following:

- interior spaces of *buildings*;
- exterior building features, including facades, illuminated roofs, architectural features, entrances, exits, loading docks, and illuminated canopies; and
- exterior building grounds lighting provided through the *building's electrical service*.

#### Exceptions to 9.1.1:

- emergency lighting that is automatically off during normal *building* operation,
- lighting within living units,
- lighting that is specifically designated as required by a health or life safety statute, ordinance, or regulation,
- decorative gas lighting systems.

**9.1.2 Lighting Alterations.** The replacement of lighting *systems* in any building space shall comply with the lighting power density requirements of Section 9 applicable to that space. New lighting *systems* shall comply with the applicable lighting power density requirements of Section 9. Any new *control devices* as a direct replacement of existing *control devices* shall comply with the specific requirements of 9.4.1.2(b).

**Exception to 9.1.2:** *Alterations* that replace less than 50% of the *luminaires* in a *space* need not comply with these requirements provided that such *alterations* do not increase the installed interior lighting power.

**9.1.3 Installed Interior Lighting Power.** The *installed interior lighting power* shall include all power used by the *luminaires*, including *lamps*, *ballasts*, current regulators, and *control devices* except as specifically exempted in 9.2.2.3.

**Exception to 9.1.3:** If two or more independently operating lighting systems in a space are capable of being controlled to prevent simultaneous user operation, the installed interior lighting power shall be based solely on the lighting system with the highest wattage.

**9.1.4 Luminaire Wattage.** Luminaire wattage incorporated into the installed interior lighting power shall be determined in accordance with the following criteria:

- The wattage of incandescent or tungsten-halogen luminaires with medium screw base sockets and not

containing permanently installed ballasts shall be the maximum labeled wattage of the luminaire.

- The wattage of luminaires with permanently installed or remote ballasts or *transformers* shall be the operating input wattage of the maximum lamp/auxiliary combination based on values from the auxiliary *manufacturer's* literature or recognized testing laboratories.
- The wattage of line-voltage lighting track and plug-in busway that allow the addition and/or relocation of luminaires without altering the wiring of the system shall be the specified wattage of the luminaires included in the system with a minimum of 30 W/lin ft.
- The wattage of low-voltage lighting track, cable conductor, rail conductor, and other flexible lighting systems that allow the addition and/or relocation of luminaires without altering the wiring of the system shall be the specified wattage of the transformer supplying the system.
- The wattage of all other miscellaneous lighting equipment shall be the specified wattage of the lighting equipment.

### 9.2 Compliance Path(s)

**9.2.1 Lighting systems and equipment** shall comply with 9.1, General; 9.4, Mandatory Provisions; and the prescriptive requirements of either:

- 9.5, Building Area Method, or
- 9.6, Space-by-Space Method.

#### 9.2.2 Prescriptive Requirements

**9.2.2.1 The Building Area Method** for determining the *interior lighting power allowance*, described in 9.5, is a simplified approach for demonstrating compliance.

**9.2.2.2 The Space-by-Space Method**, described in 9.6, is an alternative approach that allows greater flexibility.

**9.2.2.3 Interior Lighting Power.** The *interior lighting power allowance* for a *building* or a separately metered or permitted portion of a *building* shall be determined by either the *Building Area Method* described in 9.5 or the *Space-by-Space Method* described in 9.6. Trade-offs of *interior lighting power allowance* among portions of the *building* for which a different method of calculation has been used are not permitted. The *installed interior lighting power* identified in accordance with 9.1.3 shall not exceed the *interior lighting power allowance* developed in accordance with 9.5 or 9.6.

**Exceptions to 9.2.2.3:** The following *lighting equipment* and applications shall not be considered when determining the *interior lighting power allowance* developed in accordance with 9.5 or 9.6, nor shall the wattage for such lighting be included in the *installed interior lighting power* identified in accordance with 9.1.3. However, any such lighting shall not be exempt unless it is an addition to general lighting and is controlled by an independent *control device*.

- Display or accent lighting that is an essential element for the function performed in galleries, museums, and monuments.

- (b) Lighting that is integral to *equipment* or instrumentation and is installed by its *manufacturer*.
- (c) Lighting specifically designed for use only during medical or dental procedures and lighting integral to medical *equipment*.
- (d) Lighting integral to both open and glass-enclosed refrigerator and freezer cases.
- (e) Lighting integral to food warming and food preparation *equipment*.
- (f) Lighting for plant growth or maintenance.
- (g) Lighting in spaces specifically designed for use by the visually impaired.
- (h) Lighting in *retail* display windows, provided the display area is enclosed by ceiling-height partitions.
- (i) Lighting in interior spaces that have been specifically designated as a registered interior *historic* landmark.
- (j) Lighting that is an integral part of advertising or directional signage.
- (k) Exit signs.
- (l) Lighting that is for sale or lighting educational demonstration *systems*.
- (m) Lighting for theatrical purposes, including performance, stage, and film and video production.
- (n) Lighting for television broadcasting in sporting activity areas.
- (o) Casino gaming areas.

### 9.3 (Not Used)

## 9.4 Mandatory Provisions

### 9.4.1 Lighting Control

**9.4.1.1 Automatic Lighting Shutoff.** Interior lighting in *buildings* larger than 5000 ft<sup>2</sup> shall be controlled with an *automatic control device* to shut off *building* lighting in all spaces. This *automatic control device* shall function on either

- (a) a scheduled basis using a time-of-day operated control device that turns lighting off at specific programmed times—an independent program schedule shall be provided for areas of no more than 25,000 ft<sup>2</sup> but not more than one floor—or
- (b) an *occupant sensor* that shall turn lighting off within 30 minutes of an occupant leaving a space—or
- (c) a signal from another control or alarm system that indicates the area is unoccupied.

**Exceptions to 9.4.1.1:** The following shall not require an *automatic control device*:

- (a) Lighting intended for 24-hour operation
- (b) Lighting in spaces where patient care is rendered.
- (c) Spaces where an automatic shutoff would endanger the safety or security of the room or building occupant(s).

**9.4.1.2 Space Control.** Each space enclosed by ceiling-height partitions shall have at least one *control device* to independently *control* the *general lighting* within the space. Each manual device shall be readily accessible and located so the occupants can see the controlled lighting.

- (a) A control device shall be installed that automatically turns lighting off within 30 minutes of all occupants leaving a space, except spaces with multi-scene control, in
  1. classrooms (not including shop classrooms, laboratory classrooms, and preschool through 12<sup>th</sup> grade classrooms),
  2. conference/meeting rooms,
  3. employee lunch and break rooms.

These spaces are not required to be connected to other automatic lighting shutoff controls.

- (b) For all other spaces, each *control device* shall be activated either manually by an occupant or automatically by sensing an occupant. Each *control device* shall *control* a maximum of 2500 ft<sup>2</sup> area for a space 10,000 ft<sup>2</sup> or less and a maximum of 10,000 ft<sup>2</sup> area for a space greater than 10,000 ft<sup>2</sup> and be capable of overriding any time-of-day scheduled shutoff *control* for no more than four hours,

**Exception to 9.4.1.2:** Remote location shall be permitted for reasons of safety or security when the remote control device has an indicator pilot light as part of or next to the control device and the light is clearly labeled to identify the controlled lighting.

**9.4.1.3 Exterior Lighting Control.** Lighting for all exterior applications not exempted in 9.1 shall have automatic controls capable of turning off exterior lighting when sufficient daylight is available or when the lighting is not required during nighttime hours. Lighting not designated for dusk-to-dawn operation shall be controlled by an astronomical time switch. Lighting designated for dusk-to-dawn operation shall be controlled by an astronomical time switch or photosensor. Astronomical time switches shall be capable of retaining programming and the time setting during loss of power for a period of at least 10 hours.

**Exception to 9.4.1.3:** Lighting for covered vehicle entrances or exits from buildings or parking structures where required for safety, security, or eye adaptation.

### 9.4.1.4 Additional Control.

- (a) *Display/Accent Lighting*—display or accent lighting shall have a separate *control device*.
- (b) *Case Lighting*—lighting in cases used for display purposes shall have a separate *control device*.
- (c) *Hotel and Motel Guest Room Lighting*—hotel and motel guest rooms and guest suites shall have a master *control device* at the main room entry that *controls* all *permanently installed luminaires* and switched receptacles.
- (d) *Task Lighting*—supplemental task lighting, including *permanently installed* undershelf or undercabinet lighting, shall have a *control device* integral to the *luminaires* or be controlled by a wall-mounted *control device* provided the *control device* is readily accessible and located so that the occupant can see the controlled lighting.
- (e) *Nonvisual Lighting*—lighting for nonvisual applications, such as plant growth and food warming, shall have a separate *control device*.
- (f) *Demonstration Lighting*—*lighting equipment* that is for sale or for demonstrations in lighting education shall have a separate *control device*.

**9.4.2 Tandem Wiring.** Luminaires designed for use with one or three linear fluorescent lamps greater than 30 W each shall use two-lamp tandem-wired ballasts in place of single-lamp ballasts when two or more luminaires are in the same space and on the same control device.

### Exceptions to 9.4.2:

- (a) Recessed luminaires more than 10 ft apart measured center to center.
- (b) Surface-mounted or pendant luminaires that are not continuous.
- (c) Luminaires using single-lamp high-frequency electronic ballasts.

- (d) Luminaires using three-lamp high-frequency electronic or three-lamp electromagnetic ballasts.
- (e) Luminaires on emergency circuits.
- (f) Luminaires with no available pair.

**9.4.3 Exit Signs.** Internally illuminated exit signs shall not exceed 5 watts per face

**9.4.4 Exterior Building Grounds Lighting.** All exterior building grounds luminaires that operate at greater than 100 watts shall contain lamps having a minimum efficacy of 60 lm/W unless the luminaire is controlled by a motion sensor or qualifies for one of the exceptions under 9.1.1 or 9.4.5.

**9.4.5 Exterior Building Lighting Power.** The total *exterior lighting power allowance* for all exterior building applications is the sum of the individual lighting power densities permitted in **Table 9.4.5** for these applications plus an additional unrestricted allowance of 5% of that sum. Trade-offs are allowed only among exterior lighting applications listed in the **Table 9.4.5** “Tradable Surfaces” section.

**Exceptions to 9.4.5:** Lighting used for the following exterior applications is exempt when equipped with a *control device* independent of the control of the nonexempt lighting:

- (a) Specialized signal, directional, and marker lighting associated with transportation.
- (b) Advertising signage or directional signage.
- (c) Lighting integral to *equipment* or instrumentation and installed by its *manufacturer*.
- (d) Lighting for theatrical purposes, including performance, stage, film production, and video production.
- (e) Lighting for athletic playing areas.
- (f) Temporary lighting.
- (g) Lighting for industrial production, material handling, transportation sites, and associated storage areas.
- (h) Theme elements in theme/amusement parks.
- (i) Lighting used to highlight features of public monuments and registered *historic* landmark structures or *buildings*.

## 9.5 Building Area Method Compliance Path

**9.5.1 Building Area Method of Calculating Interior Lighting Power Allowance.** Use the following steps to determine the interior lighting power allowance by the building area method:

- (a) Determine the appropriate building area type from **Table 9.5.1** and the allowed lighting power density (watts per unit area) from the building area method column. For building area types not listed, selection of a reasonably equivalent type shall be permitted.
- (b) Determine the gross lighted floor area (square feet) of the building area type.
- (c) Multiply the gross lighted floor areas of the building area type(s) times the *lighting power density*.
- (d) The *interior lighting power allowance* for the building is the sum of the *lighting power allowances* of all building area types. Trade-offs among building area types are permitted provided that the total *installed interior lighting power* does not exceed the *interior lighting power allowance*.

## 9.6 Alternative Compliance Path: Space-by-Space Method

**9.6.1 Space-by-Space Method of Calculating Interior Lighting Power Allowance.** Use the following steps to determine the interior lighting power allowance by the space-by-space method:

- (a) Determine the appropriate building type from **Table 9.6.1**. For building types not listed, selection of a reasonably equivalent type shall be permitted.
- (b) For each space enclosed by partitions 80% or greater than ceiling height, determine the gross interior floor area by measuring to the center of the partition wall. Include the floor area of balconies or other projections. Retail spaces do not have to comply with the 80% partition height requirements.
- (c) Determine the *interior lighting power allowance* by using the columns designated space-by-space method in **Table 9.6.1**. Multiply the floor area(s) of the space(s) times the allowed *lighting power density* for the space type that most closely represents the proposed use of the space(s). The product is the *lighting power allowance* for the space(s). For space types not listed, selection of a reasonable equivalent category shall be permitted.
- (d) The *interior lighting power allowance* is the sum of *lighting power allowances* of all spaces. Trade-offs among spaces are permitted provided that the total *installed interior lighting power* does not exceed the *interior lighting power allowance*.

**9.6.2 Additional Interior Lighting Power.** When using the space-by-space method, an increase in the *interior lighting power allowance* is allowed for specific lighting functions. Additional power shall be allowed only if the specified lighting is installed, shall be used only for the specified *luminaires*, and shall not be used for any other purpose or in any other space.

**9.6.3** An increase in the *interior lighting power allowance* is permitted in the following cases:

- (a) For spaces in which lighting is specified to be installed in addition to the general lighting for the purpose of decorative appearance, such as chandelier-type luminaires or sconces or for highlighting art or exhibits, provided that the additional lighting power shall not exceed 1.0 W/ft<sup>2</sup> of such spaces.
- (b) For spaces in which lighting is specified to be installed to meet the requirements of visual display terminals as the primary viewing task, provided that the additional lighting power shall not exceed 0.35 W/ft<sup>2</sup> of such spaces and that the specified luminaire meets requirements for use in such spaces. Maximum average luminance measured from the vertical in candelas per square foot of not more than 80 cd/ft<sup>2</sup> at 65 degrees, 33 cd/ft<sup>2</sup> at 75 degrees, and 17 cd/ft<sup>2</sup> at 85 to 90 degrees.
- (c) For lighting equipment installed in retail spaces that is specifically designed and directed to highlight merchandise, provided that the additional lighting power shall not exceed (1) 1.6 W/ft<sup>2</sup> times the area of specific display or (2) 3.9 W/ft<sup>2</sup> times the area of specific display for valuable merchandise, such as jewelry, fine apparel and accessories, china and silver, art, and similar items, where detailed display and examination of merchandise are important.

## 9.7 Submittals (Not Used)

## 9.8 Product Information (Not Used)

**TABLE 9.4.5 Lighting Power Densities for Building Exteriors**

<b>Tradable Surfaces</b> (Lighting power densities for uncovered parking areas, building grounds, building entrances and exits, canopies and overhangs and outdoor sales areas may be traded.)	<b>Uncovered Parking Areas</b>	
	Parking Lots and drives	<b>0.15 W/ft<sup>2</sup></b>
	<b>Building Grounds</b>	
	Walkways less than 10 feet wide	<b>1.0 W/linear foot</b>
	Walkways 10 feet wide or greater	<b>0.2 W/ft<sup>2</sup></b>
	Plaza areas	
	Special Feature Areas	
	Stairways	<b>1.0 W/ft<sup>2</sup></b>
	<b>Building Entrances and Exits</b>	
	Main entries	<b>30 W/linear foot of door width</b>
	Other doors	<b>20 W/linear foot of door width</b>
	<b>Canopies and Overhangs</b>	
	Canopies (free standing and attached and overhangs)	<b>1.25 W/ft<sup>2</sup></b>
	<b>Outdoor Sales</b>	
	Open areas (including vehicle sales lots)	<b>0.5 W/ft<sup>2</sup></b>
	Street frontage for vehicle sales lots in addition to “open area” allowance	<b>20 W/linear foot</b>
	<b>Non-Tradable Surfaces</b> (Lighting power density calculations for the following applications can be used only for the specific application and cannot be traded between surfaces or with other exterior lighting. The following allowances are in addition to any allowance otherwise permitted in the “tradable Surfaces” section of this table.)	
	<b>Building Facades</b>	<b>0.2 W/ft<sup>2</sup> for each illuminated wall or surface or 5.0 W/linear foot for each illuminated wall or surface length</b>
	<b>Automated teller machines and night depositories</b>	<b>270 W per location plus 90 W per additional ATM per location</b>
	<b>Entrances and gatehouse inspection stations at guarded facilities</b>	<b>1.25 W/ft<sup>2</sup> of uncovered area (covered areas are included in the “Canopies and Overhangs” section of “Tradable Surfaces”)</b>
	<b>Loading areas for law enforcement, fire, ambulance and other emergency service vehicles</b>	<b>0.5 W/ft<sup>2</sup> of uncovered area (covered areas are included in the “Canopies and Overhangs” section of “Tradable Surfaces”)</b>
	<b>Drive-up windows at fast food restaurants</b>	<b>400 W per drive-through</b>
	<b>Parking near 24-hour retail entrances</b>	<b>800 W per main entry</b>

**TABLE 9.5.1 Lighting Power Densities Using the Building Area Method**

Lighting Power Density	
Building Area Type <sup>a</sup>	(W/ft <sup>2</sup> )
Automotive Facility	0.9
Convention Center	1.2
Court House	1.2
Dining: Bar Lounge/Leisure	1.3
Dining: Cafeteria/Fast Food	1.4
Dining: Family	1.6
Dormitory	1.0
Exercise Center	1.0
Gymnasium	1.1
Health Care-Clinic	1.0
Hospital	1.2
Hotel	1.0
Library	1.3
Manufacturing Facility	1.3
Motel	1.0
Motion Picture Theater	1.2
Multi-Family	0.7
Museum	1.1
Office	1.0
Parking Garage	0.3
Penitentiary	1.0
Performing Arts Theater	1.6
Police/Fire Station	1.0
Post Office	1.1
Religious Building	1.3
Retail	1.5
School/University	1.2
Sports Arena	1.1
Town Hall	1.1
Transportation	1.0
Warehouse	0.8
Workshop	1.4

<sup>a</sup> In cases where both general building area type and a specific building area type are listed, the specific building area type shall apply.

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**TABLE 9.6.1 Lighting Power Densities Using the Space-by-Space Method**

Common Space Types <sup>a</sup>	LPD (W/ft <sup>2</sup> )	Building Specific Space Types	LPD (W/ft <sup>2</sup> )
Office-Enclosed	1.1	Gymnasium/Exercise Center	
Office-Open Plan	1.1	Playing Area	1.4
Conference/Meeting/Multipurpose	1.3	Exercise Area	0.9
Classroom/Lecture/Training	1.4	Courthouse/Police Station/Penitentiary	
For Penitentiary	1.3	Courtroom	1.9
Lobby	1.3	Confinement Cells	0.9
For Hotel	1.1	Judges Chambers	1.3
For Performing Arts Theater	3.3	Fire Stations	
For Motion Picture Theater	1.1	Fire Station Engine Room	0.8
Audience/Seating Area	0.9	Sleeping Quarters	0.3
For Gymnasium	0.4	Post Office—Sorting Area	1.2
For Exercise Center	0.3	Convention Center—Exhibit Space	1.3
For Convention Center	0.7	Library	
For Penitentiary	0.7	Card File and Cataloging	1.1
For Religious Buildings	1.7	Stacks	1.7
For Sports Arena	0.4	Reading Area	1.2
For Performing Arts Theater	2.6	Hospital	
For Motion Picture Theater	1.2	Emergency	2.7
For Transportation	0.5	Recovery	0.8
Atrium—First Three Floors	0.6	Nurse Station	1.0
Atrium—Each Additional Floor	0.2	Exam/Treatment	1.5
Lounge/Recreation	1.2	Pharmacy	1.2
For Hospital	0.8	Patient Room	0.7
Dining Area	0.9	Operating Room	2.2
For Penitentiary	1.3	Nursery	0.6
For Hotel	1.3	Medical Supply	1.4
For Motel	1.2	Physical Therapy	0.9
For Bar Lounge/Leisure Dining	1.4	Radiology	0.4
For Family Dining	2.1	Laundry—Washing	0.6
Food Preparation	1.2	Automotive—Service/Repair	0.7
Laboratory	1.4	Manufacturing	
Restrooms	0.9	Low Bay (<25 ft Floor to Ceiling Height)	1.2
Dressing/Locker/Fitting Room	0.6	High Bay (>25 ft Floor to Ceiling Height)	1.7
Corridor/Transition	0.5	Detailed Manufacturing	2.1
For Hospital	1.0	Equipment Room	1.2
For Manufacturing Facility	0.5	Control Room	0.5
Stairs—Active	0.6	Hotel/Motel Guest Rooms	1.1
Active Storage	0.8	Dormitory—Living Quarters	1.1
For Hospital	0.9	Museum	
Inactive storage	0.3	General Exhibition	1.0
For Museum	0.8	Restoration	1.7

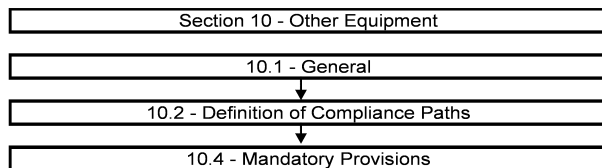
TABLE 9.6.1 (continued) Lighting Power Densities Using the Space-by-Space Method

Common Space Types <sup>a</sup>	LPD (W/ft <sup>2</sup> )	Building Specific Space Types	LPD (W/ft <sup>2</sup> )
Electrical/Mechanical	1.5	Bank/Office—Banking Activity Area	1.5
Workshop	1.9	Religious Buildings	
		Worship Pulpit, Choir	2.4
		Fellowship Hall	0.9
		Retail [For accent lighting, see 9.3.1.2.1(c)]	
		Sales Area	1.7
		Mall Concourse	1.7
		Sports Arena	
		Ring Sports Area	2.7
		Court Sports Area	2.3
		Indoor Playing Field Area	1.4
		Warehouse	
		Fine Material Storage	1.4
		Medium/Bulky Material Storage	0.9
		Parking Garage—Garage Area	0.2
		Transportation	
		Airport—Concourse	0.6
		Air/Train/Bus—Baggage Area	1.0
		Terminal—Ticket Counter	1.5

a In cases where both a common space type and a building specific type are listed, the building specific space type shall apply.



## 10. OTHER EQUIPMENT



### 10.1 General.

**10.1.1 Scope.** This section applies only to the equipment described below.

**10.1.1.1 New Buildings.** Other equipment installed in new buildings shall comply with the requirements of this section.

**10.1.1.2 Additions to Existing Buildings.** Other equipment installed in *additions to existing buildings* shall comply with the requirements of this section.

#### 10.1.1.3 Alterations to Existing Buildings.

**10.1.1.3.1 Alterations** to other building service equipment or systems shall comply with the requirements of this section applicable to those specific portions of the building and its systems that are being altered.

**10.1.1.3.2** Any new equipment subject to the requirements of this section that is installed in conjunction with the

*alterations*, as a direct replacement of existing equipment or control devices, shall comply with the specific requirements applicable to that equipment or control devices.

**Exception to 10.1.1.3:** Compliance shall not be required for the relocation or reuse of existing equipment

### 10.2 Compliance Path(s).

**10.2.1** Compliance with Section 10 shall be achieved by meeting all requirements of 10.1, General; 10.4, Mandatory Provisions; and 10.8, Product Information.

**10.2.2** Projects using the Energy Cost Budget Method (Section 11 of this standard), must comply with 10.4, the mandatory provisions of this section, as a portion of that compliance path.

### 10.3 Simplified/Small Building Option (Not Used)

### 10.4 Mandatory Provisions

**10.4.1 Electric Motors.** Electric motors shall comply with the requirements of the Energy Policy Act of 1992 where applicable, as shown in **Table 10.8**. Motors that are not included in the scope of the Energy Policy Act of 1992 have no performance requirements in this section.

### 10.5 Prescriptive Compliance Path (Not Used)

### 10.6 Alternative Compliance Path (Not Used)

### 10.7 Submittals (Not Used)

### 10.8 Product Information

**TABLE 10.8 Minimum Nominal Efficiency for General Purpose Design A and Design B Motors<sup>a</sup>**

	Minimum Nominal Full-Load Efficiency (%)					
	Open Motors			Enclosed Motors		
Number of Poles ==>	2	4	6	2	4	6
Synchronous Speed (RPM) ==>	3600	1800	1200	3600	1800	1200
Motor Horsepower						
1	—	82.5	80.0	75.5	82.5	80.0
1.5	82.5	84.0	84.0	82.5	84.0	85.5
2	84.0	84.0	85.5	84.0	84.0	86.5
3	84.0	86.5	85.5	85.5	87.5	87.5
5	85.5	87.5	87.5	87.5	87.5	87.5
7.5	87.5	88.5	88.5	88.5	89.5	89.5
10	88.5	89.5	90.2	89.5	89.5	89.5
15	89.5	91.0	90.2	90.2	91.0	90.2
20	90.2	91.0	91.0	90.2	91.0	90.2
25	91.0	91.7	91.7	91.0	92.4	91.7
30	91.0	92.4	92.4	91.0	92.4	91.7
40	91.7	93.0	93.0	91.7	93.0	93.0
50	92.4	93.0	93.0	92.4	93.0	93.0
60	93.0	93.6	93.6	93.0	93.6	93.6
75	93.0	94.1	93.6	93.0	94.1	93.6
100	93.0	94.1	94.1	93.6	94.5	94.1
125	93.6	94.5	94.1	94.5	94.5	94.1
150	93.6	95.0	94.5	94.5	95.0	95.0
200	94.5	95.0	94.5	95.0	95.0	95.0

<sup>a</sup> Nominal efficiencies shall be established in accordance with NEMA Standard MG1. Design A and Design B are National Electric Manufacturers Association (NEMA) design class designations for fixed frequency small and medium AC squirrel-cage induction motors.

## 11. ENERGY COST BUDGET METHOD

### 11.1 General

**11.1.1 Energy Cost Budget Method Scope.** The building energy cost budget method is an alternative to the prescriptive provisions of this standard. It may be employed for evaluating the compliance of all proposed designs, except designs with no mechanical system.

**11.1.2 Trade-Offs Limited to Building Permit.** When the building permit being sought applies to less than the whole building, only the calculation parameters related to the systems to which the permit applies shall be allowed to vary. Parameters relating to unmodified existing conditions or to future building components shall be identical for both the *energy cost budget* and the *design energy cost* calculations. Future building components shall meet the prescriptive requirements of 5.5, 6.5, 7.5, and either 9.5 or 9.6.

**11.1.3 Envelope Limitation.** For new buildings or *additions*, the building *energy cost budget* method results shall not be submitted for building permit approval to the *authority having jurisdiction* prior to submittal for approval of the building envelope design.

**11.1.4 Compliance.** Compliance with Section 11 will be achieved if

- (a) all requirements of 5.4, 6.4, 7.4, 8.4, 9.4, and 10.4 are met; and
- (b) the *design energy cost*, as calculated in 11.3 does not exceed the *energy cost budget*, as calculated by the simulation program described in 11.2; and
- (c) the energy *efficiency* level of components specified in the building design meet or exceed the *efficiency* levels used to calculate the *design energy cost*.

**Informative Note:** *The energy cost budget and the design energy cost calculations are applicable only for determining compliance with this standard. They are not predictions of actual energy consumption or costs of the proposed design after construction. Actual experience will differ from these calculations due to variations such as occupancy, building operation and maintenance, weather, energy use not covered by this standard, changes in energy rates between design of the building and occupancy, and precision of the calculation tool.*

**11.1.5 Documentation Requirements.** Compliance shall be documented and submitted to the *authority having jurisdiction*. The information submitted shall include the following:

- (a) The *energy cost budget* for the *budget building design* and the *design energy cost* for the *proposed design*.
- (b) A list of the energy-related features that are included in the design and on which compliance with the provisions of Section 11 is based. This list shall document all energy features that differ between the models used in the *energy cost budget* and the *design energy cost* calculations.
- (c) The input and output report(s) from the *simulation program* including a breakdown of energy usage by at least the following components: lights, internal equipment loads, service water heating equipment, space heating

equipment, space cooling and heat rejection equipment, fans, and other HVAC equipment (such as pumps). The output reports shall also show the amount of time any loads are not met by the HVAC system for both the *proposed design* and *budget building design*.

- (d) An explanation of any error messages noted in the *simulation program* output.

### 11.2 Simulation General Requirements

**11.2.1 Simulation Program.** The *simulation program* shall be a computer-based program for the analysis of energy consumption in buildings (a program such as, but not limited to, DOE-2 or BLAST). The *simulation program* shall include calculation methodologies for the building components being modeled.

**Note to Adopting Authority:** *The SSPC 90.1 recommends that a compliance shell implementing the rules of the compliance supplement that controls inputs to, and from, output formats from the required computer analysis program be adopted for the purposes of easier use and simpler compliance.*

**11.2.1.1** The *simulation program* shall be approved by the *adopting authority* and shall, at a minimum, have the ability to explicitly model all of the following:

- (a) a minimum of 1400 hours per year;
- (b) hourly variations in occupancy, lighting power, miscellaneous equipment power, thermostat setpoints, and HVAC system operation, defined separately for each day of the week and holidays;
- (c) thermal mass effects;
- (d) ten or more thermal zones;
- (e) part-load performance curves for mechanical equipment;
- (f) capacity and *efficiency* correction curves for mechanical heating and cooling equipment;
- (g) air-side and water-side economizers with integrated control; and
- (h) the *budget building design* characteristics specified in 11.5.

**11.2.1.2** The *simulation program* shall have the ability to either

- (a) directly determine the *design energy cost* and *energy cost budget* or
- (b) produce hourly reports of energy use by energy source suitable for determining the *design energy cost* and *energy cost budget* using a separate calculation engine.

**11.2.1.3** The *simulation program* shall be capable of performing design load calculations to determine required HVAC equipment capacities and air and water flow rates in accordance with 6.4.2 for both the *proposed design* and *budget building design*.

**11.2.1.4** The simulation program shall be tested according to ANSI/ASHRAE Standard 140 and the results shall be furnished by the software provider.

**11.2.2 Climatic Data.** The *simulation program* shall perform the simulation using hourly values of climatic data, such as temperature and humidity from representative climatic data, for the city in which the *proposed design* is to be located. For cities or urban regions with several climatic data entries,

and for locations where weather data are not available, the designer shall select available weather data that best represent the climate at the construction site. Such selected weather data shall be approved by the *authority having jurisdiction*.

**11.2.3 Purchased Energy Rates.** Annual energy costs shall be determined using rates for purchased energy, such as electricity, gas, oil, propane, steam, and chilled water, and approved by the *adopting authority*.

**Exception to 11.2.3:** On-site renewable energy sources or site-recovered energy shall not be considered to be purchased energy and shall not be included in the *design energy cost*. Where on-site renewable or site-recovered sources are used, the *budget building design* shall be based on the energy source used as the backup energy source or electricity if no backup energy source has been specified.

**11.2.4 Compliance Calculations.** The *design energy cost* and *energy cost budget* shall be calculated using

- (a) the same *simulation program*,
- (b) the same weather data, and
- (c) the same *purchased energy rates*.

**11.2.5 Exceptional Calculation Methods.** Where no *simulation program* is available that adequately models a design, material, or device, the *authority having jurisdiction* may approve an exceptional calculation method to be used to demonstrate compliance with Section 11. Applications for approval of an exceptional method to include theoretical and empirical information verifying the method's accuracy shall include the following documentation to demonstrate that the exceptional calculation method and results

- (a) make no change in any input parameter values specified by this standard and the *adopting authority*;
- (b) provide input and output documentation that facilitates the enforcement agency's review and meets the formatting and content required by the *adopting authority*; and
- (c) are supported by instructions for using the method to demonstrate that the *energy cost budget* and *design energy cost* required by Section 11 are met.

### 11.3 Calculation of Design Energy Cost and Energy Cost Budget

**11.3.1** The simulation model for calculating the design energy cost and the *energy cost budget* shall be developed in accordance with the requirements in [Table 11.3.1](#).

**11.3.2 HVAC Systems.** The *HVAC system* type and related performance parameters for the *budget building design* shall be determined from [Figure 11.3.2](#), the system descriptions in [Table 11.3.2A](#) and accompanying notes, and the following rules:

- (a) Components and parameters not listed in [Figure 11.3.2](#) and [Table 11.3.2A](#) or otherwise specifically addressed in this subsection shall be identical to those in the *proposed design*.

**Exception to 11.3.2a:** Where there are specific requirements in 6.4 and 6.5, the component *efficiency* in the *budget building design* shall be adjusted to the lowest *efficiency* level allowed by the requirement for that component type.

- (b) All HVAC and service water heating equipment in the *budget building* shall be modeled at the minimum *effi-*

*ciency* levels, both part load and full load, in accordance with 6.4 and 7.4.

- (c) Where *efficiency* ratings, such as EER and COP, include fan energy, the descriptor shall be broken down into its components so that supply fan energy can be modeled separately. Supply and return/relief system fans shall be modeled as operating at least whenever the spaces served are occupied except as specifically noted in [Table 11.3.2A](#).
- (d) Minimum *outdoor air* ventilation rates shall be the same for both the *budget building design* and *proposed building*. Heat recovery shall be modeled for the *budget building design* in accordance with 6.5.6.1.
- (e) *Budget building* systems as listed in [Table 11.3.2A](#) shall have *outdoor air* economizers or water economizers, the same as in the proposed building, in accordance with 6.5.1. The high-limit shutoff shall be in accordance with [Table 11.3.2D](#).
- (f) If the *proposed design* system has a preheat coil, the *budget building design*'s system shall be modeled with a preheat coil controlled in the same manner.
- (g) System design supply air rates for the *budget building design* shall be based on a supply-air-to-room-air temperature difference of 20°F. If return or relief fans are specified in the *proposed design*, the *budget building design* shall also be modeled with the same fan type sized for the budget system supply fan air quantity less the minimum *outdoor air*, or 90% of the supply fan air quantity, whichever is larger.
- (h) Fan system *efficiency* (BHP per cfm of supply air including the effect of belt losses but excluding motor and motor drive losses) shall be the same as the *proposed design* or up to the limit prescribed in 6.5.3.1, whichever is smaller. If this limit is reached, each fan shall be proportionally reduced in brake horsepower until the limit is met. Fan electrical power shall then be determined by adjusting the calculated fan HP by the minimum motor *efficiency* prescribed by 10.4 for the appropriate motor size for each fan.
- (i) The equipment capacities for the *budget building design* shall be sized proportionally to the capacities in the *proposed design* based on sizing runs; i.e., the ratio between the capacities used in the annual simulations and the capacities determined by the sizing runs shall be the same for both the *proposed design* and *budget building design*. Unmet load hours for the *proposed design* shall not differ from unmet load hours for the *budget building design* by more than 50 hours.
- (j) Each *HVAC system* in a *proposed design* is mapped on a one-to-one correspondence with one of eleven *HVAC systems* in the *budget building design*. To determine the budget building system:

**TABLE 11.3.1 Modeling Requirements for Calculating Design Energy Cost and Energy Cost Budget**

No.	Proposed Building Design (Column A) Design Energy Cost (DEC)	Budget Building Design (Column B) Energy Cost Budget (ECB)
<b>1. Design Model</b>		
	<p>(a) The simulation model of the <i>proposed design</i> shall be consistent with the design documents, including proper accounting of fenestration and opaque envelope types and area; interior lighting power and controls; HVAC system types, sizes, and controls; and service water heating systems and controls.</p> <p>(b) All conditioned spaces in the <i>proposed design</i> shall be simulated as being both heated and cooled even if no cooling or heating system is being installed.</p> <p>(c) When the <i>energy cost budget</i> method is applied to buildings in which energy-related features have not yet been designed (e.g., a lighting system), those yet-to-be-designed features shall be described in the <i>proposed design</i> so that they minimally comply with applicable mandatory and prescriptive requirements from Sections 5 through 10. Where the space classification for a building is not known, the building shall be categorized as an office building.</p>	<p>The <i>budget building design</i> shall be developed by modifying the <i>proposed design</i> as described in this table. Except as specifically instructed in this table, all building systems and equipment shall be modeled identically in the <i>budget building design</i> and <i>proposed design</i>.</p>
<b>2. Additions and Alterations</b>		
	<p>It is acceptable to demonstrate compliance using building models that exclude parts of the <i>existing building</i> provided all of the following conditions are met:</p> <p>(a) Work to be performed under the current permit application in excluded parts of the building shall meet the requirements of Sections 5 through 10.</p> <p>(b) Excluded parts of the building are served by HVAC systems that are entirely separate from those serving parts of the building that are included in the building model.</p> <p>(c) Design space temperature and HVAC system operating setpoints and schedules, on either side of the boundary between included and excluded parts of the building, are identical.</p> <p>(d) If a declining block or similar utility rate is being used in the analysis and the excluded and included parts of the building are on the same utility meter, the rate shall reflect the utility block or rate for the building plus the addition.</p>	<p>Same as Proposed Design</p>
<b>3. Space Use Classification</b>		
	<p>The building type or space type classifications shall be chosen in accordance with 9.5 or 9.6. The user or designer shall specify the space use classifications using either the building type or space type categories but shall not combine the two types of categories within a single permit application. More than one building type category may be used in a building if it is a mixed-use facility.</p>	<p>Same as Proposed Design</p>
<b>4. Schedules</b>		
	<p>The schedule types listed in 11.2.1.1 (b) shall be required input. The schedules shall be typical of the proposed building type as determined by the designer and approved by the <i>authority having jurisdiction</i>. Required schedules shall be identical for the <i>proposed design</i> and <i>budget building design</i>.</p>	<p>Same as Proposed Design</p>

**Table 11.3.1 (continued) Modeling Requirements for Calculating Design Energy Cost and Energy Cost Budget**

No.	Proposed Building Design (Column A) Design Energy Cost (DEC)	Budget Building Design (Column B) Energy Cost Budget (ECB)
<b>5. Building Envelope</b>		
	<p>All components of the building envelope in the <i>proposed design</i> shall be modeled as shown on architectural drawings or as installed for <i>existing building</i> envelopes.</p> <p><i>Exceptions:</i> The following building elements are permitted to differ from architectural drawings.</p> <p>(a) Any envelope assembly that covers less than 5% of the total area of that assembly type (e.g., exterior walls) need not be separately described. If not separately described, the area of an envelope assembly must be added to the area of the adjacent assembly of that same type.</p> <p>(b) Exterior surfaces whose azimuth orientation and tilt differ by no more than 45 degrees and are otherwise the same may be described as either a single surface or by using multipliers.</p> <p>(c) For exterior roofs other than roofs with ventilated attics, the roof surface may be modeled with a reflectance of 0.45 if the reflectance of the proposed design roof is greater than 0.70 and its emittance is greater than 0.75. The reflectance and emittance shall be tested in accordance with the Exception to 5.5.3.1. All other roof surfaces shall be modeled with a reflectance of 0.3. Manually operated fenestration shading devices such as blinds or shades shall not be modeled. Permanent shading devices such as fins, overhangs, and light shelves shall be modeled.</p> <p>(d) Manually operated fenestration shading devices such as blinds or shades shall not be modeled. Permanent shading devices such as fins, overhangs, and lightshelves shall be modeled.</p>	<p>The <i>budget building design</i> shall have identical <i>conditioned floor area</i> and identical exterior dimensions and orientations as the proposed design, except as noted in (a), (b), and (c) in this clause.</p> <p>(a) Opaque assemblies such as roof, floors, doors, and walls shall be modeled as having the same heat capacity as the <i>proposed design</i> but with the minimum U-factor required in 5.5 for new buildings or <i>additions</i> and 5.1.3 for <i>alterations</i>.</p> <p>(b) Roof albedo—All roof surfaces shall be modeled with a reflectivity of 0.3.</p> <p>(c) Fenestration—No shading projections are to be modeled; fenestration shall be assumed to be flush with the exterior wall or roof. If the fenestration area for new buildings or <i>additions</i> exceeds the maximum allowed by 5.5.4.2, the area shall be reduced proportionally along each exposure until the limit set in 5.5.4.2 is met. Fenestration U-factor shall be the minimum required for the climate, and the solar heat gain coefficient shall be the maximum allowed for the climate and orientation. The fenestration model for envelope <i>alterations</i> shall reflect the limitations on area, U-factor, and solar heat gain coefficient as described in 5.1.3.</p> <p><i>Exception:</i> When trade-offs are made between an <i>addition</i> and an <i>existing building</i> as described in Exception to 4.2.1.2, the envelope assumptions for the <i>existing building</i> in the <i>budget building design</i> shall reflect existing conditions prior to any revisions that are part of this permit.</p>
<b>6. Lighting</b>		
	<p>Lighting power in the <i>proposed design</i> shall be determined as follows:</p> <p>(a) Where a complete lighting system exists, the actual lighting power shall be used in the model.</p> <p>(b) Where a lighting system has been designed, lighting power shall be determined in accordance with either 9.5 or 9.6.</p> <p>(c) Where no lighting exists or is specified, lighting power shall be determined in accordance with the Building Area Method for the appropriate building type.</p> <p>(d) Lighting system power shall include all lighting system components shown or provided for on plans (including lamps, ballasts, task fixtures, and furniture-mounted fixtures).</p>	<p>Lighting power in the <i>budget building design</i> shall be determined using the same categorization procedure (building area or space function) and categories as the <i>proposed design</i> with lighting power set equal to the maximum allowed for the corresponding method and category in either 9.5 or 9.6. Power for fixtures not included in the lighting power density calculation shall be modeled identically in the <i>proposed design</i> and <i>budget building design</i>. Lighting controls shall be the minimum required.</p>
<b>7. Thermal Blocks – HVAC Zones Designed</b>		
	<p>Where HVAC zones are defined on HVAC design drawings, each HVAC zone shall be modeled as a separate <i>thermal block</i>.</p> <p><i>Exception:</i> Different HVAC zones may be combined to create a single <i>thermal block</i> or identical <i>thermal blocks</i> to which multipliers are applied provided all of the following conditions are met:</p> <p>(a) The space use classification is the same throughout the <i>thermal block</i>.</p> <p>(b) All HVAC zones in the <i>thermal block</i> that are adjacent to glazed exterior walls face the same orientation or their orientations are within 45 degrees of each other.</p> <p>(c) All of the zones are served by the same HVAC system or by the same kind of HVAC system.</p>	<p>Same as Proposed Design</p>

**Table 11.3.1 (continued) Modeling Requirements for Calculating Design Energy Cost and Energy Cost Budget**

No.	Proposed Building Design (Column A) Design Energy Cost (DEC)	Budget Building Design (Column B) Energy Cost Budget (ECB)
8. Thermal Blocks – HVAC Zones Not Designed		
Where the HVAC zones and systems have not yet been designed, <i>thermal blocks</i> shall be defined based on similar internal load densities, occupancy, lighting, thermal and space temperature schedules, and in combination with the following guidelines: (a) Separate <i>thermal blocks</i> shall be assumed for interior and perimeter spaces. Interior spaces shall be those located more than 15 ft from an exterior wall. Perimeter spaces shall be those located closer than 15 ft from an exterior wall. (b) Separate <i>thermal blocks</i> shall be assumed for spaces adjacent to glazed exterior walls; a separate zone shall be provided for each orientation, except orientations that differ by no more than 45 degrees may be considered to be the same orientation. Each zone shall include all floor area that is 15 ft or less from a glazed perimeter wall, except that floor area within 15 ft of glazed perimeter walls having more than one orientation shall be divided proportionately between zones. (c) Separate <i>thermal blocks</i> shall be assumed for spaces having floors that are in contact with the ground or exposed to ambient conditions from zones that do not share these features. (d) Separate <i>thermal blocks</i> shall be assumed for spaces having exterior ceiling or roof assemblies from zones that do not share these features.	Same as Proposed Design	
9. Thermal Blocks - Multifamily Residential Buildings		
Residential spaces shall be modeled using one <i>thermal block</i> per space except that those facing the same orientations may be combined into one <i>thermal block</i> . Corner units and units with roof or floor loads shall only be combined with units sharing these features.	Same as Proposed Design	
10. HVAC Systems		
The HVAC system type and all related performance parameters, such as equipment capacities and efficiencies, in the <i>proposed design</i> shall be determined as follows: (a) Where a complete HVAC system exists, the model shall reflect the actual system type using actual component capacities and efficiencies. (b) Where an HVAC system has been designed, the HVAC model shall be consistent with design documents. Mechanical equipment efficiencies shall be adjusted from actual design conditions to the standard rating conditions specified in 6.4.1, if required by the simulation model. (c) Where no heating system exists or no heating system has been specified, the heating system shall be modeled as fossil fuel. The system characteristics shall be identical to the system modeled in the <i>budget building design</i> . (d) Where no cooling system exists or no cooling system has been specified, the cooling system shall be modeled as an air-cooled single-zone system, one unit per <i>thermal block</i> . The system characteristics shall be identical to the system modeled in the <i>budget building design</i> .	The HVAC system type and related performance parameters for the <i>budget building design</i> shall be determined from <b>Figure 11.3.2</b> , the system descriptions in Table 11.3.2A and accompanying notes, and in accord with rules specified in 11.3.2 a-j.	

**Table 11.3.1 (continued) Modeling Requirements for Calculating Design Energy Cost and Energy Cost Budget**

No.	Proposed Building Design (Column A) Design Energy Cost (DEC)	Budget Building Design (Column B) Energy Cost Budget (ECB)
<b>11. Service Hot Water Systems</b>		
	<p>The service hot water system type and all related performance parameters, such as equipment capacities and efficiencies, in the <i>proposed design</i> shall be determined as follows:</p> <p>(a) Where a complete service hot water system exists, the model shall reflect the actual system type using actual component capacities and efficiencies.</p> <p>(b) Where a service hot water system has been designed, the service hot water model shall be consistent with design documents.</p> <p>(c) Where no service hot water system exists or is specified, no service hot water heating shall be modeled.</p>	<p>The service hot water system type and related performance in the <i>budget building design</i> shall be identical to the <i>proposed design</i> except where 7.5 applies. In this case the boiler shall be split into a separate space heating boiler and hot water heater with <i>efficiency</i> requirements set to the least efficient allowed.</p>
<b>12. Miscellaneous Loads</b>		
	<p>Receptacle, motor, and process loads shall be modeled and estimated based on the building type or space type category and shall be assumed to be identical in the <i>proposed</i> and <i>budget building design</i>. These loads shall be included in simulations of the building and shall be included when calculating the <i>energy cost budget</i> and <i>design energy cost</i>. All end-use load components within and associated with the building shall be modeled, unless specifically excluded by Sections 13 and 14 of Table 11.3.1: including, but not limited to, exhaust fans, parking garage ventilation fans, exterior building lighting, swimming pool heaters and pumps, elevators and escalators, refrigeration equipment, and cooking equipment.</p>	<p>Receptacle, motor and process loads shall be modeled and estimated based on the building type or space type category and shall be assumed to be identical in the <i>proposed</i> and <i>budget building design</i>. These loads shall be included in simulations of the building and shall be included when calculating the <i>energy cost budget</i> and <i>design energy cost</i>. All end-use load components within and associated with the building shall be modeled, unless specifically excluded by Sections 13 and 14 of Table 11.3.1: including, but not limited to, exhaust fans, parking garage ventilation fans, exterior building lighting, swimming pool heaters and pumps, elevators and escalators, refrigeration equipment, and cooking equipment.</p>
<b>13. Modeling Exceptions</b>		
	<p>All elements of the <i>proposed design</i> envelope, HVAC, service water heating, lighting, and electrical systems shall be modeled in the <i>proposed design</i> in accordance with the requirements of Sections 1 through 12 of Table 11.3.1.</p> <p><i>Exception:</i> Components and systems in the <i>proposed design</i> may be excluded from the simulation model provided:</p> <p>(a) component energy usage does not affect the energy usage of systems and components that are being considered for trade-off;</p> <p>(b) the applicable prescriptive requirements of 5.5, 6.5, 7.5, and either 9.5 or 9.6 applying to the excluded components are met.</p>	None
<b>14. Modeling Limitations to the Simulation Program</b>		
	<p>If the simulation program cannot model a component or system included in the <i>proposed design</i>, one of the following methods shall be used with the approval of the <i>authority having jurisdiction</i>:</p> <p>(a) Ignore the component if the energy impact on the trade-offs being considered is not significant.</p> <p>(b) Model the component substituting a thermodynamically similar component model.</p> <p>(c) Model the HVAC system components or systems using the <i>budget building design's</i> HVAC system in accordance with Section 10 of Table 11.3.1. Whichever method is selected, the component shall be modeled identically for both the <i>proposed design</i> and <i>budget building design</i> models.</p>	Same as Proposed Design

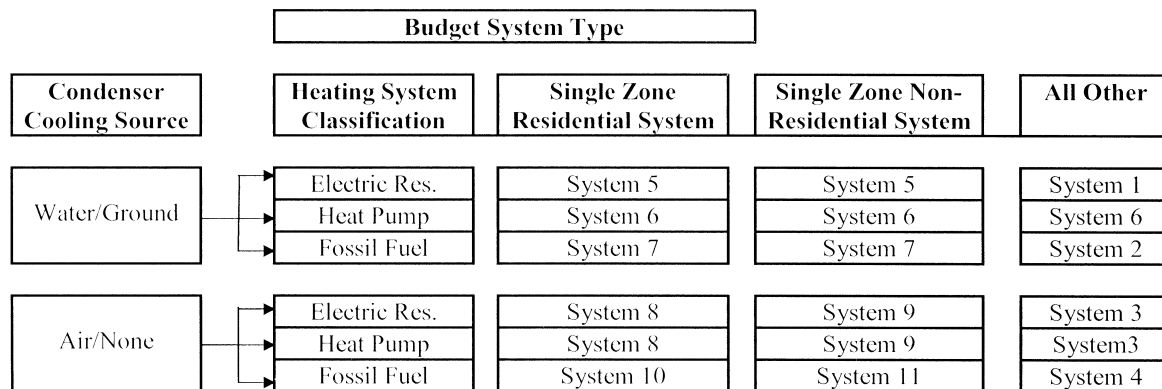


Figure 11.3.2 HVAC systems map.

1. Enter Figure 11.3.2 at “Water” if the *proposed design* system condenser is water or evaporatively cooled; enter at “Air” if the condenser is air-cooled. Closed-circuit dry-coolers shall be considered air-cooled. Systems utilizing district cooling shall be treated as if the condenser water type were “water.” If no mechanical cooling is specified or the mechanical cooling system in the *proposed design* does not require heat rejection, the system shall be treated as if the condenser water type were “Air.” For proposed designs with ground-source or groundwater-source heat pumps, the budget system shall be water-source heat pump (System 6).
2. Select the path that corresponds to the *proposed design* heat source: electric resistance, heat pump (including air-source and water-source), or fuel-fired. Systems utilizing district heating (steam or hot water) shall be treated as if the heating system type were “Fossil Fuel.” Systems with no heating capability shall be treated as if the heating system type were “Fossil Fuel.” For systems with mixed fuel heating sources, the system or systems that use the secondary heating source type (the one with the smallest total installed output capacity for the spaces served by the system) shall be modeled identically in the *budget building design* and the primary heating source type shall be used in Figure 11.3.2 to determine budget system type.
3. Select the *budget building design* system category: The system under “Single Zone Residential System” shall be selected if the HVAC system in the proposed design is a single-zone system and serves a residential space. The system under “Single Zone Nonresidential System” shall be selected if the HVAC system in the proposed design is a single-zone system and serves other than residential spaces. The system under “All Other” shall be selected for all other cases.



TABLE 11.3.2A Budget System Descriptions

System No.	System Type	Fan Control	Cooling Type	Heating Type
1	Variable air volume with parallel fan-powered boxes (Note 1)	VAV (Note 4)	Chilled Water (Note 5)	Electric Resistance
2	Variable air volume with reheat (Note 2)	VAV (Note 4)	Chilled Water (Note 5)	Hot Water Fossil Fuel Boiler (Note 6)
3	Packaged variable air volume with parallel fan-powered boxes (Note 1)	VAV (Note 4)	Direct Expansion (Note 3)	Electric Resistance
4	Packaged variable air volume with reheat (Note 2)	VAV (Note 4)	Direct Expansion (Note 3)	Hot Water Fossil Fuel Boiler (Note 6)
5	Two-pipe fan-coil	Constant Volume (Note 9)	Chilled Water (Note 5)	Electric Resistance
6	Water-source heat pump	Constant Volume (Note 9)	Direct Expansion (Note 3)	Electric Heat Pump and Boiler (Note 7)
7	Four-pipe fan coil	Constant Volume (Note 9)	Chilled Water (Note 5)	Hot Water Fossil Fuel Boiler (Note 6)
8	Packaged terminal heat pump	Constant Volume (Note 9)	Direct Expansion (Note 3)	Electric Heat Pump (Note 8)
9	Packaged rooftop heat pump	Constant Volume (Note 9)	Direct Expansion (Note 3)	Electric Heat Pump (Note 8)
10	Packaged terminal air conditioner	Constant Volume (Note 9)	Direct Expansion	Hot Water Fossil Fuel Boiler (Note 6)
11	Packaged rooftop air conditioner	Constant Volume (Note 9)	Direct Expansion	Fossil Fuel Furnace

**Notes:**

- VAV with parallel boxes:** Fans in parallel VAV fan-powered boxes shall be sized for 50% of the peak design flow rate and shall be modeled with 0.35 W/cfm fan power. Minimum volume setpoints for fan-powered boxes shall be equal to the minimum rate for the space required for ventilation consistent with 6.5.2.1 Exception (a) 1. Supply air temperature setpoint shall be constant at the design condition (see 11.3.2 (h)).
- VAV with reheat:** Minimum volume setpoints for VAV reheat boxes shall be 0.4 cfm/ft<sup>2</sup> of floor area consistent with 6.5.2.1 Exception (a) 2. Supply air temperature shall be reset based on zone demand from the design temperature difference to a 10°F temperature difference under minimum load conditions. Design air flow rates shall be sized for the reset supply air temperature, i.e., a 10°F temperature difference.
- Direct Expansion:** The fuel type for the cooling system shall match that of the cooling system in the *proposed design*.
- VAV:** Constant volume can be modeled if the system qualifies for Exception (b) to 6.5.2.1. When the *proposed design* system has a supply, return, or relief fan motor 25 hp or larger, the corresponding fan in the VAV system of the *budget building design* shall be modeled assuming a variable speed drive. For smaller fans, a forward-curved centrifugal fan with inlet vanes shall be modeled. If the *proposed design's* system has a direct digital control system at the zone level, static pressure setpoint reset based on zone requirements in accordance with 6.5.3.2.3 shall be modeled.
- Chilled Water:** For systems using purchased chilled water, the chillers are not explicitly modeled and chilled water costs shall be based as determined in 11.2.3. Otherwise, the *budget building design's* chiller plant shall be modeled with chillers having the number as indicated in Table 11.3.2B as a function of *budget building* chiller plant load and type as indicated in Table 11.3.2C as a function of individual chiller load. Where chiller fuel source is mixed, the system in the *budget building design* shall have chillers with the same fuel types and with capacities having the same proportional capacity as the *proposed design's* chillers for each fuel type. Chilled water supply temperature shall be modeled at 44°F design supply temperature and 56°F return temperature. Piping losses shall not be modeled in either building model. Chilled water supply water temperature shall be reset in accordance with 6.5.4.3. Pump system power for each pumping system shall be the same as the *proposed design*; if the *proposed design* has no chilled water pumps, the *budget building design* pump power shall be 22 W/gpm (equal to a pump operating against a 75 ft head, 65% combined impeller and motor efficiency). The chilled water system shall be modeled as primary-only variable flow with flow maintained at the design rate through each chiller using a bypass. Chilled water pumps shall be modeled as riding the pump curve or with variable-speed drives when required in 6.5.4.1. The heat rejection device shall be an axial fan cooling tower with two-speed fans if required in 6.5.5. Condenser water design supply temperature shall be 85°F or 10°F approach to design wet-bulb temperature, whichever is lower, with a design temperature rise of 10°F. The tower shall be controlled to maintain a 70°F leaving water temperature where weather permits, floating up to leaving water temperature at design conditions. Pump system power for each pumping system shall be the same as the *proposed design*; if the *proposed design* has no condenser water pumps, the *budget building design* pump power shall be 19 W/gpm (equal to a pump operating against a 60 ft head, 60% combined impeller and motor efficiency). Each chiller shall be modeled with separate condenser water and chilled water pumps interlocked to operate with the associated chiller.
- Fossil Fuel Boiler:** For systems using purchased hot water or steam, the boilers are not explicitly modeled and hot water or steam costs shall be based on actual utility rates. Otherwise, the boiler plant shall use the same fuel as the *proposed design* and shall be natural draft. The *budget building design* boiler plant shall be modeled with a single boiler if the *budget building design* plant load is 600,000 Btu/h and less and with two equally sized boilers for plant capacities exceeding 600,000 Btu/h. Boilers shall be staged as required by the load. Hot water supply temperature shall be modeled at 180°F design supply temperature and 130°F return temperature. Piping losses shall not be modeled in either building model. Hot water supply water temperature shall be reset in accordance with 6.5.4.3. Pump system power for each pumping system shall be the same as the *proposed design*; if the *proposed design* has no hot water pumps, the *budget building design* pump power shall be 19 W/gpm (equal to a pump operating against a 60 ft head, 60% combined impeller and motor efficiency). The hot water system shall be modeled as primary-only with continuous variable flow. Hot water pumps shall be modeled as riding the pump curve or with variable speed drives when required by 6.5.4.1.
- Electric Heat Pump and Boiler:** Water-source heat pumps shall be connected to a common heat pump water loop controlled to maintain temperatures between 60°F and 90°F. Heat rejection from the loop shall be provided by an axial fan closed-circuit evaporative fluid cooler with two-speed fans if required in 6.5.5. Heat addition to the loop shall be provided by a boiler that uses the same fuel as the *proposed design* and shall be natural draft. If no boilers exist in the *proposed design*, the *budget building* boilers shall be fossil fuel. The *budget building design* boiler plant shall be modeled with a single boiler if the *budget building design* plant load is 600,000 Btu/h or less and with two equally sized boilers for plant capacities exceeding 600,000 Btu/h. Boilers shall be staged as required by the load. Piping losses shall not be modeled in either building model. Pump system power shall be the same as the *proposed design*; if the *proposed design* has no pumps, the *budget building design* pump power shall be 22 W/gpm, which is equal to a pump operating against a 75 foot head, with a 65% combined impeller and motor efficiency. Loop flow shall be variable with flow shutoff at each heat pump when its compressor cycles off as required by 6.5.4.4. Loop pumps shall be modeled as riding the pump curve or with variable speed drives when required by 6.5.4.1.
- Electric Heat Pump:** Electric air-source heat pumps shall be modeled with electric auxiliary heat. The system shall be controlled with a multi-stage space thermostat and an *outdoor air* thermostat wired to energize auxiliary heat only on the last thermostat stage and when *outdoor air* temperature is less than 40°F.
- Constant Volume:** Fans shall be controlled in the same manner as in the *proposed design*; i.e., fan operation whenever the space is occupied or fan operation cycled on calls for heating and cooling. If the fan is modeled as cycling and the fan energy is included in the energy efficiency rating of the equipment, fan energy shall not be modeled explicitly.

**TABLE 11.3.2B    Number of Chillers**

Total Chiller Plant Capacity	Number of Chillers
≤300 tons	1
>300 tons, < 600 tons	2 sized equally
≥600 tons	2 minimum with chillers added so that no chiller is larger than 800 tons, all sized equally

**TABLE 11.3.2C    Water Chiller Types**

Individual Chiller Plant Capacity	Electric Chiller Type	Fossil Fuel Chiller Type
≤100 tons	Reciprocating	Single-effect absorption, direct fired
>100 tons, <300 tons	Screw	Double-effect absorption, direct fired
≥300 tons	Centrifugal	Double-effect absorption, direct fired

**TABLE 11.3.2D    Economizer High-Limit Shutoff**

Economizer Type	High-Limit Shutoff
Air	Table 6.5.1.1.3B
Water (Integrated)	When its operation will no longer Reduce HVAC system energy
Water (Non-Integrated)	When its operation can no longer provide the cooling load

12. NORMATIVE REFERENCES

Reference	Title
10 CFR Part 430, App N	Uniform Test Method for Measuring the Energy Consumption of Furnaces
42 USC 6831, et seq., Public Law 102-486	Energy Policy Act of 1992
<b>Air Movement and Control Association International, 30 West University Drive, Arlington Heights, IL 60004-1806</b>	
AMCA 500-D-98	Test Methods for Louvers, Dampers, and Shutters
<b>American National Standards Institute, 11 West 42nd Street, New York, NY 10036</b>	
ANSI Z21.10.3-1998	Gas Water Heater, Volume 3, Storage, with Input Ratings above 75,000 Btu/h, Circulating and Instantaneous Water Heaters
ANSI Z21.47-2001	Gas-Fired Central Furnaces (Except Direct Vent and Separated Combustion System Furnaces)
ANSI Z83.8-2002	Gas Unit Heaters and Duct Furnaces
<b>Association of Home Appliance Manufacturers, 20 North Wacker Drive, Chicago, IL 60606</b>	
ANSI/AHAM RAC-1-87	Room Air Conditioners
<b>Air-Conditioning and Refrigeration Institute, 4100 North Fairfax Drive, Suite 200, Arlington, VA 22203</b>	
ARI 210/240-2003	Unitary Air Conditioning and Air-Source Heat Pump Equipment
ARI 310/380-2004	Packaged Terminal Air-Conditioners and Heat Pumps
ARI 340/360-2000	Commercial and Industrial Unitary Air-Conditioning and Heat Pump Equipment
ARI 365-2002	Commercial and Industrial Unitary Air-Conditioning Condensing Units
ARI 390-2001	Single Packaged Vertical Air Conditioners and Heat Pumps
ARI 460-2000	Remote Mechanical Draft Air Cooled Refrigerant Condensers
ARI 550/590-98 with Addenda through July 2002	Water-Chilling Packages Using the Vapor Compression Cycle
ARI 560-2000	Absorption Water Chilling and Water Heating Packages
<b>American Society of Heating, Refrigerating and Air-Conditioning Engineers, 1791 Tullie Circle, NE, Atlanta, GA 30329</b>	
ANSI/ASHRAE Standard 62-1999	Ventilation for Acceptable Indoor Air Quality
ANSI/ASHRAE Standard 140-2001	Standard Method of Test for the Evaluation of Building Energy Analysis Computer Programs
ANSI/ASHRAE 146-1998	Method of Testing for Rating Pool Heaters
<b>American Society for Testing and Materials, 100 Barr Harbor Dr., West Conshohocken, PA 19428-2959</b>	
ASTM C90-96	Standard Specification for Loadbearing Concrete Masonry Units
ASTM C177-97	Standard Test Method for Steady-State Heat Flux Measurements and Thermal Transmittance Properties by Means of the Guarded-Hot-Plate Apparatus
ASTM C272-91	Test Method for Water Absorption of Core Materials for Structural Sandwich Constructions
ASTM C518-2002	Standard Test Method for Steady-State Thermal Transmittance Properties by Means of the Heat Flow Meter Apparatus

Reference	Title
ASTM C835-95 (1999)	Standard Test Method for Total Hemispherical Emittance of Surfaces From 20°C to 1400°C
ASTM C1363-97	Standard Test Method for the Thermal Performance of Building Assemblies by Means of a Hot Box Apparatus
ASTM C1371-98	Standard Test Method for Determination of Emittance of Materials Near Room Temperature Using Portable Emissometers
ASTM E96-95	Test Methods for Water Vapor Transmission of Materials
ASTM E283-91	Test Method for Determining Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen
ASTM E408-71 (1996)	Test Methods for Total Normal Emittance of Surfaces Using Inspection-Meter Techniques
ASTM E903-96	Test Method for Solar Absorptance, Reflectance, and Transmittance of Materials Using Integrating Spheres
ASTM E1175-87 (1996)	Standard Test Method for Determining Solar or Photopic Reflectance, Transmittance, and Absorptance of Materials Using a Large Diameter Integrating Sphere
ASTM E1918-97	Standard Test Method for Measuring Solar Reflectance of Horizontal or Low-Sloped Surfaces in the Field
<b>Cooling Technology Institute, 530 Wells Fargo, Suite 218, Houston, TX 77090; P.O. Box 73383, Houston, TX 77273</b>	
CTI ATC-105(97)	Acceptance Test Code for Water Cooling Towers
CTI STD-201 (96)	Standard for Certification of Water Cooling Tower Thermal Performance
<b>Hydronics Institute, Division of Gama, 35 Russo Place, P.O. Box 218, Berkeley Heights, NJ 07922</b>	
BTS 2000.	Testing Standard Method to Determine Efficiency of Commercial Space Heating Boilers
<b>ISO, 1, rue de Varembe, Case postale 56, CH-1211 Geneve 20, Switzerland</b>	
ISO 13256-1 (1998)	Water-Source Heat Pumps—Testing and Rating for Performance—Part 1: Water-to-Air and Brine-to-Air Heat Pumps
<b>Door and Access Systems Manufacturers Association (DASMA), 1300 Sumner Avenue, Cleveland, OH 44115-2851</b>	
ANSI/DASMA 105-92 (R 1998)	Test Method for Thermal Transmittance and Air Infiltration of Garage Doors
<b>National Electrical Manufacturers Association, 1300 N. 17th Street, Suite 1847, Rosslyn, VA 22209</b>	
ANSI/NEMA MG 1-1993	Motors and Generators
<b>National Fire Protection Association, 1 Battery March Park, P.O. Box 9101, Quincy, MA 02269-9101</b>	
NFPA 96-94	Ventilation Control and Fire Protection of Commercial Cooking Operations
<b>National Fenestration Rating Council, 1300 Spring Street, Suite 500, Silver Springs, MD 20910</b>	
NFRC 100-2001	Procedure for Determining Fenestration Product U-Factors (Second Edition) <i>Published November 2002</i>

Reference	Title
NFRC 101-2001	Procedure for Determining Thermo-Physical Properties of Materials for Use in NFRC–Approved Software Programs, (First Edition) <i>Published November 2002</i>
NFRC 102-2001	Test Procedures for Measuring the Steady-State Thermal Transmittance of Fenestration Systems, (Second Edition) <i>Published November 2002</i>
NFRC 200-2001	Procedure for Determining Fenestration Product Solar Heat Gain Coefficients and Visible Transmittance at Normal Incidence (Second Edition) <i>Published November 2002</i>
NFRC 201-2001	Interim Standard Test Method for Measuring the Solar Heat Gain Coefficient of Fenestration Systems Using Calorimetry Hot Box Methods, (Second Edition) <i>Published November 2002</i>
NFRC 300-2001	Standard Test Method for Determining the Solar Optical Properties of Glazing Materials and Systems, (Second Edition) <i>Published November 2002</i>
NFRC 400-2001	Procedure for Determining Fenestration Product Air Leakage (Second Edition) <i>Published November 2002</i>
<b>Underwriters Laboratories, Inc., 333 Pfingsten Rd., Northbrook, IL 60062</b>	
UL 181A-94	Closure Systems for Use with Rigid Air Ducts and Air Connectors
UL 181B-95	Closure Systems for Use with Flexible Air Ducts and Air Connectors
UL 727-94	UL Standard for Safety—Oil Fired Central Furnaces
UL 731-95	UL Standard for Safety—Oil-Fired Unit Heaters

(This is a normative appendix and is part of this standard.)

NORMATIVE APPENDIX A

RATED R-VALUE OF INSULATION  
AND ASSEMBLY U-FACTOR, C-FACTOR,  
AND F-FACTOR DETERMINATIONS

A1 General

**A1.1 Pre-Calculated Assembly U-Factors, C-Factors, F-Factors, or Heat Capacities.** The *U-factors*, *C-factors*, *F-factors*, and *heat capacities* for typical construction assemblies are included in A2 through A8. These values shall be used for all calculations unless otherwise allowed by A1.2. Interpolation between values in a particular table in Appendix A is allowed for *rated R-values of insulation*, including insulated sheathing. Extrapolation beyond values in a table in Appendix A is not allowed.

**A1.2 Applicant-Determined Assembly U-Factors, C-Factors, F-Factors, or Heat Capacities.** If the *building official* determines that the proposed construction assembly is not adequately represented in A2 through A8, the applicant shall determine appropriate values for the assembly using the assumptions in A9. An assembly is deemed to be adequately represented if

- (a) the interior structure, hereafter referred to as the base assembly, for the *class of construction* is the same as described in A2 through A8 and
- (b) changes in exterior or interior surface *building materials* added to the base assembly do not increase or decrease the R-value by more than 2 from that indicated in the descriptions in A2 through A8.

Insulation, including insulated sheathing, is not considered a *building material*.

A2 Roofs

**A2.1 General.** The buffering effect of suspended ceilings or attic spaces shall not be included in *U-factor* calculations.

**A2.2 Roofs with Insulation Entirely Above Deck.**

**A2.2.1 General.** For the purpose of A1.2, the base assembly is *continuous insulation* over a structural deck. The *U-factor* includes R-0.17 for exterior air film, R-0 for metal deck, and R-0.61 for interior air film heat flow up. Added insulation is continuous and uninterrupted by framing. The framing factor is zero.

**A2.2.2 Rated R-Value of insulation.** For *roofs with insulation entirely above deck*, the *rated R-value of insulation* is for *continuous insulation*.

**Exception to A2.2.2:** Interruptions for framing and pads for mechanical equipment are permitted with a combined total area not exceeding one percent of the total opaque assembly area.

**A2.2.3 U-factor.** *U-factors* for *roofs with insulation entirely above deck* shall be taken from **Table A2.2**. It is not acceptable to use these *U-factors* if the insulation is not entirely above deck or not continuous.

TABLE A2.2 Assembly U-Factors for Roofs  
with Insulation Entirely Above Deck

Rated R-Value of Insulation Alone	Overall U-Factor for Entire Assembly
R-0	U-1.282
R-1	U-0.562
R-2	U-0.360
R-3	U-0.265
R-4	U-0.209
R-5	U-0.173
R-6	U-0.147
R-7	U-0.129
R-8	U-0.114
R-9	U-0.102
R-10	U-0.093
R-11	U-0.085
R-12	U-0.078
R-13	U-0.073
R-14	U-0.068
R-15	U-0.063
R-16	U-0.060
R-17	U-0.056
R-18	U-0.053
R-19	U-0.051
R-20	U-0.048
R-21	U-0.046
R-22	U-0.044
R-23	U-0.042
R-24	U-0.040
R-25	U-0.039
R-26	U-0.037
R-27	U-0.036
R-28	U-0.035
R-29	U-0.034
R-30	U-0.032
R-35	U-0.028
R-40	U-0.025
R-45	U-0.022
R-50	U-0.020
R-55	U-0.018
R-60	U-0.016

## A2.3 Metal Building Roofs.

**A2.3.1 General:** For the purpose of A1.2, the base assembly is a *roof* where the insulation is draped over the steel structure (purlins) and then compressed when the metal spanning members are attached to the steel structure (purlins). Additional assemblies include *continuous insulation*, uncompressed and uninterrupted by framing.

### A2.3.2 Rated R-Value of insulation.

**A2.3.2.1** The first *rated R-value of insulation* is for insulation draped over purlins and then compressed when the metal spanning members are attached, or for insulation hung between the purlins, provided there is a minimum 1 in. thermal break between the purlins and the metal spanning members.

**A2.3.2.2** For double-layer installations, the second *rated R-value of insulation* is for insulation installed parallel to the purlins.

**A2.3.2.3** For continuous insulation (e.g., insulation boards), it is assumed that the insulation boards are installed below the purlins and are uninterrupted by framing members. Insulation exposed to the *conditioned space* or *semiheated space* shall have a facing, and all insulation seams shall be continuously sealed to provide a continuous air barrier.

**A2.3.3 U-factor.** *U-factors* for *metal building roofs* shall be taken from **Table A2.3**. It is not acceptable to use these *U-factors* if additional insulated sheathing is not continuous.

**TABLE A2.3 Assembly U-Factors for Metal Building Roofs**

Insulation System	Rated R-Value of Insulation	Total Rated R-Value of Insulation	Overall U-Factor for Entire Base Roof Assembly	Overall U-Factor for Assembly of Base Roof Plus Continuous Insulation (uninterrupted by framing)					
				Rated R-Value of Continuous Insulation					
				R-5.6	R-11.2	R-16.8	R-22.4	R-28.0	R-33.6
<b>Standing Seam Roofs with Thermal Blocks</b>									
Single Layer	None	0	<b>1.280</b>	0.162	0.087	0.059	0.045	0.036	0.030
	R-6	6	<b>0.167</b>	0.086	0.058	0.044	0.035	0.029	0.025
	R-10	10	<b>0.097</b>	0.063	0.046	0.037	0.031	0.026	0.023
	R-11	11	<b>0.092</b>	0.061	0.045	0.036	0.030	0.026	0.022
	R-13	13	<b>0.083</b>	0.057	0.043	0.035	0.029	0.025	0.022
	R-16	16	<b>0.072</b>	0.051	0.040	0.033	0.028	0.024	0.021
	R-19	19	<b>0.065</b>	0.048	0.038	0.031	0.026	0.023	0.020
Double Layer	R-10 + R-10	20	<b>0.063</b>	0.047	0.037	0.031	0.026	0.023	0.020
	R-10 + R-11	21	<b>0.061</b>	0.045	0.036	0.030	0.026	0.023	0.020
	R-11 + R-11	22	<b>0.060</b>	0.045	0.036	0.030	0.026	0.022	0.020
	R-10 + R-13	23	<b>0.058</b>	0.044	0.035	0.029	0.025	0.022	0.020
	R-11 + R-13	24	<b>0.057</b>	0.043	0.035	0.029	0.025	0.022	0.020
	R-13 + R-13	26	<b>0.055</b>	0.042	0.034	0.029	0.025	0.022	0.019
	R-10 + R-19	29	<b>0.052</b>	0.040	0.033	0.028	0.024	0.021	0.019
	R-11 + R-19	30	<b>0.051</b>	0.040	0.032	0.027	0.024	0.021	0.019
	R-13 + R-19	32	<b>0.049</b>	0.038	0.032	0.027	0.023	0.021	0.019
	R-16 + R-19	35	<b>0.047</b>	0.037	0.031	0.026	0.023	0.020	0.018
	R-19 + R-19	38	<b>0.046</b>	0.037	0.030	0.026	0.023	0.020	0.018
(Multiple R-values are listed in order from inside to outside)									
<b>Screw Down Roofs</b>									
	R-10	10	<b>0.153</b>	0.082	0.056	0.043	0.035	0.029	0.025
	R-11	11	<b>0.139</b>	0.078	0.054	0.042	0.034	0.028	0.025
	R-13	13	<b>0.130</b>	0.075	0.053	0.041	0.033	0.028	0.024
<b>Filled Cavity with Thermal Blocks</b>									
	R-19 + R-10	29	<b>0.041</b>	0.033	0.028	0.024	0.021	0.020	0.017
(Multiple R-values are listed in order from inside to outside)									

**TABLE A2.4 Assembly U-Factors for Attic Roofs with Wood Joists**

Rated R-Value of Insulation Alone	Overall U-Factor for Entire Assembly
<b>Wood-framed attic, standard framing</b>	
None	0.613
R-11	0.091
R-13	0.081
R-19	0.053
R-30	0.034
R-38	0.027
R-49	0.021
R-60	0.017
R-71	0.015
R-82	0.013
R-93	0.011
R-104	0.010
R-115	0.009
R-126	0.008
<b>Wood-framed attic, advanced framing</b>	
None	0.613
R-11	0.088
R-13	0.078
R-19	0.051
R-30	0.032
R-38	0.026
R-49	0.020
R-60	0.016
R-71	0.014
R-82	0.012
R-93	0.011
R-104	0.010
R-115	0.009
R-126	0.008
<b>Wood joists, single-rafter roof</b>	
None	0.417
R-11	0.088
R-13	0.078
R-15	0.071
R-19	0.055
R-21	0.052
R-25	0.043
R-30	0.036
R-38	0.028

**A2.4 Attic Roofs with Wood Joists**

**A2.4.1 General.** For the purpose of A1.2, the base *attic roof* assembly is a *roof* with a nominal 4 in. deep wood as the lower chord of a roof truss or ceiling joist. The ceiling is attached directly to the lower chord of the truss and the attic space above is ventilated. Insulation is located directly on top of the ceiling, first filling the cavities between the wood and then later covering both the wood and cavity areas. No credit is given for roofing materials. The *single-rafter roof* is similar to the base *attic roof*, with the key difference being that there is a single, deep rafter to which both the *roof* and the ceiling are attached. The heat flow path through the rafter is calculated to be the same depth as the insulation. The *U-factor* includes R-0.46 for semi-exterior air film, R-0.56 for 0.625 in. gypsum board, and R-0.61 for interior air film heat flow up. *U-factors* are provided for the following configurations:

- Attic roof, standard framing:* insulation is tapered around the perimeter with resultant decrease in thermal resistance. Weighting factors are 85% full-depth insulation, 5% half-depth insulation, and 10% joists.
- Attic roof, advanced framing:* full and even depth of insulation extending to the outside edge of exterior walls. Weighting factors are 90% full-depth insulation and 10% joists.
- Single-rafter roof:* an *attic roof* where the roof sheathing and ceiling are attached to the same rafter. Weighting factors are 90% full-depth insulation and 10% joists.

**A2.4.2 Rated R-Value of Insulation.**

**A2.4.2.1** For *attics and other roofs*, the *rated R-value of insulation* is for insulation installed both inside and outside the roof or entirely inside the roof cavity.

**A2.4.2.2** Occasional interruption by framing members is allowed but requires that the framing members be covered with insulation when the depth of the insulation exceeds the depth of the framing cavity.

**A2.4.2.3** Insulation in such roofs shall be permitted to be tapered at the eaves where the building structure does not allow full depth.

**A2.4.2.4** For *single-rafter roofs*, the requirement is the lesser of the values for *attics and other roofs* and those listed in **Table A2.4**.

**A2.4.3 U-factors for Attic Roofs with Wood Joists.** *U-factors* for *attic roofs* with wood joists shall be taken from Table A2.4. It is not acceptable to use these *U-factors* if the framing is not wood. For *attic roofs* with steel joists, see A2.5.

**A2.5 Attic Roofs with Steel Joists.**

**A2.5.1 General:** For the purpose of A1.2, the base assembly is a roof supported by steel joists with insulation between the joists. The assembly represents a *roof* in many ways similar to a *roof with insulation entirely above deck* and a *metal building roof*. It is distinguished from the *metal building roof* category in that there is no metal exposed to the exterior. It is distinguished from the *roof with insulation entirely above deck* in that the insulation is located below the deck and is interrupted by metal trusses that provide thermal bypasses to the insulation. The *U-factor* includes R-0.17 for exterior air film, R-0 for metal deck, and R-0.61 for interior air film heat flow up. The performance of the insulation/framing layer is calculated using the values in Table A9.2A.



**TABLE A2.4.2 Single-Rafter Roofs**

Climate Zone	Minimum Insulation R-Value or Maximum Assembly U-Factor		
	Wood Rafter Depth, <i>d</i> (actual)		
	<i>d</i> ≤ 8 in.	8 < <i>d</i> ≤ 10 in.	10 < <i>d</i> ≤ 12 in.
1-7	R-19 U-0.055	R-30 U-0.036	R-38 U-0.028
8	R-21 U-0.052	R-30 U-0.036	R-38 U-0.028

**TABLE A2.5 Assembly U-Factors for Attic Roofs with Steel Joists (4.0 ft on center)**

Rated R-Value of Insulation Area	Overall U-Factor for Entire Assembly
R-0	U-1.282
R-4	U-0.215
R-5	U-0.179
R-8	U-0.120
R-10	U-0.100
R-11	U-0.093
R-12	U-0.086
R-13	U-0.080
R-15	U-0.072
R-16	U-0.068
R-19	U-0.058
R-20	U-0.056
R-21	U-0.054
R-24	U-0.049
R-25	U-0.048
R-30	U-0.041
R-35	U-0.037
R-38	U-0.035
R-40	U-0.033
R-45	U-0.031
R-50	U-0.028
R-55	U-0.027

**A2.5.2** *U*-factors for attic roofs with steel joists shall be taken from **Table A2.5**. It is acceptable to use these *U*-factors for any attic roof with steel joists.

### A3 Above-Grade Walls

#### A3.1 Mass Wall

**A3.1.1 General.** For the purpose of A1.2, the base assembly is a masonry or concrete wall. *Continuous insulation* is installed on the interior, exterior, or within the masonry units,

or it is installed on the interior or exterior of the concrete. The *U*-factor includes R-0.17 for exterior air film and R-0.68 for interior air film, vertical surfaces. For insulated walls, the *U*-factor also includes R-0.45 for 0.5 in. gypsum board. *U*-factors are provided for the following configurations:

- Concrete wall: 8 in. normal weight concrete wall with a density of 145 lb/ft<sup>3</sup>.
- Solid grouted concrete block wall: 8 in. medium weight ASTM C90 concrete block with a density of 115 lb/ft<sup>3</sup> and solid grouted cores.
- Partially grouted concrete block wall: 8 in. medium weight ASTM C90 concrete block with a density of 115 lb/ft<sup>3</sup> having reinforcing steel every 32 in. vertically and every 48 in. horizontally, with cores grouted in those areas only. Other cores are filled with insulating material only if there is no other insulation.

#### A3.1.2 Mass Wall Rated R-value of Insulation.

**A3.1.2.1** Mass wall heat capacity shall be determined from **Table A3.1B** or **A3.1C**.

**A3.1.2.2** The *rated R-value of insulation* is for *continuous insulation* uninterrupted by framing other than 20 gauge 1 in. metal clips spaced no closer than 24 in. on center horizontally and 16 in. on center vertically.

**A3.1.2.3** Where other framing, including metal and wood studs, is used, compliance shall be based on the maximum assembly *U*-factor.

**A3.1.2.4** Where *rated R-value of insulation* is used for concrete sandwich panels, the insulation shall be continuous throughout the entire panel.

#### A3.1.3 Mass Wall U-factor.

**A3.1.3.1** *U*-factors for mass walls shall be taken from **Table A3.1A** or determined by the procedure in this subsection. It is acceptable to use the *U*-factors in Table A3.1A for all mass walls, provided that the grouting is equal to or less than that specified. *Heat capacity* for mass walls shall be taken from Table A3.1B or A3.1C.

**Exception to A3.1.3.1:** For mass walls, where the requirement in the table is for a maximum assembly U-0.151 followed by an asterisk only, ASTM C90 concrete block walls, ungrouted or partially grouted at 32 in. or less on center vertically and 48 in. or less on center horizontally, shall have ungrouted cores filled with material having a maximum thermal conductivity of 0.44 Btu·in./h·ft<sup>2</sup>·°F. Other mass walls with integral insulation shall meet the criteria when their *U*-factors are equal to or less than those for the appropriate thickness and density in the “Partly Grouted Cells Insulated” column of Table A3.1C.

TABLE A3.1A Assembly U-Factors for Above-Grade Concrete Walls and Masonry Walls

Framing Type and Depth	Rated R-Value of Insulation Alone	Assembly U-Factors for 8 in. Normal	Assembly U-Factors for 8 in.	Assembly U-Factors for 8 in. Medium
		Weight 145 lb/ft³	Medium Weight 115 lb/ft³	Weight 115 lb/ft³
		Solid Concrete Walls	Concrete Block Walls: Solid Grouted	Concrete Block Walls: Partially Grouted (cores uninsulated except where specified)
	R-0	U-0.740	U-0.580	U-0.480
	UngROUTED Cores Filled with			
No Framing	Loose-Fill Insulation	N.A.	N.A.	U-0.350
Continuous metal framing at 24 in. on center horizontally				
3.5 in.	R-11.0	U-0.168	U-0.158	U-0.149
3.5 in.	R-13.0	U-0.161	U-0.152	U-0.144
3.5 in.	R-15.0	U-0.155	U-0.147	U-0.140
4.5 in.	R-17.1	U-0.133	U-0.126	U-0.121
4.5 in.	R-22.5	U-0.124	U-0.119	U-0.114
4.5 in.	R-25.2	U-0.122	U-0.116	U-0.112
5.0 in.	R-19.0	U-0.122	U-0.117	U-0.112
5.0 in.	R-25.0	U-0.115	U-0.110	U-0.106
5.0 in.	R-28.0	U-0.112	U-0.107	U-0.103
5.5 in.	R-19.0	U-0.118	U-0.113	U-0.109
5.5 in.	R-20.9	U-0.114	U-0.109	U-0.105
5.5 in.	R-21.0	U-0.113	U-0.109	U-0.105
5.5 in.	R-27.5	U-0.106	U-0.102	U-0.099
5.5 in.	R-30.8	U-0.104	U-0.100	U-0.096
6.0 in.	R-22.8	U-0.106	U-0.102	U-0.098
6.0 in.	R-30.0	U-0.099	U-0.095	U-0.092
6.0 in.	R-33.6	U-0.096	U-0.093	U-0.090
6.5 in.	R-24.7	U-0.099	U-0.096	U-0.092
7.0 in.	R-26.6	U-0.093	U-0.090	U-0.087
7.5 in.	R-28.5	U-0.088	U-0.085	U-0.083
8.0 in.	R-30.4	U-0.083	U-0.081	U-0.079
1 in. metal clips at 24 in. on center horizontally and 16 in. vertically				
1.0 in.	R-3.8	U-0.210	U-0.195	U-0.182
1.0 in.	R-5.0	U-0.184	U-0.172	U-0.162
1.0 in.	R-5.6	U-0.174	U-0.163	U-0.154
1.5 in.	R-5.7	U-0.160	U-0.151	U-0.143
1.5 in.	R-7.5	U-0.138	U-0.131	U-0.125
1.5 in.	R-8.4	U-0.129	U-0.123	U-0.118
2.0 in.	R-7.6	U-0.129	U-0.123	U-0.118
2.0 in.	R-10.0	U-0.110	U-0.106	U-0.102
2.0 in.	R-11.2	U-0.103	U-0.099	U-0.096
2.5 in.	R-9.5	U-0.109	U-0.104	U-0.101
2.5 in.	R-12.5	U-0.092	U-0.089	U-0.086
2.5 in.	R-14.0	U-0.086	U-0.083	U-0.080
3.0 in.	R-11.4	U-0.094	U-0.090	U-0.088
3.0 in.	R-15.0	U-0.078	U-0.076	U-0.074
3.0 in.	R-16.8	U-0.073	U-0.071	U-0.069
3.5 in.	R-13.3	U-0.082	U-0.080	U-0.077
3.5 in.	R-17.5	U-0.069	U-0.067	U-0.065
3.5 in.	R-19.6	U-0.064	U-0.062	U-0.061

**TABLE A3.1A (continued) Assembly U-Factors for Above-Grade Concrete Walls and Masonry Walls**

Framing Type and Depth	Rated R-Value of Insulation Alone	Assembly U-Factors for 8 in. Normal Weight 145 lb/ft <sup>3</sup> Solid Concrete Walls	Assembly U-Factors for 8 in. Medium Weight 115 lb/ft <sup>3</sup> Concrete Block Walls: Solid Grouted	Assembly U-Factors for 8 in. Medium Weight 115 lb/ft <sup>3</sup> Concrete Block Walls: Partially Grouted (cores uninsulated except where specified)
<b>1 in. metal clips at 24 in. on center horizontally and 16 in. vertically</b>				
4.0 in.	R-15.2	U-0.073	U-0.071	U-0.070
4.0 in.	R-20.0	U-0.061	U-0.060	U-0.058
4.0 in.	R-22.4	U-0.057	U-0.056	U-0.054
5.0 in.	R-28.0	U-0.046	U-0.046	U-0.045
6.0 in.	R-33.6	U-0.039	U-0.039	U-0.038
7.0 in.	R-39.2	U-0.034	U-0.034	U-0.033
8.0 in.	R-44.8	U-0.030	U-0.030	U-0.029
9.0 in.	R-50.4	U-0.027	U-0.027	U-0.026
10.0 in.	R-56.0	U-0.024	U-0.024	U-0.024
11.0 in.	R-61.6	U-0.022	U-0.022	U-0.022
<b>Continuous insulation uninterrupted by framing</b>				
No Framing	R-1.0	U-0.425	U-0.367	U-0.324
No Framing	R-2.0	U-0.298	U-0.269	U-0.245
No Framing	R-3.0	U-0.230	U-0.212	U-0.197
No Framing	R-4.0	U-0.187	U-0.175	U-0.164
No Framing	R-5.0	U-0.157	U-0.149	U-0.141
No Framing	R-6.0	U-0.136	U-0.129	U-0.124
No Framing	R-7.0	U-0.120	U-0.115	U-0.110
No Framing	R-8.0	U-0.107	U-0.103	U-0.099
No Framing	R-9.0	U-0.097	U-0.093	U-0.090
No Framing	R-10.0	U-0.088	U-0.085	U-0.083
No Framing	R-11.0	U-0.081	U-0.079	U-0.076
No Framing	R-12.0	U-0.075	U-0.073	U-0.071
No Framing	R-13.0	U-0.070	U-0.068	U-0.066
No Framing	R-14.0	U-0.065	U-0.064	U-0.062
No Framing	R-15.0	U-0.061	U-0.060	U-0.059
No Framing	R-16.0	U-0.058	U-0.056	U-0.055
No Framing	R-17.0	U-0.054	U-0.053	U-0.052
No Framing	R-18.0	U-0.052	U-0.051	U-0.050
No Framing	R-19.0	U-0.049	U-0.048	U-0.047
No Framing	R-20.0	U-0.047	U-0.046	U-0.045
No Framing	R-21.0	U-0.045	U-0.044	U-0.043
No Framing	R-22.0	U-0.043	U-0.042	U-0.042
No Framing	R-23.0	U-0.041	U-0.040	U-0.040
No Framing	R-24.0	U-0.039	U-0.039	U-0.038
No Framing	R-25.0	U-0.038	U-0.037	U-0.037
No Framing	R-30.0	U-0.032	U-0.032	U-0.031
No Framing	R-35.0	U-0.028	U-0.027	U-0.027
No Framing	R-40.0	U-0.024	U-0.024	U-0.024
No Framing	R-45.0	U-0.022	U-0.021	U-0.021
No Framing	R-50.0	U-0.019	U-0.019	U-0.019
No Framing	R-55.0	U-0.018	U-0.018	U-0.018
No Framing	R-60.0	U-0.016	U-0.016	U-0.016

TABLE A3.1B Assembly U-Factors, C-Factors,  $R_u$ ,  $R_c$ , and Heat Capacity for Concrete

Density in lb/ft <sup>3</sup>	Properties	Thickness in inches									
		3	4	5	6	7	8	9	10	11	12
20	U-factor	0.22	0.17	0.14	0.12	0.10	0.09	0.08	0.07	0.07	0.06
	C-factor	0.27	0.20	0.16	0.13	0.11	0.10	0.09	0.08	0.07	0.07
	$R_u$	4.60	5.85	7.10	8.35	9.60	10.85	12.10	13.35	14.60	15.85
	$R_c$	3.75	5.00	6.25	7.50	8.75	10.00	11.25	12.50	13.75	15.00
	HC	1.0	1.3	1.7	2.0	2.3	2.7	3.0	3.3	3.7	4.0
30	U-factor	0.28	0.22	0.19	0.16	0.14	0.12	0.11	0.10	0.09	0.09
	C-factor	0.37	0.28	0.22	0.18	0.16	0.14	0.12	0.11	0.10	0.09
	$R_u$	3.58	4.49	5.40	6.30	7.21	8.12	9.03	9.94	10.85	11.76
	$R_c$	2.73	3.64	4.55	5.45	6.36	7.27	8.18	9.09	10.00	10.91
	HC	1.5	2.0	2.5	3.0	3.5	4.0	4.5	5.0	5.5	6.0
40	U-factor	0.33	0.27	0.23	0.19	0.17	0.15	0.14	0.13	0.11	0.11
	C-factor	0.47	0.35	0.28	0.23	0.20	0.18	0.16	0.14	0.13	0.12
	$R_u$	2.99	3.71	4.42	5.14	5.85	6.56	7.28	7.99	8.71	9.42
	$R_c$	2.14	2.86	3.57	4.29	5.00	5.71	6.43	7.14	7.86	8.57
	HC	2.0	2.7	3.3	4.0	4.7	5.3	6.0	6.7	7.3	8.0
50	U-factor	0.38	0.31	0.26	0.23	0.20	0.18	0.16	0.15	0.14	0.13
	C-factor	0.57	0.43	0.34	0.28	0.24	0.21	0.19	0.17	0.15	0.14
	$R_u$	2.61	3.20	3.79	4.38	4.97	5.56	6.14	6.73	7.32	7.91
	$R_c$	1.76	2.35	2.94	3.53	4.12	4.71	5.29	5.88	6.47	7.06
	HC	2.5	3.3	4.2	5.0	5.8	6.7	7.5	8.3	9.2	10.0
85	U-factor	0.65	0.56	0.50	0.44	0.40	0.37	0.34	0.31	0.29	0.27
	C-factor	1.43	1.08	0.86	0.71	0.61	0.54	0.48	0.43	0.39	0.36
	$R_u$	1.55	1.78	2.01	2.25	2.48	2.71	2.94	3.18	3.41	3.64
	$R_c$	0.70	0.93	1.16	1.40	1.63	1.86	2.09	2.33	2.56	2.79
	HC	4.3	5.7	7.1	8.5	9.9	11.3	12.8	14.2	15.6	17.0
95	U-factor	0.72	0.64	0.57	0.52	0.48	0.44	0.41	0.38	0.36	0.33
	C-factor	1.85	1.41	1.12	0.93	0.80	0.70	0.62	0.56	0.51	0.47
	$R_u$	1.39	1.56	1.74	1.92	2.10	2.28	2.46	2.64	2.81	2.99
	$R_c$	0.54	0.71	0.89	1.07	1.25	1.43	1.61	1.79	1.96	2.14
	HC	4.8	6.3	7.9	9.5	11.1	12.7	14.3	15.8	17.4	19.0
105	U-factor	0.79	0.71	0.65	0.59	0.54	0.51	0.47	0.44	0.42	0.39
	C-factor	2.38	1.79	1.43	1.18	1.01	0.88	0.79	0.71	0.65	0.59
	$R_u$	1.27	1.41	1.56	1.70	1.84	1.98	2.12	2.26	2.40	2.54
	$R_c$	0.42	0.56	0.70	0.85	0.99	1.13	1.27	1.41	1.55	1.69
	HC	5.3	7.0	8.8	10.5	12.3	14.0	15.8	17.5	19.3	21.0
115	U-factor	0.84	0.77	0.70	0.65	0.61	0.57	0.53	0.50	0.48	0.45
	C-factor	2.94	2.22	1.75	1.47	1.25	1.10	0.98	0.88	0.80	0.74
	$R_u$	1.19	1.30	1.42	1.53	1.65	1.76	1.87	1.99	2.10	2.21
	$R_c$	0.34	0.45	0.57	0.68	0.80	0.91	1.02	1.14	1.25	1.36
	HC	5.8	7.7	9.6	11.5	13.4	15.3	17.3	19.2	21.1	23.0
125	U-factor	0.88	0.82	0.76	0.71	0.67	0.63	0.60	0.56	0.53	0.51
	C-factor	3.57	2.70	2.17	1.79	1.54	1.35	1.20	1.03	0.98	0.90
	$R_u$	1.13	1.22	1.31	1.41	1.50	1.59	1.68	1.78	1.87	1.96
	$R_c$	0.28	0.37	0.46	0.56	0.65	0.74	0.83	0.93	1.02	1.11
	HC	6.3	8.3	10.4	12.5	14.6	16.7	18.8	20.8	22.9	25.0

TABLE A3.1B (continued) Assembly U-Factors, C-Factors,  $R_u$ ,  $R_c$ , and Heat Capacity for Concrete

Density in lb/ft <sup>3</sup>	Properties	Thickness in inches									
		3	4	5	6	7	8	9	10	11	12
135	U-factor	0.93	0.87	0.82	0.77	0.73	0.69	0.66	0.63	0.60	0.57
	C-factor	4.55	3.33	2.70	2.22	1.92	1.67	1.49	1.33	1.22	1.11
	$R_u$	1.07	1.15	1.22	1.30	1.37	1.45	1.52	1.60	1.67	1.75
	$R_c$	0.22	0.30	0.37	0.45	0.52	0.60	0.67	0.75	0.82	0.90
	HC	6.8	9.0	11.3	13.5	15.8	18.0	20.3	22.5	24.8	27.0
144	U-factor	0.96	0.91	0.86	0.81	0.78	0.74	0.71	0.68	0.65	0.63
	C-factor	5.26	4.00	3.23	2.63	2.27	2.00	1.79	1.59	1.45	1.33
	$R_u$	1.04	1.10	1.16	1.23	1.29	1.35	1.41	1.48	1.54	1.60
	$R_c$	0.19	0.25	0.31	0.38	0.44	0.50	0.56	0.63	0.69	0.75
	HC	7.2	9.6	12.0	14.4	16.8	19.2	21.6	24.0	26.4	28.8

The U-factors and  $R_u$  include standard air film resistances.  
The C-factors and  $R_c$  are for the same assembly without air film resistances.  
Note that the following assemblies do not qualify as a mass wall or mass floor:  
3 in. thick concrete with densities of 85, 95, 125, and 135 lb/ft<sup>3</sup>.

**TABLE A3.1C Assembly U-Factors, C-Factors,  $R_u$ ,  $R_c$ , and Heat Capacity for Concrete Block Walls**

Product Size: in.	Density: lb/ft <sup>3</sup>	Properties	Concrete Block Grouting and Cell Treatment				
			Solid Grouted	Partly Grouted, Cells Empty	Partly Grouted, Cells Insulated	Unreinforced, Cells Empty	Unreinforced, Cells Insulated
6 in. block	85	U-factor	0.57	0.46	0.34	0.40	0.20
		C-factor	1.11	0.75	0.47	0.60	0.23
		$R_u$	1.75	2.18	2.97	2.52	5.13
		$R_c$	0.90	1.33	2.12	1.67	4.28
		HC	10.9	6.7	7.0	4.2	4.6
	95	U-factor	0.61	0.49	0.36	0.42	0.22
		C-factor	1.25	0.83	0.53	0.65	0.27
		$R_u$	1.65	2.06	2.75	2.38	4.61
		$R_c$	0.80	1.21	1.90	1.53	3.76
		HC	11.4	7.2	7.5	4.7	5.1
	105	U-factor	0.64	0.51	0.39	0.44	0.24
		C-factor	1.38	0.91	0.58	0.71	0.30
		$R_u$	1.57	1.95	2.56	2.26	4.17
		$R_c$	0.72	1.10	1.71	1.41	3.32
		HC	11.9	7.7	7.9	5.1	5.6
	115	U-factor	0.66	0.54	0.41	0.46	0.26
		C-factor	1.52	0.98	0.64	0.76	0.34
		$R_u$	1.51	1.87	2.41	2.16	3.79
		$R_c$	0.66	1.02	1.56	1.31	2.94
		HC	12.3	8.1	8.4	5.6	6.0
	125	U-factor	0.70	0.56	0.45	0.49	0.30
		C-factor	1.70	1.08	0.73	0.84	0.40
		$R_u$	1.44	1.78	2.23	2.04	3.38
		$R_c$	0.59	0.93	1.38	1.19	2.53
		HC	12.8	8.6	8.8	6.0	6.5
	135	U-factor	0.73	0.60	0.49	0.53	0.35
		C-factor	1.94	1.23	0.85	0.95	0.49
		$R_u$	1.36	1.67	2.02	1.90	2.89
		$R_c$	0.51	0.82	1.17	1.05	2.04
		HC	13.2	9.0	9.3	6.5	6.9
8 in. block	85	U-factor	0.49	0.41	0.28	0.37	0.15
		C-factor	0.85	0.63	0.37	0.53	0.17
		$R_u$	2.03	2.43	3.55	2.72	6.62
		$R_c$	1.18	1.58	2.70	1.87	5.77
		HC	15.0	9.0	9.4	5.4	6.0
	95	U-factor	0.53	0.44	0.31	0.39	0.17
		C-factor	0.95	0.70	0.41	0.58	0.20
		$R_u$	1.90	2.29	3.27	2.57	5.92
		$R_c$	1.05	1.44	2.42	1.72	5.07
		HC	15.5	9.6	10.0	6.0	6.6
	105	U-factor	0.55	0.46	0.33	0.41	0.19
		C-factor	1.05	0.76	0.46	0.63	0.22
		$R_u$	1.81	2.17	3.04	2.44	5.32
		$R_c$	0.96	1.32	2.19	1.59	4.47
		HC	16.1	10.2	10.6	6.6	7.2
	115	U-factor	0.58	0.48	0.35	0.43	0.21
		C-factor	1.14	0.82	0.50	0.68	0.25
		$R_u$	1.72	2.07	2.84	2.33	4.78
		$R_c$	0.87	1.22	1.99	1.48	3.93
		HC	16.7	10.8	11.2	7.2	7.8
	125	U-factor	0.61	0.51	0.38	0.45	0.24
		C-factor	1.27	0.90	0.57	0.74	0.30
		$R_u$	1.64	1.96	2.62	2.20	4.20
		$R_c$	0.79	1.11	1.77	1.35	3.35
		HC	17.3	11.4	11.8	7.8	8.4
	135	U-factor	0.65	0.55	0.42	0.49	0.28
		C-factor	1.44	1.02	0.67	0.83	0.37
		$R_u$	1.54	1.83	2.35	2.05	3.55
		$R_c$	0.69	0.98	1.50	1.20	2.70
		HC	17.9	12.0	12.4	8.4	9.0

**TABLE A3.1C (continued) Assembly U-Factors, C-Factors,  $R_u$ ,  $R_c$ , and Heat Capacity for Concrete Block Walls**

Product Size: in.	Density: lb/ft <sup>3</sup>	Properties	Concrete Block Grouting and Cell Treatment				
			Solid Grouted	Partly Grouted, Cells Empty	Partly Grouted, Cells Insulated	Unreinforced, Cells Empty	Unreinforced, Cells Insulated
10 in. block	85	U-factor	0.44	0.38	0.25	0.35	0.13
		C-factor	0.70	0.57	0.31	0.50	0.14
		$R_u$	2.29	2.61	4.05	2.84	7.87
		$R_c$	1.44	1.76	3.20	1.99	7.02
		HC	19.0	11.2	11.7	6.5	7.3
	95	U-factor	0.47	0.41	0.27	0.37	0.14
		C-factor	0.77	0.62	0.35	0.55	0.16
		$R_u$	2.15	2.46	3.73	2.67	6.94
		$R_c$	1.30	1.61	2.88	1.82	6.09
		HC	19.7	11.9	12.4	7.3	8.1
	105	U-factor	0.49	0.43	0.29	0.39	0.16
		C-factor	0.85	0.68	0.39	0.59	0.19
		$R_u$	2.03	2.33	3.45	2.54	6.17
		$R_c$	1.18	1.48	2.60	1.69	5.32
		HC	20.4	12.6	13.1	8.0	8.8
	115	U-factor	0.52	0.45	0.31	0.41	0.18
		C-factor	0.92	0.73	0.42	0.64	0.21
		$R_u$	1.94	2.22	3.21	2.42	5.52
		$R_c$	1.09	1.37	2.36	1.57	4.67
		HC	21.1	13.4	13.9	8.7	9.5
	125	U-factor	0.54	0.48	0.34	0.44	0.21
		C-factor	1.01	0.80	0.48	0.70	0.25
		$R_u$	1.84	2.10	2.95	2.28	4.81
		$R_c$	0.99	1.25	2.10	1.43	3.96
		HC	21.8	14.1	14.6	9.4	10.2
	135	U-factor	0.58	0.51	0.38	0.47	0.25
		C-factor	1.14	0.90	0.56	0.79	0.32
		$R_u$	1.72	1.96	2.64	2.12	4.00
		$R_c$	0.87	1.11	1.79	1.27	3.15
		HC	22.6	14.8	15.3	10.2	11.0
12 in. block	85	U-factor	0.40	0.36	0.22	0.34	0.11
		C-factor	0.59	0.52	0.27	0.48	0.12
		$R_u$	2.53	2.77	4.59	2.93	9.43
		$R_c$	1.68	1.92	3.74	2.08	8.58
		HC	23.1	13.3	14.0	7.5	8.5
	95	U-factor	0.42	0.38	0.24	0.36	0.12
		C-factor	0.66	0.57	0.30	0.52	0.13
		$R_u$	2.30	2.60	4.22	2.76	8.33
		$R_c$	1.53	1.75	3.37	1.91	7.48
		HC	23.9	14.2	14.8	8.3	9.3
	105	U-factor	0.44	0.41	0.26	0.38	0.14
		C-factor	0.71	0.62	0.33	0.57	0.15
		$R_u$	2.25	2.47	3.90	2.62	7.35
		$R_c$	1.40	1.62	3.05	1.77	6.50
		HC	24.7	15.0	15.6	9.1	10.2
	115	U-factor	0.47	0.42	0.28	0.40	0.15
		C-factor	0.77	0.66	0.36	0.61	0.18
		$R_u$	2.15	2.36	3.63	2.49	6.54
		$R_c$	1.30	1.51	2.78	1.64	5.69
		HC	25.6	15.8	16.4	10.0	11.0
	125	U-factor	0.49	0.45	0.30	0.42	0.18
		C-factor	0.84	0.72	0.40	0.66	0.21
		$R_u$	2.04	2.23	3.34	2.36	5.68
		$R_c$	1.19	1.38	2.49	1.51	4.83
		HC	26.4	16.6	17.3	10.8	11.8
	135	U-factor	0.52	0.48	0.34	0.46	0.21
		C-factor	0.94	0.81	0.47	0.74	0.26
		$R_u$	1.91	2.08	2.98	2.19	4.67
		$R_c$	1.06	1.23	2.13	1.34	3.82
		HC	27.2	17.5	18.1	11.6	12.6

**TABLE A3.1D Effective R-Values for Insulation/Framing Layers Added to Above-Grade Mass Walls and Below-Grade Walls**

Depth (in.)	Framing Type	Rated R-Value of Insulation																									
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25
Effective R-value if continuous insulation uninterrupted by framing (includes gypsum board)																											
	None	0.5	1.5	2.5	3.5	4.5	5.5	6.5	7.5	8.5	9.5	10.5	11.5	12.5	13.5	14.5	15.5	16.5	17.5	18.5	19.5	20.5	21.5	22.5	23.5	24.5	25.5
Effective R-value if insulation is installed in cavity between framing (includes gypsum board)																											
0.5	Wood	1.3	1.3	1.9	2.4	2.7	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na
	Metal	0.9	0.9	1.1	1.1	1.2	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na
0.75	Wood	1.4	1.4	2.1	2.7	3.1	3.5	3.8	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na
	Metal	1.0	1.0	1.3	1.4	1.5	1.5	1.6	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na
1.0	Wood	1.3	1.5	2.2	2.9	3.4	3.9	4.3	4.6	4.9	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na
	Metal	1.0	1.1	1.4	1.6	1.7	1.8	1.8	1.9	1.9	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na	na
1.5	Wood	1.3	1.5	2.4	3.1	3.8	4.4	4.9	5.4	5.8	6.2	6.5	6.8	7.1	na	na	na	na	na	na	na	na	na	na	na	na	na
	Metal	1.1	1.2	1.6	1.9	2.1	2.2	2.3	2.4	2.5	2.5	2.6	2.6	2.7	na	na	na	na	na	na	na	na	na	na	na	na	na
2.0	Wood	1.4	1.5	2.5	3.3	4.0	4.7	5.3	5.9	6.4	6.9	7.3	7.7	8.1	8.4	8.7	9.0	9.3	na	na	na	na	na	na	na	na	na
	Metal	1.1	1.2	1.7	2.1	2.3	2.5	2.7	2.8	2.9	3.0	3.1	3.2	3.2	3.3	3.3	3.4	3.4	na	na	na	na	na	na	na	na	na
2.5	Wood	1.4	1.5	2.5	3.4	4.2	4.9	5.6	6.3	6.8	7.4	7.9	8.4	8.8	9.2	9.6	10.0	10.3	10.6	10.9	11.2	11.5	na	na	na	na	na
	Metal	1.2	1.3	1.8	2.3	2.6	2.8	3.0	3.2	3.3	3.5	3.6	3.6	3.7	3.8	3.9	3.9	4.0	4.0	4.1	4.1	4.1	na	na	na	na	na
3.0	Wood	1.4	1.5	2.5	3.5	4.3	5.1	5.8	6.5	7.2	7.8	8.3	8.9	9.4	9.9	10.3	10.7	11.1	11.5	11.9	12.2	12.5	12.9	na	na	na	na
	Metal	1.2	1.3	1.9	2.4	2.8	3.1	3.3	3.5	3.7	3.8	4.0	4.1	4.2	4.3	4.4	4.4	4.5	4.6	4.6	4.7	4.7	4.8	na	na	na	na
3.5	Wood	1.4	1.5	2.6	3.5	4.4	5.2	6.0	6.7	7.4	8.1	8.7	9.3	9.8	10.4	10.9	11.3	11.8	12.2	12.6	13.0	13.4	13.8	14.1	14.5	14.8	15.1
	Metal	1.2	1.3	2.0	2.5	2.9	3.2	3.5	3.8	4.0	4.2	4.3	4.5	4.6	4.7	4.8	4.9	5.0	5.1	5.1	5.2	5.2	5.3	5.4	5.4	5.4	5.5
4.0	Wood	1.4	1.6	2.6	3.6	4.5	5.3	6.1	6.9	7.6	8.3	9.0	9.6	10.2	10.8	11.3	11.9	12.4	12.8	13.3	13.7	14.2	14.6	14.9	15.3	15.7	16.0
	Metal	1.2	1.3	2.0	2.6	3.0	3.4	3.7	4.0	4.2	4.5	4.6	4.8	5.0	5.1	5.2	5.3	5.4	5.5	5.6	5.7	5.8	5.8	5.9	6.0	6.0	6.0
4.5	Wood	1.4	1.6	2.6	3.6	4.5	5.4	6.2	7.1	7.8	8.5	9.2	9.9	10.5	11.2	11.7	12.3	12.8	13.3	13.8	14.3	14.8	15.2	15.7	16.1	16.5	16.9
	Metal	1.2	1.3	2.1	2.6	3.1	3.5	3.9	4.2	4.5	4.7	4.9	5.1	5.3	5.4	5.6	5.7	5.8	5.9	6.0	6.1	6.2	6.3	6.4	6.4	6.5	6.6
5.0	Wood	1.4	1.6	2.6	3.6	4.6	5.5	6.3	7.2	8.0	8.7	9.4	10.1	10.8	11.5	12.1	12.7	13.2	13.8	14.3	14.8	15.3	15.8	16.3	16.7	17.2	17.6
	Metal	1.2	1.4	2.1	2.7	3.2	3.7	4.1	4.4	4.7	5.0	5.2	5.4	5.6	5.8	5.9	6.1	6.2	6.3	6.5	6.6	6.7	6.8	6.8	6.9	7.0	7.1
5.5	Wood	1.4	1.6	2.6	3.6	4.6	5.5	6.4	7.3	8.1	8.9	9.6	10.3	11.0	11.7	12.4	13.0	13.6	14.2	14.7	15.3	15.8	16.3	16.8	17.3	17.8	18.2
	Metal	1.3	1.4	2.1	2.8	3.3	3.8	4.2	4.6	4.9	5.2	5.4	5.7	5.9	6.1	6.3	6.4	6.6	6.7	6.8	7.0	7.1	7.2	7.3	7.4	7.5	7.6



**A3.1.3.2 Determination of Mass Wall U-Factors.** If not taken from Table A3.1A, *mass wall U-factors* shall be determined from Tables A3.1B, A3.1C, and **A3.1D** using the following procedure.

1. If the *mass wall* is uninsulated or only the cells are insulated:
  - (a) For concrete *walls*, determine the *U-factor* from Table A3.1B based on the concrete density and *wall* thickness.
  - (b) For concrete block *walls*, determine the *U-factor* from Table A3.1C based on the block size, concrete density, degree of grouting in the cells, and whether the cells are insulated.
2. If the *mass wall* has additional insulation:
  - (a) For concrete *walls*, determine the  $R_u$  from Table A3.1B based on the concrete density and *wall* thickness. Next, determine the effective R-value for the insulation/framing layer from Table A3.1D based on the *rated R-value of insulation* installed, the thickness of the insulation, and whether it is installed between wood or metal framing or with no framing. Then, determine the *U-factor* by adding the  $R_u$  and the effective R-value together and taking the inverse of the total.
  - (b) For concrete block *walls*, determine the  $R_u$  from Table A3.1C based on the block size, concrete density, degree of grouting in the cells, and whether the cells are insulated. Next, determine the effective R-value for the insulation/framing layer from Table A3.1D based on the *rated R-value of insulation* installed, the thickness of the insulation, and whether it is installed between

wood or metal framing or with no framing. Then, determine the *U-factor* by adding the  $R_u$  and the effective R-value together and taking the inverse of the total.

## A3.2 Metal Building Walls.

**A3.2.1 General.** For the purpose of A1.2, the base assembly is a *wall* where the insulation is compressed between metal wall panels and the metal structure. Additional assemblies include *continuous insulation*, uncompressed and uninterrupted by framing.

### A3.2.2 Rated R-value of Insulation for Metal Building Walls.

**A3.2.2.1** The first *rated R-Value of insulation* is for insulation compressed between metal wall panels and the steel structure.

**A3.2.2.2** For double-layer installations, the second *rated R-value of insulation* is for insulation installed from the inside, covering the girts.

**A3.2.2.3** For continuous insulation (e.g., insulation boards) it is assumed that the insulation boards are installed on the inside of the girts and uninterrupted by the framing members.

**A3.2.2.4** Insulation exposed to the *conditioned space* or *semiheated space* shall have a facing, and all insulation seams shall be continuously sealed to provide a continuous air barrier.

**A3.2.3 U-Factors for Metal Building Walls.** U-factors for metal building walls shall be taken from **Table A3.2**. It is not acceptable to use these *U-factors* if additional insulation is not continuous.

**TABLE A3.2 Assembly U-Factors for Metal Building Walls**

Insulation System	Rated R-Value of Insulation	Total Rated R-Value of Insulation	Overall U-Factor for Entire Base Wall Assembly	Overall U-Factor for Assembly of Base Wall Plus Continuous Insulation (uninterrupted by framing)					
				Rated R-Value of Continuous Insulation					
				R-5.6	R-11.2	R-16.8	R-22.4	R-28.0	R-33.6
<b>Single Layer of Mineral Fiber</b>									
	None	0	<b>1.180</b>	0.161	0.086	0.059	0.045	0.036	0.030
	R-6	6	<b>0.184</b>	0.091	0.060	0.045	0.036	0.030	0.026
	R-10	10	<b>0.134</b>	0.077	0.054	0.051	0.033	0.028	0.024
	R-11	11	<b>0.123</b>	0.073	0.052	0.040	0.033	0.028	0.024
	R-13	13	<b>0.113</b>	0.069	0.050	0.039	0.032	0.027	0.024
<b>Double Layer of Mineral Fiber</b>									
(Second layer inside of girts)									
(Multiple layers are listed in order from inside to outside)									
	R-6 + R-13	19	<b>0.070</b>	N/A	N/A	N/A	N/A	N/A	N/A
	R-10 + R-13	23	<b>0.061</b>	N/A	N/A	N/A	N/A	N/A	N/A
	R-13 + R-13	26	<b>0.057</b>	N/A	N/A	N/A	N/A	N/A	N/A
	R-19 + R-13	32	<b>0.048</b>	N/A	N/A	N/A	N/A	N/A	N/A

### A3.3 Steel-Framed Walls.

**A3.3.1 General.** For the purpose of A1.2, the base assembly is a *wall* where the insulation is installed within the cavity of the steel stud framing but where there is not a metal exterior surface spanning member. The steel stud framing is a minimum uncoated thickness of 0.043 in. for 18 gauge or 0.054 in. for 16 gauge. The *U-factor* includes R-0.17 for exterior air film, R-0.08 for stucco, R-0.56 for 0.625 in. 16 mm gypsum board on the exterior, R-0.56 for 0.625 in. 16 mm gypsum board on the interior, and R-0.68 for interior vertical surfaces air film. The performance of the insulation/framing layer is calculated using the values in Table A-21. Additional assemblies include *continuous insulation*, uncompressed and uninterrupted by framing. *U-factors* are provided for the following configurations:

- (a) *Standard framing*: steel stud framing at 16 in. on center with cavities filled with 16 in. wide insulation for both 3.5 in. deep and 6.0 in. deep wall cavities.
- (b) *Advanced framing*: steel stud framing at 24 in. on center with cavities filled with 24 in. wide insulation for both 3.5 in. deep and 6.0 in. deep wall cavities.

#### A3.3.2 Rated R-Value of Insulation for Steel-Framed Walls.

**A3.3.2.1** The first *rated R-value of insulation* is for uncompressed insulation installed in the cavity between steel studs. It is acceptable for this insulation to also be *continuous insulation* uninterrupted by framing.

**A3.3.2.2** If there are two values, the second *rated R-value of insulation* is for *continuous insulation* uninterrupted by framing, etc., to be installed in addition to the first insulation.

**A3.3.2.3** Opaque mullions in spandrel glass shall be covered with insulation complying with the steel-framed wall requirements.

#### A3.3.3 U-Factors for Steel-Framed Walls.

**A3.3.3.1** U-factors for steel-framed walls shall be taken from [Table A3.3](#).

**A3.3.3.2** For *steel-framed walls* with framing at less than 24 in. on center, use the standard framing values as described in A3.3.1(a).

**A3.3.3.3** For *steel-framed walls* with framing from 24 in. to 32 in. on center, use the advanced framing values as described in A3.3.1(b).

**A3.3.3.4** For *steel-framed walls* with framing greater than 32 in. on center, use the *metal building wall* values in Table A3.2.

### A3.4 Wood-Framed Walls.

**A3.4.1 General.** For the purpose of A1.2, the base assembly is a *wall* where the insulation is installed between 2 in. nominal wood framing. Cavity insulation is full depth, but values are taken from Table A9.4C for R-19 insulation, which is compressed when installed in a 5.5 in. cavity. Headers are double 2 in. nominal wood framing. The *U-factor* includes R-0.17 for exterior air film, R-0.08 for stucco, R-0.56 for 0.625 in. gypsum board on the exterior, R-0.56 for 0.625 in. gypsum board on the interior, and R-0.68 for interior air film, vertical surfaces. Additional assemblies include *continuous insula-*

*tion*, uncompressed and uninterrupted by framing. *U-factors* are provided for the following configurations:

- (a) *Standard framing*: wood framing at 16 in. on center with cavities filled with 14.5 in. wide insulation for both 3.5 in. deep and 5.5 in. deep wall cavities. Double headers leave no cavity. Weighting factors are 75% insulated cavity, 21% studs, plates, and sills, and 4% headers.
- (b) *Advanced framing*: wood framing at 24 in. on center with cavities filled with 22.5 in. wide insulation for both 3.5 in. deep and 5.5 in. deep wall cavities. Double headers leave uninsulated cavities. Weighting factors are 78% insulated cavity, 18% studs, plates, and sills, and 4% headers.
- (c) *Advanced framing with insulated headers*: wood framing at 24 in. on center with cavities filled with 22.5 in. wide insulation for both 3.5 in. deep and 5.5 in. deep wall cavities. Double header cavities are insulated. Weighting factors are 78% insulated cavity, 18% studs, plates, and sills, and 4% headers.

#### A3.4.2 Rated R-value of Insulation for Wood-Framed and Other Walls.

**A3.4.2.1** The first *rated R-value of insulation* is for uncompressed insulation installed in the cavity between wood studs. It is acceptable for this insulation to also be *continuous insulation* uninterrupted by framing.

**A3.4.2.2** If there are two values, the second *rated R-value of insulation* is for *continuous insulation* uninterrupted by framing, etc., to be installed in addition to the first insulation.

#### A3.4.3 U-Factors for Wood-Framed Walls.

**A3.4.3.1** U-factors for wood-framed walls shall be taken from [Table A3.4](#).

**A3.4.3.2** For *wood-framed walls* with framing at less than 24 in. on center, use the standard framing values as described in A3.4.1(a).

**A3.4.3.3** For *wood-framed walls* with framing from 24 in. to 32 in. on center, use the advanced framing values as described in A3.4.1(b) if the headers are uninsulated or the advanced framing with insulated header values as described in A3.4.1(c) if the headers are insulated.

**A3.4.3.4** For *wood-framed walls* with framing greater than 32 in. on center, U-factors shall be determined in accordance with A9.

### A4 Below-Grade Walls.

**A4.1 General.** For the purpose of A1.2, The base assembly is 8 in. medium-weight concrete block with a density of 115 lb/ft<sup>3</sup> and solid grouted cores. *Continuous insulation* is installed on the interior or exterior. In contrast to the *U-factor* for *above-grade walls*, the *C-factor* for *below-grade walls* does not include R-values for exterior or interior air films or for soil. For insulated walls, the *C-factor* does include R-0.45 for 0.5 in. gypsum board.

#### A4.2 C-Factors for Below-Grade Walls.

**A4.2.1** C-factors for below-grade walls shall be taken from [Table A4.2](#) or determined by the procedure described in this subsection.

TABLE A3.3 Assembly U-Factors for Steel-Frame Walls

Framing Type and Spacing Width (actual depth)	Cavity Insulation R-Value: Rated/ (effective installed [see Table A9.2B])	Overall U-Factor for Entire Base Wall Assembly	Overall U-Factor for Assembly of Base Wall Plus Continuous Insulation (uninterrupted by framing), Rated R-Value of Continuous Insulation																				
			R-1.00	R-2.00	R-3.00	R-4.00	R-5.00	R-6.00	R-7.00	R-8.00	R-9.00	R-10.00	R-11.00	R-12.00	R-13.00	R-14.00	R-15.00	R-20.00	R-25.00	R-30.00	R-35.00	R-40.00	
Steel Framing at 16 in. OC																							
(3.5 in. depth)	None (0.0)	<b>0.352</b>	0.260	0.207	0.171	0.146	0.128	0.113	0.102	0.092	0.084	0.078	0.072	0.067	0.063	0.059	0.056	0.044	0.036	0.030	0.026	0.023	
	R-11 (5.5)	<b>0.132</b>	0.117	0.105	0.095	0.087	0.080	0.074	0.069	0.064	0.060	0.057	0.054	0.051	0.049	0.046	0.044	0.036	0.031	0.027	0.024	0.021	
	R-13 (6.0)	<b>0.124</b>	0.111	0.100	0.091	0.083	0.077	0.071	0.066	0.062	0.059	0.055	0.052	0.050	0.048	0.045	0.043	0.036	0.030	0.026	0.023	0.021	
	R-15 (6.4)	<b>0.118</b>	0.106	0.096	0.087	0.080	0.074	0.069	0.065	0.061	0.057	0.054	0.051	0.049	0.047	0.045	0.043	0.035	0.030	0.026	0.023	0.021	
(6.0 in. depth)	R-19 (7.1)	<b>0.109</b>	0.099	0.090	0.082	0.076	0.071	0.066	0.062	0.058	0.055	0.052	0.050	0.047	0.045	0.043	0.041	0.034	0.029	0.026	0.023	0.020	
	R-21 (7.4)	<b>0.106</b>	0.096	0.087	0.080	0.074	0.069	0.065	0.061	0.057	0.054	0.051	0.049	0.047	0.045	0.043	0.041	0.034	0.029	0.025	0.022	0.020	
Steel Framing at 24 in. OC																							
(3.5 in. depth)	None (0.0)	<b>0.338</b>	0.253	0.202	0.168	0.144	0.126	0.112	0.100	0.091	0.084	0.077	0.072	0.067	0.063	0.059	0.056	0.044	0.036	0.030	0.026	0.023	
	R-11 (6.6)	<b>0.116</b>	0.104	0.094	0.086	0.079	0.073	0.068	0.064	0.060	0.057	0.054	0.051	0.048	0.046	0.044	0.042	0.035	0.030	0.026	0.023	0.021	
	R-13 (7.2)	<b>0.108</b>	0.098	0.089	0.082	0.075	0.070	0.066	0.062	0.058	0.055	0.052	0.049	0.047	0.045	0.043	0.041	0.034	0.029	0.025	0.023	0.020	
	R-15 (7.8)	<b>0.102</b>	0.092	0.084	0.078	0.072	0.067	0.063	0.059	0.056	0.053	0.050	0.048	0.046	0.044	0.042	0.040	0.034	0.029	0.025	0.022	0.020	
(6.0 in. depth)	R-19 (8.6)	<b>0.094</b>	0.086	0.079	0.073	0.068	0.064	0.060	0.057	0.054	0.051	0.048	0.046	0.044	0.042	0.041	0.039	0.033	0.028	0.025	0.022	0.020	
	R-21 (9.0)	<b>0.090</b>	0.083	0.077	0.071	0.066	0.062	0.059	0.055	0.052	0.050	0.048	0.045	0.043	0.042	0.040	0.038	0.032	0.028	0.024	0.022	0.020	

TABLE A3.4 Assembly U-Factors for Wood-Frame Walls

Framing Type and Spacing Width (actual depth)	Cavity Insulation R-Value: Rated/(effective installed [see Table A9.4C])	Overall U-Factor for Entire Base Wall	Overall U-Factor for Assembly of Base Wall Plus Continuous Insulation (uninterrupted by framing) Rated R-Value of Continuous Insulation																				
			R-1.00	R-2.00	R-3.00	R-4.00	R-5.00	R-6.00	R-7.00	R-8.00	R-9.00	R-10.00	R-11.00	R-12.00	R-13.00	R-14.00	R-15.00	R-20.00	R-25.00	R-30.00	R-35.00	R-40.00	
Wood Studs at 16 in. OC																							
(3.5 in. depth)	None (0.0)	<b>0.292</b>	0.223	0.181	0.152	0.132	0.116	0.104	0.094	0.086	0.079	0.073	0.068	0.064	0.060	0.056	0.053	0.042	0.035	0.030	0.026	0.023	
	R-11 (11.0)	<b>0.096</b>	0.087	0.079	0.073	0.068	0.063	0.059	0.056	0.053	0.050	0.048	0.046	0.044	0.042	0.040	0.038	0.032	0.028	0.024	0.022	0.020	
	R-13 (13.0)	<b>0.089</b>	0.080	0.074	0.068	0.063	0.059	0.056	0.053	0.050	0.047	0.045	0.043	0.041	0.040	0.038	0.037	0.031	0.027	0.024	0.021	0.019	
	R-15 (15.0)	<b>0.083</b>	0.075	0.069	0.064	0.060	0.056	0.053	0.050	0.047	0.045	0.043	0.041	0.039	0.038	0.036	0.035	0.030	0.026	0.023	0.020	0.019	
(5.5 in. depth)	R-19 (18.0)	<b>0.067</b>	0.062	0.058	0.054	0.051	0.048	0.046	0.044	0.042	0.040	0.038	0.037	0.036	0.034	0.033	0.032	0.027	0.024	0.021	0.019	0.018	
	R-21 (21.0)	<b>0.063</b>	0.058	0.054	0.051	0.048	0.045	0.043	0.041	0.039	0.038	0.036	0.035	0.034	0.032	0.031	0.030	0.026	0.023	0.021	0.019	0.017	
(+ R-10 headers)	R-19 (18.0)	<b>0.063</b>	0.059	0.055	0.052	0.049	0.047	0.045	0.043	0.041	0.039	0.038	0.036	0.035	0.034	0.033	0.031	0.027	0.024	0.021	0.019	0.017	
	R-21 (21.0)	<b>0.059</b>	0.055	0.051	0.049	0.046	0.044	0.042	0.040	0.038	0.037	0.035	0.034	0.033	0.032	0.031	0.030	0.026	0.023	0.020	0.018	0.017	
Wood Studs at 24 in. OC																							
(3.5 in. depth)	None (0.0)	<b>0.298</b>	0.227	0.183	0.154	0.133	0.117	0.105	0.095	0.086	0.079	0.074	0.068	0.064	0.060	0.057	0.054	0.042	0.035	0.030	0.026	0.023	
	R-11 (11.0)	<b>0.094</b>	0.085	0.078	0.072	0.067	0.062	0.059	0.055	0.052	0.050	0.047	0.045	0.043	0.041	0.040	0.038	0.032	0.027	0.024	0.022	0.019	
	R-13 (13.0)	<b>0.086</b>	0.078	0.072	0.067	0.062	0.058	0.055	0.052	0.049	0.047	0.045	0.043	0.041	0.039	0.038	0.036	0.031	0.026	0.023	0.021	0.019	
	R-15 (15.0)	<b>0.080</b>	0.073	0.067	0.062	0.058	0.055	0.052	0.049	0.046	0.044	0.042	0.040	0.039	0.037	0.036	0.035	0.029	0.026	0.023	0.020	0.018	
(5.5 in. depth)	R-19 (18.0)	<b>0.065</b>	0.060	0.056	0.053	0.050	0.047	0.045	0.043	0.041	0.039	0.038	0.036	0.035	0.034	0.033	0.032	0.027	0.024	0.021	0.019	0.018	
	R-21 (21.0)	<b>0.060</b>	0.056	0.052	0.049	0.046	0.044	0.042	0.040	0.038	0.037	0.036	0.034	0.033	0.032	0.031	0.030	0.026	0.023	0.020	0.018	0.017	
(+ R-10 headers)	R-19 (18.0)	<b>0.062</b>	0.058	0.054	0.051	0.048	0.046	0.044	0.042	0.040	0.039	0.037	0.036	0.034	0.033	0.032	0.031	0.027	0.024	0.021	0.019	0.017	
	R-21 (21.0)	<b>0.057</b>	0.053	0.050	0.047	0.045	0.043	0.041	0.039	0.037	0.036	0.035	0.033	0.032	0.031	0.030	0.029	0.025	0.023	0.020	0.018	0.017	

**TABLE A4.2 Assembly C-Factors for Below-Grade Walls**

Framing Type and Depth	Rated R-Value of Insulation Alone	Specified C-Factors (wall only, without soil and air films)
No Framing	R-0	C-1.140
<b>Exterior Insulation, continuous and uninterrupted by framing</b>		
No Framing	R-5.0	C-0.170
No Framing	R-7.5	C-0.119
No Framing	R-10.0	C-0.092
No Framing	R-12.5	C-0.075
No Framing	R-15.0	C-0.063
No Framing	R-17.5	C-0.054
No Framing	R-20.0	C-0.048
No Framing	R-25.0	C-0.039
No Framing	R-30.0	C-0.032
No Framing	R-35.0	C-0.028
No Framing	R-40.0	C-0.025
No Framing	R-45.0	C-0.022
No Framing	R-50.0	C-0.020
<b>Continuous metal framing at 24 in. on center horizontally</b>		
3.5 in.	R-11.0	C-0.182
3.5 in.	R-13.0	C-0.174
3.5 in.	R-15.0	C-0.168
5.5 in.	R-19.0	C-0.125
5.5 in.	R-21.0	C-0.120
<b>1 in. metal clips at 24 in. on center horizontally and 16 in. vertically</b>		
1.0 in.	R-3.8	C-0.233
1.0 in.	R-5.0	C-0.201
1.0 in.	R-5.6	C-0.189
1.5 in.	R-5.7	C-0.173
1.5 in.	R-7.5	C-0.147
1.5 in.	R-8.4	C-0.138
2.0 in.	R-7.6	C-0.138
2.0 in.	R-10.0	C-0.116
2.0 in.	R-11.2	C-0.108
2.5 in.	R-9.5	C-0.114
2.5 in.	R-12.5	C-0.096
2.5 in.	R-14.0	C-0.089
3.0 in.	R-11.4	C-0.098
3.0 in.	R-15.0	C-0.082
3.0 in.	R-16.8	C-0.076
3.5 in.	R-13.3	C-0.085
3.5 in.	R-17.5	C-0.071
3.5 in.	R-19.6	C-0.066
4.0 in.	R-15.2	C-0.076
4.0 in.	R-20.0	C-0.063
4.0 in.	R-22.4	C-0.058

**A4.2.2** It is acceptable to use the *C*-factors in Table 4.2 for all *below-grade walls*.

**A4.2.3** If not taken from Table A4.2, *below-grade wall C-factors* shall be determined from Tables A3.1B, A3.1C, and A3.1D using the following procedure:

- (a) If the *below-grade wall* is uninsulated or only the cells are insulated:
  1. For concrete *walls*, determine the *C*-factor from Table A3.1B based on the concrete density and *wall* thickness.
  2. For concrete block *walls*, determine the *C*-factor from Table A3.1C based on the block size, concrete density, degree of grouting in the cells, and whether the cells are insulated.
- (b) If the *mass wall* has additional insulation:
  1. For concrete *walls*, determine the  $R_c$  from Table A3.1B based on the concrete density and *wall* thickness. Next, determine the effective R-value for the insulation/framing layer from Table A3.1D based on the *rated R-value of insulation* installed, the thickness of the insulation, and whether it is installed between wood or metal framing or with no framing. Then, determine the *C*-factor by adding the  $R_c$  and the effective R-value together and taking the inverse of the total.
  2. For concrete block *walls*, determine the  $R_c$  from Table A3.1C based on the block size, concrete density, degree of grouting in the cells, and whether the cells are insulated. Next, determine the effective R-value for the insulation/framing layer from Table A3.1D based on the *rated R-value of insulation* installed, the thickness of the insulation, and whether it is installed between wood or metal framing or with no framing. Then, determine the *C*-factor by adding the  $R_c$  and the effective R-value together and taking the inverse of the total.

## A5 Floors

**A5.1 General.** The buffering effect of crawlspaces or parking garages shall not be included in *U*-factor calculations. See A6 for *slab-on-grade floors*.

### A5.2 Mass Floors

**A5.2.1 General.** For the purpose of A1.2, the base assembly is *continuous insulation* over or under a solid concrete floor. The *U*-factor includes R-0.92 for interior air film—heat flow down, R-1.23 for carpet and rubber pad, R-0.50 for 8 in. concrete, and R-0.46 for semi-exterior air film. Added insulation is continuous and uninterrupted by framing. Framing factor is zero.

#### A5.2.2 Rated R-Value of Insulation for Mass Floors.

**A5.2.2.1** The *rated R-value of insulation* is for *continuous insulation* uninterrupted by framing.

**A5.2.2.2** Where framing, including metal and wood joists, is used, compliance shall be based on the maximum assembly *U*-factor rather than the minimum *rated R-value of insulation*.

**A5.2.2.3** For waffle-slab floors, the floor shall be insulated either on the interior above the slab or on all exposed surfaces of the waffle.

**A5.2.2.4** For floors with beams that extend below the floor slab, the floor shall be insulated either on the interior above the slab or on the exposed floor and all exposed surfaces of the beams that extend 24 in. and less below the exposed floor.

### A5.2.3 U-Factors for Mass Floors.

**A5.2.3.1** The *U*-factors for mass walls shall be taken from Table A5.2.

**A5.2.3.2** It is not acceptable to use the *U*-factors in Table A5.2 if the insulation is not continuous.

## A5.3 Steel-Joist Floors.

**A5.3.1 General.** For the purpose of A1.2, the base assembly is a floor where the insulation is either placed between the steel joists or is sprayed on the underside of the floor and the joists. In both cases, the steel provides a thermal bypass to the insulation. The *U*-factor includes R-0.92 for interior air film—heat flow down, R-1.23 for carpet and pad, R-0.25 for 4 in. concrete, R-0 for metal deck, and R-0.46 for semi-exterior air film. The performance of the insulation/framing layer is calculated using the values in Table A9.2A.

### A5.3.2 Rated R-Value of Insulation for Steel-Joist Floors

**A5.3.2.1** The first *rated R-value of insulation* is for uncompressed insulation installed in the cavity between steel joists or for spray-on insulation.

**A5.3.2.2** It is acceptable for this insulation to also be *continuous insulation* uninterrupted by framing. All *continuous insulation* shall be installed either on the interior above the floor structure or below a framing cavity completely filled with insulation.

### A5.3.3 U-Factors for Steel-Joist Floors.

**A5.3.3.1** These *U*-factors for steel-joist floors shall be taken from Table A5.3.

**A5.3.3.2** It is acceptable to use these *U*-factors for any *steel-joist floor*.

## A5.4 Wood-Framed and Other Floors.

**A5.4.1 General.** For the purpose of A1.2, the base assembly is a floor attached directly to the top of the wood joist and with insulation located directly below the floor, with a ventilated airspace below the insulation. The heat flow path through the joist is calculated to be the same depth as the insulation. The *U*-factor includes R-0.92 for interior air film—heat flow down, R-1.23 for carpet and pad, R-0.94 for 0.75 in. wood subfloor, and R-0.46 for semi-exterior air film. The weighting factors are 91% insulated cavity and 9% framing.

### A5.4.2 Rated R-Value of Insulation for Wood-Framed and Other Floors

**A5.4.2.1** The first *rated R-value of insulation* is for uncompressed insulation installed in the cavity between wood joists.

**A5.4.2.2** It is acceptable for this insulation to also be *continuous insulation* uninterrupted by framing. All *continuous*

TABLE A5.2 Assembly U-Factors for Mass Floors

Framing Type and Spacing Width (actual depth)	Cavity Insulation R-Value: Rated/ (effective installed)	Overall U-Factor for Entire Base Floor Assembly	Overall U-Factor for Assembly of Base Floor Plus Continuous Insulation (uninterrupted by framing)																					
			Rated R-Value of Continuous Insulation																					
			R-1.00	R-2.00	R-3.00	R-4.00	R-5.00	R-6.00	R-7.00	R-8.00	R-9.00	R-10.00	R-11.00	R-12.00	R-13.00	R-14.00	R-15.00	R-20.00	R-25.00	R-30.00	R-35.00	R-40.00		
Concrete Floor with Rigid Foam																								
None (0.0)																								
0.322																								
0.243																								
0.196																								
0.164																								
0.141																								
0.123																								
0.110																								
0.099																								
0.090																								
0.083																								
0.076																								
0.071																								
0.066																								
0.062																								
0.058																								
0.055																								
0.052																								
0.047																								
0.043																								
0.041																								
0.038																								
0.036																								
0.034																								
0.032																								
0.031																								
0.030																								
0.030																								
0.029																								
0.025																								
0.022																								
0.020																								
0.018																								
0.017																								
0.016																								
0.015																								
Concrete Floor with Pinned Boards																								
R-4.2 (4.2)																								
0.137																								
0.121																								
0.108																								
0.097																								
0.089																								
0.081																								
0.075																								
0.070																								
0.065																								
0.061																								
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0.021																								
0.020																								
0.019																								
0.018																								
0.017																								
0.016																								
0.015																								
Concrete Floor with Spray-on Insulation																								
R-4 (4.0)																								
0.141																								
0.123																								
0.110																								
0.099																								
0.090																								
0.083																								
0.076																								
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0.015																								

TABLE A5.3 Assembly U-Factors for Steel-Joist Floors

Framing Type and Spacing Width (actual depth)	Cavity Insulation R-Value: Rated/ (effective installed [See Table A9.2A])	Overall U-Factor for Entire Base Floor Assembly	Overall U-Factor for Assembly of Base Floor Plus Continuous Insulation (uninterrupted by framing) Rated R-Value of Continuous Insulation																			
			R-1.00	R-2.00	R-3.00	R-4.00	R-5.00	R-6.00	R-7.00	R-8.00	R-9.00	R-10.00	R-11.00	R-12.00	R-13.00	R-14.00	R-15.00	R-20.00	R-25.00	R-30.00	R-35.00	R-40.00
Steel Joist Floor with Rigid Foam																						
	None (0.0)	0.350	0.259	0.206	0.171	0.146	0.127	0.113	0.101	0.092	0.084	0.078	0.072	0.067	0.063	0.059	0.056	0.044	0.036	0.030	0.026	0.023
Steel Joist Floor with Spray-on Insulation																						
(1 in.)	R-4 (3.88)	0.148	0.129	0.114	0.103	0.093	0.085	0.078	0.073	0.068	0.064	0.060	0.056	0.053	0.051	0.048	0.046	0.037	0.032	0.027	0.024	0.021
(2 in.)	R-8 (7.52)	0.096	0.088	0.081	0.075	0.070	0.065	0.061	0.058	0.054	0.052	0.049	0.047	0.045	0.043	0.041	0.039	0.033	0.028	0.025	0.022	0.020
(3 in.)	R-12 (10.80)	0.073	0.068	0.064	0.060	0.057	0.054	0.051	0.048	0.046	0.044	0.042	0.041	0.039	0.038	0.036	0.035	0.030	0.026	0.023	0.021	0.019
(4 in.)	R-16 (13.92)	0.060	0.056	0.053	0.051	0.048	0.046	0.044	0.042	0.040	0.039	0.037	0.036	0.035	0.034	0.032	0.031	0.027	0.024	0.021	0.019	0.018
(5 in.)	R-20 (17.00)	0.050	0.048	0.046	0.044	0.042	0.040	0.039	0.037	0.036	0.035	0.033	0.032	0.031	0.030	0.030	0.029	0.025	0.022	0.020	0.018	0.017
(6 in.)	R-24 (19.68)	0.044	0.042	0.041	0.039	0.038	0.036	0.035	0.034	0.033	0.032	0.031	0.030	0.029	0.028	0.027	0.027	0.024	0.021	0.019	0.017	0.016
Steel Joist Floor with Batt Insulation																						
	None (0.0)	0.350	0.259	0.206	0.171	0.146	0.127	0.113	0.101	0.092	0.084	0.078	0.072	0.067	0.063	0.059	0.056	0.044	0.036	0.030	0.026	0.023
	R-11 (10.01)	0.078	0.072	0.067	0.063	0.059	0.056	0.053	0.050	0.048	0.046	0.044	0.042	0.040	0.039	0.037	0.036	0.030	0.026	0.023	0.021	0.019
	R-13 (11.70)	0.069	0.064	0.060	0.057	0.054	0.051	0.049	0.046	0.044	0.042	0.041	0.039	0.038	0.036	0.035	0.034	0.029	0.025	0.022	0.020	0.018
	R-15 (13.20)	0.062	0.059	0.055	0.052	0.050	0.047	0.045	0.043	0.042	0.040	0.038	0.037	0.036	0.034	0.033	0.032	0.028	0.024	0.022	0.020	0.018
	R-19 (16.34)	0.052	0.050	0.047	0.045	0.043	0.041	0.040	0.038	0.037	0.035	0.034	0.033	0.032	0.031	0.030	0.029	0.026	0.023	0.020	0.018	0.017
	R-21 (17.64)	0.049	0.047	0.044	0.043	0.041	0.039	0.038	0.036	0.035	0.034	0.033	0.032	0.031	0.030	0.029	0.028	0.025	0.022	0.020	0.018	0.017
	R-25 (20.25)	0.043	0.041	0.040	0.038	0.037	0.036	0.034	0.033	0.032	0.031	0.030	0.029	0.028	0.028	0.027	0.026	0.023	0.021	0.019	0.017	0.016
	R-30C(23.70)	0.038	0.036	0.035	0.034	0.033	0.032	0.031	0.030	0.029	0.028	0.027	0.027	0.026	0.025	0.025	0.024	0.021	0.019	0.018	0.016	0.015
	R-30 (23.70)	0.038	0.036	0.035	0.034	0.033	0.032	0.031	0.030	0.029	0.028	0.027	0.027	0.026	0.025	0.025	0.024	0.021	0.019	0.018	0.016	0.015
	R-38C(28.12)	0.032	0.031	0.030	0.029	0.029	0.028	0.027	0.026	0.026	0.025	0.024	0.024	0.023	0.023	0.022	0.022	0.020	0.018	0.016	0.015	0.014
	R-38 (28.12)	0.032	0.031	0.030	0.029	0.029	0.028	0.027	0.026	0.026	0.025	0.024	0.024	0.023	0.023	0.022	0.022	0.020	0.018	0.016	0.015	0.014



insulation shall be installed either on the interior above the floor structure or below a framing cavity completely filled with insulation.

#### A5.4.3 U-Factors for Wood-Framed Floors.

**A5.4.3.1** *U-factors* for wood-framed floors shall be taken from [Table A5.4](#).

**A5.4.3.2** It is not acceptable to use these *U-factors* if the framing is not wood.

### A6 Slab-on-Grade Floors.

**A6.1 General.** For the purpose of A1.2, the base assembly is a slab floor of 6 in. concrete poured directly on to the earth, the bottom of the slab is at grade line, and soil conductivity is 0.75 Btu/h·ft<sup>2</sup>·°F. In contrast to the *U-factor* for floors, the *F-factor* for slab-on-grade floors is expressed per lineal foot of building perimeter. *F-factors* are provided for unheated slabs and for heated slabs. *Unheated slab-on-grade floors* do not have heating elements, and *heated slab-on-grade floors* do have heating elements within or beneath the slab. *F-factors* are provided for three insulation configurations:

- (a) **Horizontal insulation:** *Continuous insulation* is applied directly to the underside of the slab and extends inward horizontally from the perimeter for the distance specified or *continuous insulation* is applied downward from the top of the slab and then extends horizontally to the interior or the exterior from the perimeter for the distance specified.
- (b) **Vertical insulation:** *continuous insulation* is applied directly to the slab exterior, extending downward from the top of the slab for the distance specified.
- (c) **Fully insulated slab:** *continuous insulation* extends downward from the top of the slab and along the entire perimeter and completely covers the entire area under the slab.

#### A6.2 Rated R-Value of Insulation for Slab-on-Grade Floors.

**A6.2.1** The *rated R-value of insulation* shall be installed around the perimeter of the *slab-on-grade floor* to the distance specified.

**Exception to A6.2.1:** For a monolithic *slab-on-grade floor*, the insulation shall extend from the top of the slab-on-grade to the bottom of the footing.

**A6.2.2** Insulation installed inside the foundation wall shall extend downward from the top of the slab a minimum of the distance specified or to the top of the footing, whichever is less.

**A6.2.3** Insulation installed outside the foundation wall shall extend from the top of the slab or downward to at least the bottom of the slab and then horizontally to a minimum of the distance specified. In all climates, the horizontal insulation extending outside of the foundation shall be covered by pavement or by soil a minimum of 10 in. thick.

#### A6.3 F-Factors for Slab-on-Grade Floors.

**A6.3.1** *F-factors* for slab-on-grade floors shall be taken from [Table A6.3](#).

**A6.3.2** These *F-factors* are acceptable for all *slab-on-grade floors*.

**A7 Opaque Doors.** All *opaque doors* with *U-factors* determined, certified, and labeled in accordance with NFRC 100 shall be assigned those *U-factors*.

**A7.1 Unlabeled Opaque Doors.** Unlabeled *opaque doors* shall be assigned the following *U-factors*:

- (a) Uninsulated single-layer metal *swinging doors* or *non-swinging doors*, including single-layer uninsulated *access hatches* and uninsulated smoke vents: 1.45
- (b) Uninsulated double-layer metal *swinging doors* or *non-swinging doors*, including double-layer uninsulated *access hatches* and uninsulated smoke vents: 0.70
- (c) Insulated metal *swinging doors*, including fire-rated doors, insulated *access hatches*, and insulated smoke vents: 0.50
- (d) Wood doors, minimum nominal thickness of 1 3/4 in., including panel doors with minimum panel thickness of 1 1/8 in., solid core flush doors, and hollow core flush doors: 0.50.
- (e) Any other wood door: 0.60

**A8 Fenestration.** All *fenestration* with *U-factors*, *SHGC*, or visible light transmittance determined, certified, and labeled in accordance with NFRC 100, 200, and 300, respectively, shall be assigned those values.

**A8.1 Unlabeled Skylights.** Unlabeled *skylights* shall be assigned the *U-factors* in [Table A8.1A](#) and are allowed to use the *SHGCs* and visible light transmittances in [Table A8.1B](#). The metal with thermal break frame category shall not be used unless all frame members have a thermal break equal to or greater than 1/4 in.

**A8.2 Unlabeled Vertical Fenestration.** Unlabeled *vertical fenestration*, both operable and fixed, shall be assigned the *U-factors*, *SHGCs*, and visible light transmittances in [Table A8.2](#).

#### A9 Determination of Alternate Assembly U-Factors, C-Factors, F-Factors, or Heat Capacities

**A9.1 General.** Component *U-factors* for other opaque assemblies shall be determined in accordance with A9 only if approved by the *building official* in accordance with A1.2. The procedures required for each class of construction are specified in A9.2. Testing shall be performed in accordance with A9.3. Calculations shall be performed in accordance with A9.4.

**A9.2 Required Procedures.** Two- or three-dimensional finite difference and finite volume computer models shall be an acceptable alternative method to calculating the thermal performance values for all assemblies and constructions listed below. The following procedures shall also be permitted to determine all alternative *U-factors*, *F-factors*, and *C-factors*.

- (a) **Roofs.**
  - 1. *Roofs with insulation entirely above deck*: testing or series calculation method.
  - 2. *Metal building roofs*: testing.
  - 3. *Attic roofs*, wood joists: testing or parallel path calculation method.

TABLE A5.4 Assembly U-Factors for Wood-Joist Floors

Framing Type and Spacing Width (actual depth)	Cavity Insulation R-Value: Rated/ R-Value: (effective installed)	Overall U-Factor for Entire Base Floor Assembly	Overall U-Factor for Assembly of Base Floor Plus Continuous Insulation (uninterrupted by framing) Rated R-Value of Continuous Insulation																				
			R-1.00	R-2.00	R-3.00	R-4.00	R-5.00	R-6.00	R-7.00	R-8.00	R-9.00	R-10.00	R-11.00	R-12.00	R-13.00	R-14.00	R-15.00	R-20.00	R-25.00	R-30.00	R-35.00	R-40.00	
Wood Joists																							
(5.5 in.)	None (0.0)	0.282	0.220	0.180	0.153	0.132	0.117	0.105	0.095	0.087	0.080	0.074	0.069	0.064	0.060	0.057	0.054	0.042	0.035	0.030	0.026	0.023	0.023
	R-11 (11.0)	0.074	0.069	0.064	0.060	0.057	0.054	0.051	0.048	0.046	0.044	0.042	0.040	0.039	0.037	0.036	0.035	0.030	0.026	0.023	0.020	0.019	0.019
	R-13 (13.0)	0.066	0.062	0.058	0.055	0.052	0.049	0.047	0.045	0.043	0.041	0.039	0.038	0.036	0.035	0.034	0.033	0.028	0.025	0.022	0.020	0.018	0.018
	R-15 (15.0)	0.060	0.057	0.053	0.050	0.048	0.046	0.044	0.042	0.040	0.038	0.037	0.036	0.034	0.033	0.032	0.031	0.027	0.024	0.021	0.019	0.017	0.017
	R-19 (18.0)	0.051	0.048	0.046	0.044	0.042	0.040	0.038	0.037	0.036	0.034	0.033	0.032	0.031	0.030	0.029	0.028	0.025	0.022	0.020	0.018	0.017	0.017
(7.25 in.)	R-21 (21.0)	0.046	0.043	0.042	0.040	0.038	0.037	0.035	0.034	0.033	0.032	0.031	0.030	0.029	0.028	0.027	0.027	0.023	0.021	0.019	0.017	0.016	0.016
	R-25 (25.0)	0.039	0.037	0.036	0.035	0.033	0.032	0.031	0.030	0.029	0.028	0.028	0.027	0.026	0.025	0.025	0.024	0.022	0.019	0.018	0.016	0.015	0.015
	R-30C (30.0)	0.034	0.033	0.032	0.031	0.030	0.029	0.028	0.027	0.026	0.026	0.025	0.024	0.024	0.023	0.023	0.022	0.020	0.018	0.016	0.015	0.014	0.014
(9.25 in.)	R-30 (30.0)	0.033	0.032	0.031	0.030	0.029	0.028	0.027	0.027	0.026	0.025	0.024	0.024	0.023	0.023	0.022	0.022	0.020	0.018	0.016	0.015	0.014	0.014
(11.25 in.)	R-38C (38.0)	0.027	0.026	0.025	0.025	0.024	0.024	0.023	0.022	0.022	0.021	0.021	0.020	0.020	0.020	0.019	0.019	0.017	0.016	0.015	0.014	0.013	0.013
(13.25 in.)	R-38 (38.0)	0.026	0.026	0.025	0.024	0.024	0.023	0.023	0.022	0.022	0.021	0.021	0.020	0.020	0.019	0.019	0.019	0.017	0.016	0.015	0.014	0.013	0.013

**TABLE A6.3 Assembly F-Factors for Slab-on-Grade Floors**

Insulation Description	Rated R-Value of Insulation												
	R-0	R-5	R-7.5	R-10	R-15	R-20	R-25	R-30	R-35	R-40	R-45	R-50	R-55
<b>Unheated Slabs</b>													
None	0.73												
12 in. horizontal		0.72	0.71	0.71	0.71								
24 in. horizontal		0.70	0.70	0.70	0.69								
36 in. horizontal		0.68	0.67	0.66	0.66								
48 in. horizontal		0.67	0.65	0.64	0.63								
12 in. vertical		0.61	0.60	0.58	0.57	0.567	0.565	0.564					
24 in. vertical		0.58	0.56	0.54	0.52	0.510	0.505	0.502					
36 in. vertical		0.56	0.53	0.51	0.48	0.472	0.464	0.460					
48 in. vertical		0.54	0.51	0.48	0.45	0.434	0.424	0.419					
Fully insulated slab		0.46	0.41	0.36	0.30	0.261	0.233	0.213	0.198	0.186	0.176	0.168	0.161
<b>Heated Slabs</b>													
None	1.35												
12 in. horizontal		1.31	1.31	1.30	1.30								
24 in. horizontal		1.28	1.27	1.26	1.25								
36 in. horizontal		1.24	1.21	1.20	1.18								
48 in. horizontal		1.20	1.17	1.13	1.11								
12 in. vertical		1.06	1.02	1.00	0.98	0.968	0.964	0.961					
24 in. vertical		0.99	0.95	0.90	0.86	0.843	0.832	0.827					
36 in. vertical		0.95	0.89	0.84	0.79	0.762	0.747	0.740					
48 in. vertical		0.91	0.85	0.78	0.72	0.688	0.671	0.659					
Fully insulated slab		0.74	0.64	0.55	0.44	0.373	0.326	0.296	0.273	0.255	0.239	0.227	0.217

4. *Attic roofs*, steel joists: testing or parallel path calculation method using the insulation/framing layer adjustment factors in **Table A9.2A** or modified zone calculation method.
5. *Attic roofs*, concrete joists: testing or parallel path calculation method if concrete is solid and uniform or isothermal planes calculation method if concrete has hollow sections.
6. Other *attic roofs* and other *roofs*: testing or two-dimensional calculation method.

**(b) Above-Grade Walls.**

1. *Mass walls*: testing or the isothermal planes calculation method or two-dimensional calculation method. The parallel path calculation method is not acceptable.
2. *Metal building walls*: testing.
3. *Steel-framed walls*: testing or parallel path calculation method using the insulation/framing layer adjustment factors in **Table A9.2B** or the modified zone method.
4. *Wood-framed walls*: testing or parallel path calculation method.

5. Other *walls*: testing or two-dimensional calculation method.

**(c) Below-Grade Walls.**

1. *Mass walls*: testing or the isothermal planes calculation method or two-dimensional calculation method. The parallel path calculation method is not acceptable.
2. Other *walls*: testing or two-dimensional calculation method.

**(d) Floors.**

1. *Mass floors*: testing or parallel path calculation method if concrete is solid and uniform or isothermal planes calculation method if concrete has hollow sections.
2. *Steel joist floors*: testing or modified zone calculation method.
3. *Wood joist floors*: testing or parallel path calculation method or isothermal planes calculation method.
4. Other *floors*: testing or two-dimensional calculation method.

- (e) Slab-on-Grade Floors**: no testing or calculations allowed.

**TABLE A8.1A Assembly U-Factors for Unlabeled Skylights**

Product Type		Sloped Installation						
		Unlabeled Skylight with Curb (Includes glass/plastic, flat/domed, fixed/operable)				Unlabeled Skylight without Curb (Includes glass/plastic, flat/domed, fixed/operable)		
Frame Type		Aluminum without Thermal Break	Aluminum with Thermal Break	Reinforced Vinyl/ Aluminum Clad Wood	Wood/Vinyl	Aluminum without Thermal Break	Aluminum with Thermal Break	Structural Glazing
ID	Glazing Type							
	Single Glazing							
1	1/8" glass	1.98	1.89	1.75	1.47	1.36	1.25	1.25
2	1/4" acrylic/polycarb	1.82	1.73	1.60	1.31	1.21	1.10	1.10
3	1/8" acrylic/polycarb	1.90	1.81	1.68	1.39	1.29	1.18	1.18
	Double Glazing							
4	1/4" airspace	1.31	1.11	1.05	0.84	0.82	0.70	0.66
5	1/2" airspace	1.30	1.10	1.04	0.84	0.81	0.69	0.65
6	1/4" argon space	1.27	1.07	1.00	0.80	0.77	0.66	0.62
7	1/2" argon space	1.27	1.07	1.00	0.80	0.77	0.66	0.62
	Double Glazing, $e=0.60$ on surface 2 or 3							
8	1/4" airspace	1.27	1.08	1.01	0.81	0.78	0.67	0.63
9	1/2" airspace	1.27	1.07	1.00	0.80	0.77	0.66	0.62
10	1/4" argon space	1.23	1.03	0.97	0.76	0.74	0.63	0.58
11	1/2" argon space	1.23	1.03	0.97	0.76	0.74	0.63	0.58
	Double Glazing, $e=0.40$ on surface 2 or 3							
12	1/4" airspace	1.25	1.05	0.99	0.78	0.76	0.64	0.60
13	1/2" airspace	1.24	1.04	0.98	0.77	0.75	0.64	0.59
14	1/4" argon space	1.18	0.99	0.92	0.72	0.70	0.58	0.54
15	1/2" argon space	1.20	1.00	0.94	0.74	0.71	0.60	0.56
	Double Glazing, $e=0.20$ on surface 2 or 3							
16	1/4" airspace	1.20	1.00	0.94	0.74	0.71	0.60	0.56
17	1/2" airspace	1.20	1.00	0.94	0.74	0.71	0.60	0.56
18	1/4" argon space	1.14	0.94	0.88	0.68	0.65	0.54	0.50
19	1/2" argon space	1.15	0.95	0.89	0.68	0.66	0.55	0.51
	Double Glazing, $e=0.10$ on surface 2 or 3							
20	1/4" airspace	1.18	0.99	0.92	0.72	0.70	0.58	0.54
21	1/2" airspace	1.18	0.99	0.92	0.72	0.70	0.58	0.54
22	1/4" argon space	1.11	0.91	0.85	0.65	0.63	0.52	0.47
23	1/2" argon space	1.13	0.93	0.87	0.67	0.65	0.53	0.49
	Double Glazing, $e=0.05$ on surface 2 or 3							
24	1/4" airspace	1.17	0.97	0.91	0.70	0.68	0.57	0.52
25	1/2" airspace	1.17	0.98	0.91	0.71	0.69	0.58	0.53
26	1/4" argon space	1.09	0.89	0.83	0.63	0.61	0.50	0.45
27	1/2" argon space	1.11	0.91	0.85	0.65	0.63	0.52	0.47
	Triple Glazing							
28	1/4" airspaces	1.12	0.89	0.84	0.64	0.64	0.53	0.48
29	1/2" airspaces	1.10	0.87	0.81	0.61	0.62	0.51	0.45
30	1/4" argon spaces	1.09	0.86	0.80	0.60	0.61	0.50	0.44
31	1/2" argon spaces	1.07	0.84	0.79	0.59	0.59	0.48	0.42
	Triple Glazing, $e=0.20$ on surface 2,3,4, or 5							
32	1/4" airspaces	1.08	0.85	0.79	0.59	0.60	0.49	0.43
33	1/2" airspaces	1.05	0.82	0.77	0.57	0.57	0.46	0.41
34	1/4" argon spaces	1.02	0.79	0.74	0.54	0.55	0.44	0.38

**TABLE A8.1A (continued) Assembly U-Factors for Unlabeled Skylights**

Product Type		Sloped Installation						
		Unlabeled Skylight with Curb (Includes glass/plastic, flat/domed, fixed/operable)				Unlabeled Skylight without Curb (Includes glass/plastic, flat/domed, fixed/operable)		
Frame Type		Aluminum without Thermal Break	Aluminum with Thermal Break	Reinforced Vinyl/ Aluminum Clad Wood	Wood/Vinyl	Aluminum without Thermal Break	Aluminum with Thermal Break	Structural Glazing
ID	Glazing Type							
35	1/2" argon spaces	1.01	0.78	0.73	0.53	0.54	0.43	0.37
36	Triple Glazing, $e=0.20$ on surfaces 2 or 3 and 4 or 5							
	1/4" airspaces	1.03	0.80	0.75	0.55	0.56	0.45	0.39
37	1/2" airspaces	1.01	0.78	0.73	0.53	0.54	0.43	0.37
38	1/4" argon spaces	0.99	0.75	0.70	0.50	0.51	0.40	0.35
39	1/2" argon spaces	0.97	0.74	0.69	0.49	0.50	0.39	0.33
40	Triple Glazing, $e=0.10$ on surfaces 2 or 3 and 4 or 5							
	1/4" airspaces	1.01	0.78	0.73	0.53	0.54	0.43	0.37
41	1/2" airspaces	0.99	0.76	0.71	0.51	0.52	0.41	0.36
42	1/4" argon spaces	0.96	0.73	0.68	0.48	0.49	0.38	0.32
43	1/2" argon spaces	0.95	0.72	0.67	0.47	0.48	0.37	0.31
44	Quadruple Glazing, $e=0.10$ on surfaces 2 or 3 and 4 or 5							
	1/4" airspaces	0.97	0.74	0.69	0.49	0.50	0.39	0.33
45	1/2" airspaces	0.94	0.71	0.66	0.46	0.47	0.36	0.30
46	1/4" argon spaces	0.93	0.70	0.65	0.45	0.46	0.35	0.30
47	1/2" argon spaces	0.91	0.68	0.63	0.43	0.44	0.33	0.28
48	1/4" krypton spaces	0.88	0.65	0.60	0.40	0.42	0.31	0.25

**TABLE A8.1B Assembly Solar Heat Gain Coefficients (SHGC) and Assembly Visible Light Transmittances (VLT) for Unlabeled Skylights**

Glass Type	Glazing Type: Number of glazing layers Number and emissivity of coatings (glazing is glass except where noted)	Unlabeled Skylights (includes glass/plastic, flat/domed, fixed/operable)					
		Frame: Characteristic:	Metal without thermal break		Metal with thermal break		Wood/vinyl/fiberglass
			SHGC	VLT	SHGC	VLT	
<b>Clear</b>	Single glazing, 1/8 in. glass		0.82	0.76	0.78	0.76	0.73
	Single glazing, 1/4 in. glass		0.78	0.75	0.74	0.75	0.69
	Single glazing, acrylic/polycarbonate		0.83	0.92	0.83	0.92	0.83
	Double glazing		0.68	0.66	0.64	0.66	0.59
	Double glazing, E=0.40 on surface 2 or 3		0.71	0.65	0.67	0.65	0.62
	Double glazing, E=0.20 on surface 2 or 3		0.66	0.61	0.62	0.61	0.57
	Double glazing, E=0.10 on surface 2 or 3		0.59	0.63	0.55	0.63	0.51
	Double glazing, acrylic/polycarbonate		0.77	0.89	0.77	0.89	0.77
	Triple glazing		0.60	0.59	0.56	0.59	0.52
	Triple glazing, E=0.40 on surface 2, 3, 4, or 5		0.64	0.60	0.60	0.60	0.56
	Triple glazing, E=0.20 on surface 2, 3, 4, or 5		0.59	0.55	0.55	0.55	0.51
	Triple glazing, E=0.10 on surface 2, 3, 4, or 5		0.54	0.56	0.50	0.56	0.46
	Triple glazing, E=0.40 on surfaces 3 and 5		0.62	0.57	0.58	0.57	0.53
	Triple glazing, E=0.20 on surfaces 3 and 5		0.56	0.51	0.52	0.51	0.48
	Triple glazing, E=0.10 on surfaces 3 and 5		0.47	0.54	0.43	0.54	0.40
	Triple glazing, acrylic/polycarbonate		0.71	0.85	0.71	0.85	0.71
	Quadruple glazing, E=0.10 on surfaces 3 and 5		0.41	0.48	0.37	0.48	0.33
	Quadruple glazing, acrylic/polycarbonate		0.65	0.81	0.65	0.81	0.65
<b>Tinted</b>	Single glazing, 1/8 in. glass		0.70	0.58	0.66	0.58	0.62
	Single glazing, 1/4 in. glass		0.61	0.45	0.56	0.45	0.52
	Single glazing, acrylic/polycarbonate		0.46	0.27	0.46	0.27	0.46
	Double glazing		0.50	0.40	0.46	0.40	0.42
	Double glazing, E=0.40 on surface 2 or 3		0.59	0.50	0.55	0.50	0.50
	Double glazing, E=0.20 on surface 2 or 3		0.47	0.37	0.43	0.37	0.39
	Double glazing, E=0.10 on surface 2 or 3		0.43	0.38	0.39	0.38	0.35
	Double glazing, acrylic/polycarbonate		0.37	0.25	0.37	0.25	0.37
	Triple glazing		0.42	0.22	0.37	0.22	0.34
	Triple glazing, E=0.40 on surface 2, 3, 4, or 5		0.53	0.45	0.49	0.45	0.45
	Triple glazing, E=0.20 on surface 2, 3, 4, or 5		0.42	0.33	0.38	0.33	0.35
	Triple glazing, E=0.10 on surface 2, 3, 4, or 5		0.39	0.34	0.35	0.34	0.31
	Triple glazing, E=0.40 on surfaces 3 and 5		0.51	0.43	0.47	0.43	0.43
	Triple glazing, E=0.20 on surfaces 3 and 5		0.40	0.31	0.36	0.31	0.32
	Triple glazing, E=0.10 on surfaces 3 and 5		0.34	0.32	0.30	0.32	0.27
	Triple glazing, acrylic/polycarbonate		0.30	0.23	0.30	0.23	0.30
	Quadruple glazing, E=0.10 on surfaces 3 and 5		0.30	0.29	0.26	0.29	0.23
	Quadruple glazing, acrylic/polycarbonate		0.27	0.25	0.27	0.25	0.27

**TABLE A8.2 Assembly U-Factors, Assembly Solar Heat Gain Coefficients (SHGC), and Assembly Visible Light Transmittances (VLT) for Unlabeled Vertical Fenestration**

Frame Type	Glazing Type	Unlabeled Vertical Fenestration					
		Clear Glass			Tinted Glass		
		U-Factor	SHGC	VLT	U-Factor	SHGC	VLT
All frame types							
	Single glazing	1.25	0.82	0.76	1.25	0.70	0.58
	Glass block	0.60	0.56	0.56	n.a.	n.a.	n.a.
Wood, vinyl, or fiberglass frame							
	Double glazing	0.60	0.59	0.64	0.60	0.42	0.39
	Triple glazing	0.45	0.52	0.57	0.45	0.34	0.21
Metal and other frame type							
	Double glazing	0.90	0.68	0.66	0.90	0.50	0.40
	Triple glazing	0.70	0.60	0.59	0.70	0.42	0.22

**TABLE A9.2A Effective Insulation/Framing Layer R-Values for Roof and Floor Insulation Installed Between Metal Framing (4 ft on center)**

Rated R-Value of Insulation	Correction Factor	Framing/Cavity R-Value	Rated R-Value of Insulation	Correction Factor	Framing/Cavity R-Value
0.00	1.00	0.00	20.00	0.85	17.00
4.00	0.97	3.88	21.00	0.84	17.64
5.00	0.96	4.80	24.00	0.82	19.68
8.00	0.94	7.52	25.00	0.81	20.25
10.00	0.92	9.20	30.00	0.79	23.70
11.00	0.91	10.01	35.00	0.76	26.60
12.00	0.90	10.80	38.00	0.74	28.12
13.00	0.90	11.70	40.00	0.73	29.20
15.00	0.88	13.20	45.00	0.71	31.95
16.00	0.87	13.92	50.00	0.69	34.50
19.00	0.86	16.34	55.00	0.67	36.85

**TABLE A9.2B Effective Insulation/Framing Layer R-Values for Wall Insulation Installed Between Steel Framing**

Nominal Depth of Cavity (in.)	Actual Depth of Cavity (in.)	Rated R-Value of Airspace or Insulation	Effective Framing/Cavity R-Value at 16 in. on center	Effective Framing/Cavity at 24 in. on center
Empty cavity, no insulation				
4	3.5	R-0.91	0.79	0.91
Insulated Cavity				
4	3.5	R-11	5.5	6.6
4	3.5	R-13	6.0	7.2
4	3.5	R-15	6.4	7.8
6	6.0	R-19	7.1	8.6
6	6.0	R-21	7.4	9.0
8	8.0	R-25	7.8	9.6

### A9.3 Testing Procedures.

**A9.3.1 Building Material Thermal Properties.** If *building material* R-values or thermal conductivities are determined by testing, one of the following test procedures shall be used:

- (a) ASTM C177,
- (b) ASTM C518, or
- (c) ASTM C1363

For concrete, the oven-dried conductivity shall be multiplied by 1.2 to reflect the moisture content as typically installed.

**A9.3.2 Assembly U-Factors.** If assembly *U-factors* are determined by testing, ASTM C1363 test procedures shall be used.

Product samples tested shall be production line material or representative of material as purchased by the consumer or contractor. If the assembly is too large to be tested at one time in its entirety, then either a representative portion shall be tested or different portions shall be tested separately and a weighted average determined. To be representative, the portion tested shall include edges of panels, joints with other panels, typical framing percentages, and thermal bridges.

**A9.4 Calculation Procedures and Assumptions.** The following procedures and assumptions shall be used for all cal-

culations. R-values for air films, insulation, and *building materials* shall be taken from A9.4.1 through A9.4.3, respectively. In addition, the appropriate assumptions listed in A2 through A8, including framing factors, shall be used.

**A9.4.1 Air Films.** Prescribed R-values for air films shall be as follows:

R-Value	Condition
0.17	All exterior surfaces
0.46	All semi-exterior surfaces
0.61	Interior horizontal surfaces, heat flow up
0.92	Interior horizontal surfaces, heat flow down
0.68	Interior vertical surfaces

**A9.4.1.1** Exterior surfaces are areas exposed to the wind.

**A9.4.1.2** Semi-exterior surfaces are protected surfaces that face attics, crawlspaces, and parking garages with natural or mechanical ventilation.

**A9.4.1.3** Interior surfaces are surfaces within enclosed spaces.

**A9.4.1.4** The R-value for cavity airspaces shall be taken from **Table A9.4A** based on the emissivity of the cavity from **Table A9.4B**. No credit shall be given for airspaces in cavities that contain any insulation or are less than 0.5 in. The values for 3.5 in. cavities shall be used for cavities of that width and greater.

**TABLE A9.4A Values for Cavity Air Spaces**

Component	Airspace Thickness (in.)	R-Value				
		Effective Emissivity				
		0.03	0.05	0.20	0.50	0.82
Roof	0.50	2.13	2.04	1.54	1.04	0.77
	0.75	2.33	2.22	1.64	1.09	0.80
	1.50	2.53	2.41	1.75	1.13	0.82
	3.50	2.83	2.66	1.88	1.19	0.85
Wall	0.50	2.54	2.43	1.75	1.13	0.82
	0.75	3.58	3.32	2.18	1.30	0.90
	1.50	3.92	3.62	2.30	1.34	0.93
	3.50	3.67	3.40	2.21	1.31	0.91
Floor	0.50	2.55	1.28	1.00	0.69	0.53
	0.75	1.44	1.38	1.06	0.73	0.54
	1.50	2.49	2.38	1.76	1.15	0.85
	3.50	3.08	2.90	2.01	1.26	0.90

**TABLE A9.4B Emittance Values of Various Surfaces and Effective Emittances of Air Spaces**

Surface	Average Emittance <i>e</i>	Effective Emittance <i>e<sub>eff</sub></i> of Air Space	
		One Surface <i>e</i> ; Other, 0.9	Both Surfaces Emittance <i>e</i>
Aluminum foil, bright	0.05	0.05	0.03
Aluminum foil, with condensate just visible (>0.7 gr/ft <sup>2</sup> )	0.30	0.29	-
Aluminum foil, with condensate clearly visible (>2.9 gr/ft <sup>2</sup> )	0.70	0.65	-
Aluminum sheet	0.12	0.12	0.06
Aluminum coated paper, polished	0.20	0.20	0.11
Steel, galv., bright	0.25	0.24	0.15
Aluminum paint	0.50	0.47	0.35
Bldg materials: wood, paper, masonry, nonmetallic paints	0.90	0.82	0.82
Regular glass	0.84	0.77	0.72



**A9.4.2 Insulation R-Values.** Insulation R-values shall be determined as follows:

- For insulation that is not compressed, the *rated R-value of insulation* shall be used.
- For calculation purposes, the effective R-value for insulation that is uniformly compressed in confined cavities shall be taken from **Table A9.4C**.
- For calculation purposes, the effective R-value for insulation installed in cavities in attic roofs with steel joists shall be taken from Table A9.2A.

- For calculation purposes, the effective R-value for insulation installed in cavities in steel-framed walls shall be taken from Table A9.2B.

**A9.4.3 Building Material Thermal Properties.** R-values for *building materials* shall be taken from **Table A9.4D**. Concrete block R-values shall be calculated using the isothermal planes method or a two-dimensional calculation program, thermal conductivities from **Table A9.4E**, and dimensions from ASTM C90. The parallel path calculation method is not acceptable.

**TABLE A9.4C Effective R-Values for Fiberglass**

Insulation R-Value at Standard Thickness									
Rated R-Value		38	30	22	21	19	15	13	11
Standard Thickness (in.)		12	9.5	6.5	5.5	6	3.5	3.5	3.5
Nominal Lumber Size (in.)	Actual Depth of Cavity (in.)	Effective Insulation R-Values when Installed in a Confined Cavity							
2 × 12	11.25	37	-	-	-	-	-	-	-
2 × 10	9.25	32	30	-	-	-	-	-	-
2 × 8	7.25	27	26	22	21	19	-	-	-
2 × 6	5.5	-	21	20	21	18	-	-	-
2 × 4	3.5	-	-	14	-	13	15	13	11
	2.5	-	-	-	-	-	-	9.8	-
	1.5	-	-	-	-	-	-	6.3	6

**TABLE A9.4D R-Values for Building Materials**

Material	Nominal Size (in.)	Actual Size (in.)	R-Value
Carpet and rubber pad	-	-	1.23
Concrete at R-0.0625/in.	-	2	0.13
	-	4	0.25
	-	6	0.38
	-	8	0.5
	-	10	0.63
	-	12	0.75
Flooring, wood subfloor	-	0.75	0.94
Gypsum board	-	0.5	0.45
	-	0.625	0.56
Metal deck	-	-	0
Roofing, built-up	-	0.375	0.33
Sheathing, vegetable fiber board, 0.78 in.	-	0.78	2.06
Soil at R-0.104/in.	-	12	1.25
Steel, mild	-	1	0.0031807
Stucco	-	0.75	0.08
Wood, 2 × 4 at R-1.25/in.	4	3.5	4.38
Wood, 2 × 6 at R-1.25/in.	6	5.5	6.88
Wood, 2 × 8 at R-1.25/in.	8	7.25	9.06
Wood, 2 × 10 at R-1.25/in.	10	9.25	11.56
Wood, 2 × 12 at R-1.25/in.	12	11.25	14.06
Wood, 2 × 14 at R-1.25/in.	14	13.25	16.56

**TABLE A9.4E Thermal Conductivity  
of Concrete Block Material**

Concrete Block Density in lb/ft <sup>3</sup>	Thermal Conductivity in Btu·in./h·ft <sup>2</sup> ·°F
80	3.7
85	4.2
90	4.7
95	5.1
100	5.5
105	6.1
110	6.7
115	7.2
120	7.8
125	8.9
130	10.0
135	11.8
140	13.5

**Exception to A9.4.3:** R-values for *building materials* or thermal conductivities determined from testing in accordance with A9.3.

**A9.4.4 Building Material Heat Capacities:** The *heat capacity* of assemblies shall be calculated using published values for the unit weight and specific heat of all building material components that make up the assembly.

(This is a normative appendix and is part of this standard.)

**NORMATIVE APPENDIX B  
BUILDING ENVELOPE CLIMATE CRITERIA**

**B1 General.** This normative appendix provides the information to determine both United States and international climate zones. For U.S locations, use either **Figure B-1** or **Table B-1** to determine the climate zone number and letter that are required for determining compliance regarding various sections and tables in this standard. Figure B-1 contains the county-by-county climate zone map for the United States. Table B-1 lists each state and major counties within the state and shows the climate number and letter for each county listed.

**Table B-2** shows the climate zone number for a wide variety of Canadian locations. When the climate zone letter is required to determine compliance with this standard, refer to Table B-4 and the Major Climate Type Definitions in Section B2 to determine the letter (A, B, or C).

**Table B-3** shows the climate zone number for a wide variety of other international locations besides Canada. When the climate zone letter is required to determine compliance with this standard, refer to **Table B-4** and the Major Climate Type Definitions in Section B2 to determine the letter (A, B, or C).

For all international locations that are not listed either in Table B-2 or B-3, use Table B-4 and the Major Climate Type Definitions in Section B2 to determine both the climate zone letter and number.

**Note:** CDD50 and HDD65 values may be found in Normative Appendix D.

**B2 Major Climate Type Definitions.** Use the following information along with Table B-4 to determine climate zone numbers and letters for international climate zones.

Marine (C) definition—Locations meeting all four criteria:

1. Mean temperature of coldest month between 27°F and 65°F
2. Warmest month mean < 72°F
3. At least four months with mean temperatures over 50°F
4. Dry season in summer. The month with the heaviest precipitation in the cold season has at least three times as much precipitation as the month with the least precipitation in the rest of the year. The cold season is October through March in the Northern Hemisphere and April through September in the Southern Hemisphere.

Dry (B) definition—Locations meeting the following criteria: not marine and

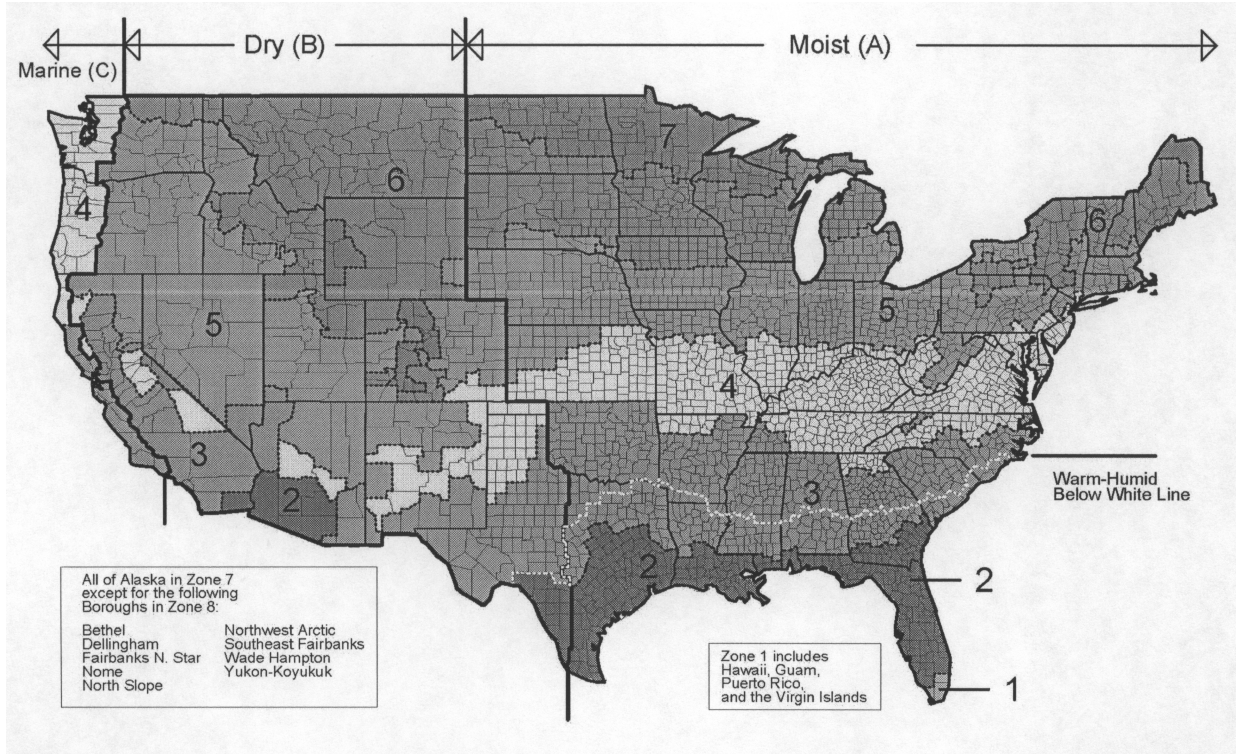
$$P_{in} < 0.44 \times (TF - 19.5)$$

where

$P$  = annual precipitation in inches and

$T$  = annual mean temperature in °F.

Moist (A) definition—Locations that are not marine and not dry.



**Figure B-1 Climate zones for United States locations.**

**TABLE B-1 U.S. Climate Zones**

State		State		State		State	
County	Zone	County	Zone	County	Zone	County	Zone
Alabama (AL)		(Arkansas cont.)		(Colorado cont.)		Georgia (GA)	
Zone 3a Except		Washington	4A	Las Animas	4B	Zone 3A Except	
Baldwin	2A	California (CA)		Otero	4B	Appling	2A
Mobile	2A	Zone 3B Except		Alamosa	6B	Atkinson	2A
Alaska (AK)		Imperial	2B	Archuleta	6B	Bacon	2A
Zone 7 Except		Alameda	3C	Chaffee	6B	Baker	2A
Bethel (CA)	8	Marin	3C	Conejos	6B	Berrien	2A
Dillingham (CA)	8	Mendocino	3C	Costilla	6B	Brantley	2A
Fairbanks North Star	8	Monterey	3C	Custer	6B	Brooks	2A
Nome (CA)	8	Napa	3C	Dolores	6B	Bryan	2A
North Slope	8	San Benito	3C	Eagle	6B	Camden	2A
Northwest Arctic	8	San Francisco	3C	Moffat	6B	Charlton	2A
Southeast Fairbanks (CA)	8	San Luis Obispo	3C	Ouray	6B	Chatham	2A
Wade Hampton (CA)	8	San Mateo	3C	Rio Blanco	6B	Clinch	2A
Yukon-Koyukuk (CA)	8	Santa Barbara	3C	Saguache	6B	Colquitt	2A
Arizona (AZ)		Santa Clara	3C	San Miguel	6B	Cook	2A
Zone 3B Except		Santa Cruz	3C	Clear Creek	7	Decatur	2A
La Paz	2B	Sonoma	3C	Grand	7	Echols	2A
Maricopa	2B	Ventura	3C	Gunnison	7	Effingham	2A
Pima	2B	Amador	4B	Hinsdale	7	Evans	2A
Pinal	2B	Calaveras	4B	Jackson	7	Glynn	2A
Yuma	2B	Del Norte	4B	Lake	7	Grady	2A
Gila	4B	El Dorado	4B	Mineral	7	Jeff Davis	2A
Yavapai	4B	Humboldt	4B	Park	7	Lanier	2A
Apache	5B	Inyo	4B	Pitkin	7	Liberty	2A
Coconino	5B	Lake	4B	Rio Grande	7	Long	2A
Navajo	5B	Mariposa	4B	Routt	7	Lowndes	2A
Arkansas (AR)		Trinity	4B	San Juan	7	McIntosh	2A
Zone 3A Except		Tuolumme	4B	Summitt	7	Miller	2A
Baxter	4A	Lassen	5B	Connecticut (CT)		Mitchell	2A
Benton	4A	Modoc	5B	Zone 5A		Pierce	2A
Boone	4A	Nevada	5B	Delaware (DE)		Seminole	2A
Carroll	4A	Plumas	5B	Zone 4A		Tattnall	2A
Fulton	4A	Sierra	5B	District of Columbia (DC)		Thomas	2A
Izard	4A	Siskiyou	5B	Zone 4A		Toombs	2A
Madison	4A	Alpine	6B	Florida (FL)		Ware	2A
Marion	4A	Mono	6B	Zone 2A Except		Wayne	2A
Newton	4A	Colorado (CO)		Broward	1A	Banks	4A
Searcy	4A	Zone 5B Except		Miami-Dade	1A	Catoosa	4A
Stone	4A	Baca	4B	Monroe	1A	Chattooga	4A

**TABLE B-1 U.S. Climate Zones**

State		State		State		State	
County	Zone	County	Zone	County	Zone	County	Zone
(Georgia cont.)		(Idaho cont.)		(Illinois cont.)		(Iowa cont.)	
Dade	4A	Payette	5B	Wayne	4A	Buchanan	6A
Dawson	4A	Power	5B	White	4A	Buena Vista	6A
Fannin	4A	Shoshone	5B	Williamson	4A	Butler	6A
Floyd	4A	Twin Falls	5B	Indiana (IN)		Calhoun	6A
Franklin	4A	Washington	5B	Zone 5A Except		Cerro Gordo	6A
Gilmer	4A	Illinois (IL)		Brown	4A	Cherokee	6A
Gordon	4A	Zone 5A Except		Clark	4A	Chickasaw	6A
Habersham	4A	Alexander	4A	Crawford	4A	Clay	6A
Hall	4A	Bond	4A	Daviess	4A	Clayton	6A
Lumpkin	4A	Christian	4A	Dearborn	4A	Delaware	6A
Murray	4A	Clay	4A	Dubois	4A	Dickinson	6A
Pickens	4A	Clinton	4A	Floyd	4A	Emmet	6A
Rabun	4A	Crawford	4A	Gibson	4A	Fayette	6A
Stephens	4A	Edwards	4A	Greene	4A	Floyd	6A
Towns	4A	Effingham	4A	Harrison	4A	Franklin	6A
Union	4A	Fayette	4A	Jackson	4A	Grundy	6A
Walker	4A	Franklin	4A	Jefferson	4A	Hamilton	6A
White	4A	Gallatin	4A	Jennings	4A	Hancock	6A
Whitfield	4A	Hamilton	4A	Knox	4A	Hardin	6A
Hawaii (HI)		Hardin	4A	Lawrence	4A	Howard	6A
Zone 1A		Jackson	4A	Martin	4A	Humboldt	6A
Idaho (ID)		Jasper	4A	Monroe	4A	Ida	6A
Zone 6B Except		Jefferson	4A	Ohio	4A	Kossuth	6A
Ada	5B	Johnson	4A	Orange	4A	Lyon	6A
Benewah	5B	Lawrence	4A	Perry	4A	Mitchell	6A
Canyon	5B	Macoupin	4A	Pike	4A	O'Brien	6A
Cassia	5B	Madison	4A	Posey	4A	Osceola	6A
Clearwater	5B	Monroe	4A	Ripley	4A	Palo Alto	6A
Elmore	5B	Montgomery	4A	Scott	4A	Plymouth	6A
Gem	5B	Perry	4A	Spencer	4A	Pocahontas	6A
Gooding	5B	Pope	4A	Sullivan	4A	Sac	6A
Idaho	5B	Pulaski	4A	Switzerland	4A	Sioux	6A
Jerome	5B	Randolph	4A	Vanderburgh	4A	Webster	6A
Kootenai	5B	Richland	4A	Warrick	4A	Winnebago	6A
Latah	5B	Saline	4A	Washington	4A	Worth	6A
Lewis	5B	Shelby	4A	Iowa (IA)		Wright	6A
Lincoln	5B	St. Clair	4A	Zone 5A Except		Kansas (KS)	
Minidoka	5B	Union	4A	Allamakee	6A	Zone 4 Except	
Nez Perce	5B	Wabash	4A	Black Hawk	6A	Cheyenne	5A
Owyhee	5B	Washington	4A	Bremer	6A	Cloud	5A

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**TABLE B-1 U.S. Climate Zones**

State		State		State		State	
County	Zone	County	Zone	County	Zone	County	Zone
(Kansas cont.)		(Louisiana cont.)		(Michigan cont.)		(Minnesota cont.)	
Decatur	5A	Jackson	3A	Grand Traverse	6A	Cass	7
Ellis	5A	La Salle	3A	Huron	6A	Clay	7
Gove	5A	Lincoln	3A	Iosco	6A	Clearwater	7
Graham	5A	Madison	3A	Isabella	6A	Cook	7
Greeley	5A	Morehouse	3A	Kalkaska	6A	Crow Wing	7
Hamilton	5A	Natchitoches	3A	Lake	6A	Grant	7
Jewell	5A	Ouachita	3A	Leelanau	6A	Hubbard	7
Lane	5A	Red River	3A	Manistee	6A	Itasca	7
Logan	5A	Richland	3A	Marquette	6A	Kanabec	7
Mitchell	5A	Sabine	3A	Mason	6A	Kittson	7
Ness	5A	Tensas	3A	Mecosta	6A	Koochiching	7
Norton	5A	Union	3A	Menominee	6A	Lake	7
Osborne	5A	Vernon	3A	Missaukee	6A	Lake of the Woods	7
Phillips	5A	Webster	3A	Montmorency	6A	Mahnomen	7
Rawlins	5A	West Carroll	3A	Newaygo	6A	Marshall	7
Republic	5A	Winn	3A	Oceana	6A	Mille Lacs	7
Rooks	5A	Maine (ME)		Ogemaw	6A	Norman	7
Scott	5A	Zone 6A Except		Osceola	6A	Otter Trail	7
Sheridan	5A	Aroostook	7	Oscoda	6A	Pennington	7
Sherman	5A	Maryland (MD)		Otsego	6A	Pine	7
Smith	5A	Zone 4A Except		Presque Isle	6A	Polk	7
Thomas	5A	Garrett	5A	Roscommon	6A	Red Lake	7
Trego	5A	Massachusetts (MA)		Sanilac	6A	Roseau	7
Wallace	5A	Zone 5		Wexford	6A	St. Louis	7
Wichita	5A	Michigan (MI)		Baraga	7	Wadena	7
Kentucky (KY)		Zone 5A Except		Chippewa	7	Wilkin	7
Zone 4A		Alcona	6A	Gogebic	7	Mississippi (MS)	
Louisiana (LA)		Alger	6A	Houghton	7	Zone 3A Except	
Zone 2A Except		Alpena	6A	Iron	7	Hancock	2A
Bienville	3A	Antrim	6A	Keweenaw	7	Harrison	2A
Bossier	3A	Arenac	6A	Luce	7	Jackson	2A
Caddo	3A	Benzie	6A	Mackinac	7	Pearl River	2A
Caldwell	3A	Charlevoix	6A	Ontonagon	7	Stone	2A
Catahoula	3A	Cheboygan	6A	Schoolcraft	7	Missouri (MO)	
Claiborne	3A	Clare	6A	Minnesota (MN)		Zone 4A Except	
Concordia	3A	Crawford	6A	Zone 6A Except		Adair	5A
De Soto	3A	Delta	6A	Aitkin	7	Andrew	5A
East Carroll	3A	Dickinson	6A	Becker	7	Atchison	5A
Franklin	3A	Emmet	6A	Beltrami	7	Buchanan	5A
Grant	3A	Gladwin	6A	Carlton	7	Caldwell	5A

**TABLE B-1 U.S. Climate Zones**

State		State		State		State	
County	Zone	County	Zone	County	Zone	County	Zone
(Missouri cont.)		(New Jersey cont.)		(New York cont.)		(North Carolina cont.)	
Chariton	5A	Hunterdon	5A	Cattaraugus	6A	Duplin	3A
Clark	5A	Mercer	5A	Chenango	6A	Edgecombe	3A
Clinton	5A	Morris	5A	Clinton	6A	Gaston	3A
Daviess	5A	Passaic	5A	Delaware	6A	Greene	3A
Gentry	5A	Somerset	5A	Essex	6A	Hoke	3A
Grundy	5A	Sussex	5A	Franklin	6A	Hyde	3A
Harrison	5A	Warren	5A	Fulton	6A	Johnston	3A
Holt	5A	New Mexico (NM)		Hamilton	6A	Jones	3A
Knox	5A	Zone 5B Except		Herkimer	6A	Lenoir	3A
Lewis	5A	Chaves	3B	Jefferson	6A	Martin	3A
Linn	5A	Dona Ana	3B	Lewis	6A	Mecklenberg	3A
Livingston	5A	Eddy	3B	Madison	6A	Montgomery	3A
Macon	5A	Hidalgo	3B	Montgomery	6A	Moore	3A
Marion	5A	Lea	3B	Oneida	6A	New Hanover	3A
Mercer	5A	Luna	3B	Otsego	6A	Onslow	3A
Nodaway	5A	Otero	3B	Schoharie	6A	Pamlico	3A
Pike	5A	Bernalillo	4B	Schuyler	6A	Pasquotank	3A
Putnam	5A	Curry	4B	St. Lawrence	6A	Pender	3A
Ralls	5A	DeBaca	4B	Steuben	6A	Perquimans	3A
Schuyler	5A	Grant	4B	Sullivan	6A	Pitt	3A
Scotland	5A	Guadalupe	4B	Tompkins	6A	Randolph	3A
Shelby	5A	Lincoln	4B	Ulster	6A	Richmond	3A
Sullivan	5A	Quay	4B	Warren	6A	Robeson	3A
Worth	5A	Roosevelt	4B	Wyoming	6A	Rowan	3A
Montana (MT)		Sierra	4B	North Carolina (NC)		Sampson	3A
Zone 6B		Socorro	4B	Zone 4A Except		Scotland	3A
Nebraska (NE)		Union	4B	Anson	3A	Stanly	3A
Zone 5A		Valencia	4B	Beaufort	3A	Tyrrell	3A
Nevada (NV)		New York (NY)		Bladen	3A	Union	3A
Zone 5B Except		Zone 5A Except		Brunswick	3A	Washington	3A
Clark	3B	Bronx	4A	Cabarrus	3A	Wayne	3A
New Hampshire (NH)		Kings	4A	Camden	3A	Wilson	3A
Zone 6A Except		Nassau	4A	Carteret	3A	Alleghany	5A
Cheshire	5A	New York	4A	Chowan	3A	Ashe	5A
Hillsborough	5A	Queens	4A	Columbus	3A	Avery	5A
Rockingham	5A	Richmond	4A	Craven	3A	Mitchell	5A
Strafford	5A	Suffolk	4A	Cumberland	3A	Watauga	5A
New Jersey (NJ)		Westchester	4A	Currituck	3A	Yancey	5A
Zone 4A Except		Allegany	6A	Dare	3A	North Dakota (ND)	
Bergen	5A	Broome	6A	Davidson	3A	Zone 7 Except	

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**TABLE B-1 U.S. Climate Zones**

State		State		State		State	
County	Zone	County	Zone	County	Zone	County	Zone
(North Dakota cont.)		Oregon (OR)		(South Dakota cont.)		(Texas cont.)	
Adams	6A	Zone 4C Except		Jackson	5A	Calhoun	2A
Billings	6A	Baker	5B	Mellette	5A	Cameron	2A
Bowman	6A	Crook	5B	Todd	5A	Chambers	2A
Burleigh	6A	Deschutes	5B	Tripp	5A	Cherokee	2A
Dickey	6A	Gilliam	5B	Union	5A	Colorado	2A
Dunn	6A	Grant	5B	Yankton	5A	Comal	2A
Emmons	6A	Harney	5B	Tennessee (TN)		Coryell	2A
Golden Valley	6A	Hood River	5B	Zone 4A Except		DeWitt	2A
Grant	6A	Jefferson	5B	Chester	3A	Dimmit	2B
Hettinger	6A	Klamath	5B	Crockett	3A	Duval	2A
LaMoure	6A	Lake	5B	Dyer	3A	Edwards	2B
Logan	6A	Malheur	5B	Fayette	3A	Falls	2A
McIntosh	6A	Morrow	5B	Hardeman	3A	Fayette	2A
McKenzie	6A	Sherman	5B	Hardin	3A	Fort Bend	2A
Mercer	6A	Umatilla	5B	Haywood	3A	Freestone	2A
Morton	6A	Union	5B	Henderson	3A	Frio	2B
Oliver	6A	Wallowa	5B	Lake	3A	Galveston	2A
Ransom	6A	Wasco	5B	Lauderdale	3A	Goliad	2A
Richland	6A	Wheeler	5B	Madison	3A	Gonzales	2A
Sargent	6A	Pennsylvania (PA)		McNairy	3A	Grimes	2A
Sioux	6A	Zone 5A Except		Shelby	3A	Guadalupe	2A
Slope	6A	Bucks	4A	Tipton	3A	Hardin	2A
Stark	6A	Chester	4A	Texas (TX)		Harris	2A
Ohio (OH)		Delaware	4A	Zone 3A Except		Hays	2A
Zone 5A Except		Montgomery	4A	Anderson	2A	Hidalgo	2A
Adams	4A	Philadelphia	4A	Angelina	2A	Hill	2A
Brown	4A	York	4A	Aransas	2A	Houston	2A
Clermont	4A	Rhode Island (RI)		Atascosa	2A	Jackson	2A
Gallia	4A	Zone 5A		Austin	2A	Jasper	2A
Hamilton	4A	South Carolina (SC)		Bandera	2B	Jefferson	2A
Lawrence	4A	Zone 3A		Bastrop	2A	Jim Hogg	2A
Pike	4A	South Dakota (SD)		Bee	2A	Jim Wells	2A
Scioto	4A	Zone 6A Except		Bell	2A	Karnes	2A
Washington	4A	Bennett	5A	Bexar	2A	Kenedy	2A
Oklahoma (OK)		Bon Homme	5A	Bosque	2A	Kinney	2B
Zone 3A Except		Charles Mix	5A	Brazoria	2A	Kleberg	2A
Beaver	4A	Clay	5A	Brazos	2A	La Salle	2B
Cimarron	4A	Douglas	5A	Brooks	2A	Lavaca	2A
Texas	4A	Gregory	5A	Burleson	2A	Lee	2A
		Hutchinson	5A	Caldwell	2A	Leon	2A



**TABLE B-1 U.S. Climate Zones**

State		State		State		State	
County	Zone	County	Zone	County	Zone	County	Zone
(Texas cont.)		(Texas cont.)		(Texas cont.)		(Texas cont.)	
Liberty	2A	Brewster	3B	Mason	3B	Hansford	4B
Limestone	2A	Callahan	3B	McCulloch	3B	Hartley	4B
Live Oak	2A	Childress	3B	Menard	3B	Hockley	4B
Madison	2A	Coke	3B	Midland	3B	Hutchinson	4B
Matagorda	2A	Coleman	3B	Mitchell	3B	Lamb	4B
Maverick	2B	Concho	3B	Motley	3B	Lipscomb	4B
McLennan	2A	Cottle	3B	Nolan	3B	Moore	4B
McMullen	2A	Crane	3B	Pecos	3B	Ochiltree	4B
Medina	2B	Crockett	3B	Presidio	3B	Oldham	4B
Milam	2A	Crosby	3B	Reagan	3B	Parmer	4B
Montgomery	2A	Culberson	3B	Reeves	3B	Potter	4B
Newton	2A	Dawson	3B	Runnels	3B	Randall	4B
Nueces	2A	Dickens	3B	Schleicher	3B	Roberts	4B
Orange	2A	Ector	3B	Scurry	3B	Sherman	4B
Polk	2A	El Paso	3B	Shackelford	3B	Swisher	4B
Real	2B	Fisher	3B	Sterling	3B	Yoakum	4B
Refugio	2A	Foard	3B	Stonewall	3B	Utah (UT)	
Robertson	2A	Gaines	3B	Sutton	3B	Zone 5B Except	
San Jacinto	2A	Garza	3B	Taylor	3B	Washington	3B
San Patricio	2A	Glasscock	3B	Terrell	3B	Box Elder	6B
Starr	2A	Hackell	3B	Terry	3B	Cache	6B
Travis	2A	Hall	3B	Throckmorton	3B	Carbon	6B
Trinity	2A	Hardeman	3B	Tom Green	3B	Daggett	6B
Tyler	2A	Haskell	3B	Upton	3B	Duchesne	6B
Uvalde	2B	Hemphill	3B	Ward	3B	Morgan	6B
Val Verde	2B	Howard	3B	Wheeler	3B	Rich	6B
Victoria	2A	Hudspeth	3B	Wilbarger	3B	Summit	6B
Walker	2A	Irion	3B	Winkler	3B	Uintah	6B
Waller	2A	Jeff Davis	3B	Armstrong	4B	Wasatch	6B
Washington	2A	Jones	3B	Bailey	4B	Vermont (VT)	
Webb	2B	Kendall	3B	Briscoe	4B	Zone 6A	
Wharton	2A	Kent	3B	Carson	4B	Virginia (VA)	
Willacy	2A	Kerr	3B	Castro	4B	Zone 4A	
Williamson	2A	King	3B	Cochran	4B	Washington (WA)	
Wilson	2A	Knox	3B	Dallam	4B	Zone 5B Except	
Zapata	2B	Lipscomb	3B	Deaf Smith	4B	Clallam	4C
Zavala	2B	Loving	3B	Donley	4B	Clark	4C
Andrews	3B	Lubbock	3B	Floyd	4B	Cowlitz	4C
Baylor	3B	Lynn	3B	Gray	4B	Grays Harbor	4C
Borden	3B	Martin	3B	Hale	4B	Jefferson	4C

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**TABLE B-1 U.S. Climate Zones**

State		State		State		State	
County	Zone	County	Zone	County	Zone	County	Zone
(Washington cont.)		(West Virginia cont.)					
King	4C	Wayne	4A				
Kitsap	4C	Wirt	4A				
Lewis	4C	Wood	4A				
Mason	4C	Wyoming	4A				
Pacific	4C	Wisconsin (WI)					
Pierce	4C	Zone 6A Except					
Skagit	4C	Ashland	7A				
Snohomish	4C	Bayfield	7A				
Thurston	4C	Burnett	7A				
Wahkiakum	4C	Douglas	7A				
Whatcom	4C	Florence	7A				
Ferry	6B	Forest	7A				
Okanogan	6B	Iron	7A				
Pend Oreille	6B	Langlade	7A				
Stevens	6B	Lincoln	7A				
West Virginia (WV)		Oneida	7A				
Zone 5A Except		Price	7A				
Berkeley	4A	Sawyer	7A				
Boone	4A	Taylor	7A				
Braxton	4A	Vilas	7A				
Cabell	4A	Washburn	7A				
Calhoun	4A	Wyoming (WY)					
Clay	4A	Zone 6B Except					
Gilmer	4A	Goshen	5B				
Jackson	4A	Platte	5B				
Jefferson	4A	Lincoln	7B				
Kanawha	4A	Sublette	7B				
Lincoln	4A	Teton	7B				
Logan	4A	Puerto Rico (PR)					
Mason	4A	Zone 1A Except					
McDowell	4A	Barranquitas 2 SSW	2B				
Mercer	4A	Cayey 1 E	2B				
Mingo	4A	Pacific Islands (PI)					
Monroe	4A	Zone 1A Except					
Morgan	4A	Midway Sand Island	2B				
Pleasants	4A	Virgin Islands (VI)					
Putnam	4A	Zone 1A					
Ritchie	4A						
Roane	4A						
Tyler	4A						

TABLE B-2 Canadian Climatic Zones

Province / City	Zone
Alberta (AB)	
Calgary International A	7
Edmonton International A	7
Grande Prairie A	7
Jasper	7
Lethbridge A	6
Medicine Hat A	6
Red Deer A	7
British Columbia (BC)	
Dawson Creek A	7
Ft Nelson A	8
Kamloops	5
Nanaimo A	5
New Westminster BC Pen	5
Penticton A	5
Prince George	7
Prince Rupert A	6
Vancouver International A	5
Victoria Gonzales Hts	5
Manitoba (MB)	
Brandon CDA	7
Churchill A	8
Dauphin A	7
Flin Flon	7
Portage La Prairie A	7
The Pas A	7
Winnipeg International A	7
New Brunswick (NB)	
Chatham A	7
Fredericton A	6
Moncton A	6
Saint John A	6
Newfoundland (NF)	
Corner Brook	6
Gander International A	7
Goose A	7
St John's A	6
Stephenville A	6
Northwest Territories (NW)	
Ft Smith A	8
Inuvik A	8
Yellowknife A	8
Nova Scotia (NS)	
Halifax International A	6
Kentville CDA	6
Sydney A	6
Truro	6
Yarmouth A	6

TABLE B-2 (continued) Canadian Climatic Zones

Nunavut	
Resolute A	8
Ontario (ON)	
Belleville	6
Cornwall	6
Hamilton RBG	5
Kapuskasing A	7
Kenora A	7
Kingston A	6
London A	6
North Bay A	7
Oshawa WPCP	6
Ottawa International A	6
Owen Sound MOE	6
Peterborough	6
St Catharines	5
Sudbury A	7
Thunder Bay A	7
Timmins A	7
Toronto Downsview A	6
Windsor A	5
Prince Edward Island (PE)	
Charlottetown A	6
Summerside A	6
Quebec (PQ)	
Bagotville A	7
Drummondville	6
Granby	6
Montreal Dorval International A	6
Quebec A	7
Rimouski	7
SeptÎles A	7
Shawinigan	7
Sherbrooke A	7
St Jean de Cherbourg	7
St Jerome	7
Thetford Mines	7
Trois Rivières	7
Val d'Or A	7
Valleyfield	6
Saskatchewan (SK)	
Estevan A	7
Moose Jaw A	7
North Battleford A	7
Prince Albert A	7
Regina A	7
Saskatoon A	7
Swift Current A	7
Yorkton A	7
Yukon Territory (YT)	
Whitehorse A	8

TABLE B-3 International Climate Zones

Country City (Province or Region)	Zone
Argentina	
Buenos Aires/ Ezeiza	3
Cordoba	3
Tucuman/Pozo	2
Australia	
Adelaide (SA)	4
Alice Springs (NT)	2
Brisbane (AL)	2
Darwin Airport (NT)	1
Perth/Guildford (WA)	3
Sydney/KSmith (NSW)	3
Azores (Terceira)	
Lajes	3
Bahamas	
Nassau	1
Belgium	
Brussels Airport	5
Bermuda	
St. Georges/Kindley	2
Bolivia	
La Paz/El Alto	5
Brazil	
Belem	1
Brasilia	2
Fortaleza	1
Porto Alegre	2
Recife/Curado	1
Rio de Janeiro	1
Salvador/Ondina	1
Sao Paulo	2
Bulgaria	
Sofia	5
Chile	
Concepcion	4
Punta Arenas/Chabunco	6
Santiago/Pedahuel	4
China	
Shanghai/Hongqiao	3
Cuba	
Guantanamo Bay NAS (Ote.)	1
Cyprus	
Akrotiri	3
Larnaca	3
Paphos	3
Czech Republic	
Prague/Libus	5
Dominican Republic	
Santo Domingo	1
Egypt	
Cairo	2
Luxor	1

TABLE B-3 (continued) International Climate Zones

Finland	
Helsinki/Seutula	7
France	
Lyon/Satolas	4
Marseille	4
Nantes	4
Nice	4
Paris/Le Bourget	4
Strasbourg	5
Germany	
Berlin/Schoenfeld	5
Hamburg	5
Hannover	5
Mannheim	5
Greece	
Souda (Crete)	3
Thessalonika/Mikra	4
Greenland	
Narsarssuaq	7
Hungary	
Budapest/Lorinc	5
Iceland	
Reykjavik	7
India	
Ahmedabad	1
Bangalore	1
Bombay/Santa Cruz	1
Calcutta/Dum Dum	1
Madras	1
Nagpur Sonegaon	1
New Delhi/Safdarjung	1
Indonesia	
Djakarta/Halimperda (Java)	1
Kupang Penfui (Sunda Island)	1
Makassar (Celebes)	1
Medan (Sumatra)	1
Palembang (Sumatra)	1
Surabaya Perak (Java)	1
Ireland	
Dublin Airport	5
Shannon Airport	4
Israel	
Jerusalem	3
Tel Aviv Port	2
Italy	
Milano/Linate	4
Napoli/Capodichino	4
Roma/Fiumicion	4
Jamaica	
Kingston/Manley	1
Montego Bay/Sangster	1
Japan	
Fukaura	5

TABLE B-3 (continued) International Climate Zones

Sapporo	5
Tokyo	3
Jordan	
Amman	3
Kenya	
Nairobi Airport	3
Korea	
Pyongyang	5
Seoul	4
Malaysia	
Kuala Lumpur	1
Penang/Bayan Lepas	1
Mexico	
Mexico City (Distrito Federal)	3
Guadalajara (Jalisco)	1
Monterrey (Nuevo Laredo)	3
Tampico (Tamaulipas)	1
Veracruz (Veracruz)	4
Merida (Yucatan)	1
Netherlands	
Amsterdam/Schiphol	5
New Zealand	
Auckland Airport	4
Christchurch	4
Wellington	4
Norway	
Bergen/Florida	5
Oslo/Fornebu	6
Pakistan	
Karachi Airport	1
Papua New Guinea	
Port Moresby	1
Paraguay	
Asuncion/Stroessner	1
Peru	
LimaCallao/Chavez	2
San Juan de Marcona	2
Talara	2
Philippines	
Manila Airport (Luzon)	1
Poland	
Krakow/Balice	5
Romania	
Bucuresti/Bancasa	5
Russia	
Kaliningrad (East Prussia)	5
Krasnoarsk	7
Moscow Observatory	6
Petropavlovsk	7

TABLE B-3 (continued) International Climate Zones

Rostov/NaDonu	5
Vladivostok	6
Volgograd	6
Saudi Arabia	
Dhahran	1
Riyadh	1
Senegal	
Dakar/Yoff	1
Singapore	
Singapore/Changi	1
South Africa	
Cape Town/ D F Malan	4
Johannesburg	4
Pretoria	3
Spain	
Barcelona	4
Madrid	4
Valencia/Manises	3
Sweden	
Stockholm/Arlanda	6
Switzerland	
Zurich	5
Syria	
Damascus Airport	3
Taiwan	
Tainan	1
Taipei	2
Tanzania	
Dar es Salaam	1
Thailand	
Bangkok	1
Tunisia	
Tunis/EI Aouina	3
Turkey	
Adana	3
Ankara/Etimesgut	4
Istanbul/Yesilkoy	4
United Kingdom	
Birmingham (England)	5
Edinburgh (Scotland)	5
Glasgow Apt (Scotland)	5
London/Heathrow (England)	4
Uruguay	
Montevideo/Carrasco	3
Venezuela	
Caracas/Maiquetia	1
Vietnam	
Hanoi/Gialam	1
Saigon (Ho Chi Minh)	1

**TABLE B-4 International Climate Zone Definitions**

Zone Number	Name	Thermal Criteria
1	Very Hot – Humid (1A), Dry (1B)	$9000 < \text{CDD}_{50^{\circ}\text{F}}$
2	Hot – Humid (2A), Dry (2B)	$6300 < \text{CDD}_{50^{\circ}\text{F}} \leq 9000$
3A and 3B	Warm – Humid (3A), Dry (3B)	$4500 < \text{CDD}_{50^{\circ}\text{F}} \leq 6300$
3C	Warm – Marine	$\text{CDD}_{50^{\circ}\text{F}} \leq 4500$ AND $\text{HDD}_{65^{\circ}\text{F}} \leq 3600$
4A and 4B	Mixed – Humid (4A), Dry (4B)	$\text{CDD}_{50^{\circ}\text{F}} \leq 4500$ AND $3600 < \text{HDD}_{65^{\circ}\text{F}} \leq 5400$
4C	Mixed – Marine	$3600 < \text{HDD}_{65^{\circ}\text{F}} \leq 5400$
5A, 5B and 5C	Cool– Humid (5A), Dry (5B), Marine (5C)	$5400 < \text{HDD}_{65^{\circ}\text{F}} \leq 7200$
6A and 6B	Cold – Humid (6A), Dry (6B)	$7200 < \text{HDD}_{65^{\circ}\text{F}} \leq 9000$
7	Very Cold	$9000 < \text{HDD}_{65^{\circ}\text{F}} \leq 12600$
8	Subarctic	$12600 < \text{HDD}_{65^{\circ}\text{F}}$

(This is a normative appendix and is part of this standard.)

## NORMATIVE APPENDIX C METHODOLOGY FOR BUILDING ENVELOPE TRADE-OFF OPTION IN SUBSECTION 5.6

### C1 Minimum Information

The following minimum information shall be specified for the proposed design.

**C1.1 At the Building Level:** The floor area, broken down by *space-conditioning categories*.

**C1.2 At the Exterior Surface Level:** The classification, gross area, orientation, *U-factor*, and exterior conditions. For *mass walls* only: *heat capacity* and insulation position. Each surface is associated with a *space-conditioning category* as defined in C1.1.

**C1.3 For Fenestration:** The classification, area, *U-factor*, *solar heat gain coefficient* (SHGC), visible light transmittance (VLT), overhang *projection factor* for *vertical fenestration*, and width, depth, and height for *skylight wells*. (See [Figure C1.3](#) for definition of width, depth, and height for *skylight wells*.) Each *fenestration* element is associated with a surface (defined in C1.2) and has the orientation of that surface.

**C1.4 For Opaque Doors:** The classification, area, *U-factor*, *heat capacity*, and insulation position. Each *opaque door* is associated with a surface (defined in C1.2) and has the orientation of that surface.

**C1.5 For Below-Grade Walls:** The area, average depth to the bottom of the wall, and *C-factor*. Each *below-grade wall* is associated with a *space-conditioning category* as defined in C1.1.

**C1.6 For Slab-On-Grade Floor:** The perimeter length and *F-factor*. Each slab-on-grade floor is associated with a *space-conditioning category* as defined in C1.1.

### C2 Output Requirements

Output reports shall contain the following information.

**C2.1** Tables summarizing the minimum information described in C1.

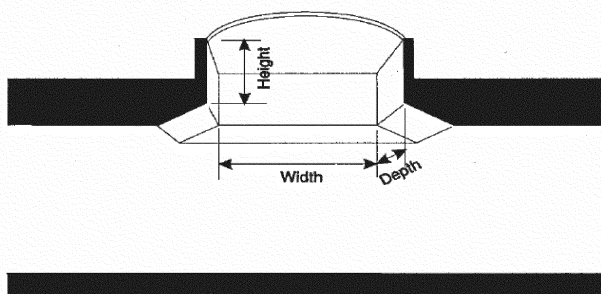


Figure C1.3 Skylight well dimensions.

**C2.2** The *envelope performance factor* differential broken down by envelope component. The differential is the difference between the *envelope performance factor* of the proposed building and the *envelope performance factor* of the base envelope design. Envelope components include the *opaque roof*, *skylights*, *opaque above-grade walls* including *vertical fenestration* and *opaque doors*, *below-grade walls*, *floors*, and *slab-on-grade floors*.

### C3 Base Envelope Design Specification

**C3.1** The base envelope design shall have the same building floor area, *building envelope floor area*, *slab-on-grade floor* perimeter, *below-grade floor area*, *gross wall area*, *opaque door area*, and *gross roof area* as the proposed design. The distribution of these areas among *space-conditioning categories* shall be the same as the proposed design.

**C3.2** The *U-factor* of each *opaque* element of the base envelope design shall be equal to the criteria from Tables 5.5-1 through 5.5-8 for the appropriate climate for each construction classification. The *heat capacity* of *mass wall* elements in the base envelope design shall be identical to the proposed design. *Mass walls* in the base envelope design shall have interior insulation, when required.

**C3.3** The *vertical fenestration area* of each *space-conditioning category* in the base envelope design shall be the same as the proposed building or 40% of the *gross wall area*, whichever is less. The distribution of *vertical fenestration* among *space-conditioning categories* and surface orientations shall be the same as the proposed design. If the *vertical fenestration area* of any *space-conditioning category* is greater than 40% of the *gross wall area* of that *space-conditioning category*, then the area of each *fenestration* element shall be reduced in the base envelope design by the same percentage so that the total *vertical fenestration area* is exactly equal to 40% of the *gross wall area*.

**C3.4** The *skylight area* of each space category in the base envelope design shall be the same as the proposed building or 5% of the *gross roof area*, whichever is less. This distribution of *skylights* among *space conditioning categories* shall be the same as the proposed design. If the *skylight area* of any space category is greater than 5% of the *gross roof area* of that *space-conditioning category*, then the area of each *skylight* shall be reduced in the base envelope design by the same percentage so that the total *skylight area* is exactly equal to 5% of the *gross roof area*.

**C3.5** The *U-factor* for *fenestration* in the base envelope design shall be equal to the criteria from Tables 5.5-1 through 5.5-8 for the appropriate climate. The *solar heat gain coefficient* (SHGC) for *fenestration* in the base envelope design shall be equal to the criteria from Tables 5.5-1 through 5.5-7 for Table 5.5-8 shall be equal to 0.64 for *north-oriented* and 0.46 for all other *vertical fenestration*, 0.77 for plastic *skylights* on a curb, and 0.72 for all other *skylights* with a curb and without. The visible light transmittance (VLT) for *fenestration* in the base envelope design shall be the VLT factor from [Table C3.5](#) times the *SHGC* criteria as determined in this subsection.

**TABLE C3.5 VLT Factor for the Base Envelope Design**

Climate Bin	Vertical Fenestration	Glass Skylights	Plastic Skylights
1(A,B)	1.00	1.27	1.20
2(A,B)	1.00	1.27	1.20
3(C)	1.00	1.27	1.20
3(A,B)	1.27	1.27	1.20
4(A,B,C)	1.27	1.27	1.20
5(A,B,C)	1.27	1.27	1.20
6(A,B)	1.27	1.27	1.20
7	1.00	1.00	1.20
8	1.00	1.00	1.20

**C4 Zoning and Building Geometry**

No information about thermal zones needs to be entered to perform the calculations, but when the calculations are performed the building shall be divided into thermal zones according to the following procedure.

**C4.1** Determine the ratio ( $R_c$ ) of the *gross floor area* to the *gross wall area* for each *space-conditioning category*. The index “c” refers to the *space-conditioning category*, either *nonresidential conditioned*, *residential conditioned*, or *semi-heated*.

**C4.2** Create a perimeter zone for each unique combination of *space-conditioning category* and *wall orientation*. The *floor area* of each perimeter zone shall be the *gross wall area* of the zone times  $R_c$  or 1.25, whichever is smaller.

**C4.3** For *space-conditioning categories* where  $R_c$  is greater than 1.25, interior zones shall be created and used in the trade-off procedure. The *floor area* of the interior zone shall be the total floor area for the *space-conditioning category* less the floor area of the perimeter zones created in C4.2 for that *space-conditioning category*.

**C4.4** *Roof area*, *floor area*, *below-grade wall area*, and *slab-on-grade floor* perimeter associated with each *space-conditioning category* shall be prorated among the zones according to *floor area*.

**C4.5** *Skylights* shall be assigned to the interior zone of the *space-conditioning category*. If the *skylight area* is larger than the *roof area* of the interior zone, then the *skylight area* in the interior zone shall be equal to the *roof area* in the interior zone and the remaining *skylight area* shall be prorated among the perimeter zones based on *floor area*.

**C5 Modeling Assumptions**

The following are modeling assumptions for the purposes of this appendix only and are not requirements for building operation.

**C5.1** The *residential conditioned* and *nonresidential conditioned space-conditioning categories* shall be modeled with both heating and cooling systems for both the base envelope design and the proposed design. The thermostat setpoints for

*residential* and *nonresidential spaces* shall be 70°F for heating and 75°F for cooling, with night setback temperatures of 55°F for heating and 99°F for cooling.

**C5.2** The *semiheated* space categories shall be modeled with heating-only systems for both the base envelope design and the proposed design. The thermostat setpoint shall be 50°F for all hours.

**C5.3** Both the base envelope design and the proposed design shall be modeled with the same heating, ventilating, and air-conditioning (HVAC) systems. The system shall consist of a packaged rooftop system serving each thermal zone. Cooling shall be provided by a direct expansion air conditioner (EER = 9.5, COP<sub>cooling</sub> = 2.78). Heating shall be provided by a gas furnace (AFUE = 0.78).

**C5.4** The electrical systems shall be the same for both the base envelope design and the proposed design. The lighting power density shall be 1.20 W/ft<sup>2</sup> for *nonresidential conditioned spaces*, 1.00 W/ft<sup>2</sup> for *residential conditioned spaces*, and 0.50 W/ft<sup>2</sup> for *semiheated spaces*. The equipment power density shall be 0.75 W/ft<sup>2</sup> for *nonresidential conditioned spaces*, 0.25 W/ft<sup>2</sup> for *residential conditioned spaces*, and 0.25 W/ft<sup>2</sup> for *semi-heated spaces*. Continuous daylight dimming shall be assumed in all spaces and be activated at 50 fc for *nonresidential conditioned spaces* and *residential conditioned spaces* and 30 fc for *semiheated spaces*.

**C5.5** Surface reflectances for daylighting calculations shall be 80% for ceilings, 50% for walls, and 20% for floors.

**C5.6** *Envelope performance factor* is defined in the following equation.

$$\text{Envelope Performance Factor} = \frac{\text{MBtu} \times 6600 + \text{kWh} \times 80}{\text{Total Building Floor Area}} \quad (\text{C-1})$$

**C5.7** The *U-factor* entered for surfaces adjacent to crawl-spaces, attics, and parking garages with mechanical or natural ventilation shall be adjusted by adding R-2 to the *thermal resistance* to account for the buffering effect.

**C5.8** Heat transfer for *below-grade walls* shall be based on the temperature difference between indoor and outdoor temperature conditions and a heat transfer path at the average wall depth below grade.

**C6 Equations for Envelope Trade-Off Calculations**

The procedure defined in this subsection shall be used in all building envelope trade-off calculations.

**C6.1 Inputs.** Building descriptions shall be converted to equation variables using [Table C6.1](#).

**C6.2 Envelope Performance Factor.** The *envelope performance factor (EPF)* of a building shall be calculated using Equation C-2.

$$\text{EPF} = \text{FAF} \times [\Sigma \text{HVAC}_{\text{surface}} + \Sigma \text{Lighting}_{\text{zone}}] \quad (\text{C-2})$$

where



TABLE C6.1 Input Variables

Variable	Description	I-P Units
Area <sub>surface</sub>	Area of surface	ft <sup>2</sup>
Area <sub>zone</sub>	Gross floor area of zone as defined in C.5	ft <sup>2</sup>
C-factor	C-factor for below-grade walls	Btu/h·ft <sup>2</sup> ·°F
CDD50	Cooling degree-days	Base 50°F·day
CDD65	Cooling degree-days	Base 65°F·day
CDH80	Cooling degree-hours	Base 80°F·hour
CFA	Conditioned floor area	ft <sup>2</sup>
Depth	Depth of bottom of below-grade wall	ft
DI	Artificial lighting design illuminance from C.5.4	footcandles
DR	Daily range (average outdoor maximum-minimum in hottest month)	°F
EPD	Miscellaneous equipment power density from C.5.4	W/ft <sup>2</sup>
F-factor	F-factor for slab-on-grade floors	Btu/h·ft·°F
FAF	Building floor area factor	1000/CFA, ft <sup>2</sup>
HC	Wall heat capacity	Btu/ft <sup>2</sup> ·°F
HDD50	Heating degree-days	Base 50°F·day
HDD65	Heating degree-days	Base 65°F·day
Length	Length of slab-on-grade floor perimeter	ft
LPD	Lighting power density from C.5.4	W/ft <sup>2</sup>
R-Value	Effective R-value of soil for below-grade walls	h·ft <sup>2</sup> ·°F/Btu
U-factor	U-factor	Btu/h·ft <sup>2</sup> ·°F
VS	Annual average daily incident solar radiation on vertical surface	Btu/ft <sup>2</sup> ·day

TABLE C6.5.1 Overhang Projection Coefficients

Orientation	PCC1	PCC2	PCH1	PCH2
North	-0.5	0.22	0	0
East, South, West	-0.97	0.38	0	0

FAF = floor area factor for the entire building  
 $\Sigma\text{HVAC}_{\text{surface}}$  = sum of HVAC for each surface calculated using Equation C-3  
 $\Sigma\text{Lighting}_{\text{zone}}$  = sum of lighting for each zone calculated using Equation C-4

**C6.3 HVAC.** The HVAC term for each *exterior* or *semi-exterior* surface in the building shall be calculated using Equation C-3.

$$\text{HVAC}_{\text{surface}} = \text{COOL} + \text{HEAT} \quad (\text{C-3})$$

where

COOL = cooling factor for the surface calculated according to the appropriate equation in C-9, C-10, or C-11

HEAT = heating factor for the surface calculated according to the appropriate equation in C-9, C-10, or C-11

**C6.4 Lighting.** The lighting term for each zone in the building as defined in C4 shall be calculated using Equation C-4.

$$\text{Lighting}_{\text{zone}} = \text{LPD}_{\text{adjzone}} \times \text{AREA}_{\text{zone}} \times 216 \quad (\text{C-4})$$

where

$\text{LPD}_{\text{adjzone}}$  = lighting power density for the zone adjusted for daylighting potential using Equation C-9

### C6.5 Solar and Visible Aperture

**C6.5.1 Solar and Visible Aperture of Vertical Fenestration.** The visible aperture (VA), solar aperture for cooling ( $\text{SA}_c$ ), and solar aperture for heating ( $\text{SA}_h$ ) of each *vertical fenestration* shall be calculated using Equations C-5, C-6, and C-7.

$$\text{VA} = \text{Area}_{\text{vf}} \times \text{VLT}_{\text{vf}} \times (1 + \text{PCC1} \times \text{PF} + \text{PCC2} \times \text{PF}^2) \quad (\text{C-5})$$

$$\text{SA}_c = \text{Area}_{\text{vf}} \times 1.163 \times \text{SHGC} \times (1 - \text{PCC1} \times \text{PF} + \text{PCC2} \times \text{PF}^2) \quad (\text{C-6})$$

$$\text{SA}_h = \text{Area}_{\text{vf}} \times 1.163 \times \text{SHGC} \times (1 + \text{PCH1} \times \text{PF} + \text{PCH2} \times \text{PF}^2) \quad (\text{C-7})$$

where

$\text{Area}_{\text{vf}}$  = glazing area of the vertical fenestration

$\text{SHGC}$  = the solar heat gain coefficient of the vertical fenestration assembly

$\text{VLT}_{\text{vf}}$  = the visible light transmittance of the vertical fenestration assembly

PF = the projection factor for the overhang shade on the vertical fenestration

PCH1, PCH2, PCC1, and PCC2 = overhang projection coefficients for the vertical fenestration orientation from Table C6.5.1

**C6.5.2 Visible Aperture of Skylights.** The visible aperture (VA) of a *skylight* shall be calculated using Equation C-8.

$$VA = \text{Area}_{\text{sky}} \times \text{VLT}_{\text{sky}} \times 10^{(-0.250 \times (5 \times D \times (W + L) / (W \times L)))} \quad (\text{C-8})$$

where

$\text{Area}_{\text{sky}}$  = fenestration area of the *skylight* assembly

$\text{VLT}_{\text{sky}}$  = the visible light transmittance of the *skylight* assembly

D = average depth of skylight well from *fenestration* to ceiling

W = width of skylight well

L = length of skylight well

**C6.6 Adjusted Lighting Power (LPDadj).** The adjusted lighting power for each zone shall be calculated using Equation C-9.

$$\text{LPD}_{\text{adj zone}} = \text{LPD} \times (1 - K_{\text{d zone}}) \quad (\text{C-9})$$

where  $K_{\text{d zone}}$  = daylight potential fraction calculated using Equation C-10.

If a zone has both *skylights* and *vertical fenestration*, the larger of the  $K_{\text{d}}$  calculated independently for each shall be used to calculate  $\text{LPD}_{\text{adj}}$ .

$$K_{\text{d zone}} = \left( \Phi 1 + \left( \frac{\Phi 2 \times \text{DI} \times \text{VA}}{\text{Area}_{\text{fen}}} \right) \right) \times (1 - e^{((\Phi 3 + \Phi 4 \times \text{DI}) \times \text{VA}) / \text{Area}_{\text{surface}}}) \quad (\text{C-10})$$

where

$\text{Area}_{\text{fen}}$  = total *fenestration area* of the *vertical fenestration* or *skylight* assemblies in the zone

VA = total visible aperture of the *vertical fenestration* or *skylights* in the zone, as calculated in C-5

$\text{Area}_{\text{surface}}$  = *gross wall area* of the zone for *vertical fenestration* or *gross roof area* of the zone for *skylights*

and the coefficients 1 through 4 are defined in **Table C6.6**.

**C6.7 Delta Load Factors for Mass Walls in the Exterior Building Envelope.** Adjustments to cooling and heating loads for use in Equations C-14 and C-16 due to the mass properties of each *mass wall* component shall be calculated using Equations C-11 and C-12.

$$\text{CMC} = 1.43 \times \text{Area}_{\text{mw}} \times [1 - e^{-\text{CP}_1(\text{HC} - 1)}] \times \left[ \text{CP}_2 + \text{CP}_3 U - \left( \frac{\text{CP}_4}{1 + (\text{CP}_5 + \text{CP}_6 U) e^{-(\text{CP}_7 + \text{CP}_8 U^2)(\text{HC} - 1)}} \right) \right] \quad (\text{C-11})$$

where

CMC = cooling delta load factor

$\text{Area}_{\text{mw}}$  = net *opaque area* of this *mass wall*

$A_{\text{c}}$  =  $\text{CDH80}/10000 + 2$

B =  $\text{DR}/10 + 1$

**TABLE C6.6 Coefficients for Calculating  $K_{\text{d}}$**

Coefficient	Skylight	Vertical Fenestration
$\Phi 1$	0.589	0.737
$\Phi 2$	5.18E-07	-3.17E-04
$\Phi 3$	-220	-24.71
$\Phi 4$	2.29	0.234

HC = wall heat capacity

DR = average daily temperature range for warmest month

B =  $\text{DR}/10 + 1$

$\text{CP}_1$  =  $C_5$

$\text{CP}_2$  =  $C_{15}/B^3 + C_{16}/(A_{\text{c}}^2 B^2) + C_{17}$

$\text{CP}_3$  =  $C_1/A_{\text{c}}^3 + C_2 B^3 + C_3/(A_{\text{c}}^2 \sqrt{B}) + C_4$

$\text{CP}_4$  =  $C_{12}(A_{\text{c}}^2 B^2) + C_{13}/B^3 + C_{14}$

U = area average of *U-factors* of *mass walls* in the zone

$\text{CP}_5$  =  $C_{18}$

$\text{CP}_6$  =  $C_6 \sqrt{B} \text{LN}(A_{\text{c}}) + C_7$

LN = natural logarithm

$\text{CP}_7$  =  $C_{19}/(A_{\text{c}}^2 B^2) + C_{20}/(A_{\text{c}} B) + C_{21} A_{\text{c}}^2 \sqrt{B} + C_{22}$

$\text{CP}_8$  =  $C_8/(A_{\text{c}}^2 B^2) + C_9/(A_{\text{c}} B) + C_{10} A_{\text{c}}^2 \sqrt{B} + C_{11}$

The coefficients  $C_1$  through  $C_{22}$  depend on insulation position in the wall and are taken from Table C6.7A.

$$\text{HMC} = 1.43 \times \text{Area}_{\text{mw}} \times [1 - e^{-\text{HP}_1(\text{HC} - 1)}] \times \left[ \text{HP}_2 + \text{HP}_3 U - \left( \frac{\text{HP}_4}{1 + (\text{HP}_5 + \text{HP}_6 U) e^{-(\text{HP}_7 + \text{HP}_8 U^2)(\text{HC} - 1)}} \right) \right] \quad (\text{C-12})$$

where

HMC = heating delta load factor

HC = wall heat capacity

$\text{Area}_{\text{mw}}$  = net *opaque area* of this *mass wall*

$\text{HP}_1$  =  $H_6$

$A_{\text{H}}$  =  $\text{HDD65}/100 + 2$

$\text{HP}_2$  =  $H_{14} \text{LN}(A_{\text{H}}) + H_{15}$

LN = natural logarithm

$\text{HP}_3$  =  $H_1 A_{\text{H}}^3 + H_2 A_{\text{H}}^2 + H_3/\sqrt{A} + H_4 \sqrt{A} + H_5$

U = area average of *U-factors* of *mass walls* in the zone

$\text{HP}_4$  =  $H_{11} A_{\text{H}}^2 + H_{12}/A_{\text{H}}^2 + H_{13}$

$\text{HP}_5$  =  $H_{16}$

$\text{HP}_6$  =  $H_7 A_{\text{H}} + H_8$

$\text{HP}_7$  =  $H_{17}/A_{\text{H}} + H_{18}$

$\text{HP}_8$  =  $H_9/A_{\text{H}}^3 + H_{10}$

The coefficients  $H_1$  through  $H_{18}$  depend on the position of the insulation in the wall and are taken from **Table C6.7B**. If the *U-factor* of *mass wall* is greater than 0.4 Btu/(h·ft<sup>2</sup>·°F), then the *U-factor* shall be set to 0.4 Btu/(h·ft<sup>2</sup>·°F). If the *U-factor* of the *mass wall* is less than 0.05 Btu/(h·ft<sup>2</sup>·°F), then the *U-Factor* shall be set to 0.5 Btu/(h·ft<sup>2</sup>·°F). If the wall heat capacity (HC) of the *mass wall* is greater than 20 Btu/(ft<sup>2</sup>·°F), then HC = 20 Btu/(ft<sup>2</sup>·°F) shall be used.

TABLE C6.7A Cooling Delta Load Coefficients

Variable	Insulation Position		
	Exterior	Integral	Interior
C <sub>1</sub>	220.7245	139.1057	181.6168
C <sub>2</sub>	-0.0566	-0.0340	-0.0552
C <sub>3</sub>	-118.8354	-10.3267	-34.1590
C <sub>4</sub>	-13.6744	-20.8674	-25.5919
C <sub>5</sub>	0.2364	0.2839	0.0810
C <sub>6</sub>	0.9596	0.3059	1.4190
C <sub>7</sub>	-0.2550	0.0226	0.4324
C <sub>8</sub>	-905.6780	-307.9438	-1882.9268
C <sub>9</sub>	425.1919	80.2096	443.1958
C <sub>10</sub>	-2.5106	0.0500	0.4302
C <sub>11</sub>	-43.3880	-5.9895	-28.2851
C <sub>12</sub>	-259.7234	-11.3961	-63.5623
C <sub>13</sub>	-33.9755	0.3669	20.8447
C <sub>14</sub>	20.4882	30.2535	9.8175
C <sub>15</sub>	-26.2092	8.8337	24.4598
C <sub>16</sub>	-241.1734	-22.2546	-70.3375
C <sub>17</sub>	18.8978	29.3297	9.8843
C <sub>18</sub>	-0.3538	-0.0239	-0.1146
C <sub>19</sub>	156.3056	63.3228	326.3447
C <sub>20</sub>	-74.0990	-16.3347	-77.6355
C <sub>21</sub>	0.4454	-0.0111	-0.0748
C <sub>22</sub>	7.4967	1.2956	5.2041

**C6.8 Walls and Vertical Fenestration in the Exterior Building Envelope.** Equations C-14 and C-16 shall be used to calculate COOL and HEAT for *exterior walls* and *vertical fenestration* in the *exterior building envelope* except walls next to crawlspaces, attics, and parking garages with natural or mechanical ventilation. *Walls* next to crawlspaces, attics, and parking garages with natural or mechanical ventilation shall use the equations in subsection C6.10 and they shall not be included in calculations in subsection C6.8. Zones shall be constructed according to C4 and the HEAT and COOL for the combination of all *exterior walls* and *vertical fenestration* in the zone shall be calculated using Equations C-14 and C-16, which include interactive effects. For a zone having a cardinal *orientation* (north, east, south, or west), Equations C-14 and C-15 shall be applied directly. For zones with northeast, northwest, southwest, and southeast *orientations*, EC shall be determined by finding the average of the values for the two closest cardinal *orientations*; for instance, COOL for a *wall* facing northeast is calculated by taking the average of COOL for a north-facing wall and COOL for an east-facing *wall*.

TABLE C6.7B Heating Delta Load Coefficients

Variable	Insulation Position		
	Exterior	Integral	Interior
H <sub>1</sub>	0.0000	0.0000	0.0000
H <sub>2</sub>	-0.0015	-0.0018	-0.0015
H <sub>3</sub>	13.3886	15.1161	19.8314
H <sub>4</sub>	1.9332	2.1056	1.4579
H <sub>5</sub>	-11.8967	-13.3053	-15.5620
H <sub>6</sub>	0.4643	0.1840	0.0719
H <sub>7</sub>	0.0094	0.0255	0.0264
H <sub>8</sub>	-0.1000	0.0459	0.7754
H <sub>9</sub>	-1223.3962	-622.0801	0.2008
H <sub>10</sub>	-0.9454	-0.5192	-0.6379
H <sub>11</sub>	-0.0001	-0.0001	0.0000
H <sub>12</sub>	3.8585	4.1379	2.4243
H <sub>13</sub>	7.5829	6.2380	7.9804
H <sub>14</sub>	-0.7774	-0.7711	-0.1699
H <sub>15</sub>	9.0147	7.7229	8.5854
H <sub>16</sub>	0.2007	0.2083	-0.0386
H <sub>17</sub>	206.6382	105.9849	3.1397
H <sub>18</sub>	0.2573	0.1983	0.1863

**C6.8.1 Effective Internal Gain.** The effective internal gain to the zone G shall be calculated using Equation C-13.

$$G = EPD + LPD_{adj_{zone}} \quad (C-13)$$

where

$LPD_{adj_{zone}}$  = lighting power density adjusted for daylighting, from Equation C-9

**C6.8.2 Cooling Factor.** The cooling factor for the surfaces in the zone shall be calculated using Equation C-14.

$$COOL = 0.005447 \times [CLU + CLUO + CLXUO + CLM + CLG + CLS + CLC] \quad (C-14)$$

where

$$CLU = Area_{opaque} \times U_{ow} \times [CU1 \times CDH80 + CU2 \times CDH80^2 + CU3 \times (VS \times CDH80)^2 + CU4 \times DR]$$

$$CLUO = Area_{grosswall} \times UO \times [CUO1 \times EA_C \times VS \times CDD50 + CUO2 \times G + CUO3 \times G^2 \times EA_C^2 \times VS \times CDD50 + CUO4 \times G^2 \times EA_C^2 \times VS \times CDD65]$$

$$CLXUO = Area_{grosswall} / UO \times [CXUO1 \times EA_C \times VS \times CDD50 + CXUO2 \times EA_C \times (VS \times CDD50)^2 + CXUO3 \times G \times CDD50 + CXUO4 \times G^2 \times EA_C^2 \times VS \times CDD50 + CXUO5 \times G^2 \times CDD65]$$

$$\begin{aligned} \text{CLM} = & \text{Area}_{\text{opaque}} \times \text{SCMC} \times [\text{CM1} + \text{CM2} \times \text{EA}_C \times \text{VS} \\ & \times \text{CDD50} + \text{CM3} \times \text{EA}_C \times \text{VS} \times \text{CDD65} + \text{CM4} \times \text{EA}_C^2 \times \text{VS} \\ & \times \text{CDD50} + \text{CM5} \times \text{G}^2 \times \text{CDD65} + \text{CM6} \times \text{G} \times \text{CDD50} + \text{CM7} \\ & \times \text{G} \times \text{CDD65} + \text{CM8} \times \text{G} \times \text{EA}_C \times \text{VS} \times \text{CDD50}] \end{aligned}$$

$$\begin{aligned} \text{CLG} = & \text{Area}_{\text{grosswall}} \times \{ \text{G} \times [\text{CG1} + \text{CG2} \times \text{CDD50} + \text{CG3} \\ & \times \text{EA}_C \times (\text{VS} \times \text{CDD50})^2 + \text{CG4} \times \text{EA}_C^2 \times \text{VS} \times \text{CDD50} + \text{CG5} \\ & \times \text{CDD65} + \text{CG6} \times \text{CDD50}^3 + \text{CG7} \times \text{CDD65}^3] + \text{G}^2 \times [\text{CG8} \\ & \times \text{EA}_C \times \text{VS} \times \text{CDD50} + \text{CG9} \times \text{EA}_C^2 \times \text{VS} \times \text{CDD50}] \} \end{aligned}$$

$$\begin{aligned} \text{CLS} = & \text{Area}_{\text{grosswall}} \times \{ \text{EA}_C \times [\text{CS1} + \text{CS2} \times \text{VS} \times \text{CDD50} \\ & + \text{CS3} \times (\text{VS} \times \text{CDD50})^2 + \text{CS4} \times \text{VS} \times \text{CDD65} + \text{CS5} \\ & \times (\text{VS} \times \text{CDD65})^2] + \text{EA}_C^2 \times [\text{CS6} + \text{CS7} \times (\text{VS} \times \text{CDD65})^2] \} \end{aligned}$$

$$\begin{aligned} \text{CLC} = & \text{Area}_{\text{grosswall}} \times [\text{CC1} \times \text{CDD50} + \text{CC2} \times \text{CDD50}^2 \\ & + \text{CC3} \times \text{CDH80} + \text{CC4} \times \text{CDH80}^2 + \text{CC5} \times \text{CDD65} + \text{CC6} \\ & \times (\text{VS} \times \text{CDD65})^2 + \text{CC7} \times \text{VS} \times \text{CDD50} + \text{CC8} \\ & \times (\text{VS} \times \text{CDD50})^2 + \text{CC9} \times (\text{VS} \times \text{CDH80})^2 + \text{CC10} \times \text{VS} \\ & + \text{CC11} \times \text{DR} + \text{CC12} \times \text{DR}^2 + \text{CC13}] \end{aligned}$$

where

$\text{Area}_{\text{grosswall}}$  = total gross area of all walls and vertical fenestration in the zone, including opaque and fenestration areas

$\text{Area}_{\text{opaque}}$  = total opaque area of all walls in the zone

$U_{\text{ow}}$  = area average of  $U$ -factors of opaque walls (including those of mass construction) in the zone

$\text{VS}$  = annual average daily incident solar energy on surface

$\text{DR}$  = average daily temperature range for the warmest month

$\text{UO}$  = area average of  $U$ -factor of opaque walls and vertical fenestration in the zone

$\text{SCMC}$  = sum of the CMC from Equation C-11 for each mass wall in the zone

$\text{G}$  = effective internal gain to space, from Equation C-13

$\text{EA}_C$  = effective solar aperture fraction for zone calculated using Equation C-15

$$\text{EA}_C = \frac{\sum \text{SA}_C}{\text{Area}_{\text{grosswall}}} \quad (\text{C-15})$$

where

$\sum \text{SA}_C$  = the sum of  $\text{SA}_C$  from Equation C-6.6 for all vertical fenestration in the zone.

The coefficients used in the above equations depend on the orientation of the surface and shall be found in [Table C6.8.2](#).

**C6.8.3 Heating Factor.** The heating factor for the surfaces in the zone shall be calculated using Equation C6.16.

$$\begin{aligned} \text{HEAT} = & 0.007669 \times [\text{HLU} + \text{HLUO} + \text{HLXUO} + \text{HLM} \\ & + \text{HLG} + \text{HLS} + \text{HLC}] \end{aligned} \quad (\text{C-16})$$

where

$$\text{HLU} = \text{Area}_{\text{opaque}} \times U_{\text{ow}} \times [\text{HU1} \times \text{HDD50} + \text{HU2} \times (\text{VS} \times \text{HDD65})^2]$$

$$\begin{aligned} \text{HLUO} = & \text{Area}_{\text{grosswall}} \times \text{UO} \times [\text{HUO1} \times \text{HDD50} + \text{HUO2} \\ & \times \text{HDD65} + \text{HUO3} \times \text{EA}_H \times \text{VS} \times \text{HDD65}] \end{aligned}$$

$$\begin{aligned} \text{HLXUO} = & \text{Area}_{\text{grosswall}} \times \{ (1/\text{UO}) \times [\text{HXUO1} \times \text{EA}_H \\ & \times (\text{VS} \times \text{HDD50})^2 + \text{HXUO2} \times \text{EA}_H \times (\text{VS} \times \text{HDD65})^2] \\ & + (1/\text{UO}^2) \times [\text{HXUO3} \times \text{EA}_H^2 \times \text{VS} \times \text{HDD65}] \} \end{aligned}$$

$$\begin{aligned} \text{HLM} = & \text{Area}_{\text{opaque}} \times \text{SHMC} \times [\text{HM1} + \text{HM2} \times \text{G} \times \text{UO} \\ & \times \text{HDD65} + \text{HM3} \times \text{G}^2 \times \text{EA}_H^2 \times \text{VS} \times \text{HDD50} + \text{HM4} \times \text{UO} \\ & \times \text{EA}_H \times \text{VS} \times \text{HDD65} + \text{HM5} \times \text{UO} \times \text{HDD50} + \text{HM6} \times \text{EA}_H \\ & \times (\text{VS} \times \text{HDD65})^2 + \text{HM7} \times \text{EA}_H^2 \times \text{VS} \times \text{HDD65}/\text{UO}] \end{aligned}$$

$$\begin{aligned} \text{HLG} = & \text{Area}_{\text{grosswall}} \times \{ \text{G} \times [\text{HG1} \times \text{HDD65} + \text{HG2} \times \text{UO} \\ & \times \text{HDD65} + \text{HG3} \times \text{EA}_H \times \text{VS} \times \text{HDD65} + \text{HG4} \times \text{EA}_H^2 \\ & \times \text{VS} \times \text{HDD50}] \times \text{G}^2 \times [\text{HG5} \times \text{HDD65} + \text{HG6} \\ & \times \text{EA}_H^2 \times \text{VS} \times \text{HDD65}] \} \end{aligned}$$

$$\begin{aligned} \text{HLS} = & \text{Area}_{\text{grosswall}} \times \{ \text{EA}_H \times [\text{HS1} \times \text{VS} \times \text{HDD65} + \text{HS2} \\ & \times (\text{VS} \times \text{HDD50})^2] + \text{EA}_H^2 \times [\text{HS3} \times \text{VS} \times \text{HDD50} \\ & + \text{HS4} \times \text{VS} \times \text{HDD65}] \} \end{aligned}$$

$$\begin{aligned} \text{HLC} = & \text{Area}_{\text{grosswall}} \times [\text{HC1} + \text{HC2} \times \text{HDD65} + \text{HC3} \\ & \times \text{HDD65}^2 + \text{HC4} \times \text{VS}^2 + \text{HC5} \times \text{VS} \times \text{HDD50} + \text{HC6} \\ & \times \text{VS} \times \text{HDD65} + \text{HC7} \times (\text{VS} \times \text{HDD50})^2] \end{aligned}$$

where

$\text{VS}$  = annual average daily incident solar energy on surface

$\text{SHMC}$  = sum of the HMC from Equation C-12 for each mass wall in the zone

$\text{EA}_H$  = effective solar aperture fraction for zone calculated using Equation C-17.

$$\text{EA}_H = \frac{\sum \text{SA}_H}{\text{Area}_{\text{grosswall}}} \quad (\text{C-17})$$

$\sum \text{SA}_H$  = the sum of  $\text{SA}_H$  from Equation C-7 for all vertical fenestration in the zone.

The coefficients used in the above equations depend on the orientation of the surface and shall be found in [Table C6.8.3](#). Terms not defined for Equation C-16 are found under Equation C-14.

**C6.9 Skylights in the Exterior Building Envelope.** HEAT and COOL shall be calculated for skylights in nonresidential conditioned and residential conditioned zones using Equations C-18 and C-19.

$$\begin{aligned} \text{HEAT} = & \text{Area}_{\text{sky}} \times \text{HDD65} \times 0.66 \times (\text{H}_2 \\ & \times U_{\text{sky}} + \text{H}_3 \times 1.163 \times \text{SHGC}) \end{aligned} \quad (\text{C-18})$$

$$\text{COOL} = \text{Area}_{\text{sky}} \times C_2 \times \text{CDD50} \times 0.093 \times \text{SHGC} \quad (\text{C-19})$$

where

$\text{Area}_{\text{sky}}$  = fenestration area of the skylight assembly

$\text{SHGC}$  = the solar heat gain coefficient of the skylight assembly

$U_{\text{sky}}$  =  $U$ -factor of skylight assembly

The coefficients used in the equations depend on the space type and shall be taken from [Table C6.9](#).

**C6.10 Calculations for Other Exterior and Semi-Exterior Surfaces.** For all exterior and semi-exterior surfaces not covered in C6.8 and C6.9, the cooling factor, COOL, and heating factor, HEAT, shall be calculated using the procedure in this subsection.

**TABLE C6.8.2 Cooling Coefficients for the Exterior Wall Equation**

Variable	Orientation of Surface			
	North	East	South	West
CU1	0.001539	0.003315	0.003153	0.00321
CU2	-3.0855E-08	-8.9662E-08	-7.1299E-08	-8.1053E-08
CU3	7.99493E-14	3.7928E-14	1.83083E-14	3.3981E-14
CU4	-0.079647	0.163114	0.286458	0.11178
CM1	0.32314	0.515262	0.71477	0.752643
CM2	1.5306E-06	1.38197E-06	1.6163E-06	1.42228E-06
CM3	-2.0432E-06	-1.6024E-06	-2.1106E-06	-1.9794E-06
CM4	-7.5367E-07	-7.6785E-07	-6.6443E-07	-7.4007E-07
CM5	-1.0047E-06	0	8.01057E-06	3.15193E-06
CM6	3.66708E-05	3.56503E-05	4.48106E-05	2.96012E-05
CM7	-6.7305E-05	-6.4094E-05	-0.000119	-7.6672E-05
CM8	-2.3834E-08	-4.7253E-08	-4.9747E-08	0
CUO1	-6.5109E-06	-8.3867E-06	-8.89E-06	-7.5647E-06
CUO2	-1.040207	-1.507235	-1.512625	-1.238545
CUO3	-4.3825E-06	-2.7883E-06	-2.3135E-06	-4.1257E-06
CUO4	0.000012658	8.09874E-06	7.36219E-06	1.06712E-05
CXUO1	1.03744E-06	1.19338E-06	1.18588E-06	1.23251E-06
CXUO2	-1.3218E-13	-1.3466E-13	-1.1625E-13	-1.3E-13
CXUO3	2.75554E-05	2.02621E-05	2.02365E-05	2.36964E-05
CXUO4	9.7409E-08	1.175E-07	9.39207E-08	1.36276E-07
CXUO5	-1.1825E-05	-9.0969E-06	-9.0919E-06	-1.1108E-05
CG1	0.891286	0.583388	0.393756	0.948654
CG2	0.001479	0.001931	0.002081	0.001662
CG3	-5.5204E-13	-2.8214E-13	-2.8477E-13	-4.5572E-13
CG4	2.52311E-06	3.70821E-06	4.30536E-06	5.91511E-06
CG5	-0.001151	-0.001745	-0.001864	-0.00153
CG6	1.95243E-12	0	-2.9606E-12	3.16358E-12
CG7	-8.3581E-12	1.01089E-11	3.30027E-11	0
CG8	1.41022E-06	7.53875E-07	7.133E-07	9.70752E-07
CG9	-2.3889E-06	-1.6496E-06	-1.6393E-06	-1.9736E-06
CS1	46.9871	33.9683	18.32016	29.3089
CS2	3.48091E-05	3.74118E-05	0.000034049	5.02498E-05
CS3	0	0	2.71313E-12	0
CS4	-1.6641E-05	6.94779E-06	-2.8218E-05	-2.7716E-05
CS5	8.42765E-12	0	-3.0468E-12	2.91137E-12
CS6	-56.5446	0	26.9954	14.9771
CS7	-1.3476E-11	-5.881E-12	-6.5009E-12	-7.8922E-12
CC1	0.002747	0	0.010349	0.001865
CC2	0	3.18928E-07	-3.0441E-07	0
CC3	-0.000348	0.000319	0.00024	0.000565
CC4	1.22123E-08	-7.7532E-08	-2.7144E-08	-5.4438E-08
CC5	0.012112	0.011894	0.013248	0.009236
CC6	1.04027E-12	-6.2266E-13	-2.0518E-12	0
CC7	-1.2401E-05	-7.0628E-06	-1.6538E-05	-6.0269E-06
CC8	0	0	8.20869E-13	0
CC9	-3.758E-14	6.06235E-14	1.97598E-14	3.89425E-14
CC10	0.030056	0.023121	0.0265	0.01704
CC11	0	0	-0.271026	-0.244274
CC12	0.002138	0.001103	0.006368	0.007323
CC13	-12.8674	-13.16522	-18.271	-10.1285

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**TABLE C6.8.3 Heating Coefficients for the Exterior Wall Equation**

Variable	Orientation of Surface			
	North	East	South	West
HU1	0.006203	0.007691	0.006044	0.006672
HU2	-1.3587E-12	-5.7162E-13	-2.69E-13	-4.3566E-13
HM1	0.531005	0.545732	0.837901	0.616936
HM2	0.000152	0.000107	0.000208	0.00015
HM3	-5.3183E-07	-1.0619E-07	-6.8253E-07	-2.6457E-07
HM4	-7.7381E-07	-1.4787E-06	2.11938E-06	-4.5783E-07
HM5	-0.000712	-0.000484	-0.001042	-0.000625
HM6	3.34859E-13	4.95762E-14	7.7019E-14	7.37105E-14
HM7	2.39071E-07	2.75045E-07	-3.8989E-07	0
HUO1	0.004943	0.008683	0.009028	0.008566
HUO2	0.013686	0.011055	0.010156	0.01146
HUO3	-1.1018E-05	-8.6896E-06	-7.3232E-06	-8.9867E-06
HXUO1	1.2694E-12	7.85644E-14	-2.8202E-13	3.04904E-14
HXUO2	-7.3058E-13	-8.109E-14	7.45599E-14	-7.4718E-14
HXUO3	1.9709E-07	1.94026E-07	9.87587E-08	1.95776E-07
HG1	-0.001051	-0.000983	-0.000981	-0.000948
HG2	-0.001063	-0.00093	-0.000815	-0.000975
HG3	2.99013E-06	2.62269E-06	2.4188E-06	2.49976E-06
HG4	7.49049E-07	-1.1106E-06	-2.1669E-06	-8.5605E-07
HG5	0.000109	0.000093431	9.75523E-05	8.62389E-05
HG6	-5.5591E-07	-3.158E-07	-2.61E-07	-2.9133E-07
HS1	-2.1825E-05	-2.0922E-05	-2.1089E-05	-2.0205E-05
HS2	3.39179E-12	1.905E-12	1.48388E-12	2.18215E-12
HS3	-6.5325E-06	-2.2341E-05	-1.8473E-05	-2.4049E-05
HS4	2.23087E-05	2.41331E-05	2.45412E-05	2.30538E-05
HC1	-0.106468	-5.19297	-3.66743	-5.29681
HC2	0.00729	0.007684	0.007175	0.007672
HC3	-2.976E-07	-3.0784E-07	-2.6419E-07	-3.0713E-07
HC4	2.01569E-06	6.3035E-06	3.32112E-06	6.43491E-06
HC5	1.29061E-05	4.77552E-06	3.25089E-06	4.83233E-06
HC6	-1.2859E-05	-6.1854E-06	-4.6309E-06	-6.251E-06
HC7	2.75861E-12	8.20051E-13	4.38148E-13	8.09106E-13

**TABLE C6.9 Heating and Cooling Coefficients for Skylights**

Coefficient	Nonresidential	Residential
C <sub>2</sub>	1.09E-02	1.64E-02
H <sub>2</sub>	2.12E-04	2.91E-04
H <sub>3</sub>	-1.68E-04	-2.96E-04

**C6.10.1 U-Factor for Below-Grade Walls.** The effective *U-factor* of below-grade walls shall be calculated using Equation C-20. R<sub>soil</sub> shall be selected from **Table C6.10.1** based on the average depth of the bottom of the wall below the surface of the ground.

$$U\text{-factor} = 1 / ((1/C\text{-factor}) + 0.85 + R_{\text{soil}}) \quad (\text{C-20})$$

where

R<sub>soil</sub> = effective R-value of the soil from Table C6.10.1

**C6.10.2 Adjustment for Other Protected Elements of the Exterior Envelope.** The adjusted *U-factor* for exterior envelope surfaces, which are protected from outdoor conditions by crawlspaces, attics, or parking garages with natural or mechanical ventilation, shall be adjusted using Equation C-21 before calculating HEAT and COOL.

$$U_{\text{adj}} = 1 / ((1 / U\text{-factor}) + 2) \quad (\text{C-21})$$

**C6.10.3 Calculation of COOL and HEAT.** COOL and HEAT shall be calculated for each surface using Equations C-22 and C-23 and coefficients from **Table C6.10.3**, which depend on surface classification and *space-conditioning category*.

$$\text{COOL} = \text{Size} \times \text{Factor} \times 0.08 \times (\text{Ccoef1} \times \text{CDD50} + \text{Ccoef2}) \quad (\text{C-22})$$

$$\text{HEAT} = \text{Size} \times \text{Hcoef} \times \text{Factor} \times \text{HDD65} \times 0.66 \quad (\text{C-23})$$

where

Size = area of surface or length of exposed *slab-on-grade floor* perimeter in the building

Ccoef1, Ccoef2 = coefficients, from Table C6.10.3

Hcoef = coefficient from Table C6.10.3

Factor = *U-factor* except U<sub>adj</sub> calculated using Equation C-21 for protected surfaces and for *slab-on-grade floors*, perimeter *F-factor*

**TABLE C6.10.1 Effective R-Value of Soil for Below-Grade Walls**

Depth	R <sub>soil</sub> (h·ft <sup>2</sup> ·°F/Btu)
1 ft	0.86
2 ft	1.6
3 ft	2.2
4 ft	2.9
5 ft	3.4
6 ft	4.0
7 ft	4.5
8 ft	5.1
9 ft	5.6
10 ft	6.1

**TABLE C6.10.3 Heating and Cooling Coefficients for Other Exterior and Semi-Exterior Surfaces**

Building Envelope Classification	Exterior						Semi-Exterior		
Space-Conditioning Type	Nonresidential			Residential			All		
Surface Type	Ccoef1	Ccoef2	HCoef	Ccoef1	Ccoef2	HCoef	Ccoef1	Ccoef2	HCoef
Roof	0.001153	5.56	2.28E-04	0.001656	9.44	3.37E-04	0	0	8.08E-05
Wall, Above-Grade, and Opaque Doors	6.04E-04	0	2.28E-04	1.18E-03	0	3.37E-04	0	0	7.56E-05
Wall, Below-Grade	2.58E-04	0	2.29E-04	6.80E-04	0	3.35E-04	NA	0	7.85E-05
Mass Floor	6.91E-04	0	2.39E-04	1.01E-03	0	3.60E-04	0	0	7.14E-05
Other Floor	7.09E-04	0	2.43E-04	9.54E-04	0	3.66E-04	0	0	7.14E-05
Slab-on-Grade Floor	0	0	2.28E-04	0	0	3.37E-04	0	0	6.80E-05
Vertical Fenestration	NA	0	NA	NA	0	NA	0	0	7.56E-05
Skylights	NA	0	NA	NA	0	NA	0	0	8.08E-05

(This is a normative appendix and is part of this standard.)

## NORMATIVE APPENDIX D CLIMATIC DATA

This normative appendix contains the climatic data necessary to determine building envelope and mechanical requirements for various U.S., Canadian, and international locations. (See 5.1.3 for additional information regarding the selection of climatic data.) Table numbers corresponding to the envelope criteria tables in Normative Appendix B are also included. The following definition applies: N.A. = Not Available.

**TABLE D-1 U.S. and U.S. Territory Climatic Data**

State	Heating Design Temperature					Cooling Design Temperature			No. Hrs. 8 a.m.-4 p.m.			
	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	Dry-Bulb		Wet-Bulb	1.0%	55<Tdb<69
Alabama (AL)												
	Alexander City	32.95	N	85.93	W	640	2,910	5,102	N.A.	N.A.	N.A.	N.A.
	Anniston FAA AP	33.58	N	85.85	W	611	2,854	5,217	19	93	76	N.A.
	Auburn Agronomy Farm	32.60	N	85.50	W	652	2,612	5,428	N.A.	N.A.	N.A.	N.A.
	Birmingham FAA AP	33.57	N	86.75	W	625	2,918	5,206	18	92	75	760
	Dothan	31.32	N	85.45	W	400	1,703	6,659	28	93	76	N.A.
	Gadsden Steam Plant	34.03	N	86.00	W	565	3,317	4,805	N.A.	N.A.	N.A.	N.A.
	Huntsville WSO AP	34.65	N	86.77	W	624	3,323	4,855	15	92	74	N.A.
	Mobile WSO AP	30.68	N	88.25	W	211	1,702	6,761	26	92	76	774
	Montgomery WSO AP	32.30	N	86.40	W	221	2,224	5,990	24	93	76	734
	Selma	32.42	N	87.00	W	147	2,249	6,080	N.A.	N.A.	N.A.	N.A.
	Talladega	33.43	N	86.08	W	555	2,790	5,097	N.A.	N.A.	N.A.	N.A.
	Tuscaloosa FAA AP	33.23	N	87.62	W	169	2,661	5,624	20	94	77	N.A.
Alaska (AK)												
	Anchorage WSCMO AP	61.17	N	150.02	W	114	10,570	688	-14	68	57	521
	Barrow WSO AP	71.30	N	156.78	W	31	20,226	0	-41	52	49	N.A.
	Fairbanks WSFO AP	64.82	N	147.87	W	436	13,940	1,040	-47	77	59	682
	Juneau AP	58.37	N	134.58	W	12	8,897	559	4	69	58	540
	Kodiak WSO AP	57.75	N	152.50	W	111	8,817	451	7	65	56	384
	Nome WSO AP	64.50	N	165.43	W	13	14,129	274	-31	65	55	210
Arizona (AZ)												
	Douglas FAA AP	31.47	N	109.60	W	4,098	2,767	4,786	N.A.	N.A.	N.A.	N.A.
	Flagstaff WSO AP	35.13	N	111.67	W	7,006	7,131	1,661	1	83	55	N.A.
	Kingman	35.20	N	114.02	W	3,539	3,212	5,040	22	97	63	N.A.
	Nogales	31.42	N	110.95	W	3,560	2,928	4,554	N.A.	N.A.	N.A.	N.A.
	Phoenix WSFO AP	33.43	N	112.02	W	1,110	1,350	8,425	34	108	70	746



TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature	Cooling Design Temperature		
								Dry-Bulb	Wet-Bulb	No. Hrs. 8 a.m.–4 p.m.
							99.6%	1.0%	1.0%	55<Tdb<69
<b>(Arizona cont.)</b>										
	Prescott	34.57	N 112.43	W 5,205	4,995	2,875	15	91	60	725
	Tucson WSO AP	32.13	N 110.93	W 2,584	1,678	6,921	31	102	65	716
	Winslow WSO AP	35.02	N 110.73	W 4,890	4,776	3,681	10	93	60	634
	Yuma WSO AP	32.67	N 114.60	W 206	927	8,897	40	109	72	697
<b>Arkansas (AR)</b>										
	Blytheville AFB	35.97	N 89.95	W 256	3,656	5,133	12	95	77	N.A.
	Camden	33.60	N 92.82	W 116	2,953	5,309	N.A.	N.A.	N.A.	N.A.
	Fayetteville	36.00	N 94.17	W 1,250	4,040	4,452	6	93	75	N.A.
	Ft Smith WSO AP	35.33	N 94.37	W 449	3,478	5,078	13	96	76	547
	Hot Springs	34.52	N 93.05	W 680	3,181	5,243	N.A.	N.A.	N.A.	N.A.
	Jonesboro	35.88	N 90.70	W 390	3,504	5,118	N.A.	N.A.	N.A.	N.A.
	Little Rock FAA AP	34.73	N 92.23	W 257	3,155	5,299	16	95	77	626
	Pine Bluff	34.22	N 92.02	W 215	3,016	5,467	N.A.	N.A.	N.A.	N.A.
	Texarkana FAA AP	33.45	N 94.00	W 361	2,295	6,152	20	95	77	N.A.
<b>California (CA)</b>										
	Bakersfield WSO AP	35.42	N 119.05	W 495	2,182	6,049	32	101	69	848
	Blythe FAA Airport	33.62	N 114.72	W 390	1,144	8,789	N.A.	N.A.	N.A.	N.A.
	Burbank Hollywood	34.20	N 118.37	W 774	1,204	5,849	39	95	69	N.A.
	Chico University Farm	39.70	N 121.82	W 185	2,953	4,454	N.A.	N.A.	N.A.	N.A.
	Crescent City	41.77	N 124.20	W 40	4,397	1,628	N.A.	N.A.	N.A.	N.A.
	El Centro	32.77	N 115.57	W -30	1,156	8,132	N.A.	N.A.	N.A.	N.A.
	Eureka WSO City	40.80	N 124.17	W 60	4,496	1,529	N.A.	N.A.	N.A.	N.A.
	Fairfield/Travis AFB	38.27	N 121.93	W 62	2,556	4,223	31	94	67	N.A.
	Fresno WSO AP	36.77	N 119.72	W 328	2,556	5,350	30	101	70	785
	Laguna Beach	33.55	N 117.78	W 35	2,157	3,881	N.A.	N.A.	N.A.	N.A.
	Livermore	37.67	N 121.77	W 480	2,909	3,810	N.A.	N.A.	N.A.	N.A.
	Lompoc	34.65	N 120.45	W 95	2,651	3,240	N.A.	N.A.	N.A.	N.A.
	Long Beach WSO AP	33.82	N 118.15	W 34	1,430	5,281	40	88	67	1502
	Los Angeles WSO AP	33.93	N 118.38	W 100	1,458	4,777	43	81	64	1849
	Merced/Castle AFB	37.37	N 120.57	W 187	2,687	4,694	30	97	69	N.A.
	Monterey	36.60	N 121.90	W 385	3,125	2,574	N.A.	N.A.	N.A.	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	Cooling Design Temperature					Heating Design Temperature	Cooling Design Temperature		No. Hrs. 8 a.m.-4 p.m.
	Dry-Bulb	Wet-Bulb	1.0%	55<Tdb<69					
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%	55<Tdb<69
(California cont.)									
Napa State Hospital	38.28	N 122.27	W 60	2,844	3,463	N.A.	N.A.	N.A.	N.A.
Needles FAA Airport	34.77	N 114.62	W 914	1,309	8,645	N.A.	N.A.	N.A.	N.A.
Oakland/Intl	37.73	N 122.20	W 7	2,644	3,126	N.A.	N.A.	N.A.	1905
Oceanside Marina	33.22	N 117.40	W 10	2,010	4,069	N.A.	N.A.	N.A.	N.A.
Ontario/Intl	34.05	N 117.62	W 961	1,488	5,823	35	98	70	N.A.
Oxnard	34.20	N 119.18	W 49	1,992	3,980	39	79	64	N.A.
Palm Springs	33.83	N 116.50	W 425	985	8,555	N.A.	N.A.	N.A.	N.A.
Palmdale	34.58	N 118.10	W 2,596	2,948	4,863	N.A.	N.A.	N.A.	N.A.
Pasadena	34.15	N 118.15	W 864	1,453	5,476	N.A.	N.A.	N.A.	N.A.
Petaluma Fire Stn 3	38.23	N 122.63	W 27	3,050	3,188	N.A.	N.A.	N.A.	N.A.
Pomona Cal Poly	34.07	N 117.82	W 740	1,713	5,145	N.A.	N.A.	N.A.	N.A.
Redding WSO	40.50	N 122.30	W 502	2,855	4,964	N.A.	N.A.	N.A.	N.A.
Redlands	34.05	N 117.18	W 1,318	1,875	5,435	N.A.	N.A.	N.A.	N.A.
Richmond	37.93	N 122.35	W 55	2,574	3,285	N.A.	N.A.	N.A.	N.A.
Riverside/March AFB	33.90	N 117.25	W 1,535	1,861	5,295	34	98	68	N.A.
Sacramento FAA AP	38.52	N 121.50	W 18	2,749	4,474	30	97	68	990
Salinas FAA AP	36.67	N 121.60	W 69	2,964	2,951	33	78	62	N.A.
San Bernardino/Norton	34.10	N 117.23	W 1,155	1,821	5,450	34	101	70	N.A.
San Diego WSO AP	32.73	N 117.17	W 13	1,256	5,223	44	81	67	1911
San Francisco WSO AP	37.62	N 122.38	W 8	3,016	2,883	37	78	62	1796
San Jose	37.35	N 121.90	W 67	2,387	3,935	35	89	66	N.A.
San Luis Obispo Poly	35.30	N 120.67	W 315	2,498	3,492	N.A.	N.A.	N.A.	N.A.
Santa Ana Fire Station	33.75	N 117.87	W 135	1,238	5,430	N.A.	N.A.	N.A.	N.A.
Santa Barbara FAA AP	34.43	N 119.83	W 9	2,438	3,449	34	80	64	N.A.
Santa Cruz	36.98	N 122.02	W 130	2,969	2,913	N.A.	N.A.	N.A.	N.A.
Santa Maria WSO AP	34.90	N 120.45	W 254	2,984	2,918	32	82	62	2016
Santa Monica Pier	34.00	N 118.50	W 14	1,819	4,145	N.A.	N.A.	N.A.	N.A.
Santa Paula	34.32	N 119.15	W 237	2,039	4,114	N.A.	N.A.	N.A.	N.A.
Santa Rosa	38.45	N 122.70	W 167	2,883	3,432	N.A.	N.A.	N.A.	N.A.
Stockton WSO AP	37.90	N 121.25	W 22	2,707	4,755	30	97	68	N.A.
Ukiah	39.15	N 123.20	W 623	2,954	3,868	N.A.	N.A.	N.A.	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State		Cooling Design Temperature									
		Heating Design Temperature					No. Hrs.				
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	Dry-Bulb	Wet-Bulb	8 a.m.-4 p.m.	55<Tdb<69	
(California cont.)											
Visalia	36.33	N 119.30	W 325	2,511	5,186	N.A.	N.A.	N.A.	N.A.	N.A.	
Yreka	41.72	N 122.63	W 2,625	5,386	2,611	N.A.	N.A.	N.A.	N.A.	N.A.	
Colorado (CO)											
Alamosa WSO AP	37.45	N 105.87	W 7,536	8,749	1,374	-17	82	55	N.A.	N.A.	
Boulder	40.03	N 105.28	W 5,420	5,554	2,820	N.A.	N.A.	N.A.	N.A.	N.A.	
Colorado Sprgs WSO AP	38.82	N 104.72	W 6,090	6,415	2,312	-2	87	58	725	725	
Denver WSFO AP	39.77	N 104.87	W 5,286	6,020	2,732	-3	90	59	739	739	
Durango	37.28	N 107.88	W 6,600	6,911	1,942	N.A.	N.A.	N.A.	N.A.	N.A.	
Ft Collins	40.58	N 105.08	W 5,004	6,368	2,411	N.A.	N.A.	N.A.	N.A.	N.A.	
Grand Junction WSO AP	39.10	N 108.55	W 4,849	5,548	3,632	2	94	60	518	518	
Greeley UNC	40.42	N 104.70	W 4,715	6,306	2,698	N.A.	N.A.	N.A.	N.A.	N.A.	
La Junta FAA AP	38.05	N 103.52	W 4,190	5,265	3,795	N.A.	N.A.	N.A.	N.A.	N.A.	
Pueblo WSO AP	38.28	N 104.52	W 4,640	5,413	3,358	-1	94	62	720	720	
Sterling	40.62	N 103.22	W 3,938	6,541	2,809	N.A.	N.A.	N.A.	N.A.	N.A.	
Trinidad FAA AP	37.25	N 104.33	W 5,746	5,483	2,976	-2	90	60	N.A.	N.A.	
Connecticut (CT)											
Bridgeport WSO AP	41.17	N 73.13	W 10	5,537	2,997	8	84	72	N.A.	N.A.	
Hartford-Brainard Fld	41.73	N 72.65	W 15	6,155	2,768	2	88	72	598	598	
Norwalk Gas Plant	41.12	N 73.42	W 37	5,865	2,768	N.A.	N.A.	N.A.	N.A.	N.A.	
Norwich Pub Util Plt	41.53	N 72.07	W 20	5,869	2,687	N.A.	N.A.	N.A.	N.A.	N.A.	
Delaware (DE)											
Dover	39.15	N 75.52	W 30	4,337	3,894	14	89	75	N.A.	N.A.	
Wilmington WSO AP	39.67	N 75.60	W 79	4,937	3,557	10	89	74	617	617	
Florida (FL)											
Belle Glade Exp Stn	26.67	N 80.63	W 16	451	8,285	N.A.	N.A.	N.A.	N.A.	N.A.	
Daytona Beach WSO AP	29.18	N 81.05	W 29	909	7,567	34	90	77	641	641	
Ft Lauderdale	26.07	N 80.15	W 10	171	9,735	46	90	78	N.A.	N.A.	
Ft Myers FAA AP	26.58	N 81.87	W 15	418	8,924	42	93	77	N.A.	N.A.	
Ft Pierce	27.47	N 80.35	W 25	490	8,448	N.A.	N.A.	N.A.	N.A.	N.A.	
Gainesville Mun AP	29.68	N 82.27	W 138	1,267	7,009	30	92	77	N.A.	N.A.	
Jacksonville WSO AP	30.50	N 81.70	W 26	1,434	6,847	29	93	77	674	674	

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State		Heating Design Temperature			Cooling Design Temperature			No. Hrs.			
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	Dry-Bulb	Wet-Bulb	8 a.m.-4 p.m.		
							1.0%	1.0%	55<Tdb<69		
(Florida cont.)											
Key West WSO AP	24.55	N	81.75	W	4	100	10,174	55	89	79	N.A.
Lakeland	28.02	N	81.92	W	145	588	8,472	N.A.	N.A.	N.A.	N.A.
Miami WSCMO AP	25.80	N	80.30	W	12	200	9,474	46	90	77	259
Ocala	29.20	N	82.08	W	75	930	7,696	N.A.	N.A.	N.A.	N.A.
Orlando WSO Mc Coy	28.43	N	81.33	W	91	686	8,227	37	93	76	571
Panama City/Tyndall	30.07	N	85.58	W	16	1,216	7,023	33	89	79	N.A.
Pensacola FAA AP	30.47	N	87.20	W	112	1,617	6,816	28	92	78	N.A.
St Augustine WFOY	29.90	N	81.32	W	8	1,040	7,261	N.A.	N.A.	N.A.	N.A.
St Petersburg	27.77	N	82.63	W	8	603	8,537	43	93	79	N.A.
Tallahassee WSO AP	30.38	N	84.37	W	55	1,705	6,639	25	93	76	747
Tampa WSCMO AP	27.97	N	82.53	W	19	725	8,239	36	91	77	592
West Palm Beach WSO AP	26.68	N	80.12	W	18	323	9,049	43	90	78	308
Georgia (GA)											
Albany	31.53	N	84.13	W	180	2,205	6,020	27	95	76	N.A.
Americus	32.05	N	84.25	W	490	2,430	5,634	N.A.	N.A.	N.A.	N.A.
Athens WSO AP	33.95	N	83.32	W	802	2,893	5,079	20	92	75	N.A.
Atlanta WSO AP	33.65	N	84.43	W	1,010	2,991	5,038	18	91	74	749
Augusta WSO AP	33.37	N	81.97	W	148	2,565	5,519	21	94	76	774
Brunswick	31.17	N	81.50	W	13	1,578	6,729	30	91	79	N.A.
Columbus WSO AP	32.52	N	84.95	W	449	2,261	6,052	23	93	75	N.A.
Dalton	34.75	N	84.95	W	700	3,552	4,546	N.A.	N.A.	N.A.	N.A.
Dublin	32.50	N	82.90	W	215	2,476	5,664	N.A.	N.A.	N.A.	N.A.
Gainesville	34.30	N	83.85	W	1,170	3,500	4,310	N.A.	N.A.	N.A.	N.A.
La Grange	33.05	N	85.02	W	715	2,667	5,216	N.A.	N.A.	N.A.	N.A.
Macon WSO AP	32.70	N	83.65	W	354	2,334	5,826	23	94	75	787
Savannah WSO AP	32.13	N	81.20	W	46	1,847	6,389	26	93	76	N.A.
Valdosta/Moody AFB	30.97	N	83.20	W	233	1,552	7,216	30	94	77	N.A.
Waycross	31.25	N	82.32	W	145	2,025	6,172	29	94	76	N.A.
Hawaii (HI)											
Hilo (Hawaii)	19.72	N	155.07	W	36	0	8,759	61	84	74	153
Honolulu WSFO AP (Oahu)	21.33	N	157.92	W	7	0	9,949	61	88	73	69
Kaneohe Mauka (Oahu)	21.42	N	157.82	W	190	0	8,955	67	85	74	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	City	Cooling Design Temperature											
		Heating Design Temperature					Dry-Bulb			Wet-Bulb			No. Hrs.
		Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%	1.0%	55<Tdb<69		
Idaho (ID)													
	Boise WSO AP	43.57	N	116.22	W	2,838	5,861	2,807	2	94	63	647	
	Burley FAA AP	42.53	N	113.77	W	4,157	6,745	2,174	-5	90	62	N.A.	
	Coeur D'Alene R S	47.68	N	116.75	W	2,158	6,239	2,216	N.A.	N.A.	N.A.	N.A.	
	Idaho Falls FAA AP	43.52	N	112.07	W	4,730	8,063	1,853	-12	89	60	N.A.	
	Lewiston WSO AP	46.38	N	117.02	W	1,436	5,270	2,964	6	93	64	748	
	Moscow-Univ of Idaho	46.73	N	116.97	W	2,660	6,782	1,789	N.A.	N.A.	N.A.	N.A.	
	Mountain Home	43.13	N	115.70	W	3,190	6,176	2,725	0	96	62	N.A.	
	Pocatello WSO AP	42.92	N	112.60	W	4,454	7,180	2,142	-7	90	60	546	
	Twin Falls WSO	42.55	N	114.35	W	3,960	6,769	1,995	N.A.	N.A.	N.A.	N.A.	
Illinois (IL)													
	Aurora	41.75	N	88.35	W	644	6,699	2,880	N.A.	N.A.	N.A.	N.A.	
	Belleville/Scott AFB	38.55	N	89.85	W	453	4,878	4,146	3	93	77	N.A.	
	Carbondale Sewage Plt	37.73	N	89.17	W	390	4,865	3,934	N.A.	N.A.	N.A.	N.A.	
	Champaign	40.03	N	88.28	W	755	5,689	3,697	N.A.	N.A.	N.A.	N.A.	
	Chicago Midway AP	41.73	N	87.77	W	620	6,176	3,251	N.A.	N.A.	N.A.	N.A.	
	Chicago O'Hare WSO AP	41.98	N	87.90	W	674	6,536	2,941	-6	88	73	613	
	Chicago University	41.78	N	87.60	W	594	5,753	3,391	N.A.	N.A.	N.A.	N.A.	
	Danville	40.13	N	87.65	W	558	5,610	3,471	-4	90	77	N.A.	
	Decatur	39.83	N	89.02	W	620	5,522	3,652	-2	91	75	N.A.	
	Dixon	41.83	N	89.52	W	700	6,873	2,965	N.A.	N.A.	N.A.	N.A.	
	Freeport Waste Wtr Plt	42.30	N	89.60	W	750	7,169	2,739	N.A.	N.A.	N.A.	N.A.	
	Galesburg	40.95	N	90.38	W	771	6,314	3,249	N.A.	N.A.	N.A.	N.A.	
	Joliet Brandon Rd Dam	41.50	N	88.10	W	543	6,463	3,025	N.A.	N.A.	N.A.	N.A.	
	Moline WSO AP	41.45	N	90.50	W	582	6,474	3,207	-8	90	74	640	
	Mt Vernon	38.35	N	88.87	W	490	5,189	3,818	N.A.	N.A.	N.A.	N.A.	
	Peoria WSO AP	40.67	N	89.68	W	650	6,148	3,339	-6	89	74	N.A.	
	Quincy FAA AP	39.93	N	91.20	W	763	5,763	3,574	-4	91	75	N.A.	
	Rantoul	40.32	N	88.17	W	740	6,183	3,288	N.A.	N.A.	N.A.	N.A.	
	Rockford WSO AP	42.20	N	89.10	W	724	6,969	2,852	-10	88	73	N.A.	
	Springfield WSO AP	39.85	N	89.68	W	594	5,688	3,635	-4	91	75	600	
	Waukegan	42.35	N	87.88	W	700	7,136	2,515	N.A.	N.A.	N.A.	N.A.	

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	Cooling Design Temperature										
	Heating Design Temperature					Cooling Design Temperature					
	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6% Temperature	Dry-Bulb	Wet-Bulb	No. Hrs. 8 a.m.-4 p.m.	
								1.0%	1.0%	55<Tdb<69	
Indiana (IN)											
Anderson Sewage Plant	40.10	N	85.72	W	847	5,916	3,091	N.A.	N.A.	N.A.	
Bloomington Indiana U	39.17	N	86.52	W	825	5,309	3,585	N.A.	N.A.	N.A.	
Columbus	39.20	N	85.92	W	621	5,536	3,353	N.A.	N.A.	N.A.	
Evansville WSO AP	38.05	N	87.53	W	380	4,708	4,074	3	76	611	
Ft Wayne WSO AP	41.00	N	85.20	W	797	6,273	3,077	-4	88	601	
Goshen College	41.57	N	85.83	W	805	6,282	2,941	N.A.	N.A.	N.A.	
Hobart	41.53	N	87.25	W	600	6,043	3,168	N.A.	N.A.	N.A.	
Indianapolis WSFO	39.73	N	86.27	W	792	5,615	3,453	-3	88	74	
Kokomo	40.42	N	86.05	W	855	6,429	2,978	N.A.	N.A.	N.A.	
Lafayette	40.35	N	86.87	W	600	6,228	3,069	-5	90	75	
Marion	40.57	N	85.67	W	790	6,260	2,996	N.A.	N.A.	N.A.	
Muncie Ball State Univ	40.22	N	85.42	W	940	6,027	3,196	N.A.	N.A.	N.A.	
Peru/Grisson AFB	40.65	N	86.15	W	814	5,908	3,439	-3	89	75	
Richmond Wtr Wks	39.88	N	84.88	W	1,015	5,963	3,004	N.A.	N.A.	N.A.	
Shelbyville Sewage Plt	39.52	N	85.78	W	750	5,784	3,291	N.A.	N.A.	N.A.	
South Bend WSO AP	41.70	N	86.32	W	773	6,331	2,920	-2	87	72	
Terre Haute	39.35	N	87.42	W	555	5,581	3,490	-3	90	76	
Valparaiso Waterworks	41.52	N	87.03	W	800	6,267	2,942	N.A.	N.A.	N.A.	
Iowa (IA)											
Ames	42.03	N	93.80	W	1,099	6,776	3,079	N.A.	N.A.	N.A.	
Burlington	40.78	N	91.12	W	597	5,943	3,601	-4	91	76	
Cedar Rapids FAA AP	41.88	N	91.70	W	863	6,924	3,003	-11	89	74	
Clinton	41.80	N	90.27	W	585	6,324	3,291	N.A.	N.A.	N.A.	
Des Moines WSFO AP	41.53	N	93.65	W	938	6,497	3,371	-9	90	74	
Dubuque WSO AP	42.40	N	90.70	W	1,065	7,327	2,672	N.A.	N.A.	N.A.	
Ft Dodge	42.50	N	94.20	W	1,115	7,261	2,902	-13	88	73	
Iowa City	41.65	N	91.53	W	640	6,227	3,434	N.A.	N.A.	N.A.	
Keokuk Lock and Dam	40.40	N	91.37	W	527	5,969	3,467	N.A.	N.A.	N.A.	
Marshalltown	42.07	N	92.93	W	870	7,170	2,813	N.A.	N.A.	N.A.	
Mason City FAA AP	43.17	N	93.33	W	1,194	7,837	2,653	-15	88	73	
										610	

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature	Cooling Design Temperature		
								Dry-Bulb	Wet-Bulb	No. Hrs. 8 a.m.-4 p.m.
							99.6%	1.0%	1.0%	55<Tdb<69
<b>(Iowa cont.)</b>										
	Newton	41.70	N	93.05	W	938	N.A.	N.A.	N.A.	N.A.
	Ottumwa Airport	41.10	N	92.45	W	842	-5	92	75	N.A.
	Sioux City WSO AP	42.40	N	96.38	W	1,103	-11	90	74	602
	Waterloo WSO AP	42.55	N	92.40	W	868	-14	88	73	N.A.
<b>Kansas (KS)</b>										
	Atchison	39.57	N	95.12	W	945	N.A.	N.A.	N.A.	N.A.
	Chanute FAA Airport	37.67	N	95.48	W	978	N.A.	N.A.	N.A.	N.A.
	Dodge City WSO AP	37.77	N	99.97	W	2,582	0	97	70	637
	El Dorado	37.82	N	96.83	W	1,340	N.A.	N.A.	N.A.	N.A.
	Garden City FAA AP	37.93	N	100.72	W	2,882	-3	97	69	N.A.
	Goodland WSO AP	39.37	N	101.70	W	3,650	-3	94	66	625
	Great Bend	38.35	N	98.77	W	1,850	N.A.	N.A.	N.A.	N.A.
	Hutchinson	37.93	N	98.03	W	1,570	N.A.	N.A.	N.A.	N.A.
	Liberal	37.05	N	100.92	W	2,834	N.A.	N.A.	N.A.	N.A.
	Manhattan	39.20	N	96.58	W	1,065	N.A.	N.A.	N.A.	N.A.
	Parsons	37.37	N	95.28	W	910	N.A.	N.A.	N.A.	N.A.
	Russell FAA AP	38.87	N	98.82	W	1,864	-4	96	72	N.A.
	Salina FAA AP	38.80	N	97.63	W	1,257	-3	97	73	N.A.
	Topeka WSFO AP	39.07	N	95.63	W	877	-2	93	75	608
	Wichita WSO AP	37.65	N	97.43	W	1,321	2	97	73	N.A.
<b>Kentucky (KY)</b>										
	Ashland	38.45	N	82.62	W	555	N.A.	N.A.	N.A.	N.A.
	Bowling Green FAA AP	36.97	N	86.42	W	547	7	91	75	N.A.
	Covington WSO AP	39.07	N	84.67	W	869	1	89	73	661
	Hopkinsville/Campbell	36.67	N	87.50	W	571	N.A.	N.A.	N.A.	N.A.
	Lexington WSO AP	38.03	N	84.60	W	966	4	89	73	618
	Louisville WSFO AP	38.18	N	85.73	W	477	6	90	75	636
	Madisonville	37.35	N	87.52	W	440	N.A.	N.A.	N.A.	N.A.
	Owensboro	37.77	N	87.15	W	405	N.A.	N.A.	N.A.	N.A.
	Paducah WSO	37.07	N	88.77	W	410	7	93	76	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature		Cooling Design Temperature		
							Temperature		Dry-Bulb	Wet-Bulb	No. Hrs. 8 a.m.-4 p.m.
							99.6%		1.0%	1.0%	55<Tdb<69
<b>Louisiana (LA)</b>											
	Alexandria	31.32	N 92.47	W 87	2,003	6,407	27		94	78	N.A.
	Baton Rouge WSO AP	30.53	N 91.13	W 64	1,669	6,845	27		92	77	677
	Bogalusa	30.78	N 89.87	W 100	1,911	6,457	N.A.		N.A.	N.A.	N.A.
	Houma	29.58	N 90.73	W 15	1,429	6,974	N.A.		N.A.	N.A.	N.A.
	Lafayette FAA AP	30.20	N 91.98	W 38	1,587	6,877	28		93	78	N.A.
	Lake Charles WSO AP	30.12	N 93.22	W 9	1,616	6,813	29		91	78	668
	Minden	32.58	N 93.28	W 185	2,533	5,823	N.A.		N.A.	N.A.	N.A.
	Monroe FAA AP	32.52	N 92.05	W 78	2,407	6,039	22		94	78	N.A.
	Natchitoches	31.77	N 93.08	W 130	2,152	6,273	N.A.		N.A.	N.A.	N.A.
	New Orleans WSCMO AP	29.98	N 90.25	W 4	1,513	6,910	30		92	78	789
	Shreveport WSO AP	32.47	N 93.82	W 254	2,264	6,166	22		95	77	697
<b>Maine (ME)</b>											
	Augusta FAA AP	44.32	N 69.80	W 350	7,550	2,093	-3		84	69	N.A.
	Bangor FAA AP	44.80	N 68.82	W 163	7,930	1,916	-7		84	69	669
	Caribou WSO AP	46.87	N 68.02	W 624	9,651	1,470	-14		82	67	692
	Lewiston	44.10	N 70.22	W 180	7,244	2,261	N.A.		N.A.	N.A.	N.A.
	Millinocket	45.65	N 68.70	W 360	8,902	1,708	N.A.		N.A.	N.A.	N.A.
	Portland WSMO AP	43.65	N 70.32	W 57	7,378	1,943	-3		83	70	665
	Waterville Pmp Stn	44.55	N 69.65	W 90	7,382	2,180	N.A.		N.A.	N.A.	N.A.
<b>Maryland (MD)</b>											
	Baltimore WSO AP	39.18	N 76.67	W 196	4,707	3,709	11		91	74	N.A.
	Cumberland	39.63	N 78.75	W 730	5,036	3,432	N.A.		N.A.	N.A.	N.A.
	Hagerstown	39.65	N 77.73	W 660	5,293	3,341	N.A.		N.A.	N.A.	N.A.
	Salisbury	38.37	N 75.58	W 10	4,027	4,002	13		90	76	N.A.
<b>Massachusetts (MA)</b>											
	Boston WSO AP	42.37	N 71.03	W 20	5,641	2,897	7		87	71	713
	Clinton	42.40	N 71.68	W 398	6,698	2,457	N.A.		N.A.	N.A.	N.A.
	Framingham	42.28	N 71.42	W 170	6,262	2,695	N.A.		N.A.	N.A.	N.A.
	Lawrence	42.70	N 71.17	W 57	6,322	2,648	N.A.		N.A.	N.A.	N.A.
	Lowell	42.65	N 71.37	W 110	6,339	2,715	N.A.		N.A.	N.A.	N.A.
	New Bedford	41.63	N 70.93	W 120	5,426	2,973	N.A.		N.A.	N.A.	N.A.



TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	Cooling Design Temperature											No. Hrs. 8 a.m.-4 p.m.
	Heating Design Temperature					Dry-Bulb					Wet-Bulb	
	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%			
(Massachusetts cont.)												
	Springfield	42.10	N	72.58	W	190	5,754	3,037	N.A.	N.A.	N.A.	N.A.
	Taunton	41.90	N	71.07	W	20	6,346	2,461	N.A.	N.A.	N.A.	N.A.
	Worcester WSO AP	42.27	N	71.87	W	986	6,979	2,203	0	83	69	N.A.
Michigan (MI)												
	Adrian	41.92	N	84.02	W	760	6,737	2,586	N.A.	N.A.	N.A.	N.A.
	Alpena WSO AP	45.07	N	83.57	W	689	8,284	1,779	-7	84	69	695
	Battle Creek/Kellogg	42.30	N	85.23	W	942	6,416	3,399	N.A.	N.A.	N.A.	N.A.
	Benton Harbor AP	42.13	N	86.43	W	649	6,303	2,829	N.A.	N.A.	N.A.	N.A.
	Detroit City Airport	42.42	N	83.02	W	625	6,167	3,046	0	87	72	N.A.
	Escanaba	45.75	N	87.03	W	600	8,593	1,664	N.A.	N.A.	N.A.	N.A.
	Flint WSO AP	42.97	N	83.75	W	766	6,979	2,451	-2	86	71	634
	Grand Rapids WSO AP	42.88	N	85.52	W	707	6,973	2,537	0	86	71	622
	Holland	42.80	N	86.12	W	610	6,747	2,536	N.A.	N.A.	N.A.	N.A.
	Jackson FAA AP	42.27	N	84.45	W	1,005	6,791	2,707	-3	86	73	N.A.
	Kalamazoo State Hosp	42.28	N	85.60	W	945	6,230	3,015	N.A.	N.A.	N.A.	N.A.
	Lansing WSO AP	42.77	N	84.60	W	841	7,101	2,449	-3	86	72	N.A.
	Marquette	46.55	N	87.38	W	665	8,356	1,730	-13	82	67	N.A.
	Mt Pleasant University	43.58	N	84.77	W	796	7,436	2,319	N.A.	N.A.	N.A.	N.A.
	Muskegon WSO AP	43.17	N	86.23	W	628	6,924	2,361	3	83	70	N.A.
	Pontiac State Hospital	42.65	N	83.30	W	982	6,653	2,770	N.A.	N.A.	N.A.	N.A.
	Port Huron	42.98	N	82.42	W	590	6,898	2,541	N.A.	N.A.	N.A.	N.A.
	Saginaw FAA AP	43.53	N	84.08	W	660	7,139	2,476	0	87	72	N.A.
	Sault Ste Marie WSO	46.47	N	84.37	W	724	9,316	1,421	-12	80	68	733
	Traverse City FAA AP	44.73	N	85.58	W	623	7,749	2,127	-3	86	70	679
	Ypsilanti East Mich U	42.25	N	83.62	W	779	6,466	2,878	N.A.	N.A.	N.A.	N.A.
Minnesota (MN)												
	Albert Lea	43.62	N	93.42	W	1,230	8,146	2,608	N.A.	N.A.	N.A.	N.A.
	Alexandria FAA AP	45.87	N	95.38	W	1,416	8,999	2,316	-20	86	70	N.A.
	Bemidji Airport	47.50	N	94.93	W	1,377	10,200	1,781	N.A.	N.A.	N.A.	N.A.
	Brainerd	46.37	N	94.20	W	1,180	9,437	1,958	-24	85	68	N.A.
	Duluth WSO AP	46.83	N	92.18	W	1,428	9,818	1,536	-21	81	67	650

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	Heating Design Temperature					Cooling Design Temperature			No. Hrs. 8 a.m.-4 p.m.			
	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	Dry-Bulb		Wet-Bulb	1.0%	55<Tdb<69
(Minnesota cont.)												
Minnesota	Faribault	44.30	N	93.27	W	940	8,279	2,498	N.A.	N.A.	N.A.	N.A.
	International Falls WSO AP	48.57	N	93.38	W	1,179	10,487	1,630	-29	83	67	656
	Mankato	44.15	N	94.02	W	836	8,005	2,691	N.A.	N.A.	N.A.	N.A.
	Minneapolis-St Paul WSO AP	44.88	N	93.22	W	834	7,981	2,680	-16	88	71	566
	Rochester WSO AP	43.92	N	92.50	W	1,297	8,250	2,376	-17	85	71	652
	St Cloud WSO AP	45.55	N	94.07	W	1,037	8,928	2,149	-20	88	71	N.A.
	Virginia	47.50	N	92.55	W	1,435	10,024	1,583	N.A.	N.A.	N.A.	N.A.
	Willmar State Hospital	45.13	N	95.02	W	1,128	8,637	2,465	N.A.	N.A.	N.A.	N.A.
	Winona	44.05	N	91.63	W	652	7,694	2,695	N.A.	N.A.	N.A.	N.A.
	Mississippi (MS)											
Mississippi	Biloxi/Keesler AFB	30.42	N	88.92	W	26	1,486	6,946	31	91	78	N.A.
	Clarksdale	34.20	N	90.57	W	173	3,188	5,357	N.A.	N.A.	N.A.	N.A.
	Columbus AFB	33.65	N	88.45	W	220	2,769	5,565	20	94	78	N.A.
	Greenville	33.38	N	91.02	W	132	2,778	5,661	N.A.	N.A.	N.A.	N.A.
	Greenwood FAA AP	33.50	N	90.08	W	155	2,698	5,760	20	94	78	N.A.
	Hattiesburg	31.32	N	89.30	W	161	2,180	6,085	N.A.	N.A.	N.A.	N.A.
	Jackson WSFO AP	32.32	N	90.08	W	330	2,467	5,900	21	93	76	640
	Laurel	31.68	N	89.12	W	225	2,327	5,893	N.A.	N.A.	N.A.	N.A.
	McComb FAA AP	31.23	N	90.47	W	413	2,115	6,025	23	92	76	N.A.
	Meridian WSO AP	32.33	N	88.75	W	294	2,444	5,804	21	94	76	719
	Natchez	31.55	N	91.38	W	195	1,903	6,378	N.A.	N.A.	N.A.	N.A.
	Tupelo WSO AP	34.27	N	88.73	W	361	3,079	5,224	18	94	76	N.A.
	Vicksburg Military Pk	32.35	N	90.85	W	255	2,196	6,059	N.A.	N.A.	N.A.	N.A.
	Missouri (MO)											
	Cape Girardeau FAA AP	37.23	N	89.57	W	337	4,386	4,359	6	94	77	N.A.
	Columbia WSO AP	38.82	N	92.22	W	887	5,212	3,752	-1	92	75	633
	Farmington	37.70	N	90.38	W	935	5,041	3,653	N.A.	N.A.	N.A.	N.A.
	Hannibal	39.72	N	91.37	W	712	5,628	3,685	N.A.	N.A.	N.A.	N.A.
Jefferson City Wtr Plt	38.58	N	92.15	W	670	5,302	3,705	N.A.	N.A.	N.A.	N.A.	
Joplin FAA AP	37.17	N	94.50	W	980	4,303	4,417	3	94	75	N.A.	
Kansas City WSO AP	39.32	N	94.72	W	973	5,393	3,852	-1	93	75	N.A.	

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	Cooling Design Temperature										No. Hrs. 8 a.m.-4 p.m.	
	Heating Design Temperature					Dry-Bulb						
	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%	Wet-Bulb		
(Missouri cont.)												
Missouri	Kirksville Radio KIRX	40.22	N	92.58	W	970	5,867	3,494	N.A.	N.A.	N.A.	
	Mexico	39.18	N	91.88	W	775	5,590	3,664	N.A.	N.A.	N.A.	
	Moberly Radio KWIX	39.40	N	92.43	W	840	5,204	3,948	N.A.	N.A.	N.A.	
	Poplar Bluff R S	36.77	N	90.42	W	380	4,328	4,368	8	92	76	
	Rolla	38.13	N	91.77	W	1,148	4,748	4,186	N.A.	N.A.	N.A.	
	Rolla Univ of MO	37.95	N	91.77	W	1,180	4,959	3,986	N.A.	N.A.	N.A.	
	St Joseph	39.77	N	94.92	W	811	5,590	3,783	N.A.	N.A.	N.A.	
	St Louis WSCMO AP	38.75	N	90.37	W	535	4,758	4,283	2	93	75	
	Montana (MT)											
Montana	Billings WSO AP	45.80	N	108.53	W	3,567	7,164	2,466	-13	90	62	
	Bozeman	45.82	N	110.88	W	5,950	9,908	672	-20	87	60	
	Butte FAA AP	45.95	N	112.50	W	5,540	9,517	1,152	-22	84	56	
	Cut Bank FAA AP	48.60	N	112.37	W	3,838	8,904	1,475	-21	84	59	
	Glasgow WSO AP	48.22	N	106.62	W	2,284	8,745	2,244	-22	90	63	
	Glendive	47.10	N	104.72	W	2,076	8,178	2,619	N.A.	N.A.	N.A.	
	Great Falls WSCMO AP	47.48	N	111.37	W	3,663	7,741	1,993	-19	88	60	
	Havre WSO AP	48.55	N	109.77	W	2,584	8,447	2,132	-25	90	62	
	Helena WSO AP	46.60	N	112.00	W	3,893	8,031	1,922	-18	87	59	
	Kalispell WSO AP	48.30	N	114.27	W	2,965	8,378	1,345	-12	86	61	
	Lewistown FAA AP	47.07	N	109.45	W	4,132	8,479	1,580	-18	86	60	
	Livingston FAA AP	45.70	N	110.45	W	4,653	7,220	1,900	N.A.	N.A.	N.A.	
	Miles City FAA AP	46.43	N	105.87	W	2,628	7,796	2,680	-19	93	65	
	Missoula WSO AP	46.92	N	114.08	W	3,190	7,792	1,679	-9	88	61	
	Nebraska (NE)											
	Nebraska	Chadron FAA AP	42.83	N	03.08	W	3,312	7,020	2,692	N.A.	N.A.	N.A.
		Columbus	41.47	N	97.33	W	1,450	6,543	3,345	N.A.	N.A.	N.A.
		Fremont	41.43	N	96.48	W	1,180	6,140	3,421	N.A.	N.A.	N.A.
		Grand Island WSO AP	40.97	N	98.32	W	1,841	6,421	3,243	-8	93	72
		Hastings	40.58	N	98.35	W	1,925	6,506	3,217	N.A.	N.A.	N.A.
Kearney		40.73	N	99.02	W	2,130	6,548	3,090	N.A.	N.A.	N.A.	
Lincoln WSO AP		40.85	N	96.75	W	1,190	6,278	3,455	-7	94	74	
Mc Cook		40.22	N	100.58	W	2,580	6,115	3,236	N.A.	N.A.	N.A.	

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State		Heating Design Temperature				Cooling Design Temperature				No. Hrs.
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	Dry-Bulb	Wet-Bulb	8 a.m.-4 p.m.	
										55<Tdb<69
(Nebraska cont.)										
Norfolk WSO AP	41.98	N 97.43	W 1,551	6,873	3,072	-11	92	72	N.A.	
North Platte WSO AP	41.13	N 100.68	W 2,775	6,859	2,737	-10	92	69	592	
Omaha (Eppley Field)	41.30	N 95.90	W 980	6,300	3,398	-7	92	75	N.A.	
Scottsbluff WSO AP	41.87	N 103.60	W 3,945	6,729	2,680	-11	92	64	620	
Sidney	41.23	N 103.00	W 4,320	6,966	2,409	-8	92	63	N.A.	
Nevada (NV)										
Carson City	39.15	N 119.77	W 4,651	5,691	2,312	N.A.	N.A.	N.A.	N.A.	
Elko FAA AP	40.83	N 115.78	W 5,075	7,077	2,144	-5	92	59	569	
Ely WSO AP	39.28	N 114.85	W 6,262	7,621	1,717	-6	87	56	683	
Las Vegas WSO AP	36.08	N 115.17	W 2,162	2,407	6,745	27	106	66	719	
Lovelock FAA AP	40.07	N 118.55	W 3,900	5,869	2,886	N.A.	N.A.	N.A.	606	
Reno WSFO AP	39.50	N 119.78	W 4,404	5,674	2,504	8	92	60	752	
Tonopah AP	38.07	N 117.08	W 5,426	5,733	2,840	7	92	57	660	
Winnemucca WSO AP	40.90	N 117.80	W 4,297	6,315	2,379	1	94	60	608	
New Hampshire (NH)										
Berlin	44.45	N 71.18	W 930	8,645	1,718	N.A.	N.A.	N.A.	N.A.	
Concord WSO AP	43.20	N 71.50	W 346	7,554	2,087	-8	87	70	683	
Keene	42.92	N 72.27	W 480	6,948	2,398	N.A.	N.A.	N.A.	N.A.	
Portsmouth/Pease AFB	43.08	N 70.82	W 102	6,572	2,418	4	85	70	N.A.	
New Jersey (NJ)										
Atlantic City WSO AP	39.45	N 74.57	W 138	5,169	3,198	8	88	73	N.A.	
Long Branch Oakhurst	40.27	N 74.00	W 30	5,253	3,057	N.A.	N.A.	N.A.	N.A.	
Newark WSO AP	40.70	N 74.17	W 30	4,888	3,748	10	90	73	644	
New Mexico (NM)										
Alamogordo/Holloman	32.85	N 106.10	W 4,094	3,232	4,726	20	96	63	N.A.	
Albuquerque WSFO AP	35.05	N 106.62	W 5,326	4,425	3,908	13	93	60	703	
Artesia	32.77	N 104.38	W 3,320	3,527	4,583	N.A.	N.A.	N.A.	N.A.	
Carlsbad FAA AP	32.33	N 104.27	W 3,232	2,812	5,512	19	98	66	N.A.	
Clovis/Cannon AFB	34.38	N 103.32	W 4,295	3,983	4,178	10	93	64	N.A.	
Farmington	36.73	N 108.23	W 5,502	5,464	3,307	8	92	60	N.A.	
Gallup FAA AP	35.52	N 108.78	W 6,468	6,244	2,355	-1	87	56	N.A.	
Grants Airport	35.17	N 107.90	W 6,520	5,907	2,481	N.A.	N.A.	N.A.	N.A.	

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature	Cooling Design Temperature			No. Hrs. 8 a.m.-4 p.m.
								Dry-Bulb	Wet-Bulb	1.0%	
							99.6%	1.0%	1.0%		55<Tdb<69
<b>(New Mexico cont.)</b>											
	Hobbs	32.70	N	103.13	W	3,615					
	Raton Filter Plant	36.92	N	104.43	W	6,932	N.A.	N.A.	N.A.	N.A.	N.A.
	Roswell FAA AP	33.30	N	104.53	W	3,669	N.A.	N.A.	N.A.	N.A.	N.A.
	Socorro	34.08	N	106.88	W	4,585	14	96	65	65	677
	Tucumcari	35.20	N	103.68	W	4,086	N.A.	N.A.	N.A.	N.A.	N.A.
							9	95	65	65	710
<b>New York (NY)</b>											
	Albany WSFO AP	42.75	N	73.80	W	275					
	Auburn	42.92	N	76.53	W	770	6,894	86	70	70	605
	Batavia	42.98	N	78.18	W	890	6,782	N.A.	N.A.	N.A.	N.A.
	Binghamton WSO AP	42.22	N	75.98	W	1,600	6,657	N.A.	N.A.	N.A.	N.A.
	Buffalo WSCMO AP	42.93	N	78.73	W	705	7,273	82	69	69	662
	Cortland	42.60	N	76.18	W	1,129	6,747	84	69	69	697
	Elmira/Chemung Co	42.17	N	76.90	W	951	2,225	N.A.	N.A.	N.A.	N.A.
	Geneva Research Farm	42.88	N	77.03	W	718	2,420	87	71	71	N.A.
	Glens Falls FAA AP	43.35	N	73.62	W	321	6,939	N.A.	N.A.	N.A.	N.A.
	Gloversville	43.05	N	74.35	W	812	7,635	85	71	71	N.A.
	Ithaca Cornell Univ	42.45	N	76.45	W	960	7,664	N.A.	N.A.	N.A.	N.A.
	Lockport	43.18	N	78.65	W	520	7,207	N.A.	N.A.	N.A.	N.A.
	Massena FAA AP	44.93	N	74.85	W	214	6,703	N.A.	N.A.	N.A.	N.A.
	N Y Central Pk WSO City	40.78	N	73.97	W	132	8,255	84	71	71	627
	N Y Kennedy WSO AP	40.65	N	73.78	W	16	4,805	N.A.	N.A.	N.A.	N.A.
	N Y La Guardia WSO AP	40.77	N	73.90	W	11	5,027	88	72	72	N.A.
	Oswego East	43.47	N	76.50	W	350	3,342	89	73	73	790
	Plattsburgh AFB	44.65	N	73.47	W	165	2,431	N.A.	N.A.	N.A.	N.A.
	Poughkeepsie FAA AP	41.63	N	73.88	W	155	7,837	83	69	69	N.A.
	Rochester WSO AP	43.12	N	77.67	W	547	2,175	88	72	72	N.A.
	Rome/Griffiss AFB	43.23	N	75.40	W	505	2,663	2	71	71	608
	Schenectady	42.83	N	73.92	W	220	6,734	86	70	70	N.A.
	Syracuse WSO AP	43.12	N	76.12	W	421	7,244	86	70	70	N.A.
	Utica	43.10	N	75.28	W	500	6,881	N.A.	N.A.	N.A.	N.A.
	Watertown	43.97	N	75.87	W	497	7,066	85	71	71	730
							2,354	N.A.	N.A.	N.A.	N.A.
							2,294	83	70	70	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Dataa

State	Cooling Design Temperature										No. Hrs. 8 a.m.-4 p.m.	
	Heating Design Temperature					Dry-Bulb						
	Dry-Bulb	Wet-Bulb	1.0%	55<Tdb<69								
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	Dry-Bulb	Wet-Bulb	1.0%	55<Tdb<69		
North Carolina (NC)												
Asheville WSO AP	35.43	N	82.55	W	2,140		4,308	3,365	11	85	71	915
Charlotte WSO AP	35.22	N	80.93	W	700		3,341	4,704	18	91	74	777
Durham	36.03	N	78.97	W	406		3,867	4,159	N.A.	N.A.	N.A.	N.A.
Elizabeth City FAA AP	36.27	N	76.18	W	10		3,139	4,765	N.A.	N.A.	N.A.	N.A.
Fayetteville/Pope AFB	35.17	N	79.02	W	217		2,917	5,308	22	94	76	N.A.
Goldsboro	35.33	N	77.97	W	109		3,040	5,018	22	94	76	N.A.
Greensboro WSO AP	36.08	N	79.95	W	886		3,865	4,144	15	90	74	718
Greenville	35.62	N	77.38	W	30		3,129	4,824	N.A.	N.A.	N.A.	N.A.
Henderson	36.37	N	78.42	W	480		4,038	4,002	N.A.	N.A.	N.A.	N.A.
Hickory FAA AP	35.73	N	81.38	W	1,143		3,728	4,199	18	91	72	N.A.
Jacksonville/New River	34.70	N	77.43	W	26		2,456	5,678	23	92	78	N.A.
Lumberton	34.70	N	79.07	W	130		3,212	4,723	N.A.	N.A.	N.A.	N.A.
New Bern FAA AP	35.07	N	77.05	W	18		2,742	5,262	22	92	78	N.A.
Raleigh-Durham WSFO AP	35.87	N	78.78	W	376		3,457	4,499	16	90	75	740
Rocky Mount	35.90	N	77.72	W	110		3,321	4,586	N.A.	N.A.	N.A.	N.A.
Wilmington WSO AP	34.27	N	77.90	W	72		2,470	5,557	23	91	78	N.A.
North Dakota (ND)												
Bismarck WSFO AP	46.77	N	100.77	W	1,647		8,968	2,144	-21	90	67	556
Devils Lake KDLR	48.12	N	98.87	W	1,464		9,950	1,973	-23	87	67	N.A.
Dickinson FAA AP	46.78	N	102.80	W	2,581		8,657	2,152	N.A.	N.A.	N.A.	N.A.
Fargo WSO AP	46.90	N	96.80	W	900		9,254	2,289	-22	88	70	546
Grand Forks FAA AP	47.95	N	97.17	W	847		9,733	2,084	-20	88	69	N.A.
Jamestown FAA AP	46.92	N	98.68	W	1,492		9,168	2,262	N.A.	N.A.	N.A.	N.A.
Minot FAA AP	48.27	N	101.28	W	1,715		9,193	2,135	-20	88	66	581
Ohio (OH)												
Akron-Canton WSO AP	40.92	N	81.43	W	1,208		6,160	2,779	0	85	71	680
Ashtabula	41.85	N	80.80	W	690		6,429	2,604	N.A.	N.A.	N.A.	N.A.
Bowling Green	41.38	N	83.62	W	675		6,482	2,876	N.A.	N.A.	N.A.	N.A.
Cambridge	40.02	N	81.58	W	800		5,488	3,118	N.A.	N.A.	N.A.	N.A.
Cincinnati-Abbe WSO	39.15	N	84.52	W	760		4,988	3,733	5	90	75	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State		Cooling Design Temperature										No. Hrs. 8 a.m.-4 p.m.
		Heating Design Temperature					Dry-Bulb					
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%	Wet-Bulb	55<Tdb<69		
(Ohio cont.)												
Cleveland WSO AP	41.42	N	81.87	W	770		6,201	2,755	1	86	72	N.A.
Columbus WSO AP	40.00	N	82.88	W	812		5,708	3,119	1	88	73	708
Dayton WSCMO AP	39.90	N	84.20	W	995		5,708	3,249	-1	88	73	611
Defiance	41.28	N	84.38	W	700		6,628	2,810	N.A.	N.A.	N.A.	N.A.
Findlay FAA AP	41.02	N	83.67	W	797		6,302	2,907	-2	87	72	N.A.
Fremont	41.33	N	83.12	W	600		6,439	2,823	N.A.	N.A.	N.A.	N.A.
Lancaster	39.73	N	82.63	W	860		5,988	2,935	N.A.	N.A.	N.A.	N.A.
Lima Sewage Plant	40.72	N	84.13	W	850		6,253	3,050	N.A.	N.A.	N.A.	N.A.
Mansfield WSO AP	40.82	N	82.52	W	1,295		6,258	2,818	-1	85	72	N.A.
Marion	40.62	N	83.13	W	965		6,407	2,836	N.A.	N.A.	N.A.	N.A.
Newark Water Works	40.08	N	82.42	W	835		5,657	3,107	N.A.	N.A.	N.A.	N.A.
Norwalk	41.27	N	82.62	W	670		6,434	2,715	N.A.	N.A.	N.A.	N.A.
Portsmouth	38.75	N	82.88	W	540		4,913	3,581	N.A.	N.A.	N.A.	N.A.
Sandusky	41.45	N	82.72	W	584		6,131	2,986	N.A.	N.A.	N.A.	N.A.
Springfield New Wtr Wk	39.97	N	83.82	W	930		6,254	2,790	N.A.	N.A.	N.A.	N.A.
Steubenville	40.38	N	80.63	W	992		5,700	3,054	N.A.	N.A.	N.A.	N.A.
Toledo Express WSO AP	41.58	N	83.80	W	669		6,579	2,720	-2	87	72	652
Warren	41.20	N	80.82	W	900		6,402	2,546	N.A.	N.A.	N.A.	N.A.
Wooster Exp Station	40.78	N	81.92	W	1,020		6,379	2,570	N.A.	N.A.	N.A.	N.A.
Youngstown WSO AP	41.25	N	80.67	W	1,178		6,544	2,536	-1	85	70	679
Zanesville FAA AP	39.95	N	81.90	W	881		5,714	3,013	2	88	73	N.A.
Oklahoma (OK)												
Ada	34.78	N	96.68	W	1,015		3,182	5,317	N.A.	N.A.	N.A.	N.A.
Altus AFB	34.65	N	99.27	W	1,378		3,151	5,708	13	100	73	N.A.
Ardmore	34.20	N	97.15	W	860		2,702	5,978	N.A.	N.A.	N.A.	N.A.
Bartlesville	36.75	N	96.00	W	715		3,777	4,976	N.A.	N.A.	N.A.	N.A.
Chickasha Exp Station	35.05	N	97.92	W	1,085		3,366	5,298	N.A.	N.A.	N.A.	N.A.
Enid	36.42	N	97.87	W	1,245		3,788	5,119	5	98	74	N.A.
Lawton	34.62	N	98.45	W	1,150		3,457	5,268	12	97	73	N.A.
McAlester FAA AP	34.88	N	95.78	W	760		3,354	5,233	10	96	76	N.A.
Muskogee	35.77	N	95.33	W	583		3,413	5,185	N.A.	N.A.	N.A.	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State		Cooling Design Temperature										No. Hrs. 8 a.m.-4 p.m.
		Heating Design Temperature				Dry-Bulb			Wet-Bulb			
City		Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%	55<Tdb<69		
(Oklahoma cont.)												
Norman		35.18	N 97.45	W 1,109	3,295	5,272	N.A.	N.A.	N.A.	N.A.	N.A.	
Oklahoma City WSO AP		35.40	N 97.60	W 1,280	3,659	4,972	9	96	74	733	733	
Ponca City FAA AP		36.73	N 97.10	W 999	4,226	4,791	N.A.	N.A.	N.A.	N.A.	N.A.	
Seminole		35.23	N 96.67	W 865	3,097	5,552	N.A.	N.A.	N.A.	N.A.	N.A.	
Stillwater		36.12	N 97.10	W 895	4,028	4,718	N.A.	N.A.	N.A.	N.A.	N.A.	
Tulsa WSO AP		36.18	N 95.90	W 668	3,691	5,150	9	97	76	591	591	
Woodward		36.45	N 99.38	W 1,900	3,900	4,884	N.A.	N.A.	N.A.	N.A.	N.A.	
Oregon (OR)												
Astoria WSO AP		46.15	N 123.88	W 8	5,158	1,437	25	72	62	1236	1236	
Baker FAA AP		44.83	N 117.82	W 3,368	7,155	1,741	N.A.	N.A.	N.A.	N.A.	N.A.	
Bend		44.07	N 121.28	W 3,660	6,926	1,405	N.A.	N.A.	N.A.	N.A.	N.A.	
Corvallis State Univ		44.63	N 123.20	W 225	4,923	2,051	N.A.	N.A.	N.A.	N.A.	N.A.	
Eugene WSO AP		44.12	N 123.22	W 364	4,546	2,354	21	87	65	N.A.	N.A.	
Grants Pass		42.42	N 123.33	W 960	4,219	2,986	N.A.	N.A.	N.A.	N.A.	N.A.	
Klamath Falls		42.20	N 121.78	W 4,098	6,634	1,954	4	87	62	N.A.	N.A.	
Medford WSO AP		42.38	N 122.88	W 1,300	4,611	2,989	21	95	66	749	749	
Pendleton WSO AP		45.68	N 118.85	W 1,492	5,294	2,787	3	93	63	N.A.	N.A.	
Portland WSO AP		45.60	N 122.60	W 21	4,522	2,517	22	86	66	1060	1060	
Roseburg KQEN		43.20	N 123.35	W 465	4,312	2,607	N.A.	N.A.	N.A.	N.A.	N.A.	
Salem WSO AP		44.92	N 123.02	W 195	4,927	2,100	20	87	66	916	916	
Pennsylvania (PA)												
Allentown WSO AP		40.65	N 75.43	W 388	5,785	3,028	5	88	72	710	710	
Altoona FAA AP		40.30	N 78.32	W 1,476	6,140	2,719	5	86	70	N.A.	N.A.	
Chambersburg		39.93	N 77.63	W 640	5,574	3,060	N.A.	N.A.	N.A.	N.A.	N.A.	
Erie WSO AP		42.08	N 80.18	W 732	6,279	2,652	2	83	70	716	716	
Harrisburg FAA AP		40.22	N 76.85	W 338	5,347	3,358	9	89	73	648	648	
Johnstown		40.33	N 78.92	W 1,214	5,649	3,028	N.A.	N.A.	N.A.	N.A.	N.A.	
Lancaster		40.05	N 76.28	W 270	5,584	3,079	N.A.	N.A.	N.A.	N.A.	N.A.	
Meadville		41.63	N 80.17	W 1,065	6,934	2,209	N.A.	N.A.	N.A.	N.A.	N.A.	
New Castle		41.02	N 80.37	W 825	6,542	2,502	N.A.	N.A.	N.A.	N.A.	N.A.	
Philadelphia WSCMO AP		39.88	N 75.23	W 10	4,954	3,623	11	89	74	646	646	



TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	City	Latitude	Longitude	Elev. (ft)	Heating Design Temperature			Cooling Design Temperature			No. Hrs. 8 a.m.–4 p.m.
					CDD50	HDD65	99.6%	Dry-Bulb	Wet-Bulb	1.0%	
(Pennsylvania cont.)											
Pittsburgh WSCMO2 AP	Reading	40.50	N 80.22	W 1,150	2,836	5,968	2	86	70	700	
	State College	40.37	N 75.93	W 270	3,021	5,796	N.A.	N.A.	N.A.	N.A.	
	Uniontown	40.80	N 77.87	W 1,170	2,629	6,364	N.A.	N.A.	N.A.	N.A.	
	Warren	39.92	N 79.72	W 956	2,913	5,684	N.A.	N.A.	N.A.	N.A.	
	West Chester	41.85	N 79.15	W 1,210	2,334	6,890	N.A.	N.A.	N.A.	N.A.	
Williamsport WSO AP	York Pump Station 22	39.97	N 75.63	W 450	3,288	5,283	N.A.	N.A.	N.A.	N.A.	
		41.25	N 76.92	W 524	2,796	6,087	2	87	71	N.A.	
		39.92	N 76.75	W 390	3,274	5,256	N.A.	N.A.	N.A.	N.A.	
(Rhode Island (RI))											
Newport	Providence WSO AP	41.52	N 71.32	W 20	2,548	5,659	N.A.	N.A.	N.A.	N.A.	
		41.73	N 71.43	W 51	2,743	5,884	5	86	71	684	
(South Carolina (SC))											
Anderson	Charleston WSO AP	34.53	N 82.67	W 800	4,900	2,965	N.A.	N.A.	N.A.	N.A.	
	Charleston WSO City	32.90	N 80.03	W 41	6,188	2,013	N.A.	N.A.	N.A.	N.A.	
	Columbia WSFO AP	32.78	N 79.93	W 10	6,303	1,866	25	92	77	N.A.	
	Florence FAA AP	33.95	N 81.12	W 213	5,508	2,649	21	94	75	705	
	Georgetown	34.18	N 79.72	W 146	5,597	2,585	23	94	76	N.A.	
	Greenville-Spartanburg WSO AP	33.35	N 79.25	W 10	5,947	2,081	N.A.	N.A.	N.A.	N.A.	
	Greenwood	34.90	N 82.22	W 973	4,625	3,272	19	91	74	851	
	Orangeburg	34.17	N 82.20	W 615	4,673	3,288	N.A.	N.A.	N.A.	N.A.	
	Spartanburg	33.50	N 80.87	W 160	5,477	2,534	N.A.	N.A.	N.A.	N.A.	
	Sumter/Shaw AFB	34.98	N 81.88	W 840	5,046	2,887	N.A.	N.A.	N.A.	N.A.	
(South Dakota (SD))											
Aberdeen WSO AP	Brookings	33.97	N 80.48	W 240	5,453	2,506	24	93	75	N.A.	
	Huron WSO AP	45.45	N 98.43	W 1,296	2,497	8,446	N.A.	N.A.	N.A.	N.A.	
	Mitchell	44.32	N 96.77	W 1,642	2,228	8,653	N.A.	N.A.	N.A.	N.A.	
	Pierre FAA AP	44.38	N 98.22	W 1,282	2,709	7,923	-17	91	71	545	
	Rapid City WSO AP	43.72	N 98.00	W 1,274	2,925	7,558	N.A.	N.A.	N.A.	N.A.	
	Sioux Falls WSFO AP	44.38	N 100.28	W 1,726	2,938	7,411	-14	95	69	557	
		44.05	N 103.07	W 3,162	2,412	-11	91	65	572		
		43.57	N 96.73	W 1,418	2,735	-16	90	72	599		

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	City	Latitude	Longitude	Elev. (ft)	Heating Design Temperature			Cooling Design Temperature			No. Hrs. 8 a.m.-4 p.m.
					CDD50	HDD65	99.6% Temperature	Dry-Bulb	Wet-Bulb	1.0%	
(South Dakota, cont.)											
	Watertown FAA AP	44.92	N 97.15	W 1,746	8,375	2,499	N.A.	N.A.	N.A.	N.A.	N.A.
	Yankton	42.88	N 97.35	W 1,180	7,304	2,935	N.A.	N.A.	N.A.	N.A.	N.A.
Tennessee (TN)											
	Athens	35.43	N 84.58	W 940	4,054	4,040	N.A.	N.A.	N.A.	N.A.	N.A.
	Bristol WSO AP	36.48	N 82.40	W 1,525	4,406	3,621	9	87	72	N.A.	N.A.
	Chattanooga WSO AP	35.03	N 85.20	W 692	3,587	4,609	15	92	75	684	N.A.
	Clarksville Sew Plt	36.55	N 87.37	W 382	4,159	4,241	N.A.	N.A.	N.A.	N.A.	N.A.
	Columbia	35.63	N 87.08	W 650	4,206	4,047	N.A.	N.A.	N.A.	N.A.	N.A.
	Dyersburg FAA AP	36.02	N 89.40	W 337	3,536	5,010	N.A.	N.A.	N.A.	N.A.	N.A.
	Greeneville Exp Stn	36.10	N 82.85	W 1,320	4,392	3,710	N.A.	N.A.	N.A.	N.A.	N.A.
	Jackson FAA AP	35.60	N 88.92	W 433	3,540	4,915	12	93	76	N.A.	N.A.
	Knoxville WSO AP	35.80	N 84.00	W 949	3,937	4,164	13	90	74	703	N.A.
	Memphis FAA-AP	35.05	N 90.00	W 265	3,082	5,467	16	94	77	851	N.A.
	Murfreesboro	35.92	N 86.37	W 550	3,992	4,270	N.A.	N.A.	N.A.	N.A.	N.A.
	Nashville WSO AP	36.12	N 86.68	W 580	3,729	4,689	10	92	75	749	N.A.
	Tulahoma	35.35	N 86.20	W 1,048	3,630	4,422	N.A.	N.A.	N.A.	N.A.	N.A.
	Texas (TX)										
	Abilene WSO AP	32.42	N 99.68	W 1,784	2,584	6,050	16	97	71	648	N.A.
	Alice	27.73	N 98.07	W 201	1,062	8,121	N.A.	N.A.	N.A.	N.A.	N.A.
	Amarillo WSO AP	35.23	N 101.70	W 3,590	4,258	4,128	6	94	66	680	N.A.
	Austin WSO AP	30.30	N 97.70	W 597	1,688	7,171	25	96	74	664	N.A.
	Bay City Waterworks	28.98	N 95.98	W 52	1,370	7,211	N.A.	N.A.	N.A.	N.A.	N.A.
	Beaumont Research Ctr	30.07	N 94.28	W 27	1,677	6,703	29	92	79	N.A.	N.A.
	Beeville	28.45	N 97.70	W 255	1,372	7,393	28	98	77	N.A.	N.A.
	Big Spring	32.25	N 101.45	W 2,500	2,772	5,621	N.A.	N.A.	N.A.	N.A.	N.A.
	Brownsville WSO AP	25.90	N 97.43	W 19	635	8,777	36	94	77	422	N.A.
	Brownwood	31.72	N 99.00	W 1,385	2,199	6,479	N.A.	N.A.	N.A.	N.A.	N.A.
	Corpus Christi WSO AP	27.77	N 97.50	W 44	1,016	8,023	32	94	78	543	N.A.
	Corsicana	32.08	N 96.47	W 425	2,396	6,133	N.A.	N.A.	N.A.	N.A.	N.A.
	Dallas FAA AP	32.85	N 96.85	W 440	2,259	6,587	17	98	74	N.A.	N.A.
	Del Rio/Laughlin AFB	29.37	N 100.78	W 1,079	1,565	7,207	28	98	73	732	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	Cooling Design Temperature											No. Hrs. 8 a.m.-4 p.m.
	Heating Design Temperature					Dry-Bulb					Wet-Bulb	
	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%				
City												55<Tdb<69
(Texas cont.)												
Denton	33.20	N	97.10	W	630	2,665	5,816	N.A.	N.A.	N.A.	N.A.	N.A.
Eagle Pass	28.70	N	100.48	W	805	1,441	7,682	N.A.	N.A.	N.A.	N.A.	N.A.
El Paso WSO AP	31.80	N	106.40	W	3,918	2,708	5,488	21	98	64	735	735
Ft Worth/Meacham	32.82	N	97.35	W	692	2,304	6,557	19	98	74	N.A.	N.A.
Galveston WSO City	29.30	N	94.80	W	7	1,263	7,378	N.A.	N.A.	N.A.	N.A.	N.A.
Greenville	33.20	N	96.22	W	610	2,953	5,527	N.A.	N.A.	N.A.	N.A.	N.A.
Harlingen	26.20	N	97.67	W	38	813	8,405	N.A.	N.A.	N.A.	N.A.	N.A.
Houston /Hobby	29.65	N	95.28	W	50	1,371	7,357	29	93	77	N.A.	N.A.
Houston-Bush Intercontinental Airport	29.97	N	95.35	W	96	1,599	6,876	27	94	77	N.A.	N.A.
Huntsville	30.72	N	95.55	W	494	1,862	6,697	N.A.	N.A.	N.A.	N.A.	N.A.
Killeen/Robert-Gray	31.07	N	97.83	W	1,014	2,127	6,477	20	96	73	N.A.	N.A.
Lamesa	32.70	N	101.93	W	2,965	3,159	5,107	N.A.	N.A.	N.A.	N.A.	N.A.
Laredo	27.57	N	99.50	W	430	1,025	8,495	32	101	74	598	598
Longview	32.47	N	94.73	W	330	2,433	5,920	N.A.	N.A.	N.A.	N.A.	N.A.
Lubbock WSFO AP	33.65	N	101.82	W	3,254	3,431	4,833	11	95	67	743	743
Lufkin FAA AP	31.23	N	94.75	W	281	1,951	6,527	23	95	77	681	681
McAllen	26.20	N	98.22	W	122	778	8,597	34	98	76	N.A.	N.A.
Midland/Odessa WSO AP	31.95	N	102.18	W	2,857	2,751	5,588	17	97	67	729	729
Mineral Wells FAA AP	32.78	N	98.07	W	934	2,625	6,015	N.A.	N.A.	N.A.	N.A.	N.A.
Palestine	31.78	N	95.60	W	465	2,005	6,454	N.A.	N.A.	N.A.	N.A.	N.A.
Pampa No 2	35.53	N	100.98	W	3,250	4,358	4,131	N.A.	N.A.	N.A.	N.A.	N.A.
Pecos	31.42	N	103.50	W	2,610	2,505	5,992	N.A.	N.A.	N.A.	N.A.	N.A.
Plainview	34.18	N	101.70	W	3,370	3,717	4,462	N.A.	N.A.	N.A.	N.A.	N.A.
Port Arthur WSO AP	29.95	N	94.02	W	16	1,499	6,994	N.A.	N.A.	N.A.	697	697
San Angelo WSO AP	31.37	N	100.50	W	1,903	2,414	6,070	20	97	70	619	619
San Antonio WSFO	29.53	N	98.47	W	794	1,644	7,142	26	96	73	N.A.	N.A.
Sherman	33.63	N	96.62	W	720	2,890	5,682	N.A.	N.A.	N.A.	721	721
Snyder	32.72	N	100.92	W	2,335	3,185	5,178	N.A.	N.A.	N.A.	N.A.	N.A.
Temple	31.08	N	97.37	W	700	2,153	6,487	N.A.	N.A.	N.A.	N.A.	N.A.
Tyler	32.35	N	95.40	W	545	2,194	6,562	N.A.	N.A.	N.A.	N.A.	N.A.
Vernon	34.08	N	99.30	W	1,202	3,186	5,605	N.A.	N.A.	N.A.	N.A.	N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	Cooling Design Temperature						No. Hrs. 8 a.m.-4 p.m.				
	Heating Design Temperature			Cooling Design Temperature							
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%<	Dry-Bulb	Wet-Bulb	1.0%	1.0%	55<Tdb<69
(Texas cont.)											
Victoria WSO AP	28.85	N	96.92	W 104	1,296	7,507	29	94	76		N.A.
Waco WSO AP	31.62	N	97.22	W 500	2,179	6,668	22	99	75		622
Wichita Falls WSO AP	33.97	N	98.48	W 994	3,042	5,717	N.A.	N.A.	N.A.		723
Utah (UT)											
Cedar City FAA AP	37.70	N	113.10	W 5,610	5,962	2,770	2	91	59		629
Logan Utah State Univ	41.75	N	111.80	W 4,790	6,854	2,541	N.A.	N.A.	N.A.		N.A.
Moab	38.60	N	109.60	W 3,965	4,494	4,356	N.A.	N.A.	N.A.		N.A.
Ogden Sugar Factory	41.23	N	112.03	W 4,280	5,950	3,053	N.A.	N.A.	N.A.		N.A.
Richfield Radio KSVC	38.77	N	112.08	W 5,270	6,367	2,300	N.A.	N.A.	N.A.		N.A.
Saint George	37.10	N	113.57	W 2,760	3,215	5,424	N.A.	N.A.	N.A.		N.A.
Salt Lake City NWSFO	40.78	N	111.95	W 4,222	5,765	3,276	6	94	62		586
Vernal Airport	40.45	N	109.52	W 5,260	7,562	2,334	N.A.	N.A.	N.A.		N.A.
Vermont (VT)											
Burlington WSO AP	44.47	N	73.15	W 332	7,771	2,228	-11	84	69		637
Rutland	43.60	N	72.97	W 620	7,066	2,345	N.A.	N.A.	N.A.		N.A.
Virginia (VA)											
Charlottesville	38.03	N	78.52	W 870	4,224	3,902	N.A.	N.A.	N.A.		N.A.
Danville-Bridge St	36.58	N	79.38	W 410	3,944	4,236	N.A.	N.A.	N.A.		N.A.
Fredericksburg Natl Pk	38.32	N	77.45	W 90	4,554	3,754	N.A.	N.A.	N.A.		N.A.
Lynchburg WSO AP	37.33	N	79.20	W 916	4,340	3,728	12	90	74		N.A.
Norfolk WSO AP	36.90	N	76.20	W 22	3,495	4,478	20	91	76		685
Richmond WSO AP	37.50	N	77.33	W 164	3,963	4,223	14	92	75		716
Roanoke WSO AP	37.32	N	79.97	W 1,149	4,360	3,715	12	89	72		713
Staunton Sewage Plant	38.15	N	79.03	W 1,385	5,273	3,004	N.A.	N.A.	N.A.		N.A.
Winchester	39.18	N	78.12	W 680	5,269	3,215	N.A.	N.A.	N.A.		N.A.
Washington (WA)											
Aberdeen	46.97	N	123.82	W 10	5,285	1,488	N.A.	N.A.	N.A.		N.A.
Bellingham FAA AP	48.80	N	122.53	W 149	5,609	1,508	15	76	64		N.A.
Bremerton	47.57	N	122.67	W 162	5,119	1,839	N.A.	N.A.	N.A.		N.A.
Ellensburg	46.97	N	120.55	W 1,480	6,770	1,999	N.A.	N.A.	N.A.		N.A.
Everett	47.98	N	122.18	W 60	5,311	1,660	N.A.	N.A.	N.A.		N.A.

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State		Cooling Design Temperature										No. Hrs. 8 a.m.-4 p.m.
		Heating Design Temperature			Dry-Bulb			Wet-Bulb				
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%	1.0%	55<Tdb<69		
(Washington cont.)												
Kennewick	46.22	N 119.10	W 390	4,895	3,195	N.A.	N.A.	N.A.	N.A.	N.A.		
Longview	46.15	N 122.92	W 12	5,094	1,858	N.A.	N.A.	N.A.	N.A.	N.A.		
Olympia WSO AP	46.97	N 122.90	W 192	5,655	1,558	18	83	65	985	N.A.		
Port Angeles	48.12	N 123.40	W 40	5,695	1,257	N.A.	N.A.	N.A.	N.A.	N.A.		
Seattle EMSU WSO	47.65	N 122.30	W 20	4,611	2,120	N.A.	N.A.	N.A.	N.A.	N.A.		
Seattle-Tacoma WSCMO AP	47.45	N 122.30	W 450	4,908	2,021	23	81	64	982	N.A.		
Spokane WSO AP	47.63	N 117.53	W 2,356	6,842	2,032	N.A.	N.A.	N.A.	640	N.A.		
Tacoma/McChord AFB	47.15	N 122.48	W 322	5,155	1,820	18	82	63	N.A.	N.A.		
Walla Walla FAA AP	46.10	N 118.28	W 1,166	4,958	3,161	4	95	65	N.A.	N.A.		
Wenatchee	47.42	N 120.32	W 640	5,579	2,956	3	92	65	N.A.	N.A.		
Yakima WSO AP	46.57	N 120.53	W 1,064	5,967	2,348	4	92	64	703	N.A.		
West Virginia (WV)												
Beckley WSO AP	37.78	N 81.12	W 2,504	5,558	2,690	N.A.	N.A.	N.A.	N.A.	N.A.		
Bluefield FAA AP	37.30	N 81.22	W 2,870	5,230	2,907	5	83	69	N.A.	N.A.		
Charleston WSFO AP	38.37	N 81.60	W 1,015	4,646	3,655	6	88	73	704	N.A.		
Clarksburg	39.27	N 80.35	W 945	5,512	3,014	N.A.	N.A.	N.A.	N.A.	N.A.		
Elkins WSO AP	38.88	N 79.85	W 1,992	6,120	2,360	-2	83	70	N.A.	N.A.		
Huntington WSO AP	38.37	N 82.55	W 827	4,665	3,615	6	89	73	N.A.	N.A.		
Martinsburg FAA AP	39.40	N 77.98	W 531	5,192	3,368	8	91	73	N.A.	N.A.		
Morgantown FAA AP	39.65	N 79.92	W 1,240	5,363	3,155	4	87	71	N.A.	N.A.		
Parkersburg	39.27	N 81.57	W 615	5,094	3,507	4	88	72	N.A.	N.A.		
Wisconsin (WI)												
Appleton	44.25	N 88.37	W 750	7,693	2,513	N.A.	N.A.	N.A.	N.A.	N.A.		
Ashland Exp Farm	46.57	N 90.97	W 650	8,960	1,811	N.A.	N.A.	N.A.	N.A.	N.A.		
Beloit	42.50	N 89.03	W 780	7,161	2,737	N.A.	N.A.	N.A.	N.A.	N.A.		
Eau Claire FAA AP	44.87	N 91.48	W 888	8,330	2,407	-18	87	71	661	N.A.		
Fond du Lac	43.80	N 88.45	W 760	7,541	2,573	N.A.	N.A.	N.A.	N.A.	N.A.		
Green Bay WSO AP	44.48	N 88.13	W 682	8,089	2,177	-13	85	72	651	N.A.		
La Crosse FAA AP	43.87	N 91.25	W 651	7,491	2,790	-14	88	73	644	N.A.		
Madison WSO AP	43.13	N 89.33	W 858	7,673	2,389	-11	87	72	658	N.A.		
Manitowoc	44.10	N 87.68	W 660	7,597	2,193	N.A.	N.A.	N.A.	N.A.	N.A.		

TABLE D-1 (Continued) U.S. and U.S. Territory Climatic Data

State	Cooling Design Temperature											
	Heating Design Temperature					Dry-Bulb			Wet-Bulb			No. Hrs.
	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	1.0%	1.0%	55<Tdb<69	8 a.m.-4 p.m.	
(Wisconsin cont.)												
Marinette	Marinette	45.10	N	87.63	W	605	8,059	2,272	N.A.	N.A.	N.A.	N.A.
	Milwaukee WSO AP	42.95	N	87.90	W	672	7,324	2,388	-7	86	72	618
	Racine	42.70	N	87.77	W	595	7,167	2,459	N.A.	N.A.	N.A.	N.A.
	Sheboygan	43.75	N	87.72	W	648	7,087	2,390	N.A.	N.A.	N.A.	N.A.
	Stevens Point	44.50	N	89.57	W	1,079	8,009	2,325	N.A.	N.A.	N.A.	N.A.
	Waukesha	43.02	N	88.23	W	860	7,117	2,658	N.A.	N.A.	N.A.	N.A.
	Wausau FAA AP	44.92	N	89.62	W	1,196	8,427	2,182	-15	85	70	N.A.
	Wyoming (WY)											
	Casper WSO AP	42.92	N	106.47	W	5,338	7,682	2,082	-13	89	58	535
Cheyenne WSFO AP	Cheyenne WSFO AP	41.15	N	104.82	W	6,120	7,326	1,886	-7	85	57	608
	Cody	44.52	N	109.07	W	5,050	7,431	2,057	-14	87	58	N.A.
	Evanston	41.27	N	110.95	W	6,810	8,846	1,285	N.A.	N.A.	N.A.	N.A.
	Lander WSO AP	42.82	N	108.73	W	5,370	7,889	2,184	-14	87	58	N.A.
	Laramie FAA AP	41.32	N	105.68	W	7,266	9,008	1,237	N.A.	N.A.	N.A.	N.A.
	Newcastle	43.85	N	104.22	W	4,410	7,267	2,518	N.A.	N.A.	N.A.	N.A.
	Rawlins FAA AP	41.80	N	107.20	W	6,736	8,475	1,605	N.A.	N.A.	N.A.	N.A.
	Rock Springs FAA AP	41.60	N	109.07	W	6,741	8,365	1,734	-9	84	54	552
	Sheridan WSO AP	44.77	N	106.97	W	3,964	7,804	2,023	-14	90	61	574
Torrington Exp Farm	Torrington Exp Farm	42.08	N	104.22	W	4,098	6,879	2,429	N.A.	N.A.	N.A.	N.A.
	District of Columbia (DC)											
	R. Reagan Nat'l. Airport	38.85	N	77.03	W	66	4,047	4,391	15	92	76	657
	Puerto Rico (PR)											
	San Juan/Isla Verde WSFO	18.43	N	66.00	W	10	0	11,406	69	90	78	N.A.
	Pacific Islands (PI)											
	Guam (GU) - Andersen AFB	13.58	N	144.93	E	361	0	10,690	74	87	79	N.A.
	Marshall Island (MH) - Kwajalein Atoll	8.73	N	167.73	E	26	0	11,670	76	88	79	N.A.
	Midway Island (MH) - Midway Island NAF	28.22	N	177.37	W	13	134	8,323	59	86	75	N.A.
Samoa (WS) - Pago Pago WSO Airport	Samoa (WS) - Pago Pago WSO Airport	14.33	S	170.72	W	9	0	11,018	72	88	80	N.A.
	Wake Island - Wake Island WSO Airport	19.28	N	166.65	E	12	0	11,097	71	89	79	N.A.
	Philippines											
	Philippines (PH) - Angeles, Clark AFB	15.18	N	120.55	E	475	0	11,280	68	95	77	N.A.

TABLE D-2 Canadian Climatic Data

Province	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature		Cooling Design Temperature				
							99.6%	Dry-Bulb	1.0%	Wet-Bulb			
Alberta (AB)													
	Calgary International A	51.12	N	114.02	W	3,533		9,885	1,167	-22	80	59	
	Edmonton International A	53.30	N	113.58	W	2,345		11,023	1,069	-28.1	78	62	
	Grande Prairie A	55.18	N	118.88	W	2,185		11,240	1,031	-32	78	60	
	Jasper	52.88	N	118.07	W	3,480		10,244	848	N.A.	N.A.	N.A.	
	Lethbridge A	49.63	N	112.80	W	3,047		8,783	1,730	-22	84	61	
	Medicine Hat A	50.02	N	110.72	W	2,352		8,988	1,981	-24	87	62	
	Red Deer A	52.18	N	113.90	W	2,969		10,765	1,095	-27	79	61	
British Columbia (BC)													
	Dawson Creek A	55.73	N	120.18	W	2,148		11,435	890	N.A.	N.A.	N.A.	
	Ft Nelson A	58.83	N	122.58	W	1,253		12,941	1,013	-33	78	60	
	Kamloops	50.67	N	120.33	W	1,243		6,779	2,335	-8	88	63	
	Nanaimo A	49.05	N	123.87	W	98		6,054	1,469	N.A.	N.A.	N.A.	
	New Westminster BC Pen	49.22	N	122.90	W	59		5,520	1,691	N.A.	N.A.	N.A.	
	Penticton A	49.47	N	119.60	W	1,128		6,500	2,002	5	87	64	
	Prince George	53.88	N	122.67	W	2,267		9,495	906	-25	78	59	
	Prince Rupert A	54.30	N	130.43	W	111		7,650	572	7	63	57	
	Vancouver International A	49.18	N	123.17	W	9		5,682	1,536	18	74	64	
	Victoria Gonzales Hts	48.42	N	123.32	W	229		5,494	1,286	23	75	62	
Manitoba (MB)													
	Brandon CDA	49.87	N	99.98	W	1,190		10,969	1,661	-29	84	66	
	Churchill A	58.73	N	94.07	W	91		16,719	275	-36	72	60	
	Dauphin A	51.10	N	100.05	W	1,000		11,242	1,520	-28	84	66	
	Flin Flon	54.77	N	101.85	W	1,099		12,307	1,352	N.A.	N.A.	N.A.	
	Portage La Prairie A	49.90	N	98.27	W	885		10,594	1,807	-25	85	67	
	The Pas A	53.97	N	101.10	W	889		12,490	1,231	-32	79	64	
	Winnipeg International A	49.90	N	97.23	W	784		10,858	1,784	-27	84	67	
New Brunswick (NB)													
	Chatham A	47.02	N	65.45	W	111		9,028	1,531	-12	83	67	
	Fredericton A	45.87	N	66.53	W	55		8,666	1,631	-12	83	68	

TABLE D-2 (Continued) Canadian Climatic Data

Province		Heating Design Temperature				Cooling Design Temperature				
City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	99.6%	Dry-Bulb	Wet-Bulb		
(New Brunswick cont.)										
Moncton A	46.12	N	64.68	W	232	8,731	1,427	-10	80	67
Saint John A	45.33	N	65.88	W	337	8,776	1,179	-9	75	64
Newfoundland (NF)										
Corner Brook	48.95	N	57.95	W	16	8,756	1,075	N.A.	N.A.	N.A.
Gander International A	48.95	N	54.57	W	495	9,354	956	-4	76	63
Goose A	53.32	N	60.42	W	150	12,017	758	-23	77	61
St John's A	47.62	N	52.73	W	439	8,888	848	3	73	64
Stephenville A	48.53	N	58.55	W	26	8,869	952	-2	71	64
Northwest Territories (NW)										
Ft Smith A	60.02	N	111.95	W	666	14,192	932	-34	78	61
Inuvik A	68.30	N	133.48	W	193	18,409	489	-43	75	59
Yellowknife A	62.47	N	114.45	W	672	15,555	851	-39	74	59
Nova Scotia (NS)										
Halifax International A	44.88	N	63.52	W	416	8,133	1,464	-2	78	66
Kentville CDA	45.07	N	64.48	W	160	7,683	1,665	N.A.	N.A.	N.A.
Sydney A	46.17	N	60.05	W	183	8,364	1,287	-1	78	67
Truro	45.37	N	63.27	W	131	8,596	1,295	-9	77	67
Yarmouth A	43.83	N	66.08	W	141	7,515	1,180	7	71	64
Nunavut										
Resolute A	74.72	N	94.98	W	219	22,864	0	-42	48	43
Ontario (ON)										
Belleville	44.15	N	77.40	W	249	7,556	2,252	N.A.	N.A.	N.A.
Cornwall	45.02	N	74.75	W	209	8,062	2,187	N.A.	N.A.	N.A.
Hamilton RBG	43.28	N	79.88	W	334	6,872	2,450	N.A.	N.A.	N.A.
Kapuskasing A	49.42	N	82.47	W	744	11,742	1,108	-30	80	65
Kenora A	49.78	N	94.37	W	1,335	10,884	1,626	-27	81	65
Kingston A	44.22	N	76.60	W	305	7,826	1,960	N.A.	N.A.	N.A.
London A	43.03	N	81.15	W	912	7,565	2,126	-3	83	70
North Bay A	46.35	N	79.43	W	1,174	9,794	1,509	-18	78	66
Oshawa WPCP	43.87	N	78.83	W	275	7,253	2,106	N.A.	N.A.	N.A.
Ottawa International A	45.32	N	75.67	W	380	8,571	2,045	-13	83	69



TABLE D-2 (Continued) Canadian Climatic Data

Province	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature	Cooling Design Temperature		
								Dry-Bulb	Wet-Bulb	
							99.6%	1.0%	1.0%	
(Ontario cont.)										
	Owen Sound MOE	44.58	N	80.93	W	587	7,730	1,896	N.A.	N.A.
	Peterborough	44.28	N	78.32	W	636	8,037	1,975	N.A.	N.A.
	St Catharines	43.20	N	79.25	W	298	6,700	2,564	N.A.	N.A.
	Sudbury A	46.62	N	80.80	W	1,141	9,990	1,557	-19	66
	Thunder Bay A	48.37	N	89.32	W	652	10,562	1,198	-22	66
	Timmins A	48.57	N	81.37	W	967	11,374	1,225	-28	65
	Toronto Downsview A	43.75	N	79.48	W	649	7,306	2,370	-4	70
	Windsor A	42.27	N	82.97	W	623	6,619	2,679	2	71
Prince Edward Island (PE)										
	Charlottetown A	46.28	N	63.13	W	157	8,598	1,400	-6	67
	Summerside A	46.43	N	63.83	W	78	8,411	1,536	-5	66
Quebec (PQ)										
	Bagotville A	48.33	N	71.00	W	521	10,603	1,300	-23	65
	Drummondville	45.88	N	72.48	W	269	8,601	2,024	N.A.	N.A.
	Granby	45.38	N	72.70	W	551	8,367	1,984	N.A.	N.A.
	Montreal Dorval International A	45.47	N	73.75	W	101	8,285	2,146	-12	70
	Quebec A	46.80	N	71.38	W	229	9,449	1,571	-16	68
	Rimouski	48.45	N	68.52	W	118	9,665	1,215	N.A.	N.A.
	Sept-Iles A	50.22	N	66.27	W	180	11,287	690	-20	59
	Shawinigan	46.57	N	72.75	W	400	9,246	1,720	N.A.	N.A.
	Sherbrooke A	45.43	N	71.68	W	780	9,464	1,372	-20	68
	St Jean de Cherboung	48.88	N	67.12	W	1,151	11,277	801	N.A.	N.A.
	St Jerome	45.80	N	74.05	W	557	9,171	1,771	N.A.	N.A.
	Thetford Mines	46.10	N	71.35	W	1,250	9,687	1,425	N.A.	N.A.
	Trois Rivières	46.37	N	72.60	W	173	9,124	1,766	N.A.	N.A.
	Val d'Or A	48.07	N	77.78	W	1,105	11,256	1,193	-27	65
	Valleyfield	45.28	N	74.10	W	150	8,083	2,268	N.A.	N.A.
Saskatchewan (SK)										
	Estevan A	49.22	N	102.97	W	1,876	10,092	1,793	-25	65
	Moose Jaw A	50.33	N	105.55	W	1,893	9,989	1,812	-27	64

TABLE D-2 (Continued) Canadian Climatic Data

Province City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature		Cooling Design Temperature		
						99.6%	Dry-Bulb	1.0%	Wet-Bulb	
(Saskatchewan cont.)										
North Battleford A	52.77	N	108.25	W	1,797	11,127	1,473	-31	82	63
Prince Albert A	53.22	N	105.68	W	1,404	12,009	1,252	-34	81	64
Regina A	50.43	N	104.67	W	1,893	10,773	1,620	-29	85	64
Saskatoon A	52.17	N	106.68	W	1,643	11,118	1,537	-31	84	63
Swift Current A	50.28	N	107.68	W	2,683	10,128	1,541	-25	84	62
Yorkton A	51.27	N	102.47	W	1,633	11,431	1,476	-30	82	64
Yukon Territory (YT)										
Whitehorse A	60.72	N	135.07	W	2,306	12,797	611	-34	73	55

TABLE D-3 International Climatic Data

Country	Province or Region	City	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature		Cooling Design Temperature		
								99.6%	Dry-Bulb	1.0%	Wet-Bulb	
Argentina												
		Buenos Aires/Ezeiza	34.82	S	58.53	W	66	2,211	4,693	31	90	72
		Cordoba	31.32	S	64.22	W	1,555	1,816	5,182	31	91	72
		Tucuman/Pozo	26.85	S	65.10	W	1,444	1,416	6,622	N.A.	N.A.	N.A.
Australia												
	SA	Adelaide	34.95	S	138.53	E	20	2,082	4,381	39	92	64
	NT	Alice Springs	23.80	S	133.90	E	1,782	1,142	7,777	34	102	64
	QL	Brisbane	27.43	S	153.08	E	7	545	7,009	44	86	72
	NT	Darwin Airport	12.43	S	130.87	E	95	0	11,736	64	92	76
	WA	Perth/Guildford	31.92	S	115.97	E	56	1,507	5,353	41	95	66
	NSW	Sydney/K Smith	33.95	S	151.18	E	20	1,351	5,259	42	85	67
Azores												
	Terceira	Lajes	38.75	N	27.08	W	180	1,279	4,892	46	78	71
Bahamas												
		Nassau	25.05	N	77.47	W	10	29	9,775	57	90	78

TABLE D-3 (Continued) International Climatic Data

Country	City	Province or Region	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature	Cooling Design Temperature		
									Dry-Bulb	Wet-Bulb	1.0%
<b>Belgium</b>	Brussels Airport		50.90	N 4.47	E 128	5,460	1,862	15	79	66	
<b>Bermuda</b>	St Georges/Kindley		32.37	N 64.68	W 20	170	8,365	N.A.	N.A.	N.A.	
<b>Bolivia</b>	La Paz/El Alto		16.50	S 68.18	W 13,287	7,189	237	25	62	44	
<b>Brazil</b>	Belem		1.43	S 48.48	W 79	0	11,552	72	90	78	
	Brasilia		15.77	S 47.93	W 3,809	58	7,943	48	88	65	
	Fortaleza		3.72	S 38.55	W 62	1	11,748	72	90	78	
	Porto Alegre		30.08	S 51.18	W 23	902	7,076	40	92	75	
	Recife/Curado		8.13	S 34.92	W 36	2	10,951	70	91	78	
	Rio de Janeiro		22.90	S 43.17	W 16	14	9,688	59	99	77	
	Salvador/Ondina		13.00	S 38.52	W 167	0	10,785	68	88	78	
	Sao Paulo		23.50	S 46.62	W 2,608	447	7,219	48	88	69	
<b>Bulgaria</b>	Sofia		42.82	N 23.38	E 1,952	5,629	2,508	10	85	65	
<b>Chile</b>	Concepcion		36.77	S 73.05	W 39						
	Punta Arenas/Chabunco		53.03	S 70.85	W 108	3,559	2,283	35	74	62	
	Santiago/Pedahuel		33.38	S 70.88	W 1,575	7,807	395	23	61	53	
<b>China</b>	Shanghai/Hongqiao		31.17	N 121.43	E 16	2,820	3,471	29	88	65	
<b>Cuba</b>	Guantanamo Bay NAS	Ote.	19.90	N 75.15	W 75	3,182	5,124	26	92	81	
						0	11,719	67	93	78	
<b>Cyprus</b>	Akrotiri		34.58	N 32.98	E 75	1,287	6,147	40	89	72	
	Larnaca		34.88	N 33.63	E 7	1,452	6,028	37	91	72	
	Paphos		34.75	N 32.40	E 30	1,279	5,924	39	86	76	

TABLE D-3 (Continued) International Climatic Data

Country	Province or Region	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature	Cooling Design Temperature	
								Dry-Bulb	Wet-Bulb
City							99.6%	1.0%	1.0%
<b>Czech Republic (Former Czechoslovakia)</b>									
Prague/Libus		50.00	N 14.45	E 1,001	6,376	1,853	3	80	64
<b>Dominican Republic</b>									
Santo Domingo		18.47	N 69.88	W 43	0	10,862	N.A.	N.A.	N.A.
<b>Egypt</b>									
Cairo		30.13	N 31.40	E 243	834	7,993	45	97	69
Luxor		25.67	N 32.70	E 289	581	9,849	40	108	71
<b>Finland</b>									
Helsinki/Seutula		60.32	N 24.97	E 167	9,051	1,138	-11	75	61
<b>France</b>									
Lyon/Satolas		45.73	N 5.08	E 814	4,930	2,609	17	86	69
Marseille		43.45	N 5.22	E 26	3,194	3,933	25	87	70
Nantes		47.17	N 1.60	W 89	4,286	2,480	23	83	68
Nice		43.65	N 7.20	E 33	2,641	3,983	35	83	73
Paris/Le Bourget		48.97	N 2.45	E 217	5,046	2,211	18	82	68
Strasbourg		48.55	N 7.63	E 502	5,533	2,193	12	84	68
<b>Germany</b>									
Berlin/Schoenfeld		52.38	N 13.52	E 154	6,331	1,820	11	82	65
Hamburg		53.63	N 9.98	E 52	6,319	1,569	11	79	64
Hannover		52.47	N 9.70	E 180	6,093	1,730	9	80	65
Mannheim		49.53	N 8.50	E 318	5,428	2,262	N.A.	N.A.	N.A.
<b>Greece</b>									
Souda	Crete	35.55	N 24.12	E 417	1,767	5,472	39	90	67
Thessalonika/Mikra		40.52	N 22.97	E 26	3,389	4,115	25	90	69
<b>Greenland</b>									
Narsarsuaq		61.18	N 45.42	W 79	11,521	292	-18	62	49
<b>Hungary</b>									
Budapest/Lorinc		47.43	N 19.18	E 459	5,534	2,647	8	86	68
<b>Iceland</b>									
Reykjavik		64.13	N 21.93	W 200	9,286	293	14	58	52

TABLE D-3 (Continued) International Climatic Data

Country	Province or City	Region	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature		Cooling Design Temperature	
								99.6%	Dry-Bulb	1.0%	Wet-Bulb
India											
	Ahmedabad		23.07	N 72.63	E 180	31	11,648	52	106	74	
	Bangalore		12.97	N 77.58	E 3,018	2	9,409	59	92	67	
	Bombay/Santa Cruz		19.12	N 72.85	E 26	2	11,372	62	93	74	
	Calcutta/Dum Dum		22.65	N 88.45	E 16	26	11,064	54	97	79	
	Madras		13.00	N 80.18	E 52	0	12,403	68	99	77	
	Nagpur Sonegaon		21.10	N 79.05	E 1,014	18	11,274	53	108	71	
	New Delhi/Safdarjung		28.58	N 77.20	E 702	480	10,060	44	105	72	
Indonesia											
	Djakarta/Halimperda	Java	6.25	S 106.90	E 98	0	11,477	N.A.	N.A.	N.A.	
	Kupang Penfui	Sunda Island	10.17	S 123.67	E 354	2	11,686	N.A.	N.A.	N.A.	
	Makassar	Celebes	5.07	S 119.55	E 56	3	11,481	N.A.	N.A.	N.A.	
	Medan	Sumatra	3.57	N 98.68	E 85	0	11,491	N.A.	N.A.	N.A.	
	Palembang	Sumatra	2.90	S 104.70	E 33	0	11,565	N.A.	N.A.	N.A.	
	Surabaja Perak	Java	7.22	S 112.72	E 10	0	12,088	N.A.	N.A.	N.A.	
Ireland											
	Dublin Airport		53.43	N 6.25	W 279	5,507	1,276	29	69	61	
	Shannon Airport		52.68	N 8.92	W 66	5,106	1,455	28	71	63	
Israel											
	Jerusalem		31.78	N 35.22	E 2,654	2,423	4,609	33	86	64	
	Tel Aviv Port		32.10	N 34.78	E 33	955	6,851	44	86	74	
Italy											
	Milano/Linate		45.43	N 9.28	E 351	4,507	3,335	21	87	72	
	Napoli/Capodichino		40.88	N 14.30	E 236	2,658	4,301	32	89	73	
	Roma/Fiumicino		41.80	N 12.23	E 7	2,684	4,173	30	86	74	
Jamaica											
	Kingston/Manley		17.93	N 76.78	W 46	0	11,860	71	98	78	
	Montego Bay/Sangster		18.50	N 77.92	W 3	1	10,915	70	90	79	
Japan											
	Fukaura		40.65	N 139.93	E 223	5,522	2,933	30	91	78	
	Sapporo		43.05	N 141.33	E 56	6,753	2,518	12	81	71	
	Tokyo		35.68	N 139.77	E 118	2,986	4,749	31	88	77	

TABLE D-3 (Continued) International Climatic Data

Country	Province or Region	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature		Cooling Design Temperature	
							99.6%	Dry-Bulb	1.0%	Wet-Bulb
<b>Jordan</b>										
Amman		31.98	N 35.98	E 2,516	2,337	5,427	33	92	65	
<b>Kenya</b>										
Nairobi Airport		1.32	S 36.93	E 5,328	273	6,177	49	83	60	
<b>Korea</b>										
Pyongyang		38.40	N 127.30	E 1,217	6,735	2,840	3	85	74	
Seoul		37.57	N 126.97	E 282	5,007	3,956	N.A.	N.A.	N.A.	
<b>Malaysia</b>										
Kuala Lumpur		3.13	N 101.55	E 56	0	11,550	71	93	78	
Penang/Bayan Lepas		5.30	N 100.27	E 10	0	N	N.A.	N.A.	N.A.	
<b>Mexico</b>										
Mexico City	Distrito Federal	19.40	N 99.20	W 5,213	701	6,121	39	82	57	
Guadalajara	Jalisco	20.67	N 103.38	W 30	10	11,122	N.A.	N.A.	N.A.	
Monterrey	Nuevo Laredo	25.87	N 100.20	W 6,368	745	5,542	N.A.	N.A.	N.A.	
Tampico	Tamaulipas	22.22	N 97.85	W 551	0	10,760	50	90	80	
Vera Cruz	Vera Cruz	19.15	N 96.12	W 7,156	2,198	3,850	57	92	80	
Merida	Yucatan	20.98	N 89.65	W 72	1,191	10,439	57	98	76	
<b>Netherlands</b>										
Amsterdam/Schiphol		52.30	N 4.77	E -13	5,691	1,619	17	77	65	
<b>New Zealand</b>										
Auckland Airport		37.02	S 174.80	E 23	2,242	3,650	35	76	66	
Christchurch		43.48	S 172.55	E 118	4,359	2,115	28	79	61	
Wellington		41.28	S 174.77	E 420	3,597	2,258	35	71	63	
<b>Norway</b>										
Bergen/Florida		60.38	N 5.33	E 128	6,882	1,014	16	68	57	
Oslo/Fornebu		59.90	N 10.62	E 52	8,020	1,331	0	77	62	
<b>Pakistan</b>										
Karachi Airport		24.90	N 67.13	E 75	1,155	11,049	N.A.	N.A.	N.A.	
<b>Papua New Guinea</b>										
Port Moresby		9.43	S 147.22	E 92	2	11,272	N.A.	N.A.	N.A.	

TABLE D-3 (Continued) International Climatic Data

Country	City	Province or Region	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature			Cooling Design Temperature		
								99.6%	Dry-Bulb	Wet-Bulb	1.0%	Dry-Bulb	Wet-Bulb
<b>Paraguay</b>	Asuncion/Stroessner		25.27	S	57.63	W	331	469	9,005	41	95	75	75
<b>Peru</b>	Lima-Callao/Chavez		12.00	S	77.12	W	43	260	6,745	57	84	74	74
	San Juan de Marcona		15.35	S	75.15	W	197	306	6,765	N.A.	N.A.	N.A.	N.A.
	Talara		4.57	S	81.25	W	282	4	8,973	60	88	75	75
<b>Philippines</b>	Manila Airport	Luzon	14.52	N	121.00	E	75	0	11,449	69	93	80	80
<b>Poland</b>	Krakow/Balice		50.08	N	19.80	E	778	6,924	2,007	-1	81	67	67
<b>Puerto Rico</b>	San Juan/Isla Verde WSFO		18.43	N	66.00	W	10	0	11,406	69	90	78	78
<b>Romania</b>	Bucuresti/Bancasa		44.50	N	26.13	E	308	5,461	2,948	8	88	70	70
<b>Russia (Former Soviet Union)</b>	Kaliningrad	East Prussia	54.70	N	20.62	E	89	7,115	1,589	-3	77	64	64
	Krasnoiarsk		56.00	N	92.88	E	636	11,278	1,351	-29	80	63	63
	Moscow Observatory		55.75	N	37.57	E	512	8,596	1,708	-10	79	65	65
	Petrovavlovsk		53.02	N	158.72	E	23	10,107	530	5	66	58	58
	Rostov-Na-Donu		47.25	N	39.82	E	259	6,360	3,015	2	86	68	68
	Vladivostok		43.12	N	131.90	E	453	8,915	1,728	-8	75	67	67
	Volgograd		48.68	N	44.35	E	476	7,558	2,840	-6	88	65	65
<b>Saudi Arabia</b>	Dhahran		26.27	N	50.17	E	72	381	10,936	N.A.	N.A.	N.A.	N.A.
	Riyadh		24.70	N	46.73	E	2,005	536	10,725	41	110	64	64
<b>Senegal</b>	Dakar/Yoff		14.73	N	17.50	W	89	6	9,750	61	88	77	77
<b>Singapore</b>	Singapore/Changi		1.37	N	103.98	E	49	0	11,995	73	90	79	79

TABLE D-3 (Continued) International Climatic Data

Country	Province or Region	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature		Cooling Design Temperature	
							Temperature	99.6%	Dry-Bulb	Wet-Bulb
City										
South Africa										
Cape Town/D F Malan		33.97	S 18.60	E 151	1,685	4,454	38		83	67
Johannesburg		26.13	S 28.23	E 5,558	1,919	4,252	34		82	60
Pretoria		25.73	S 28.18	E 4,364	1,151	5,828	39		88	63
Spain										
Barcelona		41.28	N 2.07	E 13	2,638	3,965	32		84	74
Madrid		40.47	N 3.57	W 1,909	3,669	3,702	24		94	68
Valencia/Manises		39.50	N 0.47	W 203	1,942	5,045	34		88	72
Sweden										
Stockholm/Arlanda		59.65	N 17.95	E 200	8,123	1,297	-2		77	61
Switzerland										
Zurich		47.38	N 8.57	E 1,867	6,015	1,995	13		80	65
Syria										
Damascus Airport		33.42	N 36.52	E 2,001	2,771	5,293	25		98	64
Taiwan										
Tainan		22.95	N 120.20	E 52	150	9,729	51		91	81
Taipei		25.03	N 121.52	E 26	438	8,896	48		93	80
Tanzania										
Dar es Salaam		6.88	S 39.20	E 180	4	10,755	N.A.		N.A.	N.A.
Thailand										
Bangkok		13.73	N 100.57	E 52	0	12,430	65		97	79
Tunisia										
Tunis/El Aouina		36.83	N 10.23	E 16	1,657	5,769	41		94	73
Turkey										
Adana		37.00	N 35.42	E 217	1,847	6,098	32		94	71
Ankara/Etimesgut		39.95	N 32.68	E 2,644	5,162	3,077	2		86	63
Istanbul/Yesilkoy		40.97	N 28.82	E 121	3,534	3,777	26		84	69
United Kingdom										
Birmingham	England	52.45	N 1.73	W 325	5,866	1,355	21		75	62
Edinburgh	Scotland	55.95	N 3.35	W 135	6,347	1,001	21		69	60



TABLE D-3 (Continued) International Climatic Data

Country	Province or Region	Latitude	Longitude	Elev. (ft)	HDD65	CDD50	Heating Design Temperature			Cooling Design Temperature		
							99.6%	Dry-Bulb	Wet-Bulb	99.6%	Dry-Bulb	Wet-Bulb
City												
(UK cont.)												
Glasgow Apt	Scotland	55.87	N 4.43	W 23	6,287	1,041	21	71	61			
London/Heathrow	England	51.48	N 0.45	W 79	5,015	1,894	25	78	64			
Uruguay												
Montevideo/Carrasco		34.83	S 56.03	W 108	2,124	4,602	35	86	71			
Venezuela												
Caracas/Maiquetia		10.60	N 66.98	W 236	9	11,501	70	91	83			
Vietnam												
Hanoi/Gialam		21.02	N 105.80	E 26	330	9,868	N.A.	N.A.	N.A.			
Saigon (Ho Chi Minh)		10.82	N 106.67	E 62	0	12,057	68	94	77			

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## INFORMATIVE APPENDIX E INFORMATIVE REFERENCES

This appendix contains informative references for the convenience of users of Standard 90.1-2004 and to acknowledge source documents when appropriate. Some documents are also included in Section 12 – Normative References because there are other citations of that document within the standard that are normative.

### Address/Contact Information

#### AABC

Associated Air Balance Council  
1518 K Street Northwest, Suite 503  
Washington, DC 20005  
[aabchg@aol.com](mailto:aabchg@aol.com)

#### BLAST

Building Systems Laboratory  
University of Illinois  
1206 West Green Street  
Urbana, Illinois 61801  
<http://www.bso.uiuc.edu/BLAST/index.html>

#### DOE-2

Building Energy Simulation news  
<http://simulationresearch.lbl.gov/un.html>

#### MICA

Midwest Insulation Contractors Association  
16712 Elm Circle  
Omaha, NE 68130  
<http://www.micainsulation.org>

#### NEBB

National Environmental Balancing Bureau  
8575 Grovemont Circle  
Gaithersburg, MD 20877  
<http://www.nebb.org>

#### SMACNA

Sheet Metal & Air Conditioning Contractors' National Association  
4201 Lafayette Center Drive  
Chantilly, VA 20151  
[info@smacna.org](mailto:info@smacna.org)  
<http://www.smacna.org>

#### TMY2 Data

National Renewable Energy Laboratory  
NREL/RReDC  
Attn: Pamela Gray-Hann  
1617 Cole Blvd., MS-1612  
Golden, Colorado, USA 80401  
[http://rredc.nrel.gov/solar/old\\_data/nsrdb/tmy2/](http://rredc.nrel.gov/solar/old_data/nsrdb/tmy2/)

#### WYEC2 Data

American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc.  
ASHRAE Bookstore  
1791 Tullie Circle, NE  
Atlanta, GA 30329-2305  
(T) 404-636-8400  
(F) 404-321-5478  
<http://resourcecenter.ashrae.org/store/ashrae/>

#### IWEC Data

American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc.  
ASHRAE Bookstore  
1791 Tullie Circle, NE  
Atlanta, GA 30329-2305  
(T) 404-636-8400  
(F) 404-321-5478

Subsection No.	Reference	Title/Source
6.4.2	2001 ASHRAE Handbook—Fundamentals	ASHRAE
6.4.4.1.1	MICA Insulation Standards - 1999	National commercial and industrial insulation standards
6.4.4.2.1	SMACNA Duct Construction Standards - 1995	HVAC duct construction standards, metal and flexible
6.4.4.2.2	SMACNA Duct Leakage Test Procedures - 1985	HVAC Air Duct Leakage Test Manual
6.7.2.3.1	NEBB Procedural Standards - 1999	Procedural standards for building systems commissioning
6.7.2.3.1	AABC 2002	Associated Air Balance Council Test and Balance procedures
6.7.2.3.1	ASHRAE Standard 111 - 1988	Practices for Measurement, Testing, Adjusting and Balancing of Building Heating, Ventilation, Air-Conditioning and Refrigeration Systems
6.7.2.2	ASHRAE Guideline 4 - 1993	Preparation of Operating and Maintenance Documentation for Building Systems
6.7.2.4	ASHRAE Guideline 1 - 1996	The HVAC Commissioning Process
7.4.1 and 7.5	2003 ASHRAE Handbook—HVAC Applications	Chapter 49, Service Water Heating
11.2.1	DOE-2	Support provided by Lawrence Berkeley National Lab at the referenced web site
11.2.1	BLAST	University of Illinois
11.2.2	IWEC	International Weather for Energy Calculations
11.2.2	TMY 2 Data	Typical Meteorological Year

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## INFORMATIVE APPENDIX F ADDENDA DESCRIPTION INFORMATION

ASHRAE/IESNA Standard 90.1-2004 incorporates ANSI/ASHRAE/IESNA Standard 90.1-2001 and Addenda a, b, c, d, e, g, h, i, j, k, m, n, o, p, q, r, s, t, u, x, y, z, aa, ab, ac, ae, ag, ah, ai, ak, al, and am to ANSI/ASHRAE/IESNA Standard 90.1-2001. **Table F-1** lists each addendum and describes the way in which the text is affected by the change. **Table F-2** states the ASHRAE and ANSI approval dates.

**TABLE F-1      Addenda to ANSI/ASHRAE/IESNA Standard 90.1-2001, Changes Identified**

Addenda to 90.1-2001	Sections Affected	Description of Changes <sup>a</sup>
90.1a	4. Administration and Enforcement	Addendum deletes Section 4.4.7 in its entirety. Requirements for <i>transformers</i> were deleted from a prior draft of the standard, and Section 4.4.7 was inadvertently not deleted at the same time the transformer requirements were deleted. Without the transformer requirements in Section 8, or any sort of indication as to what transformers were to be labeled, the requirement for labeling transformers with their “energy-efficiency level” in Section 4.4.7 became meaningless or confusing.
90.1b	6. Heating, Ventilating, and Air Conditioning	Change to 6.2.1, Mechanical Equipment Efficiency, relates to the certification program for product performance verification.
90.1c	6. Heating, Ventilating, and Air Conditioning	This change modifies Table 6.2.4.3B, Duct Seal Levels, with regard to pressure-sensitive tape.
90.1d	6. Heating, Ventilating, and Air Conditioning	This change to Table 6.2.1D establishes minimum efficiency standards for single-package vertical air-conditioners (SPVAC) and heat pumps (SPVHP). It is consistent with DOE’s decision to regulate SPVUs under EPACT.
90.1e	11. Energy Cost Budget Method	New Informative Appendix G is for use in rating the performance of building designs. This is an informative appendix because it is not to be included as part of the minimum requirements to comply with code. The appendix parallels Section 11, on which it is based, and is an attempt at providing a generic method that can be referenced by any rating agency.
90.1g	Tables 9.3.1.1 and 9.3.1.2 Lighting Power Densities	This replacement of Tables 9.3.1.1 and 9.3.1.2 of 90.1-2001 including the Lighting Power Density (LPD) values represents a complete review and update of the inputs to the space and building models used to derive these values.
90.1h	12. Normative References and Normative Appendix A	This addendum updates the references in Section 12 and the test procedure references in Sections A9.3.1 and A9.3.2.
90.1i	6. Heating, Ventilating, and Air Conditioning	This addendum revises Tables 6.2.1A and 6.2.1B to reflect newly adopted DOE efficiency standards for single-phase air conditioners and heat pumps less than 65,000 Btu/h.
90.1j	9. Lighting	This addendum applies to the exceptions to 9.3.1, Interior Lighting Power, specifically exception (n), athletic playing areas.
90.1k	6. Heating, Ventilating, and Air Conditioning	Change to 6.2.3.1.1, General, relates to zone and loop controllers.
90.1m	7. Service Water Heating	Addendum added requirement for heat pump pool heaters to Table 7.2.2.
90.1n	6. Heating, Ventilating, and Air Conditioning	Addendum provides detailed explanations of control means to clarify the intent of supplemental heater control requirements in 6.1.3 (g), Simplified Approach Option for HVAC Systems.
90.1o	6. Heating, Ventilating, and Air Conditioning	This addendum deletes exception (d) in Section 6.3.1.
90.1p	11. Energy Cost Budget Method	This addendum adds a new Section 11.2.1.4 containing a reference to ASHRAE Standard 140.
90.1q	9. Lighting	This addendum revises the exterior lighting requirements in Sections 9.2.1.3 and 9.3.2 as well as Table 9.3.2.
90.1r	6. Heating, Ventilating, and Air Conditioning	This addendum adds requirements for return duct insulation to Table 6.2.4.2B.

**TABLE F-1 (continued) Addenda to ANSI/ASHRAE/IESNA Standard 90.1-2001, Changes Identified**

Addenda to 90.1-2001	Sections Affected	Description of Changes <sup>a</sup>
90.1s	6. Heating, Ventilating, and Air Conditioning	This addendum revises exceptions (g) and (i) in Section 6.3.6.1.
90.1t	9. Lighting	Change to the exceptions to the automatic control device requirement for building lighting in exceptions to 9.2.1.1.
90.1u	6. Heating, Ventilating, and Air Conditioning	Change to Tables 6.3.1.1.3.A and 6.3.1.1.3.B to add dew point or mixing ratio with temperature shutoff control types and required high-limit values for these type of controls.
90.1x	6. Heating, Ventilating, and Air Conditioning	Change to Sections 6.1.3i and 6.2.3.2, and the addition of a new Section 6.2.3.3.5, Ventilation Fan Controls.
90.1y	6. Heating, Ventilating, and Air Conditioning	Change to Section 6.3.3.2.1, Part-Load Fan Power Limitation, to reduce the requirement for VAV fans with motors from 30 hp to 15 hp.
90.1z	6. Heating, Ventilating, and Air Conditioning	Change to the Exception to 6.2.1.
90.1aa	6. Heating, Ventilating, and Air Conditioning and 12. Normative References	Change to update all of the normative references in Section 12 including the test procedure references in Tables 6.2.1A and 6.2.1B to reflect the newly published ARI Standard 210/240-2003.
90.1ab	6. Heating, Ventilating, and Air Conditioning	Change to exceptions to 6.3.6.1 (d), Exhaust Air Energy Recovery, relating to commercial kitchen hoods.
90.1ac	11. Energy Cost Budget Method	Change to Sections 11.3.1, exceptions to 11.3.6, 11.3.8, 11.3.9, Note 7 of Table 11.4.3A, and Section 11.4.3.
90.1ae	9. Lighting	Change to Section 9.2.1.1, Space Control.
90.1ag	Table 9.3.1.2	This revision of the retail "sales area" LPD value is a correction of the previously approved Addendum g to the 90.1-2001 standard. When the initial table of space-by-space method LPDs was prepared for Addendum g public review, the "Retail Sales area" was inadvertently left at the previous 90.1-2001 value of 2.1 W/ft <sup>2</sup> (23 W/m <sup>2</sup> ). The correct value produced by the applicable space type models is 1.7 W/ft <sup>2</sup> (18 W/m <sup>2</sup> ), which should have been included in Addendum g to 90.1-2001. This addendum seeks to correct this oversight.
90.1ah	Tables D-1 and D-3	This addendum is intended to add new weather data for nine new locations, including the District of Columbia (to remedy an earlier omission) plus six locations in the U.S. Territories and a new location in the Philippines. These additions do not impact the stringency of the standard but simply increase its usability.
90.1ai	9. Lighting	Change to Section 9.2.3, Exit Signs, to require a maximum of 5 watt per face of exit signs.
90.1ak	Table 6.2.1G, Performance Requirements for Heat Rejection Equipment, and Section 6.2.1	Change to Table 6.2.1G to add requirements for cooling towers to be tested to CTI test procedures and to update the corresponding references in Section 6.2.1.
90.1al	Informative Appendix E, Informative References	Change to Appendix E to update references related to building energy simulation software and annual weather data.
90.1am	5. Building Envelope and 6. HVAC	Changes to Sections 5 and 6 plus Appendices B and D to reduce the climatic data tables from 26 to 8 climate zones. This is consistent with the DOE and IECC climate tables.

<sup>a</sup> These descriptions may not be complete and are provided for information only.

**TABLE F-2 Addenda to ANSI/ASHRAE/IESNA Standard 90.1-2001, Approval Dates**

<b>Addenda to 90.1-2001</b>	<b>ASHRAE Standards Committee Approval Date</b>	<b>ASHRAE Board of Directors Approval Date</b>	<b>ANSI Approval Date</b>	<b>IESNA Board of Directors Approval Date</b>
90.1a	January 25, 2003	January 30, 2003	April 3, 2003	December 7, 2002
90.1b	June 22, 2002	June 27, 2002	July 30, 2002	June 2, 2002
90.1c	June 22, 2002	June 27, 2002	July 30, 2002	June 2, 2002
90.1d	June 22, 2002	June 27, 2002	July 30, 2002	June 2, 2002
90.1e	January 24, 2004	January 29, 2004	March 31, 2004	December 6, 2003
90.1g	June 28, 2003	July 3, 2003	August 6, 2003	March 3, 2003
90.1h	October 5, 2003	January 29, 2004	February 25, 2004	December 6, 2004
90.1i	June 28, 2003	July 3, 2003	August 6, 2003	August 3, 2003
90.1j	June 28, 2003	July 3, 2003	August 6, 2003	August 3, 2003
90.1k	September 17, 2002	October 14, 2002	December 17, 2002	December 7, 2002
90.1m	January 25, 2003	January 30, 2003	April 3, 2003	December 7, 2002
90.1n	June 28, 2003	July 3, 2003	September 25, 2003	August 3, 2003
90.1o	October 5, 2003	January 29, 2004	February 25, 2004	December 6, 2004
90.1p	October 5, 2003	January 29, 2004	February 25, 2004	December 6, 2004
90.1q	June 26, 2004	July 1, 2001	July 1, 2001	July 25, 2004
90.1r	October 5, 2003	January 29, 2004	February 25, 2004	December 6, 2004
90.1s	October 5, 2003	January 29, 2004	February 25, 2004	December 6, 2004
90.1t	April 28, 2004	July 1, 2001	July 1, 2001	March 30, 2004
90.1u	June 26, 2004	July 1, 2001	July 1, 2001	July 25, 2004
90.1x	May 10, 2004	July 1, 2004	August 5, 2004	March 30, 2004
90.1y	April 28, 2004	July 1, 2001	July 1, 2001	March 30, 2004
90.1z	April 28, 2004	July 1, 2001	July 1, 2001	March 30, 2004
90.1aa	April 28, 2004	July 1, 2001	July 1, 2001	March 30, 2004
90.1ab	April 28, 2004	July 1, 2001	July 1, 2001	March 30, 2004
90.1ac	June 26, 2004	July 1, 2001	July 1, 2001	July 25, 2004
90.1ae	June 26, 2004	July 1, 2001	July 1, 2001	July 25, 2004
90.1ag	April 28, 2004	July 1, 2001	July 1, 2001	March 30, 2004
90.1ah	April 28, 2004	July 1, 2001	July 1, 2001	March 30, 2004
90.1ai	June 26, 2004	July 1, 2001	July 1, 2001	July 25, 2004
90.1al	June 26, 2004	July 1, 2001	July 1, 2001	July 25, 2004
90.1am	June 26, 2004	July 1, 2001	July 1, 2001	July 25, 2004

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## INFORMATIVE APPENDIX G PERFORMANCE RATING METHOD

### G1 GENERAL

**G1.1 Performance Rating Method Scope.** This building performance rating method is a modification of the Energy Cost Budget (ECB) Method in Section 11 and is intended for use in rating the energy *efficiency* of building designs that exceed the requirements of this standard. This appendix does NOT offer an alternative compliance path for minimum standard compliance; that is the intent of Section 11, Energy Cost Budget Method. Rather, it is provided for those wishing to use the methodology developed for this standard to quantify performance that substantially exceeds the requirements of Standard 90.1. It may be useful for evaluating the performance of all *proposed designs*, including *alterations* and *additions* to *existing buildings*, except designs with no mechanical systems.

**G1.2 Performance Rating.** This performance rating method requires conformance with the following provisions:

- All requirements of 5.4, 6.4, 7.4, 8.4, 9.4, and 10.4 are met. These sections contain the mandatory provisions of the standard, and are prerequisites for this rating method.
- The improved performance of the proposed building design is calculated in accordance with provisions of this appendix using the following formula:

$$\text{Percentage improvement} = 100 \times (\text{Baseline building performance} - \text{Proposed building performance}) / \text{Baseline building performance}$$

#### Notes:

- Both the *proposed building performance* and the *baseline building performance* shall include all end-use load components, such as receptacle and process loads.
- Neither the *proposed building performance* nor the *baseline building performance* are predictions of actual energy consumption or costs for the *proposed design* after construction. Actual experience will differ from these calculations due to variations such as occupancy, building operation and maintenance, weather, energy use not covered by this procedure, changes in energy rates between design of the building and occupancy, and the precision of the calculation tool.

**G1.3 Trade-Off Limits.** When the proposed modifications apply to less than the whole building, only parameters related to the systems to be modified shall be allowed to vary. Parameters relating to unmodified existing conditions or to future building components shall be identical for determining both the *baseline building performance* and the *proposed building performance*. Future building components shall meet the

prescriptive requirements of Sections 5.5, 6.5, 7.5, 9.5, and 9.6.

**G1.4 Documentation Requirements.** Simulated performance shall be documented, and documentation shall be submitted to the *rating authority*. The information submitted shall include the following:

- Calculated values for the *baseline building performance*, the *proposed building performance*, and the percentage improvement.
- A list of the energy-related features that are included in the design and on which the performance rating is based. This list shall document all energy features that differ between the models used in the *baseline building performance* and *proposed building performance* calculations.
- Input and output report(s) from the *simulation program* or compliance software including a breakdown of energy usage by at least the following components: lights, internal equipment loads, service water heating equipment, space heating equipment, space cooling and heat rejection equipment, fans, and other HVAC equipment (such as pumps). The output reports shall also show the amount of time any loads are not met by the HVAC system for both the *proposed design* and *baseline building design*.
- An explanation of any error messages noted in the *simulation program* output.

### G2 SIMULATION GENERAL REQUIREMENTS

**G2.1 Performance Calculations.** The *proposed building performance* and *baseline building performance* shall be calculated using the following:

- the same *simulation program*,
- the same weather data, and
- the same energy rates.

**G2.2 Simulation Program.** The *simulation program* shall be a computer-based program for the analysis of energy consumption in buildings (a program such as, but not limited to, DOE-2, BLAST, or EnergyPlus). The *simulation program* shall include calculation methodologies for the building components being modeled. For components that cannot be modeled by the simulation program, the exceptional calculation methods requirements in Section G2.5 may be used.

**G2.2.1** The *simulation program* shall be approved by the *rating authority* and shall, at a minimum, have the ability to explicitly model all of the following:

- 8,760 hours per year;
- hourly variations in occupancy, lighting power, miscellaneous equipment power, thermostat setpoints, and HVAC system operation, defined separately for each day of the week and holidays;
- thermal mass effects;
- ten or more thermal zones;
- part-load performance curves for mechanical equipment;
- capacity and *efficiency* correction curves for mechanical heating and cooling equipment;
- air-side economizers with integrated control;
- baseline building design* characteristics specified in G3.

**G2.2.2** The *simulation program* shall have the ability to either (1) directly determine the *proposed building performance* and *baseline building performance* or (2) produce hourly reports of energy use by an energy source suitable for determining the *proposed building performance* and *baseline building performance* using a separate calculation engine.

**G2.2.3** The *simulation program* shall be capable of performing design load calculations to determine required HVAC equipment capacities and air and water flow rates in accordance with generally accepted engineering standards and handbooks (for example, *ASHRAE Handbook—Fundamentals*) for both the *proposed design* and *baseline building design*.

**G2.3 Climate Data.** The *simulation program* shall perform the simulation using hourly values of climate data, such as temperature and humidity from representative climate data, for the site in which the *proposed design* is to be located. For cities or urban regions with several climate data entries, and for locations where weather data are not available, the designer shall select available weather data that best represent the climate at the construction site. The selected weather data shall be approved by the *rating authority*.

**G2.4 Energy Rates.** Annual energy costs shall be determined using either actual rates for purchased energy or state average energy prices published by DOE's Energy Information Administration (EIA) for commercial building customers, but rates from different sources may not be mixed in the same project.

**Note:** The above provision allows users to gain credit for features that yield load management benefits. Where such features are not present, users can simply use state average unit prices from EIA, which are updated annually and readily available on EIA's web site (<http://www.eia.doe.gov/>).

**Exception to G2.4:** On-site renewable energy sources or site-recovered energy shall not be considered to be purchased energy and shall not be included in the *proposed building performance*. Where on-site renewable or site-recovered sources are used, the *baseline building performance* shall be based on the energy source used as the backup energy source or on the use of electricity if no backup energy source has been specified.

**G2.5 Exceptional Calculation Methods.** Where no simulation program is available that adequately models a design, material, or device, the *rating authority* may approve an exceptional calculation method to demonstrate above-standard performance using this method. Applications for approval of an exceptional method shall include documentation of the calculations performed and theoretical and/or empirical information supporting the accuracy of the method.

## G3 Calculation of the Proposed and Baseline Building Performance

**G3.1 Building Performance Calculations.** The simulation model for calculating the proposed and *baseline building performance* shall be developed in accordance with the requirements in **Table G3.1**.

**G3.1.1 Baseline HVAC System Type and Description.** HVAC systems in the *baseline building design* shall be based on usage, number of floors, conditioned floor area, and heating source as specified in **Table G3.1.1A** and shall conform with the system descriptions in **Table G3.1.1B**.

### Exceptions to G3.1.1:

- Use additional system type(s) for non-predominant conditions (i.e., residential/nonresidential or heating source) if those conditions apply to more than 20,000 ft<sup>2</sup> of conditioned floor area.
- If the baseline HVAC system type is 5, 6, 7, or 8, use separate single-zone systems conforming with the requirements of System 3 or System 4 (depending on building heating source) for any spaces that have occupancy or process loads or schedules that differ significantly from the rest of the building. Peak thermal loads that differ by 10 Btu/h-ft<sup>2</sup> or more from the average of other spaces served by the system or schedules that differ by more than 40 equivalent full-load hours per week from other spaces served by the system are considered to differ significantly. Examples where this exception may be applicable include, but are not limited to, computer server rooms, natatoriums, and continually occupied security areas.
- If the baseline HVAC system type is 5, 6, 7, or 8, use separate single-zone systems conforming with the requirements of System 3 or System 4 (depending on building heat source) for any zones having special pressurization relationships, cross-contamination requirements, or code-required minimum circulation rates

**G3.1.1.1 Purchased Heat.** For systems using purchased hot water or steam, hot water or steam costs shall be based on actual utility rates, and on-site boilers shall not be modeled in the *baseline building design*.

**G3.1.2 General Baseline HVAC System Requirements.** HVAC systems in the *baseline building design* shall conform with the general provisions in this section.

**G3.1.2.1 Equipment Efficiencies.** All HVAC equipment in the *baseline building design* shall be modeled at the minimum *efficiency* levels, both part load and full load, in accordance with Section 6.4. Where *efficiency* ratings, such as EER and COP, include fan energy, the descriptor shall be broken down into its components so that supply fan energy can be modeled separately.



**TABLE G3.1 Modeling Requirements for Calculating Proposed and Baseline Building Performance**

No.	Proposed Building Performance	Baseline Building Performance
<b>1. Design Model</b>	<p>(a) The simulation model of the <i>proposed design</i> shall be consistent with the design documents, including proper accounting of fenestration and opaque envelope types and areas; interior lighting power and controls; HVAC system types, sizes, and controls; and service water heating systems and controls. All end-use load components within and associated with the building shall be modeled, including, but not limited to, exhaust fans, parking garage ventilation fans, snow-melt and freeze-protection equipment, facade lighting, swimming pool heaters and pumps, elevators and escalators, refrigeration, and cooking.</p> <p>(b) All conditioned spaces in the <i>proposed design</i> shall be simulated as being both heated and cooled even if no heating or cooling system is to be installed, and temperature and humidity control set-points and schedules shall be the same for <i>proposed</i> and <i>baseline building designs</i>.</p> <p>(c) When the <i>performance rating method</i> is applied to buildings in which energy-related features have not yet been designed (e.g., a lighting system), those yet-to-be-designed features shall be described in the <i>proposed design</i> exactly as they are defined in the <i>baseline building design</i>. Where the space classification for a space is not known, the space shall be categorized as an office space.</p>	<p>The <i>baseline building design</i> shall be modeled with the same number of floors and identical conditioned floor area as the <i>proposed design</i>.</p>
<b>2. Additions and Alterations</b>	<p>It is acceptable to predict performance using building models that exclude parts of the <i>existing building</i> provided that all of the following conditions are met:</p> <p>(a) Work to be performed in excluded parts of the building shall meet the requirements of Sections 5 through 10.</p> <p>(b) Excluded parts of the building are served by HVAC systems that are entirely separate from those serving parts of the building that are included in the building model.</p> <p>(c) Design space temperature and HVAC system operating set-points and schedules on either side of the boundary between included and excluded parts of the building are essentially the same.</p> <p>(d) If a declining block or similar utility rate is being used in the analysis and the excluded and included parts of the building are on the same utility meter, the rate shall reflect the utility block or rate for the building plus the <i>addition</i>.</p>	<p>Same as Proposed Design</p>
<b>3. Space Use Classification</b>	<p>Usage shall be specified using the building type or space type lighting classifications in accordance with 9.5.1 or 9.6.1. The user shall specify the space use classifications using either the building type or space type categories but shall not combine the two types of categories. More than one building type category may be used in a building if it is a mixed-use facility. If space type categories are used, the user may simplify the placement of the various space types within the building model, provided that building-total areas for each space type are accurate.</p>	<p>Same as Proposed Design</p>

TABLE G3.1 (Continued) Modeling Requirements for Calculating Proposed and Baseline Building Performance

No.	Proposed Building Performance	Baseline Building Performance
4. Schedules	<p>Schedules capable of modeling hourly variations in occupancy, lighting power, miscellaneous equipment power, thermostat set-points, and HVAC system operation shall be used. The schedules shall be typical of the proposed building type as determined by the designer and approved by the <i>rating authority</i>.</p> <p><b>HVAC Fan Schedules.</b> Schedules for HVAC fans shall run continuously whenever spaces are occupied and shall be cycled on and off to meet heating and cooling loads during unoccupied hours.</p> <p><b>Exception:</b> Where no heating and/or cooling system is to be installed and a heating or cooling system is being simulated only to meet the requirements described in this table, heating and/or cooling system fans shall not be simulated as running continuously during occupied hours but shall be cycled on and off to meet heating and cooling loads during all hours.</p>	<p>Same as Proposed Design.</p> <p><b>Exception:</b> Schedules may be allowed to differ between <i>proposed design</i> and <i>baseline building design</i> when necessary to model nonstandard <i>efficiency</i> measures, provided that the revised schedules have the approval of the rating authority. Measures that may warrant use of different schedules include, but are not limited to, lighting controls, natural ventilation, demand control ventilation, and measures that reduce service water heating loads.</p>
5. Building Envelope	<p>All components of the <i>building envelope</i> in the <i>proposed design</i> shall be modeled as shown on architectural drawings or as built for existing building envelopes.</p> <p><b>Exceptions:</b> The following building elements are permitted to differ from architectural drawings.</p> <p>(a) All uninsulated assemblies (e.g., projecting balconies, perimeter edges of intermediate floor slabs, concrete floor beams over parking garages) shall be separately modeled. Any other envelope assembly that covers less than 5% of the total area of that assembly type (e.g., exterior walls) need not be separately described provided that it is similar to an assembly being modeled. If not separately described, the area of an envelope assembly shall be added to the area of an assembly of that same type with the same orientation and thermal properties.</p> <p>(b) Exterior surfaces whose azimuth orientation and tilt differ by less than 45 degrees and are otherwise the same may be described as either a single surface or by using multipliers.</p> <p>(c) For exterior roofs, the roof surface may be modeled with a reflectance of 0.45 if the reflectance of the <i>proposed design</i> roof is greater than 0.70 and its emittance is greater than 0.75. Reflectance values shall be based on testing in accordance with ASTM E903, ASTM E1175, or ASTM E1918, and the emittance values shall be based on testing in accordance with ASTM C835, ASTM C1371, or ASTM E408. All other roof surfaces shall be modeled with a reflectance of 0.30.</p> <p>(d) Manual fenestration shading devices such as blinds or shades shall not be modeled. Automatically controlled fenestration shades or blinds may be modeled. Permanent shading devices such as fins, overhangs, and light shelves may be modeled.</p>	<p>Equivalent dimensions shall be assumed for each exterior envelope component type as in the <i>proposed design</i>; i.e., the total gross area of exterior walls shall be the same in the <i>proposed</i> and <i>baseline building designs</i>. The same shall be true for the areas of roofs, floors, and doors, and the exposed perimeters of concrete slabs on grade shall also be the same in the <i>proposed</i> and <i>baseline building designs</i>. The following additional requirements shall apply to the modeling of the <i>baseline building design</i>:</p> <p><b>(a) Orientation.</b> The <i>baseline building performance</i> shall be generated by simulating the building with its actual orientation and again after rotating the entire building 90, 180, 270 degrees, then averaging the results. The building shall be modeled so that it does not shade itself.</p> <p><b>(b) Opaque assemblies.</b> Opaque assemblies used for new buildings or <i>additions</i> shall conform with the following common, lightweight assembly types and shall match the appropriate assembly maximum U-factors in Tables 5.5-1 through 5.5-8:</p> <ul style="list-style-type: none"> <li>• Roofs – Insulation entirely above deck</li> <li>• Above-grade walls – Steel-framed</li> <li>• Floors – Steel-joist</li> </ul> <p>• Opaque door types shall match the proposed design and conform to the U-factor requirements from the same tables.</p> <p>• Slab-on-grade floors shall match the F-factor for unheated slabs from the same tables.</p> <p>Opaque assemblies used for <i>alterations</i> shall conform with 5.1.3.</p> <p><b>(c) Vertical Fenestration.</b> Vertical fenestration areas for new buildings and <i>additions</i> shall equal that in the <i>proposed design</i> or 40% of gross above-grade wall area, whichever is smaller, and shall be distributed uniformly in horizontal bands across the four orientations. Fenestration U-factors shall match the appropriate requirements in Tables 5.5-1 through 5.5-8 for the applicable vertical glazing percentage for <math>U_{fixed}</math>. Fenestration solar heat gain coefficient (SHGC) shall match the appropriate requirements in Tables 5.5-1 through 5.5-8 using the value for <math>SHGC_{all}</math> for the applicable vertical glazing percentage. All vertical glazing shall be modeled as fixed and shall be assumed to be flush with the exterior wall, and no shading projections shall be modeled. Manual window shading devices such as blinds or shades shall not be modeled. The fenestration areas for envelope <i>alterations</i> shall reflect the limitations on area, U-factor, and SHGC as described in 5.1.3.</p> <p><b>(d) Skylights and Glazed Smoke Vents.</b> Skylight area shall be equal to that in the proposed building design or 5% of the gross roof area that is part of the <i>building envelope</i>, whichever is smaller. If the skylight area of the proposed building design is greater than 5% of the gross roof area, baseline skylight area shall be decreased by an identical percentage in all roof components in which skylights are located to reach the 5% skylight-to-roof ratio. Skylight orientation and tilt shall be the same as in the proposed building design. Skylight U-factor and SHGC properties shall match the appropriate requirements in Tables 5.5-1 through 5.5-8.</p> <p><b>(e) Roof albedo.</b> All roof surfaces shall be modeled with a reflectivity of 0.30.</p> <p><b>(f) Existing Buildings.</b> For existing <i>building envelopes</i>, the <i>baseline building design</i> shall reflect existing conditions prior to any revisions that are part of the scope of work being evaluated.</p>

**TABLE G3.1 (Continued) Modeling Requirements for Calculating Proposed and Baseline Building Performance**

No.	Proposed Building Performance	Baseline Building Performance
6. Lighting	<p>Lighting power in the <i>proposed design</i> shall be determined as follows:</p> <p>(a) Where a complete lighting system exists, the actual lighting power shall be used in the model.</p> <p>(b) Where a lighting system has been designed, lighting power shall be determined in accordance with 9.1.3 and 9.1.4.</p> <p>(c) Where lighting neither exists nor is specified, lighting power shall be determined in accordance with the Building Area Method for the appropriate building type.</p> <p>(d) Lighting system power shall include all lighting system components shown or provided for on the plans (including lamps and ballasts and task and furniture-mounted fixtures).</p> <p><b>Exception:</b> For multifamily living units, hotel/motel guest rooms, and other spaces in which lighting systems are connected via receptacles and are not shown or provided for on building plans, assume identical lighting power for the <i>proposed</i> and <i>baseline building designs</i> in the simulations, but exclude these loads when calculating the <i>baseline building performance</i> and <i>proposed building performance</i>.</p> <p>(e) Lighting power for parking garages and building facades shall be modeled.</p> <p>(f) Credit may be taken for the use of automatic controls for daylight utilization but only if their operation is either modeled directly in the building simulation or modeled in the building simulation through schedule adjustments determined by a separate daylighting analysis approved by the <i>rating authority</i>.</p> <p>(g) For automatic lighting controls in addition to those required for minimum code compliance under 9.2, credit may be taken for automatically controlled systems by reducing the connected lighting power by the applicable percentages listed in Table G3.2. Alternatively, credit may be taken for these devices by modifying the lighting schedules used for the <i>proposed design</i>, provided that credible technical documentation for the modifications are provided to the <i>rating authority</i>.</p>	<p>Lighting power in the <i>baseline building design</i> shall be determined using the same categorization procedure (building area or space function) and categories as the proposed design with lighting power set equal to the maximum allowed for the corresponding method and category in 9.2. No automatic lighting controls (e.g., programmable controls or automatic controls for daylight utilization) shall be modeled in the <i>baseline building design</i>, as the lighting schedules used are understood to reflect the mandatory control requirements in this standard.</p>
7. Thermal Blocks – HVAC Zones Designed	<p>Where HVAC zones are defined on HVAC design drawings, each HVAC zone shall be modeled as a separate <i>thermal block</i>.</p> <p><b>Exception:</b> Different HVAC zones may be combined to create a single <i>thermal block</i> or identical <i>thermal blocks</i> to which multipliers are applied, provided that all of the following conditions are met:</p> <p>(a) The space use classification is the same throughout the <i>thermal block</i>.</p> <p>(b) All HVAC zones in the <i>thermal block</i> that are adjacent to glazed exterior walls face the same orientation or their orientations vary by less than 45 degrees.</p> <p>(c) All of the zones are served by the same HVAC system or by the same kind of HVAC system.</p>	<p>Same as Proposed Design.</p>

**TABLE G3.1 (Continued) Modeling Requirements for Calculating Proposed and Baseline Building Performance**

No.	Proposed Building Performance	Baseline Building Performance
<b>8. Thermal Blocks – HVAC Zones Not Designed</b>	<p>Where the HVAC zones and systems have not yet been designed, <i>thermal blocks</i> shall be defined based on similar internal load densities, occupancy, lighting, thermal and space temperature schedules, and in combination with the following guidelines:</p> <p>(a) Separate <i>thermal blocks</i> shall be assumed for interior and perimeter spaces. Interior spaces shall be those located greater than 15 ft from an exterior wall. Perimeter spaces shall be those located within 15 ft of an exterior wall.</p> <p>(b) Separate <i>thermal blocks</i> shall be assumed for spaces adjacent to glazed exterior walls; a separate zone shall be provided for each orientation, except that orientations that differ by less than 45 degrees may be considered to be the same orientation. Each zone shall include all floor area that is 15 ft or less from a glazed perimeter wall, except that floor area within 15 ft of glazed perimeter walls having more than one orientation shall be divided proportionately between zones.</p> <p>(c) Separate <i>thermal blocks</i> shall be assumed for spaces having floors that are in contact with the ground or exposed to ambient conditions from zones that do not share these features.</p> <p>(d) Separate <i>thermal blocks</i> shall be assumed for spaces having exterior ceiling or roof assemblies from zones that do not share these features.</p>	Same as Proposed Design.
<b>9. Thermal Blocks - Multifamily Residential Buildings</b>	<p>Residential spaces shall be modeled using at least one <i>thermal block</i> per living unit, except that those units facing the same orientations may be combined into one <i>thermal block</i>. Corner units and units with roof or floor loads shall only be combined with units sharing these features.</p>	Same as Proposed Design.
<b>10. HVAC Systems</b>	<p>The HVAC system type and all related performance parameters in the <i>proposed design</i>, such as equipment capacities and efficiencies, shall be determined as follows:</p> <p>(a) Where a complete HVAC system exists, the model shall reflect the actual system type using actual component capacities and efficiencies.</p> <p>(b) Where an HVAC system has been designed, the HVAC model shall be consistent with design documents. Mechanical equipment efficiencies shall be adjusted from actual design conditions to the standard rating conditions specified in 6.4.1 if required by the simulation model.</p> <p>(c) Where no heating system exists or no heating system has been specified, the heating system classification shall be assumed to be electric, and the system characteristics shall be identical to the system modeled in the <i>baseline building design</i>.</p> <p>(d) Where no cooling system exists or no cooling system has been specified, the cooling system shall be identical to the system modeled in the <i>baseline building design</i>.</p>	The HVAC system(s) in the <i>baseline building design</i> shall be of the type and description specified in G3.1.1, shall meet the general HVAC system requirements specified in G3.1.2, and shall meet any system-specific requirements in G3.1.3 that are applicable to the baseline HVAC system type(s).

**TABLE G3.1 (Continued) Modeling Requirements for Calculating Proposed and Baseline Building Performance**

No.	Proposed Building Performance	Baseline Building Performance
<b>11. Service Hot Water Systems</b>	<p>The service hot water system type and all related performance parameters, such as equipment capacities and efficiencies, in the <i>proposed design</i> shall be determined as follows:</p> <p>(a) Where a complete service hot water system exists, the <i>proposed design</i> shall reflect the actual system type using actual component capacities and efficiencies.</p> <p>(b) Where a service hot water system has been specified, the service hot water model shall be consistent with design documents.</p> <p>(c) Where no service hot water system exists or has been specified but the building will have service hot water loads, a service hot water system shall be modeled that matches the system in the <i>baseline building design</i> and serves the same hot water loads.</p> <p>(d) For buildings that will have no service hot water loads, no service hot water system shall be modeled.</p>	<p>The service hot water system in the <i>baseline building design</i> shall use the same energy source as the corresponding system in the <i>proposed design</i> and shall conform with the following conditions:</p> <p>(a) Where a complete service hot water system exists, the <i>baseline building design</i> shall reflect the actual system type using actual component capacities and efficiencies.</p> <p>(b) Where a new service hot water system has been specified, the equipment shall match the minimum <i>efficiency</i> requirements in Section 7.4. Where the energy source is electricity, the heating method shall be electrical resistance.</p> <p>(c) Where no service hot water system exists or has been specified but the building will have service hot water loads, a service water system(s) using electrical-resistance heat and matching minimum <i>efficiency</i> requirements of Section 7.4 shall be assumed and modeled identically in the <i>proposed</i> and <i>baseline building designs</i>.</p> <p>(d) For buildings that will have no service hot water loads, no service hot water heating shall be modeled.</p> <p>(e) Where a combined system has been specified to meet both space heating and service water heating loads, the baseline building system shall use separate systems meeting the minimum <i>efficiency</i> requirements applicable to each system individually.</p> <p>(f) For large, 24-hour-per-day facilities that meet the prescriptive criteria for use of condenser heat recovery systems described in Section 6.5.6.2, a system meeting the requirements of that section shall be included in the <i>baseline building design</i> regardless of the exceptions to 6.5.6.2.</p> <p><b>Exception:</b> If a condenser heat recovery system meeting the requirements described in Section 6.5.6.2 cannot be modeled, the requirement for including such a system in the actual building shall be met as a prescriptive requirement in accordance with 6.5.6.2, and no heat-recovery system shall be included in the <i>proposed</i> or <i>baseline building designs</i>.</p>
<b>12. Receptacle and other Loads</b>	<p>Receptacle and process loads, such as those for office and other equipment, shall be estimated based on the building type or space type category and shall be assumed to be identical in the <i>proposed</i> and <i>baseline building designs</i>, except as specifically authorized by the <i>rating authority</i>. These loads shall be included in simulations of the building and shall be included when calculating the <i>baseline building performance</i> and <i>proposed building performance</i>.</p>	<p>Other systems, such as motors covered by Section 10, and miscellaneous loads shall be modeled as identical to those in the <i>proposed design</i>. Where there are specific <i>efficiency</i> requirements in Section 10, these systems or components shall be modeled as having the lowest <i>efficiency</i> allowed by those requirements.</p>
<b>13. Modeling Limitations to the Simulation Program</b>	<p>If the simulation program cannot model a component or system included in the <i>proposed design</i> explicitly, substitute a thermodynamically similar component model that can approximate the expected performance of the component that cannot be modeled explicitly.</p>	<p>Same as Proposed Design.</p>

**TABLE G3.2 Power Adjustment Percentages for Automatic Lighting Controls**

Automatic Control Device(s)	Non-24-hr and ≤5,000ft <sup>2</sup>	All Other
(1) Programmable timing control	10%	0%
(2) Occupancy sensor	15%	10%
(3) Occupancy sensor and programmable timing control	15%	10%

**Note:** The 5,000 ft<sup>2</sup> condition pertains to the total conditioned floor area of the building.

**TABLE G3.1.1A Baseline HVAC System Types**

Building Type	Fossil Fuel, Fossil/Electric Hybrid, & Purchased Heat	Electric and Other
Residential	System 1 – PTAC	System 2 - PTHP
Nonresidential & 3 Floors or Less & <75,000 ft <sup>2</sup>	System 3 – PSZ-AC	System 4 – PSZ-HP
Nonresidential & 4 or 5 Floors & <75,000 ft <sup>2</sup> or 5 Floors or Less & 75,000 ft <sup>2</sup> to 150,000 ft <sup>2</sup>	System 5 - Packaged VAV w/ Reheat	System 6 - Packaged VAV w/PFP Boxes
Nonresidential & More than 5 Floors or >150,000 ft <sup>2</sup>	System 7 - VAV w/Reheat	System 8 - VAV w/PFP Boxes

Notes:

Residential building types include dormitory, hotel, motel, and multifamily. Residential space types include guest rooms, living quarters, private living space, and sleeping quarters. Other building and space types are considered nonresidential.

Where no heating system is to be provided or no heating energy source is specified, use the “Electric and Other” heating source classification.

Where attributes make a building eligible for more than one *baseline* system type, use the predominant condition to determine the system type for the entire building.

**TABLE G3.1.1B Baseline System Descriptions**

System No.	System Type	Fan Control	Cooling Type	Heating Type
1. PTAC	Packaged terminal air conditioner	Constant Volume	Direct Expansion	Hot Water Fossil Fuel Boiler
2. PTHP	Packaged terminal heat pump	Constant Volume	Direct Expansion	Electric Heat Pump
3. PSZ-AC	Packaged rooftop air conditioner	Constant Volume	Direct Expansion	Fossil Fuel Furnace
4. PSZ-HP	Packaged rooftop heat pump	Constant Volume	Direct Expansion	Electric Heat Pump
5. Packaged VAV w/ Reheat	Packaged rooftop variable air volume with reheat	VAV	Direct Expansion	Hot Water Fossil Fuel Boiler
6. Packaged VAV w/PFP Boxes	Packaged rooftop variable air volume with reheat	VAV	Direct Expansion	Electric Resistance
7. VAV w/Reheat	Packaged rooftop variable air volume with reheat	VAV	Chilled Water	Hot Water Fossil Fuel Boiler
8. VAV w/PFP Boxes	Variable air volume with reheat	VAV	Chilled Water	Electric Resistance

**G3.1.2.2 Equipment Capacities.** The equipment capacities for the *baseline building design* shall be based on sizing runs for each orientation (per Table G3.1 No. 5a) and shall be oversized by 15% for cooling and 25% for heating; i.e., the ratio between the capacities used in the annual simulations and the capacities determined by the sizing runs shall be 1.15 for cooling and 1.25 for heating. Unmet load hours for the *proposed design* or *baseline building designs* shall not exceed 300 (of the 8,760 hours simulated), and unmet load hours for the *proposed design* shall not exceed the number of unmet load hours for the *baseline building design* by more than 50. If unmet load hours in the *proposed design* exceed the unmet load hours in the *baseline building* by more than 50, simulated capacities in the *baseline building* shall be decreased incrementally and the building resimulated until the unmet load hours are within 50 of the unmet load hours of the *proposed design*. If unmet load hours for the *proposed design* or *baseline building design* exceed 300, simulated capacities shall be increased incrementally, and the building with unmet loads resimulated until unmet load hours are reduced to 300 or less. Alternatively, unmet load hours exceeding these limits may be accepted at the discretion of the *rating authority* provided that sufficient justification is given indicating that the accuracy of the simulation is not significantly compromised by these unmet loads.

**G3.1.2.2.1 Sizing Runs.** Weather conditions used in sizing runs to determine *baseline* equipment capacities may be based either on hourly historical weather files containing typical peak conditions or on design days developed using 99.6% heating design temperatures and 1% dry-bulb and 1% wet-bulb cooling design temperatures.

**G3.1.2.3 Preheat Coils.** If the HVAC system in the *proposed design* has a preheat coil and a preheat coil can be modeled in the *baseline* system, the *baseline* system shall be modeled with a preheat coil controlled in the same manner as the *proposed design*.

**G3.1.2.4 Fan System Operation.** Supply and return fans shall operate continuously whenever spaces are occupied and shall be cycled to meet heating and cooling loads during unoccupied hours. If the supply fan is modeled as cycling and fan energy is included in the energy-efficiency rating of the equipment, fan energy shall not be modeled explicitly.

**G3.1.2.5 Ventilation.** Minimum *outdoor air* ventilation rates shall be the same for the *proposed* and *baseline building designs*.

**Exception to G3.1.2.5:** When modeling demand-control ventilation in the *proposed design* when its use is not required by 6.4.3.8.

**G3.1.2.6 Economizers.** Outdoor air economizers shall not be included in *baseline* HVAC Systems 1 and 2. Outdoor air economizers shall be included in *baseline* HVAC Systems 3 and 4 as specified in **Table G3.1.2.6A** based on building conditioned floor area, whether the zone served is an interior or perimeter zone, and climate. *Outdoor air* economizers shall be included in *baseline* HVAC Systems 5 through 8 based on climate as specified in **Table G3.1.2.6B**. Any zone having more than half of its floor area more than 15 ft from a glazed exterior wall is considered an interior zone for purposes of applying Tables G3.1.2.6A and B.

**TABLE G3.1.2.6A Minimum Building Conditioned Floor Areas at Which Economizers Are Included for Baseline Systems 3 and 4**

Climate Zone	Area Interior	Area Perimeter
1a,1b,2a,3a,4a	N.R.	N.R.
2b,5a,6a,7,8	15,000 ft <sup>2</sup>	N.R.
3b,3c,4b,4c,5b,5c,6b	10,000 ft <sup>2</sup>	25,000 ft <sup>2</sup>

**TABLE G3.1.2.6B Climate Conditions under which Economizers are Included for Baseline Systems 5 through 8**

Climate Zone	Conditions
1a,1b,2a,3a,4a	N.R.
Others	Economizer Included

**TABLE G3.1.2.6C Economizer High-Limit Shutoff**

Climate Zone	High-Limit Shutoff
1b,2b,3b,3c,4b,4c,5b,5c,6b,7,8	75°F
5a,6a,7a	70°F
Others	65°F

**Exceptions to G3.1.2.6:** Economizers shall not be included for systems meeting one or more of the exceptions listed below.

- Systems that include gas-phase air cleaning to meet the requirements of 6.1.2 of ANSI/ASHRAE Standard 62. This exception shall be used only if the system in the *proposed design* does not match *building design*.
- Where the use of *outdoor air* for cooling will affect supermarket open refrigerated casework systems. This exception shall only be used if the system in the *proposed design* does not use an economizer. If the exception is used, an economizer shall not be included in the *baseline building design*.

**G3.1.2.7 Economizer High-Limit Shutoff.** The high-limit shutoff shall be a dry-bulb switch with setpoint temperatures in accordance with the values in Table G3.1.2.6C.

**G3.1.2.8 Design Air Flow Rates.** System design supply air flow rates for the *baseline building design* shall be based on a supply-air-to-room-air temperature difference of 20°F. If return or relief fans are specified in the *proposed design*, the *baseline building design* shall also be modeled with fans serving the same functions and sized for the *baseline* system supply fan air quantity less the minimum *outdoor air*, or 90% of the supply fan air quantity, whichever is larger.

**G3.1.2.9 Supply Fan Power.** System fan electrical power for supply, return, exhaust, and relief (excluding power to fan-powered VAV boxes) shall be calculated using the following formulas:

$$P_{fan} = 746 / (1 - e^{[-0.2437839 \times \ln(\text{bhp}) - 1.685541]}) \times \text{bhp}$$

where

$P_{fan}$  = electric power to fan motor (watts) and

bhp = brake horsepower of *baseline* fan motor from Table G3.1.2.9, where cfm represents design supply flow rate.

**Exception to 3.1.2.9.** If systems in the *proposed design* require air filtering systems with pressure drops in excess of 1 in. w.c. when filters are clean, the allowable fan system power in the *baseline design* system serving the same space may be increased using the following pressure credit:

$$\text{Pressure Credit (watts)} = \text{CFM}_{\text{filter}} * (\text{Sp}_{\text{filter}} - 1) / 4.984$$

where

$\text{CFM}_{\text{filter}}$  = supply air volume of the proposed system with air filtration system in excess of 1 in. w.c.

$\text{Sp}_{\text{filter}}$  = air pressure drop of the filtering system in w.g. when the filters are clean.

**G3.1.2.10 Exhaust Air Energy Recovery.** Individual fan systems that have both a design supply air capacity of 5000 cfm or greater and have a minimum outdoor air supply of 70% or greater of the design supply air quantity shall have an energy recovery system with at least 50% recovery effectiveness. Fifty percent energy recovery effectiveness shall mean a change in the enthalpy of the *outdoor air* supply equal to 50% of the difference between the *outdoor air* and return air at design conditions. Provision shall be made to bypass or control the heat-recovery system to permit air economizer operation, where applicable.

**TABLE G3.1.2.9 Baseline Fan Brake Horsepower**

Supply Air Volume	Baseline Fan Motor Brake Horsepower	
	Constant Volume Systems 1 – 4	Variable Volume Systems 5 – 8
<20,000 cfm	$17.25 + (\text{cfm} - 20000) \times 0.0008625$	$24 + (\text{cfm} - 20000) \times 0.0012$
≥20,000 cfm	$17.25 + (\text{cfm} - 20000) \times 0.000825$	$24 + (\text{cfm} - 20000) \times 0.001125$



**Exceptions to G3.1.2.10:** If any of these exceptions apply, exhaust air energy recovery shall not be included in the *baseline building design*.

- (a) Systems serving spaces that are not cooled and that are heated to less than 60°F.
- (b) Systems exhausting toxic, flammable, or corrosive fumes or paint or dust. This exception shall only be used if exhaust air energy recovery is not used in the *proposed design*.
- (c) Commercial kitchen hoods (grease) classified as Type 1 by NFPA 96. This exception shall only be used if exhaust air energy recovery is not used in the *proposed design*.
- (d) Heating systems in climate zones 1 through 3.
- (e) Cooling systems in climate zones 3c, 4c, 5b, 5c, 6b, 7, and 8.
- (f) Where the largest exhaust source is less than 75% of the design *outdoor air* flow. This exception shall only be used if exhaust air energy recovery is not used in the *proposed design*.
- (g) Systems requiring dehumidification that employ series-style energy recovery coils wrapped around the cooling coil. This exception shall only be used if exhaust air energy recovery and series-style energy recovery coils are not used in the *proposed design*.

**G3.1.3 System-Specific Baseline HVAC System Requirements.** *Baseline* HVAC systems shall conform with provisions in this section, where applicable, to the specified *baseline* system types as indicated in section headings.

**G3.1.3.1 Heat Pumps (Systems 2 and 4).** Electric air-source heat pumps shall be modeled with electric auxiliary heat. The systems shall be controlled with multi-stage space thermostats and an *outdoor air* thermostat wired to energize auxiliary heat only on the last thermostat stage and when outdoor air temperature is less than 40°F.

**G3.1.3.2 Type and Number of Boilers (Systems 1, 5, and 7).** The boiler plant shall use the same fuel as the *proposed design* and shall be natural draft, except as noted under G3.1.1.1. The *baseline building design* boiler plant shall be modeled as having a single boiler if the *baseline building design* plant serves a conditioned floor area of 15,000 ft<sup>2</sup> or less and as having two equally sized boilers for plants serving more than 15,000 ft<sup>2</sup>. Boilers shall be staged as required by the load.

**G3.1.3.3 Hot Water Supply Temperature (Systems 1, 5, and 7).** Hot water design supply temperature shall be modeled as 180°F and design return temperature as 130°F.

**G3.1.3.4 Hot Water Supply Temperature Reset (Systems 1, 5, and 7).** Hot water supply temperature shall be reset based on outdoor dry-bulb temperature using the following schedule: 180°F at 20°F and below, 150°F at 50°F and above, and ramped linearly between 180°F and 150°F at temperatures between 20°F and 50°F.

**G3.1.3.5 Hot Water Pumps (Systems 1, 5, and 7).** The *baseline building design* hot water pump power shall be 19 W/gpm. The pumping system shall be modeled as primary-only with continuous variable flow. Hot water systems serving 120,000 ft<sup>2</sup> or more shall be modeled with variable-speed

drives, and systems serving less than 120,000 ft<sup>2</sup> shall be modeled as riding the pump curve.

**G3.1.3.6 Piping Losses (Systems 1, 5, 7, and 8).** Piping losses shall not be modeled in either the *proposed* or *baseline building designs* for hot water, chilled water, or steam piping.

**G3.1.3.7 Type and Number of Chillers (Systems 7 and 8).** Electric chillers shall be used in the *baseline building design* regardless of the cooling energy source, e.g., direct-fired absorption, absorption from purchased steam, or purchased chilled water. The *baseline building design's* chiller plant shall be modeled with chillers having the number and type as indicated in **Table G3.1.3.7** as a function of building conditioned floor area.

**G3.1.3.8 Chilled Water Design Supply Temperature (Systems 7 and 8).** Chilled water design supply temperature shall be modeled at 44°F and return water temperature at 56°F.

**G3.1.3.9 Chilled Water Supply Temperature Reset (Systems 7 and 8).** Chilled water supply temperature shall be reset based on outdoor dry-bulb temperature using the following schedule: 44°F at 80°F and above, 54°F at 60°F and below, and ramped linearly between 44°F and 54°F at temperatures between 80°F and 60°F.

**G3.1.3.10 Chilled Water Pumps (Systems 7 and 8).** The *baseline building design* pump power shall be 22 W/gpm. Chilled water systems serving 120,000 ft<sup>2</sup> or more shall be modeled as primary/secondary systems with variable-speed drives on the secondary pumping loop. Chilled water pumps in systems serving less than 120,000 ft<sup>2</sup> shall be modeled as a primary/secondary systems with secondary pump riding the pump curve.

**G3.1.3.11 Heat Rejection (Systems 7 and 8).** The heat rejection device shall be an axial fan cooling tower with two-speed fans. Condenser water design supply temperature shall be 85°F or 10°F approach to design wet-bulb temperature, whichever is lower, with a design temperature rise of 10°F. The tower shall be controlled to maintain a 70°F leaving water temperature where weather permits, floating up to leaving water temperature at design conditions. The *baseline building design* condenser water pump power shall be 19 W/gpm. Each chiller shall be modeled with separate condenser water and chilled water pumps interlocked to operate with the associated chiller.

**G3.1.3.12 Supply Air Temperature Reset (Systems 5 through 8).** Supply air temperature shall be reset based on zone demand from the design temperature difference to a

**TABLE G3.1.3.7 Type and Number of Chillers**

Building-Conditioned Floor Area	Number and Type of Chiller(s)
≤ 120,000 ft <sup>2</sup>	1 screw chiller
> 120,000 ft <sup>2</sup> , < 240,000 ft <sup>2</sup>	2 screw chillers sized equally
≥ 240,000 ft <sup>2</sup>	2 centrifugal chillers minimum with chillers added so that no chiller is larger than 800 tons, all sized equally



10°F temperature difference under minimum load conditions. Design air flow rates shall be sized for the reset supply air temperature, i.e., a 10°F temperature difference.

**G3.1.3.13 VAV Minimum Flow Setpoints (Systems 5 and 7).** Minimum volume setpoints for VAV reheat boxes shall be 0.4 cfm/ft<sup>2</sup> of floor area served.

**G3.1.3.14 Fan Power (Systems 6 and 8).** Fans in parallel VAV fan-powered boxes shall be sized for 50% of the peak design flow rate and shall be modeled with 0.35 W/cfm fan power. Minimum volume setpoints for fan-powered boxes

shall be equal to 30% of peak design flow rate or the rate required to meet the minimum outdoor air ventilation requirement, whichever is larger. The supply air temperature setpoint shall be constant at the design condition.

**G3.1.3.15 VAV Fan Part-Load Performance (Systems 5 through 8).** VAV system supply fans shall have variable-speed drives, and their part-load performance characteristics shall be modeled using either Method 1 or Method 2 specified in [Table G3.1.3.15](#).

**TABLE G3.1.3.15 Part-Load Performance for VAV Fan Systems**

Method 1 – Part-Load Fan Power Data	
Fan Part-Load Ratio	Fraction of Full-Load Power
0.00	0.00
0.10	0.03
0.20	0.07
0.30	0.13
0.40	0.21
0.50	0.30
0.60	0.41
0.70	0.54
0.80	0.68
0.90	0.83
1.00	1.00
Method 2 – Part-Load Fan Power Equation	
$P_{fan} = 0.0013 + 0.1470 \times PLR_{fan} + 0.9506 \times (PLR_{fan})^2 - 0.0998 \times (PLR_{fan})^3$ <p>where</p> <p><math>P_{fan}</math> = fraction of full-load fan power and</p> <p><math>PLR_{fan}</math> = fan part-load ratio (current cfm/design cfm).</p>	

## **NOTICE**

### **INSTRUCTIONS FOR SUBMITTING A PROPOSED CHANGE TO THIS STANDARD UNDER CONTINUOUS MAINTENANCE**

This standard is maintained under continuous maintenance procedures by a Standing Standard Project Committee (SSPC) for which the Standards Committee has established a documented program for regular publication of addenda or revisions, including procedures for timely, documented, consensus action on requests for change to any part of the standard. SSPC consideration will be given to proposed changes at the Annual Meeting (normally June) if proposed changes are received by the MOS no later than December 31. Proposals received after December 31 shall be considered by the SSPC no later than at the Annual Meeting of the following year.

Proposed changes must be submitted to the Manager of Standards (MOS) in the latest published format available from the MOS. However, the MOS may accept proposed changes in an earlier published format, if the MOS concludes that the differences are immaterial to the proposed change submittal. If the MOS concludes that a current form must be utilized, the proposer may be given up to 20 additional days to resubmit the proposed changes in the current format.

## FORM FOR SUBMITTAL OF PROPOSED CHANGE TO ASHRAE STANDARD UNDER CONTINUOUS MAINTENANCE

**NOTE:** Use separate form for each comment. Submittals (MS Word 2000 preferred) may be attached to e-mail (preferred), submitted on diskettes or CD, or submitted in paper by mail or fax to ASHRAE, Manager of Standards, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. E-mail: *change.proposal@ashrae.org*. Fax +1-404/321-5478.

**1. Submitter:**

Affiliation:

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**2. Number and year of standard:**

**3. Clause (section), sub-clause or paragraph number; and page number:**

4. **I propose to:**                      ☐ Change to read as follows                      ☐ Delete and substitute as follows  
(*check one*)                      ☐ Add new text as follows                      ☐ Delete without substitution

Use underscores to show material to be added (added) and strike through material to be deleted (~~deleted~~). Use additional pages if needed.

**5. Proposed change:**

**6. Reason and substantiation:**

☐ Check if additional pages are attached. Number of additional pages:

☐ Check if attachments or referenced materials cited in this proposal accompany this proposed change. Please verify that all attachments and references are relevant, current, and clearly labeled to avoid processing and review delays. *Please list your*

## **ELECTRONIC PREPARATION/SUBMISSION OF FORM FOR PROPOSING CHANGES**

An electronic version of each change, which must comply with the instructions in the Notice and the Form, is the preferred form of submittal to ASHRAE Headquarters at the address shown below. The electronic format facilitates both paper-based and computer-based processing. Submittal in paper form is acceptable. The following instructions apply to change proposals submitted in electronic form.

Use the appropriate file format for your word processor and save the file in either Microsoft Word 7 (preferred) or higher or WordPerfect 5.1 for DOS format. Please save each change proposal file with a different name (example, prop001.doc, prop002.doc, etc., for Word files—prop001.wpm, prop002.wpm, etc., for WordPerfect files). If supplemental background documents to support changes submitted are included, it is preferred that they also be in electronic form as wordprocessed or scanned documents.

Electronic change proposals may be submitted either as files (MS Word 7 preferred) attached to an e-mail (uuencode preferred) or on 3.5" floppy disk. ASHRAE will accept the following as equivalent to the signature required on the change submittal form to convey non-exclusive copyright:

Files attached to e-mail:	Electronic signature on change submittal form (as a picture; *.tif, or *.wpg).
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Files on disk:	Electronic signature on change submittal form (as a picture; *.tif, or *.wpg), or a letter with submitter's signature accompanying the disk or sent by facsimile (single letter may cover all of proponent's proposed changes).
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Submit e-mail or disks containing change proposal files to:

Manager of Standards

ASHRAE

1791 Tullie Circle, NE

Atlanta, GA 30329-2305

E-mail: *change.proposal@ashrae.org*

(Alternatively, mail paper versions to ASHRAE address or Fax: 404-321-5478.)

The form and instructions for electronic submittal may be obtained from the Standards section of ASHRAE's Home Page, <http://www.ashrae.org>, or by contacting a Standards Secretary, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. Phone: 404-636-8400. Fax: 404-321-5478. Email: *standards.section@ashrae.org*.

## **POLICY STATEMENT DEFINING ASHRAE'S CONCERN FOR THE ENVIRONMENTAL IMPACT OF ITS ACTIVITIES**

ASHRAE is concerned with the impact of its members' activities on both the indoor and outdoor environment. ASHRAE's members will strive to minimize any possible deleterious effect on the indoor and outdoor environment of the systems and components in their responsibility while maximizing the beneficial effects these systems provide, consistent with accepted standards and the practical state of the art.

ASHRAE's short-range goal is to ensure that the systems and components within its scope do not impact the indoor and outdoor environment to a greater extent than specified by the standards and guidelines as established by itself and other responsible bodies.

As an ongoing goal, ASHRAE will, through its Standards Committee and extensive technical committee structure, continue to generate up-to-date standards and guidelines where appropriate and adopt, recommend, and promote those new and revised standards developed by other responsible organizations.

Through its *Handbook*, appropriate chapters will contain up-to-date standards and design considerations as the material is systematically revised.

ASHRAE will take the lead with respect to dissemination of environmental information of its primary interest and will seek out and disseminate information from other responsible organizations that is pertinent, as guides to updating standards and guidelines.

The effects of the design and selection of equipment and systems will be considered within the scope of the system's intended use and expected misuse. The disposal of hazardous materials, if any, will also be considered.

ASHRAE's primary concern for environmental impact will be at the site where equipment within ASHRAE's scope operates. However, energy source selection and the possible environmental impact due to the energy source and energy transportation will be considered where possible. Recommendations concerning energy source selection should be made by its members.